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**Sami Kinnunen**

# Hydrogen Incorporation in $\text{Al}_2\text{O}_3$ and ZnO Thin Films Grown by Atomic Layer Deposition

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UNIVERSITY OF JYVÄSKYLÄ  
FACULTY OF MATHEMATICS  
AND SCIENCE

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Atomic Layer Deposition**

Esitetään Jyväskylän yliopiston matemaattis-luonnontieteellisen tiedekunnan suostumuksella  
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## ABSTRACT

Kinnunen, Sami

Hydrogen incorporation in Al<sub>2</sub>O<sub>3</sub> and ZnO thin films grown by atomic layer deposition

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Atomic layer deposition (ALD) is a novel deposition technique that produces thin and conformal films even on high aspect ratio structures and objects with sub-nanometer thickness precision. Applications of ALD range from semiconductor industry to packaging and medicine. In this thesis, two metal oxides, Al<sub>2</sub>O<sub>3</sub> and ZnO, were deposited using both temporal and spatial ALD. While temporal ALD is more established deposition method, spatial ALD offers higher throughput and a possibility to deposit thin films on larger substrates.

In this study Al<sub>2</sub>O<sub>3</sub> and ZnO were deposited using trimethylaluminium (TMA) and diethylzinc (DEZ), respectively, and using water as an oxygen source. Both Al<sub>2</sub>O<sub>3</sub> and ZnO are common and widely studied ALD materials and the reaction mechanism of these materials are thought to be well understood. However, nonideal conditions such as low deposition temperature or fast deposition can lead to high impurity concentrations. In this thesis precursors containing rare isotopes, namely deuterium (<sup>2</sup>H) and <sup>18</sup>O, were used to study the impurity incorporation with different deposition conditions. It was found out that the impurity hydrogen incorporation depends on the deposition temperature as well as from purging time between the precursor pulses. At low temperatures and when short purges are used, hydrogen in the film originates mainly from metal precursor. The opposite is true for the high temperatures and long purging times. Similar conclusions apply for both temporal and spatial ALD.

Keywords: ALD, Al<sub>2</sub>O<sub>3</sub>, ZnO, ToF-ERDA, heavy water



## TIIVISTELMÄ (ABSTRACT IN FINNISH)

Kinnunen, Sami

Atomikerroskasvatuksella valmistettujen  $\text{Al}_2\text{O}_3$  ja  $\text{ZnO}$  ohutkalvojen vetyepäpuhtaudet

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Atomikerroskasvatus (ALD) on ohutkalvojen valmistamiseen käytetty menetelmä, jolla voidaan tuottaa äärimmäisen ohuita ja tasaisia kalvoja syvienkin kolmiulotteisten rakenteiden pinnalle. ALD-tekniikka mahdollistaa myös kalvojen paksuuden säätämisen halutuksi alle nanometrin tarkkuudella. Atomikerroskasvatuksella valmistettuja kalvoja löytyy nykyisin käytännössä jokaisesta puolijohdeteollisuuden tuotteesta, mutta niitä voidaan käyttää myös niin pakkausmateriaaleissa kuin lääketeollisuudessakin. Tässä väitöskirjassa tutkittiin kahta metallioksidiohutkalvoa, alumiinioksidia ( $\text{Al}_2\text{O}_3$ ) ja sinkkioksidia ( $\text{ZnO}$ ), joita valmistettiin sekä temporaalisella että spatiaalisella ALD-menetelmällä. Temporaalinen ALD on menetelmistä vakiintuneempi, kun taas spatiaalisella ALD:llä kasvatusaikoja voidaan lyhentää ja mahdollistaa ohutkalvopinnoitukset myös suurille pinta-aloille.

$\text{Al}_2\text{O}_3$ - ja  $\text{ZnO}$ -ohutkalvot valmistettiin käyttäen alumiinin ja sinkin lähdeaineina trimetyylialumiinia (TMA) ja dietyylisinkkiä (DEZ). Hapen lähdeaineena käytettiin vettä. Sekä  $\text{Al}_2\text{O}_3$  että  $\text{ZnO}$  ovat tunnettuja ja laajasti tutkittuja ALD-materiaaleja, ja on uskottu että niiden kasvuun liittyvät kemialliset reaktiot tunnetaan varsin hyvin. Jos kasvatus tehdään epäideaaleissa olosuhteissa kuten matalassa lämpötilassa tai nopeasti, ohutkalvoihin kuitenkin jää merkittäviä määriä epäpuhtauksia kuten vetyä. Tässä väitöskirjassa epäpuhtauksien päätymistä kalvoon tutkittiin eri kasvatusolosuhteissa käyttämällä lähdeaineena luonnossa harvinaisia, mutta vakaita isotooppeja sisältävää vettä (raskas vesi, happi-18 rikastettu vesi).

Vetyepäpuhtauden määrä ja lähde molemmissa kalvoissa riippui sekä kasvatuslämpötilasta että huuhteluajasta lähdeainepulssien välissä. Kalvoihin päätyy vetyä lähinnä metallilähdeaineista, kun lämpötila on matala tai huuhtelu-aika on lyhyt. Jos taas lämpötila on korkea tai huuhtelu pitkä, on suuri osa vedystä peräisin vedestä. Sama johtopäätös pätee sekä temporaaliseen että spatiaaliseen atomikerroskasvatukseen.

Avainsanat: Atomikerroskasvatus, alumiinioksidi, sinkkioksidi, raskas vesi, lentoaikarekyylispektrometria

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## PREFACE

The work reported in this thesis was carried out during surprisingly many years at the Accelerator laboratory and Nano Science Center of University of Jyväskylä. I want to thank my supervisor Prof. Timo Sajavaara for finding time to supervise me. As a head of department during the pandemic and war in Europe, there has been more than enough work for you to do in addition to guiding me through my studies.

I want to thank Dr. Jari Malm and Dr. Mari Napari: Jari was the hands-on mentor for me on ALD research and Mari, who started a few years earlier her PhD studies, I looked up to her perseverance. I also want to thank Docent Mikko Laitinen and Dr. Jaakko Julin for the support and help they have provided through the years with ion beam analysis. In addition, I want to thank all the present and previous group members: Mr. Spyridon Korkos, Dr. Marko Käyhkö, Dr. Kai Arstila, Mr. Mikko Kivekäs and Dr. Akbar Hossain. Also, Dr. Kimmo Kinnunen deserves special thanks for helping me tirelessly with broken equipment. In addition, I thank "the-guys-from-JAMK", Dr. Esa Alakoski and Mr. Timo Laine. Working with you has been delightful.

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Jyväskylä, September 2022,

Sami Kinnunen

## LIST OF INCLUDED ARTICLES

- PI S. Kinnunen, K. Arstila and T. Sajavaara. Al<sub>2</sub>O<sub>3</sub> ALD films grown using TMA + rare isotope <sup>2</sup>H<sub>2</sub><sup>16</sup>O and <sup>1</sup>H<sub>2</sub><sup>18</sup>O precursors. *Applied Surface Science*, 546 (2021), 148909.
- PII S. Kinnunen, M. Lahtinen, K. Arstila and T. Sajavaara. Hydrogen and deuterium incorporation in ZnO films grown by atomic layer deposition. *Coatings* 11, (2021), 542.
- PIII S. Kinnunen and T. Sajavaara. Spatial ALD of Al<sub>2</sub>O<sub>3</sub> and ZnO using heavy water. *Surface & Coatings Technology* 441, (2022), 128456.

The research conducted in this thesis and publications was done at the University of Jyväskylä during 2016–2022. The sample preparation by ALD depositions and elemental analysis was performed by me as well as the original manuscripts in all the publications. In addition, AFM and ellipsometric measurements were conducted by me. Kai Arstila provided HIM imaging in Publication I and II and Manu Lahtinen conducted XRD measurements of thin films in Publication II. Timo Sajavaara helped with planning the research and reviewing and editing the manuscripts.

Author also contributed in the following articles:

- API M. Napari, O. Tarvainen, S. Kinnunen, K. Arstila, J. Julin, Ø. S. Fjellvåg, K. Weibye, O. Nilsen and T. Sajavaara. The  $\alpha$  and  $\gamma$  plasma modes in plasma-enhanced atomic layer deposition with O<sub>2</sub>-N<sub>2</sub> capacitive discharges. *Journal of Physics D: Applied Physics*, 50(9), 095201 (2017)
- APII S.A. Kinnunen, J. Malm, K. Arstila, M. Lahtinen and T. Sajavaara. Characterization of ALD grown Ti<sub>x</sub>Al<sub>y</sub>N and Ti<sub>x</sub>Al<sub>y</sub>C thin films. *Nuclear Instruments and Methods in Physics Research Section B: Beam Interactions with Materials and Atoms*, 406A, 152–155, (2017)
- APIII P. K. Parashar, S.A. Kinnunen, T. Sajavaara, J. Jussi Toppari, V. K. Komarala. Thermal atomic layer deposition of AlO<sub>x</sub>N<sub>y</sub> thin films for surface passivation of nano-textured flexible silicon. *Solar Energy Materials and Solar Cells*, 193, 231–236 (2019)
- APIV M. Napari, T. N. Huq, T. Maity, D. Gomersall, K. M. Niang, A. Barthel, J. E. Thompson, S. Kinnunen, K. Arstila, T. Sajavaara, R. L. Z. Hoye, A. J. Flewitt and J. L. MacManus-Driscoll. Antiferromagnetism and P-Type Conductivity of Nonstoichiometric Nickel Oxide Thin Films. *InfoMat* 2(4), 769-774 (2020)
- APV P. K. Parashar, S. A. Kinnunen, T. Sajavaara, J. Toppari and V. K. Komarala. Effective suppression of nanotextured black silicon surface recombination channels by aluminum oxide: comparison from sputtered and ALD grown films. *Semiconductor Science and Technology*, 36(11), 115013 (2021)
- APVI S. Korkos, K. Mizohata, S. Kinnunen, T. Sajavaara and K. Arstila. Size dependent swift heavy ion induced Au nanoparticle elongation in SiO<sub>2</sub> matrix. *Journal of Applied Physics* 132, 045901 (2022)

## LIST OF ACRONYMS

<b>AFM</b>	Atomic Force Microscopy
<b>ALD</b>	Atomic Layer Deposition
<b>ATR-FTIR</b>	Attenuated Total Reflection Fourier-transform Infrared
<b>BB-SFG</b>	Broadband Sum-frequency Generation
<b>CVD</b>	Chemical Vapor Deposition
<b>DEZ</b>	Diethylzinc, $(\text{C}_2\text{H}_5)_2\text{Zn}$
<b>GPC</b>	Growth Per Cycle
<b>HIM</b>	Helium Ion Microscopy
<b>KIE</b>	Kinetic Isotope Effect
<b>ML</b>	Molecular Layering
<b>MSP</b>	Multiple Short Pulsing
<b>NRA</b>	Nuclear Reaction Analysis
<b>PIXE</b>	Particle Induced X-ray Emission
<b>QMS</b>	Quadrupole Mass Spectrometry
<b>RBS</b>	Rutherford Backscattering Spectrometry
<b>RH</b>	Relative Humidity
<b>SALD</b>	Spatial Atomic Layer Deposition
<b>SIMS</b>	Secondary Ion Mass Spectrometry
<b>TMA</b>	Trimethylaluminium, $(\text{CH}_3)_3\text{Al}$
<b>ToF-ERDA</b>	Time-of-Flight Elastic Recoil Detection Analysis
<b>XPS</b>	X-ray Photoelectron Spectroscopy
<b>XRD</b>	X-ray Diffraction

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ABSTRACT

TIIVISTELMÄ (ABSTRACT IN FINNISH)

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# 1 INTRODUCTION

Atomic layer deposition (ALD) has been an enabling technology for integrated circuits and microelectronics already for two decades [1–3]. Modern day integrated circuits can contain tens of layers of nanometer scale thin films and in many cases ALD is the only possible deposition technique due to its superior conformality and film thickness control even at sub-nanometer precision.

The term "thin film" can mean different things to people from different fields. In this thesis, the term is reserved exclusively to a film that has a macroscopical size in two dimensions, and thickness from few hundred nanometers to a single digit atomic layers corresponding a fraction of a nanometer. In this scale the properties of the material can differ greatly from their macroscopic counterparts. One famous example is gold: it is yellow and very inert in the form used in jewellery and such, but in nanoscale the color of colloidal gold nanoparticles can turn the solution from red to blue depending on the size of the particle and in this form gold can be highly reactive [4].

ALD was patented already in 1977 by Finnish inventor Tuomo Suntola [5] and he received the Finnish Millenium Techonology Prize for his invention in 2018. The first material Dr. Suntola deposited was ZnS from elemental zinc and sulphur. However, Suntola was not the first one to come up with this novel depositing method. Stanislav Koltsov and Valentin Aleskovsky released articles already in the 1960s on technique called molecular layering (ML) which essentially is the same as the atomic layer deposition. These studies were mostly forgotten and were not translated from Russian until recently [6]. Suntola was unaware of this work and he named the new technique atomic layer epitaxy<sup>1</sup>. The name atomic layer epitaxy transformed over time to atomic layer deposition since majority of the films produced with ALD are not epitaxial but rather amorphous or polycrystalline.

The first application of the ALD was in thin film electroluminescent display (TFEL), and one of these displays utilizing ALD was installed to Helsinki-Vantaa airport in 1983. Since then ALD has been used, in addition to aforementioned in-

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<sup>1</sup> Not to be confused with atomic layer etching, today also known as ALE. On articles published before early 2000s, ALE usually refers to atomic layer epitaxy, i.e. ALD [7]

tegrated circuits, to deposit gas permeation barriers [8–11], anti-tarnishing coatings for jewellery [12, 13], hydrophobic and hydrophilic coatings [14–17], solar cells [18–20], batteries [21, 22], catalytic particles [23] and much more. More recently ALD has been utilized in more exotic applications, such as drug delivery [24] and vaccines [25]. The number of materials that can be deposited with atomic layer deposition has grown rapidly and there currently are hundreds of different processes available [26–28].

In addition to solid, also liquid and gaseous precursors can be used to deposit films. Plasma assisted or enhanced deposition can be used to make reactions more feasible at lower deposition temperatures. The original design by Tuomo Suntola could be described as spatial atomic layer deposition (SALD). Since then, pulsing precursors and separating them in time has become the conventional ALD method and SALD is gaining popularity as an enabling technique for large scale flexible substrate deposition method.

While ALD processes have been under extensive investigations for the past decades, many of the reaction mechanisms and factors contributing to film growth remain poorly understood. The reaction mechanisms have been previously studied indirectly by detecting the reaction by-products, for example, with quadrupole mass spectrometry (QMS) [29–40]. More direct measurements of the film growth include detection of surface species between the precursor pulses with spectroscopic methods [41–44] or using synchrotron radiation for *in operando* X-ray photoelectron spectroscopy (XPS) ALD research [45]. In addition, there are nowadays powerful computational methods that can help to predict and validate the possible reaction mechanisms [46–50].

Hydrogen is a common impurity especially after deposition at low temperatures and it can be harmful in many applications [51–55]. The hydrogen incorporation and its origin at different deposition conditions has not been studied systematically before, especially in relation to purging time. In this thesis, precursors containing rare but stable isotopes were used to probe the impurity incorporation in two well known ALD processes:  $\text{Al}_2\text{O}_3$  from trimethylaluminium (TMA,  $\text{Al}(\text{CH}_3)_3$ ) and water, and ZnO from diethylzinc (DEZ,  $\text{Zn}(\text{CH}_2\text{CH}_3)_2$ ) and water. Both  $\text{Al}_2\text{O}_3$  and ZnO films were deposited with conventional time separated ALD and spatial ALD. Quantification of different isotopes in the films requires the use of ion beam techniques. In addition, only a couple of techniques can detect all the elements down to hydrogen. Time-of-flight elastic recoil detection analysis (ToF-ERDA), which was used in this thesis, can accomplish both. It is also the only method that does not require any reference samples in order to produce quantitative results making it an ideal tool for isotopic tracing of hydrogen in as-deposited films.

## 2 ATOMIC LAYER DEPOSITION

Conventional atomic layer deposition is a cyclic thin film deposition technique in which at least two gaseous precursors are introduced to the substrate surface one at a time. The precursor then chemically reacts with the surface atoms and molecules and forms new bonds. Between the precursor pulses, reaction chamber (often called a reactor) is purged with an inert gas such as  $N_2$  or Ar. This purging ensures that the unreacted precursor molecules and the reaction by-products are flushed before the next precursor. After the purging, the next precursor is pulsed into the reactor and it reacts with the surface species left on the substrate by the previous precursor. In the ideal case there are no gas phase reactions between the precursors and the reactions are taking place solely on the surfaces.

Precursors used in atomic layer deposition have several key requirements: they must be highly reactive so that reaction times are fast, liquid precursors must be relatively volatile so that they will vaporise easily and the reaction by-products must be gaseous and preferably unreactive so that they can be purged. On the other hand, precursors must not decompose at high temperatures (even over  $500\text{ }^\circ\text{C}$ ) which are often needed for the desired reaction to occur. Due to these requirements, many precursors used to deposit oxides are pyrophoric meaning that they will ignite spontaneously when they are in contact with oxygen and/or moisture in air. This makes the handling of the precursors challenging and it must be done in a glovebox in an inert atmosphere. Precursor itself can be solid, liquid or gas, but in order to be utilized in ALD, precursor must be introduced in a gas phase to the reactor. Solids and low vapour pressure liquids can be heated in order to transform them into usable gas phase.

One cycle which, in theory, produces one atomic layer of material, is called an ALD cycle. A simplistic model of the ALD cycle of a two precursor process is presented in Fig. 1. The film thickness can be accurately controlled simply by the number of cycles, and in principle ALD enables deposition at the accuracy of single atomic layer. Unlike the ideal ALD model would predict, in practice only a fraction of a single atomic layer is deposited in a single cycle.

Ideally the film thickness is linearly proportional to the number of cycles. However, the growth per cycle (GPC) at the beginning of the deposition can

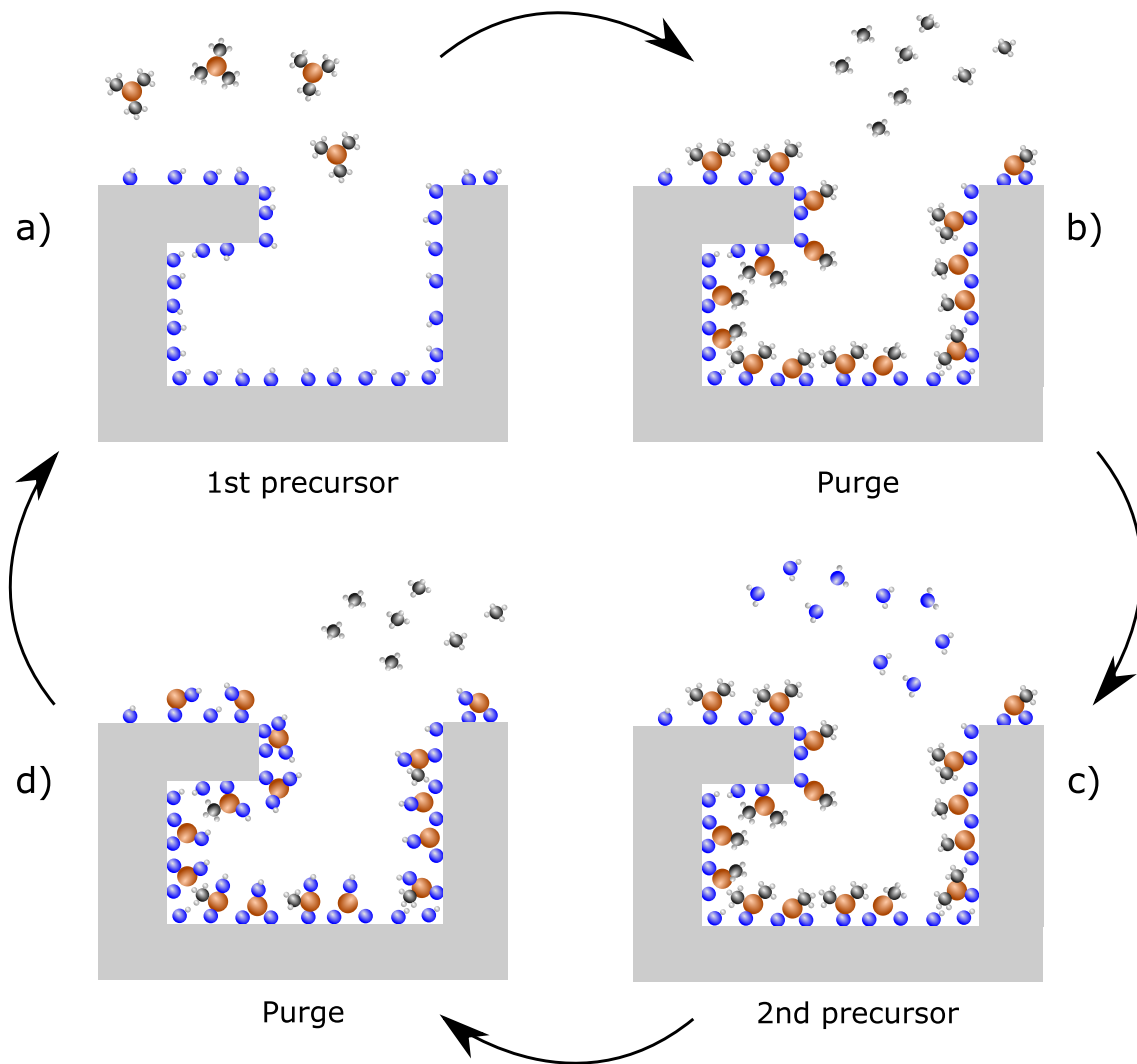


FIGURE 1 In an ideal ALD cycle a) gaseous precursor is first introduced to the substrate. b) After the precursor has formed a monolayer on the substrate, excess precursor and by-products are purged. c) After the purge, second precursor is pulsed and it reacts with a surface saturated by the previous precursor. d) Again, the excess precursor and by-products are purged. After a full cycle the surface is saturated with the same surface species as in a) and the next cycle can begin.

slightly differ from the steady state since the surface reactions on the molecules attached to the substrate surface can differ from the reactions on the already grown film. The period in the beginning of the deposition when the film is not continuous, is called nucleation. This often leads to nonlinear GPC during the first ALD cycles. When the whole substrate is covered with continuous film, the GPC should remain constant [7].

Maybe the most characteristic feature of the ALD is the possibility to deposit conformal films on top of a complex three-dimensional structures. This is enabled due to the self-limiting nature of ALD. In one half-cycle, the precursor reacts with the surface species until the surface is saturated with the precursor or there is no reaction sites available to bind to as presented in Fig. 1. In an ideal case, even prolonged precursor exposure does not have an effect once the saturation is reached. The saturation of the surface is discussed in more detail in section 2.2.

## 2.1 ALD window

Growth of ALD films is commonly measured with growth per cycle or GPC in units of nanometers or Ångstroms per one ALD cycle. Alternatively the thickness increment can be expressed as deposited atoms per area, often as  $1 \times 10^{15}$  atoms per square centimeter (responding to roughly one atomic layer) per cycle. Both of these units have their merits. While thickness in nanometers gives easily understandable physical measure, it can differ from the picture given by actual number of atoms deposited. The film density can vary with depositing parameters such as temperature and purging time. This can lead to two films with similar thickness in nanometers while the denser film has significantly more material. In addition, GPC should not be mixed with growth rate or throughput of the deposition which are related to the time needed for depositing certain amount of material. While a certain process can have a high GPC, the cycle time required may be long and the actual time needed to deposit a certain thickness can be significantly long. This kind of questions are important when considering industrial applications where the high throughput is necessary.

ALD window, seen in Fig. 2, is a useful concept originally introduced by Suntola [56], which tries to explain how temperature affects the growth per cycle as well as the chemistry of the vapor-solid reactions. If the deposition temperature is low, precursors can condensate (physisorpt) to the substrate surface which can lead to a higher than monolayer adsorption in one half-cycle. This is often called chemical vapour deposition (CVD) like growth, since it resembles more the CVD thin film growth where both precursors are introduced to the substrate simultaneously. On the other hand, low temperature slows down the reactions and even reasonable long reaction times (Equation (3)) can not saturate the substrate surface, which leads to lower than expected growth per cycle. In addition, at low temperatures the activation energy for the reaction can be so high that the energy provided by the temperature can not overcome the barrier.

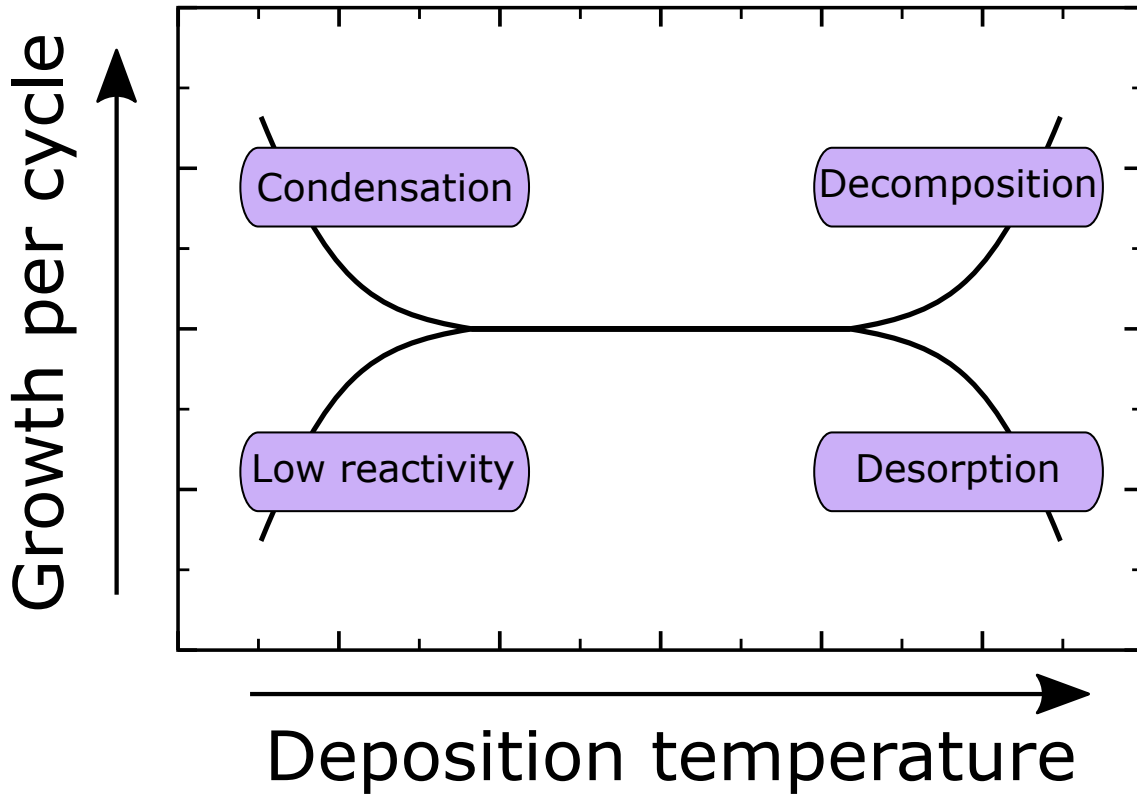


FIGURE 2 Concept of ALD window demonstrates the possible behaviour of GPC as a function of deposition temperature.

At high temperatures the precursors can start to decompose. This leads to a precursor dose dependent growth as the formation of a monolayer does not necessarily terminate the reaction. At high temperatures it is also possible that the desorption of reaction sites during the purging step, such as the hydroxyl groups, can decrease the reaction sites available for the next precursor and therefore saturation of the surface is reached with smaller concentration of adsorbed species.

The ALD window has its merit but it can also lead to misconceptions. For many processes there is not a temperature range where the GPC would be constant as conceptualised in Fig. 2. This does not mean that the reaction would not be a self-limited and well behaving ALD process and particularly not that the process would be unusable.

## 2.2 Surface chemistry

Atomic layer deposition relies on chemical bonding of precursors onto the substrate surface. Depositing methods, such as evaporation or sputtering, utilize weaker physisorption, which makes them fundamentally different.

Several different chemisorption mechanisms have been identified for the ALD chemistry. Most of them fall into three categories: Ligand exchange, dis-

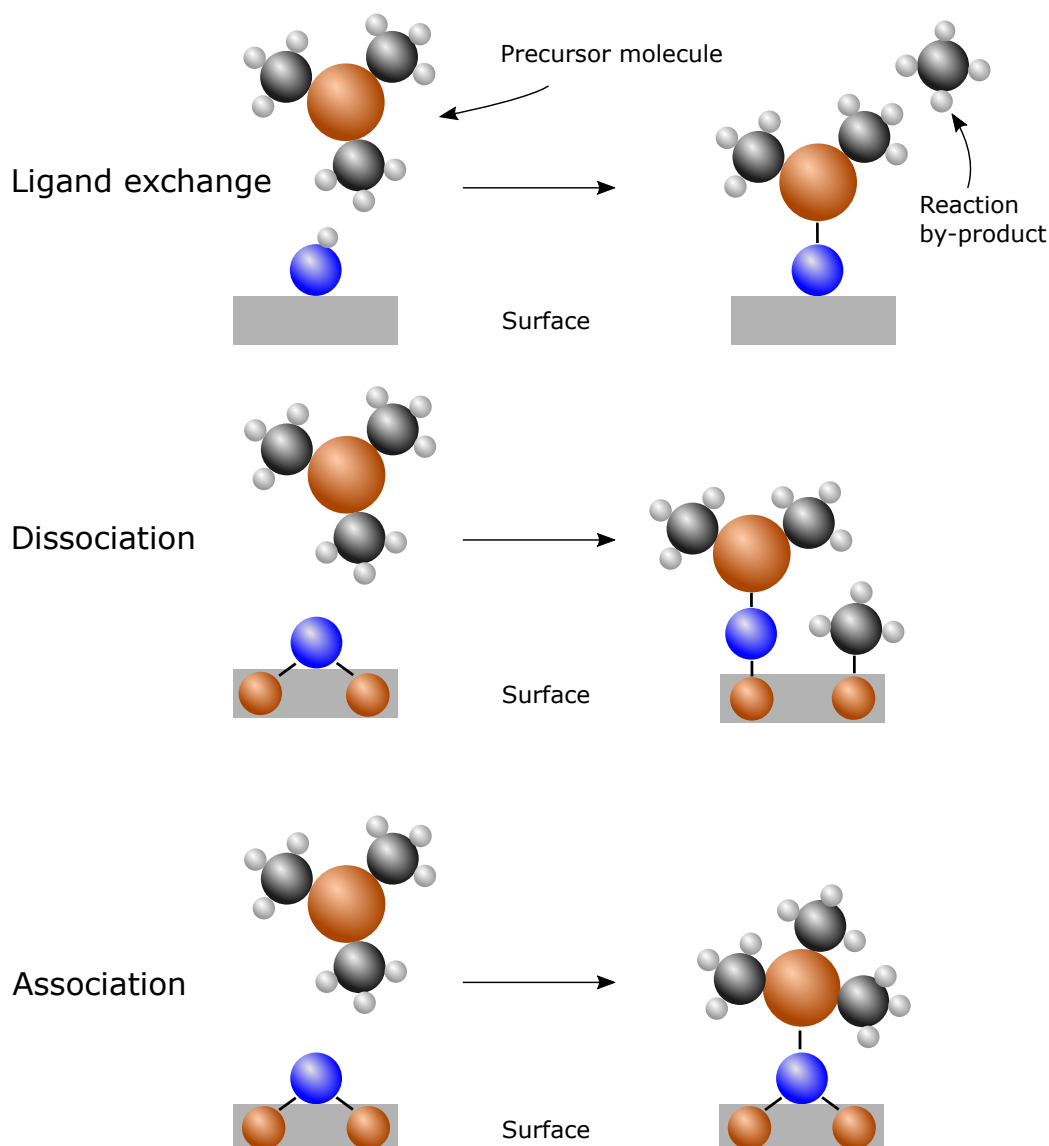


FIGURE 3 Some of the most common ALD surface chemisorption reactions are ligand exchange, dissociation and association.

sociation and association [57] (shown in Fig. 3) and also oxidation [58]. In the ligand exchange reaction a surface species exchanges an atom or a molecule with precursor which in turn binds to the surface. At the same time, a by-product is released. Both main reactions in the TMA + H<sub>2</sub>O process are ligand exchange reactions. The same applies for reactions of DEZ and H<sub>2</sub>O. In dissociation the precursor molecule splits, a bond in the surface is broken and all the fragments bind to the surface. For example, TMA can dissociate on O-bridge sites as illustrated in Fig. 3 [59]. Association reaction is simply a bonding of the precursor to the surface without breaking any precursor or surface bonds. During both the dissociation and the association, no by-products are released. In addition to these three mechanisms, also oxidation reaction is quite common when strong oxidisers such as O<sub>2</sub> plasma or O<sub>3</sub> are used [58].

Atomic layer deposition relies on self-terminating reactions which come to

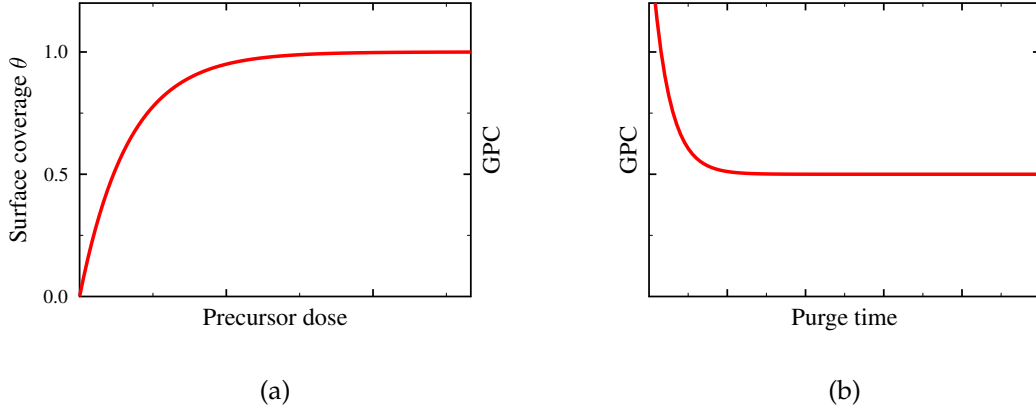


FIGURE 4 a) Surface coverage saturates with increasing precursor dose according to equation 2. Similarly, the GPC saturates when adequate precursor dose is reached. b) Too short purging time typically leads to CVD-like growth as the multiple layers of physisorbed precursor remains in the surface after the purging.

a stop when the surface is saturated with a precursor or there is no available reaction sites. However, saturation often occurs before all the reaction sites are occupied due to steric hindrance which is discussed in the next section in more detail.

The equation for the rate of the surface saturation can be written as

$$\frac{d\theta}{dt} = r_{ads} - r_{des}, \quad (1)$$

where  $\theta$  is the surface coverage,  $r_{ads}$  is the rate of adsorption and  $r_{des}$  is the rate of desorption. At equilibrium the net rate is zero.

As the by-products of ALD process are constantly pumped away, the  $r_{des}$  can be considered to be close to zero and the reaction to be irreversible. In addition, the precursor surface reaction and the formation of the by-products has ideally a large negative enthalpy, so that the reverse reaction is unlikely. For example, the reaction of TMA with water has a total enthalpy change of -594 kJ/mol [60]. The rate of adsorption is proportional to the adsorption rate constant  $k_a$ , precursor partial pressure  $p$ , and to the fraction of unoccupied reaction sites  $1 - \theta$ . Solving the equation (1) with  $r_{des} = 0$  we get

$$\theta = 1 - e^{-k_a p t}. \quad (2)$$

Equation (2) indicates that the surface coverage reaches unity when sufficient precursor dose (precursor partial pressure multiplied by time) is provided as shown in Fig. 4a. Both the surface coverage and the GPC increase until a sufficient dose leading to the surface saturation is reached as seen in the Fig 4a. However, this is not always true as there might be persistent surface species that do not react even with long exposure times at given temperature. The persistent surface groups are discussed further in section 2.5.



Furthermore, the reaction (adsorption) rate constant depends on the temperature described by the Arrhenius equation (after Swedish scientist Svante Arrhenius)

$$k = A e^{\frac{-E_a}{RT}}, \quad (3)$$

where  $k$  is the reaction rate constant,  $R$  is the universal gas constant,  $T$  is absolute temperature,  $E_a$  is activation energy, and  $A$  is a pre-exponential prefactor unique for each reaction. This implies that the reaction rate, and therefore the time needed for the saturation of the surface depends on the deposition temperature.

In addition to sufficient precursor dose, adequate purging time between the precursor pulses is also important. In order to keep the growth self-limiting (the increase in the precursor dose not lead to an increase in GPC) the purging time must be long enough in order to remove all the excess precursor. If the purging step is not sufficient, it can lead to a higher than expected growth due to multiple layers of physisorpt precursor. This effect is shown in Fig. 4b.

### 2.3 Steric hindrance

The key feature of ALD is the self-limiting growth of the film. This means, in theory, that only a single layer of material is deposited in one cycle. In reality, only a fraction of a monolayer is deposited in each cycle for most of the ALD processes [57].

The precursors often contain the target atom surrounded by larger ligands. Good examples of these are the both precursors used in this thesis, TMA and DEZ, which contain metal atom surrounded by alkyl groups. There are also precursors with much larger ligands such as  $\beta$ -diketonate- or cyclopentadienyl-based compounds [26].

As TMA reacts with the surface hydroxyl groups releasing methane (Eq. 5a) it is left with one or two methyl groups. Both, the  $\text{AlCH}_3$  and  $\text{Al}(\text{CH}_3)_2$ , surface species can block a certain area of the surface. As the ligand is larger than the aluminium atom, the ligand(s) can prevent another TMA molecule from reaching the reactive site, namely OH group, at the substrate surface. This is called steric hindrance. Traditionally this has been believed to be the main reason behind the self-limiting growth characteristic of ALD for most processes [57]. Steric hindrance can also explain why the growth per cycle is less than a monolayer: the surface reaches saturation via steric hindrance even though many of the possible reactive sites are physically blocked by the ligands. Therefore the saturation surface coverage of a precursor will be less than the number of reaction sites available and equation (2) does not reach unity. This leads to a less than a monolayer growth per cycle even if the precursor dose would be sufficient to react with all the reactive sites.

Steric hindrance well explains the surface saturation, especially with pre-

cursors with large ligands, but there is a building evidence of more complex mechanisms affecting the saturation and precursor uptake even in the case of TMA + H<sub>2</sub>O process which is regarded as the ideal model process for the ALD. At high temperatures the desorption of surface species, which act as the reactive sites for the next precursor, can be the limiting factor for the precursor uptake. It is known, for example, that the surface OH group density decreases in high deposition temperatures [42,57,61]. At high enough temperatures this becomes the limiting factor for precursor uptake in each pulse and therefore for the GPC. Furthermore, there is evidence of persistent surface groups at low deposition temperatures and secondary reaction pathways. These will be discussed more in section 2.5.

## 2.4 Spatial ALD

In recent years the original design of ALD reactor by Tuomo Suntola has been adopted again as a spatial atomic layer deposition (SALD). In SALD the pulses are separated by space rather than time as in conventional ALD. The spatial ALD can be realized in two different manners: The substrate can be moved in front of the coating head which contains precursor inlets separated by inert gas, or the coating head can be moved on top of the sample surface. The SALD-reactor used in this thesis has a rotating cylinder with stationary inlets for precursors and purging gases as well as stationary suction of gases. A flexible substrate is attached to the rotating cylinder and as the cylinder rotates, the substrate goes through zones of precursor flows and inert gas zones. The reactor design used in the thesis is presented in Fig. 5.

The benefit of SALD is that the reaction space can be kept small and in close proximity to the reaction surface, which eliminates the long purging times needed to remove the excess precursor from reactor space. Not only the purging times are reduced but also the pulse times used with conventional ALD at low pressure can be replaced with shorter but higher precursor partial pressure "pulses". Therefore the precursor dose (pressure multiplied by time,  $pt$  in Eq. (2)) can remain the same.

Furthermore, SALD enables roll-to-roll (R2R) operation of flexible substrates as well as deposition on planar large area substrates. ALD is often used for high-end products such as integrated circuits, but SALD can increase the output significantly making ALD processing cheaper and feasible deposition method for new, inexpensive end products such as packaging. In addition, SALD has enabled operation at atmospheric pressures which speeds up the process since long pumping to vacuum conditions is not required. Atmospheric pressure deposition also enables deposition on large substrates which would be impractical or even impossible to be put inside vacuum chamber. On the other hand, continuous precursor flows need to be tuned accordingly in order to minimize precursor waste [62].

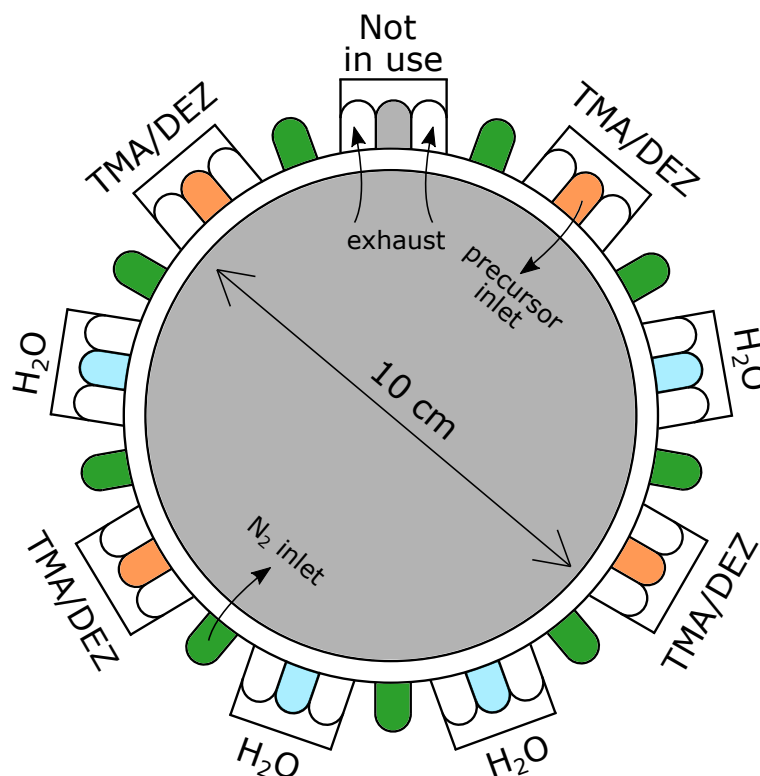


FIGURE 5 Schematic view of the rotating cylinder SALD reactor used in the thesis. The substrate is attached to the cylinder. Reprinted with permission from [PIII], Copyright 2022, Elsevier.

Spatial ALD of many materials is already studied for multiple applications [63–65]. For example in photovoltaics, passivation of solar cells with SALD  $\text{Al}_2\text{O}_3$  has been shown to produce excellent results [18–20] and  $\text{Zn}(\text{O,S})$  buffer layers for  $\text{Cu}(\text{In,Ga})\text{Se}_2$  based solar cells have been deposited with SALD [66].

In addition, SALD has been studied to be used in thin film transistors [67], Li-ion battery technologies [21, 22, 68] and for transparent conducting oxides [64, 69]. Encapsulation of sensitive components such as OLEDs with pinhole free SALD films shows also promising results [10, 11, 70–72]. The higher throughput and therefore lower manufacturing costs has also generated interest of using SALD for packaging [10, 73] and even for surface modification of textiles [74].

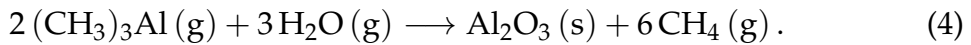
## 2.5 ALD Chemistry of $\text{Al}_2\text{O}_3$ and $\text{ZnO}$

The two materials studied in this thesis are metal oxides, aluminium and zinc oxide. Both of them are very stable and non-toxic, so they are suitable for multitude of applications. In addition, the deposition of both materials is possible at relatively low temperature on wide variety of substrates.

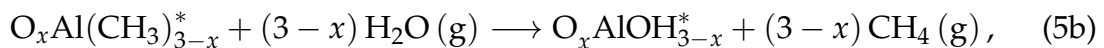
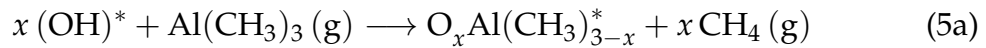
### 2.5.1 ALD of Al<sub>2</sub>O<sub>3</sub>

Al<sub>2</sub>O<sub>3</sub> is a dielectric and it can be used as an insulating high-k layer in several applications [75–77]. The barrier properties of Al<sub>2</sub>O<sub>3</sub> are also good as it is basically pinhole free, and thus it can be used as a moisture barrier in packaging [9, 10]. Al<sub>2</sub>O<sub>3</sub> is also transparent, so it can be used as oxygen and moisture barrier for OLED displays [8, 78, 79]. In addition to blocking H<sub>2</sub>O and O<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub> can be used to stop hydrogen induced damage to ceramic capacitor components [51] and thin film transistors [52]. The barrier properties are also good for anti-tarnishing coatings used with jewellery. Aluminium oxide deposited with ALD below 600 °C is practically always amorphous [26] although more recently crystalline Al<sub>2</sub>O<sub>3</sub> has been deposited on specific substrates at temperatures as low as 300 °C [80]. In most cases, only post-deposition annealing at 1000 °C or higher transforms amorphous Al<sub>2</sub>O<sub>3</sub> to a crystalline form [80].

Atomic layer deposition of Al<sub>2</sub>O<sub>3</sub> thin films from trimethylaluminium (TMA) and water was reported already in 1989 by Higashi and Fleming [81]. This process is probably the most studied ALD reaction and can be used as a model reaction for ALD [7, 26, 57]. The reaction produces high quality films and the reaction is self-limiting in a wide temperature range. The reaction can be simplified as follows [7, 57]:



The total reaction (4) can be divided into two half reactions or half-cycles, one for each precursor pulse:



where \* denotes species bonded to the surface and  $x$  is 1 or 2. As a starting situation, the substrate surface is saturated with OH groups. During the TMA pulse, gaseous TMA reacts with one of these hydroxyl surface groups. As a result, a proton from the OH group is transferred to the methyl group and methane is released. The Al(C<sub>3</sub>H)<sub>2</sub> is now bonded to the surface and it can go through a second ligand exchange reaction with a near OH surface group releasing a second methane molecule. The number of methyl groups per aluminium left on the surface after the TMA pulse should be close to 1.5 in order to produce a stoichiometric film. Experimental work has shown that value of  $x$  however seems to vary somewhat with temperature so that at lower temperatures the monomethyl termination dominates (higher  $x$ ) while at higher temperatures there are more dimethylaluminium surface species [29, 41, 82]. The surface OH-concentration after the water pulse varies with temperature [42, 57] and it has been shown to affect the number of methyl groups per aluminium left on the surface after TMA pulse. Methane is then purged and in the next pulse water is introduced to the surface. The remaining CH<sub>3</sub> groups are removed by the water, again in the form of

methane, and the surface is saturated with hydroxyl groups similar to the starting situation. If the surface has also oxygen bridges (Al-O-Al), TMA can chemisorb on these sites through association and dissociation [59].

Especially at low deposition temperatures, when the conditions for the reactions are not ideal, the factors affecting the deposition can change. Vandalon and Kessels conducted broadband sum-frequency generation (BB-SFG) studies on TMA + H<sub>2</sub>O process [41,42] and they observed that when the deposition temperature is low (below 200 °C), persistent CH<sub>3</sub> groups remain in the film surface even after extended H<sub>2</sub>O exposure. They concluded that water is not reactive enough towards the CH<sub>3</sub> groups at low temperatures. Therefore, the persistent methyl groups, rather than steric hindrance, are the main reason behind the reduced GPC at low deposition temperatures. They also found out that the reaction cross section of TMA does not depend on the temperature while the cross section of H<sub>2</sub>O reaction increases with increasing temperature. However, the absolute value of CH<sub>3</sub> groups at the end of TMA pulse decreased with temperature and it was attributed to the loss of surface OH groups at higher temperatures. Although this is a rather new explanation for surface saturation, something similar was discovered already in 1991 by Soto and Tysoe [83].

Vandalon and Kessels proposed in the follow-up article that the reason behind the persistent CH<sub>3</sub> groups is the coverage dependent activation energy. Some previous computational work by Shirazi and Elliot [46] and Weckmann and Laasonen [47] supported the statement. According to them, this explanation is further amplified by the estimation of CH<sub>3</sub> surface density which is not high enough to cause significant steric hindrance to inhibit growth. Especially at low temperatures the TMA reaction seems to go through a second ligand exchange producing mostly monomethyl AlCH<sub>3</sub> surface groups [41]. This further leads to less steric hindrance and more isolated CH<sub>3</sub> groups. They conclude that the loss of under-coordinated oxygen must be the cause for saturation at higher temperatures, while the persistent CH<sub>3</sub> groups decrease the GPC at low temperatures.

Gakis *et al.* [50] proposed that the CH<sub>3</sub> groups, that are bound to aluminium together with an OH group, namely Al(OH)(CH<sub>3</sub>), are the source of these persistent methyls reported by Vandalon and Kessels. These groups would have higher activation energy compared to other CH<sub>3</sub> groups and therefore they would be less likely to react with subsequent water pulse. While this is possible, Sperling *et al.* [84] discovered that at low temperatures the surface coverage and finally saturation follows a biexponential function instead of single exponential presented in equation (2). They concluded that the presence of nonreactive Al(OH)(CH<sub>3</sub>) surface species would lead to three exponentials which was not detected within the measurement accuracy. They gave a physical meaning to this biexponential function by introducing a coverage dependent  $E_a$  as proposed by Vandalon and Kessels. This approach is fundamentally different to the one presented by Gakis *et al.* since in this model every CH<sub>3</sub> group is identical and the reaction probability depends only on the CH<sub>3</sub> surface concentration.

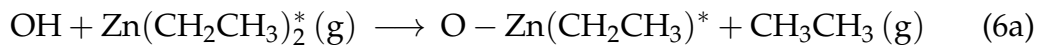
In a recent paper Werbrouck *et al.* [85] found out using time resolved QMS that at the end of the H<sub>2</sub>O pulse, when the surface OH concentration is high,

some of the Al can etch away in the form of  $\text{HOAl}(\text{CH}_3)_2$ . As the absolute OH concentration is high at low deposition temperatures, this can be one more explanation for the decreased GPC at low temperatures. Furthermore, Werbrouck *et al.* discuss that this secondary reaction can be the source of the second exponential discovered by Sperling *et al.* [84].

### 2.5.2 ALD of ZnO

ZnO thin films deposited with ALD are polycrystalline even at room temperature [26, 86, 87]. The ZnO films deposited with ALD always show hexagonal wurtzite crystal structure, but the preferred orientation of the crystals depend on the deposition temperature [86], purge time [88], and substrate [26]. ZnO is a wide band gap semiconductor [89] and its electrical properties can be tailored with doping [90]. For example aluminium-doped zinc oxide (AZO) has been studied extensively for photovoltaic and display applications used as transparent conducting oxide (TCO) instead of tin-doped indium oxide [91, 92]. In addition, ZnO has some interesting attributes such as possible antibacterial properties [93] and it has been shown to have tunable wettability that can be controlled with UV-irradiation [16].

The chemistry of ZnO, the second material studied in this work, using diethylzinc (DEZ) and water is very similar to  $\text{Al}_2\text{O}_3$  even though ZnO films are polycrystalline and  $\text{Al}_2\text{O}_3$  films are amorphous. Instead of three methyl groups there are two ethyl groups bound to the metal center and the gaseous by-product of the reaction is ethane instead of methane. The idealised mechanism for DEZ and  $\text{H}_2\text{O}$  is [61]:



In the equation 6a there is a possibility of second ligand exchange reaction similar to  $\text{TMA} + \text{H}_2\text{O}$  process. This leaves the surface with bare Zn atoms before the  $\text{H}_2\text{O}$  pulse. Indeed, QCM studies have shown that the mass decrease during the water pulse is smaller than expected and can be due to water reacting with bare zinc atoms [61, 87, 94]. On the other hand, Mackus *et al.* [95] observed that at low deposition temperatures water pulse can not remove all the ethyl groups from the surface. Weckmann and Laasonen [48, 49] found out computationally that persistent ethyl groups inhibit the GPC of ZnO when the deposition temperature is below  $100^\circ\text{C}$ . When the deposition temperature is increased, there is enough energy to remove close to all ethyls and as a result GPC increases. When the deposition temperature is further increased, the desorption of surface species becomes the limiting factor [96] similar to  $\text{Al}_2\text{O}_3$  deposition and the GPC starts to decline.

Kim *et al.* [97] further concluded that at  $150^\circ\text{C}$  and above the precursor dose needed to saturate the substrate surface increases due to the desorption of DEZ. Weckman and Laasonen predicted that DEZ firstly adsorbs on to the surface

via weak molecular interaction before forming chemical bonds [48]. According to Kim *et al.* desorption of DEZ from this weakly bound state is activated at higher temperatures and leads to lower GPC if the dose is not increased [97]. On the basis of their model they also concluded that steric hindrance is the growth limiting factor below 150 °C. Above that, the number of available surface OH groups sets the limits of the growth.

As pointed out above, the surface reactions of the "ideal" TMA + H<sub>2</sub>O or DEZ + H<sub>2</sub>O processes seem to be much more complex than previously thought and quite poorly represented by the simplistic ALD window in Fig. 2 or the ideal reactions in equations (5a) and (5b), and in (6a) and (6b).

### 2.5.3 Impurity incorporation

Even though the reaction mechanism presented in Equations (5a) and (5b) is verified by several different studies [29, 31, 44], it does not give the full picture as discussed above. In addition, the films deposited at low temperatures can contain high concentrations of impurities originating from the precursors, mainly hydrogen and carbon in the case of TMA and DEZ. The ratio of the main components, O/Al in the case of Al<sub>2</sub>O<sub>3</sub> and O/Zn in the case of ZnO, is not always stoichiometric [42, 50, 61, 82, 86, 87, 98–101] and the growth per cycle also depends greatly on the deposition temperature [42, 50, 61, 82, 86, 87, 98–101].

Hydrogen is the most notable impurity in the films. It affects the film density and it can have negative effects on other components in some applications. For example, hydrogen can degrade ceramic capacitors [51], thin film transistors [52], create defects in insulators [53] and cause temperature induced degradation in multicrystalline Si used in solar cells [54]. Films deposited at low temperatures contain often significant concentration of hydrogen and the density of the films decreases with increasing hydrogen concentration [98, 100]. This goes hand in hand with the barrier properties of the films [55]. However, there are some occasions when hydrogen is even desired, such as passivation of Si-dangling bonds with thin layer of Al<sub>2</sub>O<sub>3</sub> [102, 103] and doping of ZnO with H in order to increase the carrier mobility [104].

When deposition temperature of TMA + H<sub>2</sub>O is decreased to near room temperature, the film can constitute of more than a one fifth of hydrogen. When the deposition temperature is increased to 200 °C the hydrogen concentration drops to only few atomic per cents [82, 98, 99, 102, 105, 106]. In the case of ZnO, the hydrogen concentration does not climb that high but can be more than 10 at.% and similarly drop to 1 at.% and below [86, 107–109].

The persistent methyl and ethyl groups introduced in the previous section would seem like a natural candidate for the source of the hydrogen in the films. It would be possible that these unreacted groups get buried into the film in subsequent pulses. However, only a minor concentration of carbon is found in the films contradicting the assumption. This is true for both ALD Al<sub>2</sub>O<sub>3</sub> and ZnO films [41, 82, 86, 109, 110].

Guerra-Nuñez *et al.* [82] studied hydrogen incorporation in Al<sub>2</sub>O<sub>3</sub> ALD films

using TMA and heavy water. They found out that the total hydrogen concentration increased with decreasing deposition temperature. The carbon concentration followed a similar trend. Furthermore, the main hydrogen isotope found in the film was deuterium in all deposition temperatures between 80 and 220 °C. The impurities left in the film, as well as the surface saturation [57], are often contributed to steric hindrance of methyl groups. If TMA molecule reacts as a monodentate with surface hydroxyl, it is left with two methyl groups which can obstruct TMA molecules from reaching unreacted surface OH groups. Guerra-Nuñez *et al.* concluded that this simple steric hindrance can not explain solely the high concentration of deuterium in the film and proposed two additional mechanisms how these OH groups get buried into the film. According to their results the O/Al ratio increased hand in hand with the deuterium concentration, which they concluded to be an evidence of buried OH groups.

Hiraiwa *et al.* deposited Al<sub>2</sub>O<sub>3</sub> with TMA and heavy water at 100 °C and 450 °C [100]. At the higher deposition temperature their findings are in line with Guerra-Nuñez *et al.* but the film deposited at 100 °C contained more <sup>1</sup>H than <sup>2</sup>H. The high concentration of <sup>1</sup>H at 100 °C was attributed to buried CH<sub>3</sub> groups and their explanation resembles the behaviour of the persistent methyl groups presented by Vandalon and Kessels.

In case of ALD ZnO from DEZ and heavy water, Guziewicz *et al.* [107] observed a similar trend at deposition temperature between 100 and 200 °C. The total amount of hydrogen and deuterium decreased with increasing deposition temperature, while heavy water seemed to be the main source of impurity hydrogen as there were more deuterium than hydrogen in the films. These trends seem similar to the ones measured by Guerra-Nuñez *et al.* [82] in the case of Al<sub>2</sub>O<sub>3</sub>. In a recent study Xia *et al.* also used heavy water in order to study the impurity incorporation. Their results were more complex as the carbon concentration decreased rapidly around 150 °C and increased again when deposition temperature reaches 200 °C. Above 200 °C the carbon concentration started to decrease again. In their study the hydrogen and deuterium incorporation is more simple, but the results are in contradiction with the results obtained by Guziewicz *et al.*. According to Xia *et al.* the main hydrogen isotope found in the film is hydrogen instead of deuterium in all temperatures between 100 and 300 °C.

While many of these studies try to catch the mechanisms at low temperatures, which are not ideal for the discussed processes, most of them use long precursor exposure times and very long purging times between the pulses. This gives a good control over the process, but purging times of tens of seconds are rarely used in real world applications. The incorporation of impurities with nonideal conditions, the low temperature and short purging times, are not studied properly. Slightly different deposition conditions also seem to affect the results and can lead to big discrepancies. In addition, the results have been somewhat contradictory. In this thesis these matters are addressed.



## 2.6 Use of rare isotopes in ALD studies

Different isotopes of an element contain the same number of protons and electrons, but the number of neutrons change. Therefore, the isotopes of the same element should be chemically indistinguishable. However, the isotopes can be differentiated based on their mass. This fact can be utilised in ALD research by using precursors that contain stable isotopes, but which are rare in nature. A well known example is heavy water,  $^2\text{H}_2\text{O}$ , which has two deuterium atoms instead of two hydrogen atoms bonded to the oxygen. The natural abundance of deuterium is only 0.015 % [111], so it is not normally detected in the deposited films.

Reaction mechanisms have been studied by detecting by-products of the ALD reactions using quadrupole mass spectrometry (QMS) together with heavy water as an oxygen source [29–40]. Ion beam methods have also been used to detect hydrogen and deuterium from films deposited with heavy water [82,100,107,112–114]. In addition to direct measurement of the mass difference between the isotopes, it is also possible to measure the change in bond vibrational frequencies. Utilizing this, surface chemistry of ALD reactions with heavy water have been studied with attenuated total reflection Fourier-transform infrared (ATR-FTIR) [43] and broadband sum-frequency generations (BB-SFG) spectroscopy [41]. In addition to heavy water, precursors containing oxygen-18 enriched  $\text{O}_2$  gas [115,116], oxygen-18 enriched water [43,117,118], deuterated ammonia [119] and deuterated TMA [41] have been used.

In addition to deeper understanding of the reaction mechanisms and impurity incorporation, the use of heavy water has been studied for enhancing the thin film device reliability under electric stress by stabilizing dangling bonds in the interface [120,121].

While isotopes of the same element are chemically similar, the mass difference between isotopes can affect the reaction kinetics, especially with light elements. Kinetic isotope effect (KIE) was first introduced in 1947 [122] and has been since used in reaction kinetics studies [123]. The simple way to look at the effect is to consider the activation energy and the ground state energy of the bond. Quantum mechanical vibration levels  $E_n$  are given by

$$E_n = \left( n + \frac{1}{2} \right) h\nu, \quad (7)$$

where  $n = 0, 1, 2, \dots$ ,  $h$  is Planck's constant and  $\nu$  is the vibration frequency. At ground state  $n = 0$  and using simple harmonic oscillator approximation we get for the ground state energy

$$E_0 = \frac{1}{2} h \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}, \quad (8)$$

where  $k$  is the force constant and  $\mu$  is the reduced mass of the system

$$\mu = \frac{m_1 m_2}{m_1 + m_2}. \quad (9)$$

Looking back to Arrhenius equation (3) we can now approximate the ratio of the reaction rates with different isotopes. Both TMA and DEZ react mainly with surface OH groups by ligand exchange. As a proton/deuteron is transferred in the reaction, the OH-bond is important when considering the ALD processes. For example, substitution of hydrogen with deuterium decreases the  $E_0$  approximately by a factor of 0.73 according to equations (8) and (9). On the other hand, we see that the ratio in  $E_0$  between  $^{16}\text{O}$  and  $^{18}\text{O}$  is only 0.997.

Kinetic isotope effect is sometimes disregarded in ALD studies with heavy water [82] but for example Guziewicz *et al.* [107] and Hiraiwa *et al.* [100] reported reduced GPC and slight changes on other film properties compared to films deposited with normal water.

### 3 ALD THIN FILM PROCESSING

The deposition of the samples in this thesis was done using temporal Beneq TFS 200 ([PI] and [PII]) and spatial Beneq TFS 200R ([PIII]) ALD reactors.

The TFS 200 reactor is a cross flow reactor with constant inert gas flow across the deposition area. Nitrogen from Inmatec PN 1150 nitrogen generator (99.999 % purity) was used in all deposition with TFS 200 reactor. The base pressure in the reactor during the deposition was 1–2 mbar. All the precursors were delivered to the reactor by their own vapour pressure and the precursors were kept at room temperature.

All the samples prepared with conventional ALD were deposited on n-type (100) oriented silicon substrates which were cut from a bigger wafer. No any specific cleaning of the substrates were performed prior to depositions leaving the native  $\text{SiO}_x$  on top. The Si-substrates were distributed in all corners the reactor so that the conformality of the deposition could be verified.

For the samples prepared with spatial ALD, a flexible Ti-coated polyethylene terephthalate (PET) (Rowo Coatings) substrate was used. The reflecting Ti-coating allows visual inspection of the conformality of the deposited film. The flexible PET substrate was attached to the rotating cylinder with Kapton tape. For purging in the spatial ALD, 99.999 % purity pressurised nitrogen (Linde AG) was used. The base pressure in the SALD reactor was about 20 mbar.

All the samples were measured with ToF-ERDA immediately after the deposition. If this was not possible, the samples were stored in the load lock of the ALD reactor under vacuum environment ( $\sim 1 \times 10^{-1}$  mbar) and exposed to the air only briefly before the measurements. This was done in order to minimize the ambient hydrogen and moisture exposure to the samples.

#### 3.1 $\text{Al}_2\text{O}_3$ processing

In article [PI] the  $\text{Al}_2\text{O}_3$  films were deposited using TMA from Strem Chemicals, (>98 %). Three waters with different isotopic compositions were used as the sec-

ond precursor: normal water  $^1\text{H}_2^{16}\text{O}$ , heavy water  $^2\text{H}_2^{16}\text{O}$  (Medical Isotopes Inc. >99.99 %) and oxygen-18 enriched water  $^1\text{H}_2^{18}\text{O}$  (Medical Isotopes Inc. >97 %). A temperature series of  $\text{Al}_2\text{O}_3$  films was deposited with normal and heavy water from 70 to 250 °C. For all the samples in the temperature series, both TMA and water pulses were 300 ms and purging time between the pulses was kept at 10 s. Another series of samples was prepared with different purging times at 100 °C. The purging time was varied between 3 and 60 s. In addition to deposition with normal and heavy water, samples with oxygen-18 enriched water were prepared at 100 °C.

Furthermore,  $\text{Al}_2\text{O}_3$  samples prepared with heavy water and oxygen-18 enriched water were exposed to warm and humid atmosphere in a climate chamber (Weiss WK3-180/40). The heavy water samples were kept 24 h and the oxygen-18 enriched water samples 48 h at 60 °C and 80 % relative humidity (RH).

A set of samples samples was also kept in ambient conditions for several months and measured again with ToF-ERDA after the storage.

### 3.2 ZnO processing

In article [PII] ZnO films were deposited using DEZ from Strem Chemicals (>95 %) and both normal and heavy water (Medical Isotope Inc. 99.9 %). A temperature series with both waters was deposited from 30 to 200 °C. In all the ZnO depositions the precursor pulse times were 150 ms for DEZ and 500 ms for both waters. The purging time in the temperature series after the DEZ pulse was kept at 10 s while purging time after the water pulse was 20 s. A second series of samples was deposited with varying purging times at 60 °C. The purging times were varied between 3 and 60 s. For the purging times equal to and below 10 s, the purging time after the both precursors was kept the same. For purging times longer than 10 s, only the purging after the water pulse was changed and the purging time after the DEZ pulse was kept at 10 s.

Additional studies with unsymmetric purging times were made at 60 °C with DEZ and heavy water. Purging times of 3-3 s, 3-10 s, 10-3 s and 10-10 s were used after DEZ and water pulses, respectively.

Multiple short pulsing (MSP) scheme was used to deposit ZnO films at 40 °C with DEZ and heavy water in order to study transient steric hindrance. A low temperature was selected for this experiment in order to amplify the effect of slowly desorpting surface species. The DEZ pulse was divided into three 50 ms second pulses and the water pulse into three 167 ms pulses. The pulses of the same precursor were separated from each other by 1 s purging. In order to keep the ALD cycle time the same as in the temperature series, the purging time after the last pulse of each precursor was shortened by 2 s.

Similar to  $\text{Al}_2\text{O}_3$  samples, all the ZnO samples were measured immediately after the deposition or otherwise stored in the load lock under vacuum before the measurements. In addition, selected samples were measured again after two

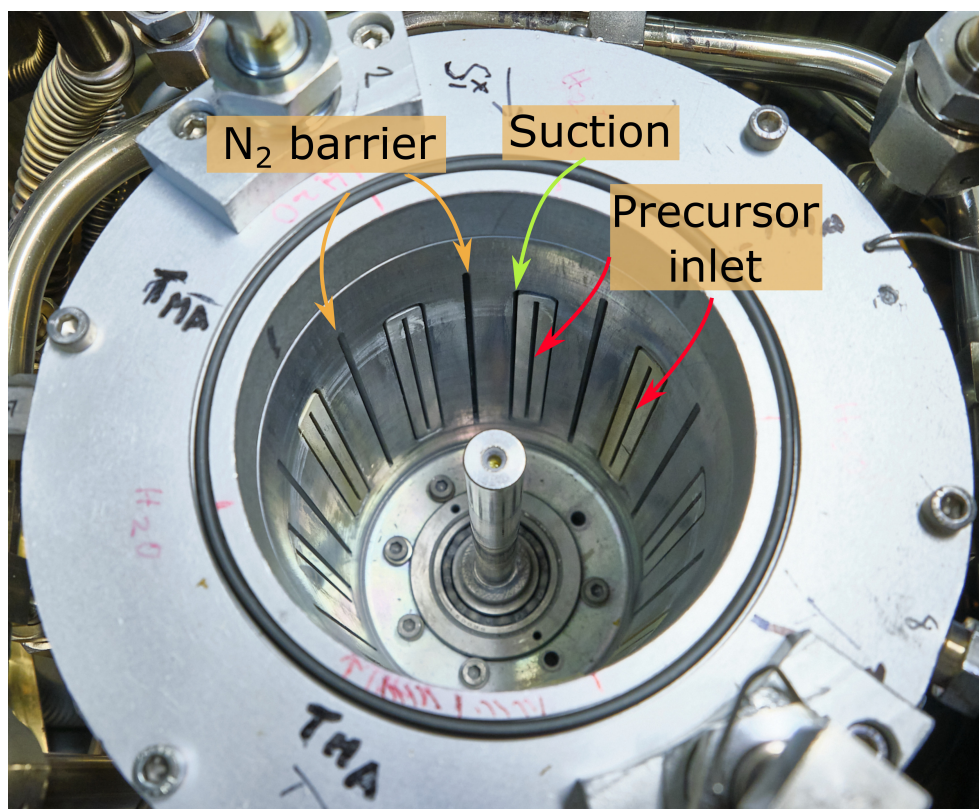


FIGURE 6 The inside of the modified Beneq TFS 200R reactor. The rotating cylinder holding the substrate is removed in order to see the precursor inlets, suction around the precursor inlets and  $N_2$  barrier inlets.

months storage in ambient conditions.

### 3.3 SALD processing of $Al_2O_3$ and ZnO

In article [PIII] both  $Al_2O_3$  and ZnO were deposited with TFS 200R (Fig. 6) using TMA and DEZ, respectively. Both normal and heavy water (Medical Isotope Inc. 99.9 %) were used as oxygen source. All the precursors, including normal and heavy water, were heated to 35 °C in order to increase their vapour pressure. Precursors were delivered to the reactor using bubblers with 100 sccm nitrogen carrier flow through them. The nitrogen barrier between the precursor inlets was realized with total of 4600 sccm nitrogen flow.

Both  $Al_2O_3$  and ZnO films were deposited between 60 and 140 °C with rotation speed of 10 rpm with normal and heavy water. Similarly, at 100 °C, a series of  $Al_2O_3$  and ZnO samples were deposited with varying rotation speeds of 5, 10, 20 and 50 rpm using both normal and heavy water.

## 4 THIN FILM CHARACTERIZATION

### 4.1 Elemental composition

#### 4.1.1 Elastic recoil detection analysis

There are several methods to study the elemental composition of thin films including X-ray photoelectron spectroscopy (XPS), secondary ion mass spectrometry (SIMS), Rutherford backscattering spectrometry (RBS) and elastic recoil detection analysis (ERDA). Of these methods ERDA and SIMS are the only ones that can detect the lightest elements including hydrogen. Of these two methods SIMS requires reference samples in order to produce quantitative results while ERDA is the only truly quantitative method [124].

In ERDA an accelerated ion beam is directed to the sample surface at an angle. The incident ion then collides with an atom within the sample and "kicks" out the atom by an elastic collision as shown in Fig. 7. The kinematics of the recoiled atom is given by

$$E_2 = \frac{4m_1m_2}{(m_1 + m_2)^2} (\cos^2\phi) E_0, \quad (10)$$

where  $E_0$  is the energy of the incident ion,  $E_2$  is the energy of the recoiled atom,  $m_1$  and  $m_2$  are the masses of incident and recoiled ions respectively and  $\phi$  is the recoiling angle.

Probability of the scattering to a given solid angle is expressed as a differential scattering cross section and can be written

$$\frac{d\sigma}{d\Omega} = \left( \frac{Z_1 Z_2 e^2}{2E_0} \right)^2 \left( \frac{m_1 + m_2}{m_2} \right)^2 \cos^{-3}\phi, \quad (11)$$

where  $Z_1$  and  $Z_2$  are the atomic numbers of incident ion and recoiled atom, respectively, and  $e$  is the elementary charge. These two equations give the means to directly measure the elemental ratios in the film.

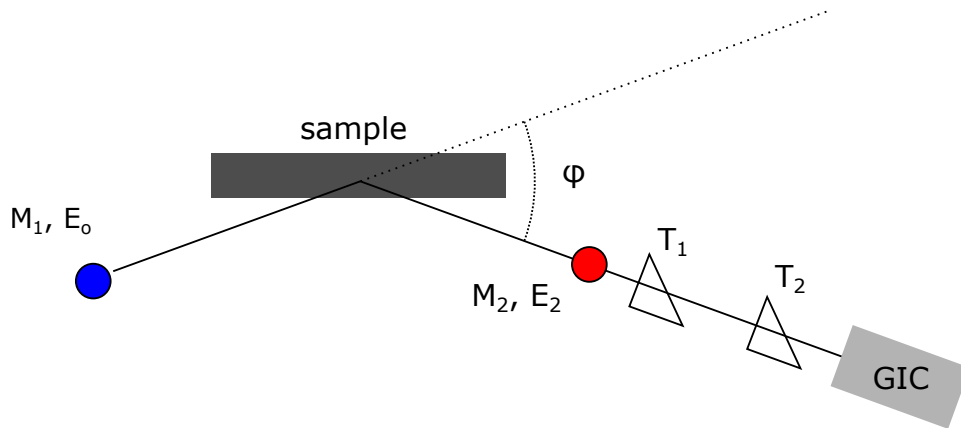


FIGURE 7 The ToF-ERDA measurement consists of an incident ion (blue) kicking out a recoiled sample atom (red) to an angle  $\phi$ . The time of flight of the recoil is measured with two timing gates  $T_1$  and  $T_2$ , and finally the energy of the recoil is measured with gas ionization chamber (GIC).

#### 4.1.2 ToF-ERDA

In addition to resolving different elements, ERDA gives information about the elemental depth profile of the sample. Beside the head on collisions between the incident and recoiled nuclei there is also electronic and nuclear interaction between the species. Both incoming and outgoing species lose energy with these interactions while travelling through the film. Together with collision kinematics the energy gives information on which depth the detected recoil originated. This however, adds a new requirement for the measurement setup.

Since the ions and atoms travelling through the sample lose energy, different elements originating from different depth can have the same energy and be therefore indistinguishable. The practical separation of the elements is realized by measuring both the energy and the velocity, or the time-of-flight (ToF), of the recoiled atom. Hence the different masses are separated by simply using the equation for kinetic energy  $E_k$

$$E_k = \frac{1}{2}mv^2 \rightarrow m = \frac{2E_k}{v^2} \quad (12)$$

where  $v$  is the velocity. This separation of different masses can be seen in Fig. 8 where the incident time-of-flight and energy events are plotted in a histogram.

#### 4.1.3 Detecting hydrogen and its isotopes

Many elemental analysis techniques exist for thin films but only a few are sensitive to all the elements. Especially the light elements are often hard to detect. Electron binding energies of the light element atoms are so low that detecting them using photon or electron absorption or emission techniques is almost impossible.

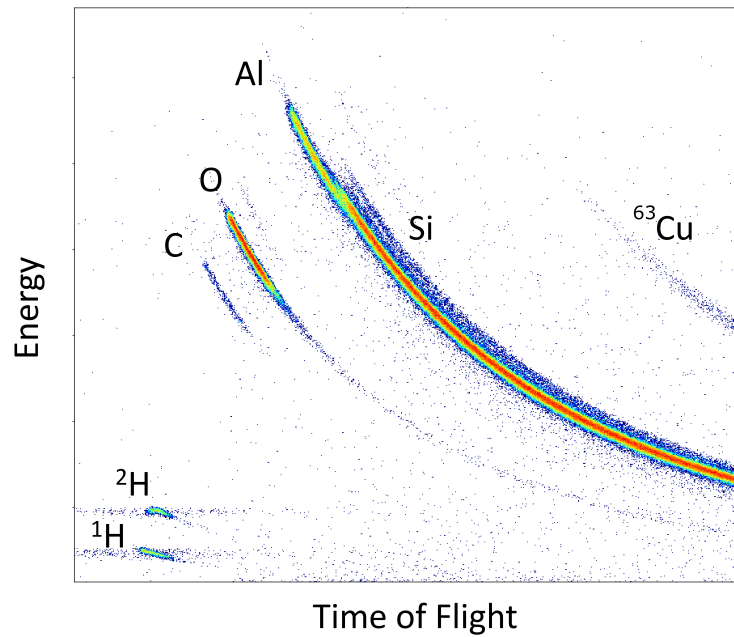


FIGURE 8 Time-of-flight–energy histogram of recoiled atoms of an  $\text{Al}_2\text{O}_3$  film deposited with ALD on Si substrate. Scattered  $^{63}\text{Cu}$  beam is also visible. Species are separated according to their masses. Adapted with permission from [PI], Copyright 2021, Elsevier.

Nuclear reaction analysis (NRA), that relies on nuclear reactions between target atoms and incident beam, can detect hydrogen. However, more straightforward techniques are the two forward recoiling techniques SIMS and ERDA. Especially the adaptation of time-of-flight elastic recoil detection analysis (ToF-ERDA) can easily detect all the elements down to hydrogen. Even more, ToF-ERDA can resolve different isotopes from each other depending on their mass. Different isotopes of the same element act chemically very similarly which makes separating them basically impossible with techniques relying on their electronic properties. Ion beam techniques, excluding particle induced X-ray emission (PIXE), are based on nuclear interaction. As the difference of the isotopes is in their nuclei, ion beam techniques can be used to separate them in general.

#### 4.1.4 ToF-ERDA measurements

ToF-ERDA measurements were conducted using 1.7 MV Pelletron tandem accelerator [125]. The elemental composition of all the  $\text{Al}_2\text{O}_3$  samples (both temporal and spatial ALD), were measured using 11.915 MeV  $^{63}\text{Cu}^{6+}$  beam with detecting angle  $\phi$  of  $41^\circ$  using mirror geometry. In the case of ZnO samples, incident ion was changed and measurements were done with 13.615 MeV  $^{127}\text{I}^{7+}$  ion beam in order to avoid having two masses ( $^{63}\text{Cu}$  and  $^{64}\text{Zn}$ ) too close to each other. The elemental composition was then calculated with Potku analysis software [126]. The surface and interface regions were disregarded in the analysis and all the concentration values are measured from the bulk of the film. With ZnO samples



and  $^{127}\text{I}^{7+}$  incident beam, analysis was done just below the surface in order to minimize multiple scattering effects.

Multiple scattering effects can lead to underestimation of heavy elements in the film [127]. The ERD analysis assumes that each detected recoil originates from a single nuclear collision. If the scattering cross section (Eq. 11) is high enough, the probability of an incident ion to scatter multiple times increases. With relatively low energy and high incident and recoil atomic masses, multiple scattering is not negligible. This results in detecting a recoil from a second scattering event to have less energy than expected. Therefore, in the analysis, it is interpreted to originate deeper in the sample than it is in the reality.

The ToF-ERDA system used to measure elemental compositions in this thesis utilizes gas ionization chamber (GIC) to measure the energy of the recoiled atoms. GIC detector has a relatively simple design and it has good energy resolution required to separate also the heavy elements. While gas ionization chamber has its benefits, there are some things that need to be considered with lighter elements. In order to minimize multiple scattering, a light incident ion could be used. However, when the films contain deuterium, light incident ions can not be used. As seen in Supplementary Fig. 26, the signal from hydrogen and deuterium overlap if 10.215 MeV  $^{35}\text{Cl}$  beam is used. The total energy of the incoming particle is only detected if it stops within the detector volume. Deuterium has a longer range due to higher energy compared to  $^1\text{H}$  and does not come to the rest within active detector volume. As a result GIC catches only a portion of the actual energy, leading to overlapping TOF-E data as shown in Supplementary Fig. 26. This can be overcome by using lower energy or heavier incident ion which leads to a lower energy of the recoiled atom according to Eq. (10).

ERDA is also regarded as a non-destructive method. However, the incident beam can lead to desorption of volatile species from the sample such as hydrogen during a measurement [124]. This leads to a decrease in the concentration of the desorbed element within the film as the measurement proceeds. In order to get reliable results, the change in relative concentrations of the elements/isotopes must be recorded. If the measured elemental composition has changed during the measurement, it has been taken into account in the analysis.

## 4.2 Other characterization methods

### 4.2.1 Atomic force microscopy

Atomic force microscopy (AFM) gives information on surface morphology. A sharp tip at the end of an oscillating cantilever is moved in close proximity of the sample surface. If the surface has topographical changes the cantilever bends as the tip is moved over the surface. Bending of the cantilever is then monitored with a laser reflected from the back of the cantilever. The sample stage is operated by piezo elements so that the tip of the cantilever remains at constant distance

from the surface and the surface morphology is recorded by the piezo elements. By scanning the whole surface it is possible to produce precise topography of the surface. While lateral resolution depends on the sharpness of the tip, fraction of a nanometer vertical resolution can be achieved. The surface morphology of selected ZnO films were investigated with Bruker Dimension Icon atomic force microscope in the peak force tapping mode.

#### 4.2.2 X-ray diffraction

Powder X-ray diffraction (XRD) can also be used to measure the crystallinity of thin films. Monochromatic X-rays, often produced by means of cathode tube such as Cu K $_{\alpha}$  radiation ( $\lambda = 1.54189 \text{ \AA}$ ), are directed to the sample surface at an angle and the scattered X-rays are detected. Diffraction pattern emerge if the sample contains periodic crystal structure according to Bragg's law

$$2 d \sin\theta = n \lambda \quad (13)$$

where  $d$  is the distance between the diffraction planes (i.e. atoms in the crystals),  $\theta$  is the X-ray incident angle,  $n$  is an integer and  $\lambda$  is the the wavelength of the X-rays.

While the position of the diffraction peaks gives information on the crystal structure and orientation, it is possible as well to estimate the average crystal size from the width of the peaks with the Scherrer formula

$$\tau = \frac{K\lambda}{\beta \cos\theta'} \quad (14)$$

where  $\tau$  is the average crystal size,  $K$  is a shape factor (close to 1) and  $\beta$  is the full width half maximum (FWHM) of diffraction peak in radians.

Crystallinity of ZnO films were investigated with Malvern Pananalytical X'pert Pro diffractometer with Cu K $_{\alpha}$  radiation ( $\lambda = 1.54187 \text{ \AA}$  via Ni  $\beta$ -filter; 45 kV, 40 mA). Data processing and search-match phase analyses were carried out using the program X'pert HighScore Plus v. 4.9 and ICDD-PDF4+ database (version 2020) [128, 129].

#### 4.2.3 Helium ion microscopy

Helium ion microscopy (HIM) shares the basic principles of the scanning electron microscopy (SEM), but instead of electrons, a focused beam of helium ions is accelerated towards the sample surface. Similar to SEM, secondary electrons emitted from the sample are detected and used to produce the final image [130]. Due to the shorter de Broglie wavelength of the He ions compared to electrons, a smaller aperture can be used resulting in significantly larger depth of field. Compared to electrons He ions also have a smaller excited volume resulting in sharper image. Furthermore, the He beam source is truly point like being in best case a single atom. The best reported resolution of HIM is 0.24 nm [131]. In addition, it is possible to image insulating samples with HIM without metallisation

that is required for the SEM imaging, as the positive charge build up from He ions can be compensated with electron flood gun.

Both Al<sub>2</sub>O<sub>3</sub> and ZnO films deposited with temporal ALD were investigated with Carl Zeiss Orion NanoFab helium ion microscope using 30 kV helium ion beam. The samples were cleaved before imaging and tilted in order to see the cross section of the film.

#### **4.2.4 Ellipsometry**

Ellipsometry can be used to measure the thickness of transparent thin films. Ellipsometry utilises polarized light that is reflected from the film surface and interface at an angle. The change in polarization and phase is then detected. These two parameters are then converted through model analysis to film thickness and refractive index. The GPC of both Al<sub>2</sub>O<sub>3</sub> and ZnO films deposited with temporal ALD were determined by measuring the thickness of the films with Rudolph AUTO EL III ellipsometer using a 632.8 nm wavelength.

## 5 RESULTS

### 5.1 Temporal ALD of Al<sub>2</sub>O<sub>3</sub>

Aluminium oxide from TMA and H<sub>2</sub>O has been considered as a model ALD reaction since the process produces good quality films over a large temperature range. The reactions of this process are thought to be well known as described in chapter 2.5.1. However, recent advancement and more thorough investigations have shown that the reaction between TMA and water is much more complex than what first reaction mechanism studies suggested. Therefore heavy water and oxygen-18 enriched water were used to study the hydrogen impurity incorporation in the films at varying deposition conditions.

Film thicknesses were measured with ellipsometer and the growth per cycle for the Al<sub>2</sub>O<sub>3</sub> films as a function of temperature is shown in Fig. 9a. The GPC increases as a function of temperature as expected [32,42,50,100]. The higher the temperature, more energy there is available for the reaction. Changing of the oxygen precursor to heavy water, <sup>2</sup>H<sub>2</sub>O, decreases the GPC significantly, especially at low temperatures. This phenomenon is reported earlier for Al<sub>2</sub>O<sub>3</sub> by Hiraiwa *et al.* [100] and for ZnO Guziejewicz *et al.* [107] and it has been attributed to kinetic isotope effect as described earlier. On the other hand, Guerra-Nuñez *et al.* [82] and Vandalon *et al.* [41], for example, disregarded KIE when using heavy water. If the reaction goes to saturation (or very close to it) after each cycle all the available reactive sites should have been reacted. As the change in zero point energy between <sup>1</sup>H-O and <sup>2</sup>H-O goes up, the energy barrier increases and the reaction rate goes down according to Eq. 3. Not only are the reactions slower but the higher reaction barrier means that some reactions will not be able to proceed in the given temperature. The evidence of the increase in activation energy is supported by the fact that the GPC difference between the <sup>1</sup>H<sub>2</sub>O and <sup>2</sup>H<sub>2</sub>O processes decreases as the deposition temperature is increased. At higher temperatures there is more energy available for the reaction to proceed. If the ALD reactions are thought to be virtually irreversible, this means that the density of the O<sup>2</sup>H-sites increases with temperature as more of the methyl groups are removed during the water

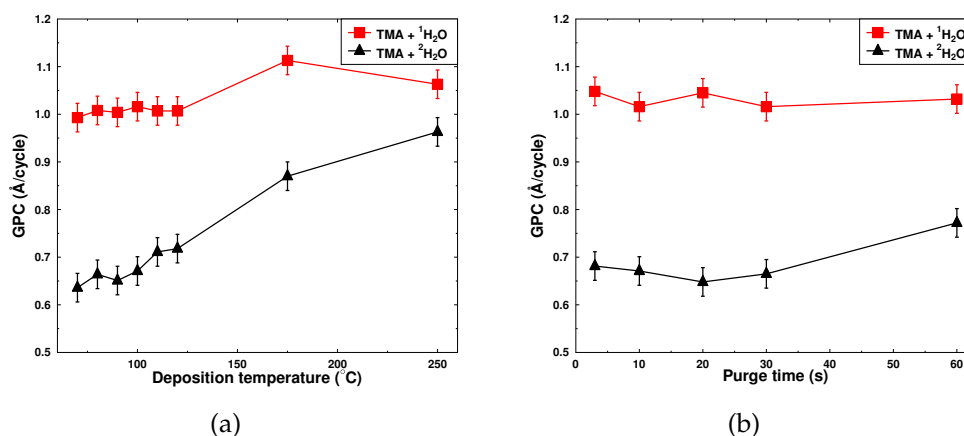


FIGURE 9 a) Growth per cycle as a function of the deposition temperature. Films were deposited using 300 ms precursor pulses and 10 s N<sub>2</sub> purges between the pulses. b) Growth per cycle of the films deposited at 100 °C. Nitrogen purge was varied between 3 and 60 s. Reprinted with permission from [PI], Copyright 2021, Elsevier.

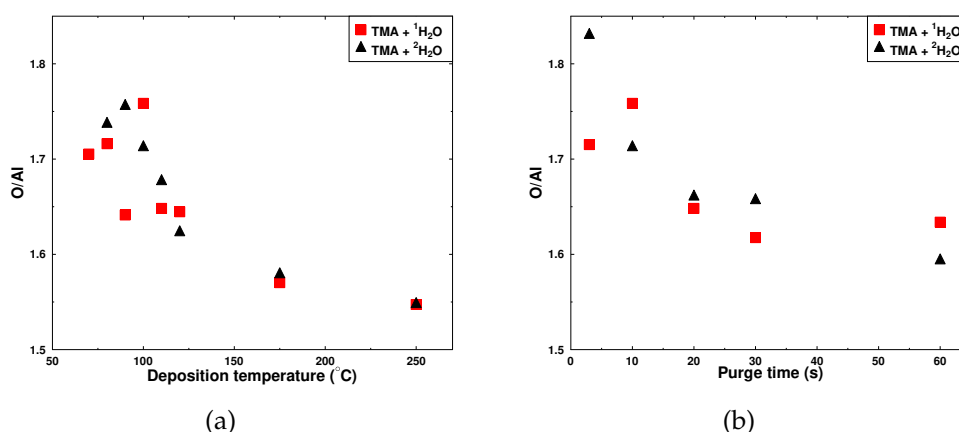


FIGURE 10 a) O/Al ratio as a function of the deposition temperature. Samples were deposited using 300 ms precursor pulses and 10 s purging. b) O/Al ratio as a function of the purging time. Samples were deposited at 100 °C. Reprinted with permission from [PI], Copyright 2021, Elsevier.

pulse and the GPC increases.

With <sup>1</sup>H<sub>2</sub>O the GPC starts to decrease between 175 and 250 °C as shown in Fig. 9a. This is an indication of the decrease of surface OH groups reported by [42, 57, 61]. Interestingly similar behaviour is not seen when heavy water is used. This could be due to the lower reactivity of the heavy water which might still be the growth limiting factor even at 250 °C.

The effect of purging time to GPC at 100 °C is shown in Fig. 9b. The purging time does not seem to affect the GPC significantly. Only when very long purging time of 60 s is used with <sup>2</sup>H<sub>2</sub>O, there is a measurable increase in the GPC.

The ratio of the main components in Al<sub>2</sub>O<sub>3</sub> is ideally 1.5. At low temperatures ALD-alumina films tend to be oxygen rich [82, 98, 99]. Similar results were obtained in our study. The stoichiometry of the films improve with increasing

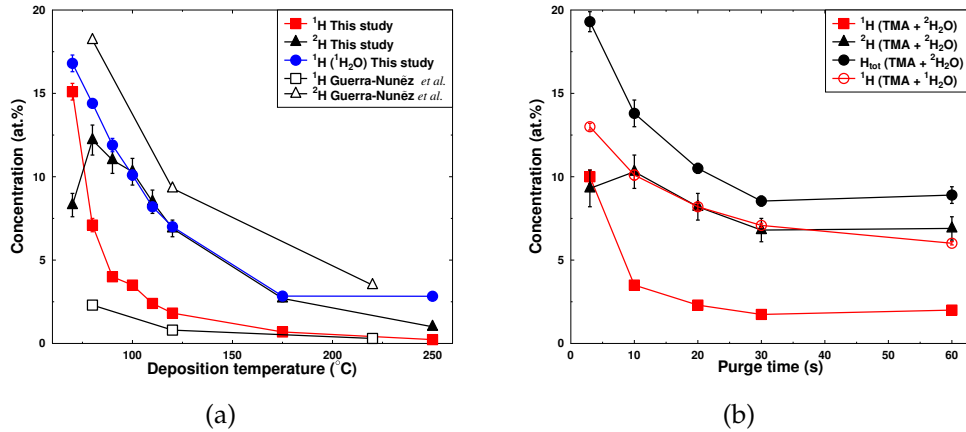


FIGURE 11 a)  $^1\text{H}$  and  $^2\text{H}$  impurity concentrations at different deposition temperatures. Films were deposited using 300 ms precursor pulses and 10 s purging times. Comparison to Guerra-Nunéz *et al.* [82] is also shown. b)  $^1\text{H}$  and  $^2\text{H}$  concentrations as a function of purge time. Deposited at 100 °C using 300 ms precursor pulses. Reprinted with permission from [PI], Copyright 2021, Elsevier.

deposition temperature and purging time as seen in Figs. 10a and 10b, respectively. Interestingly, the purging time has a significant effect on O/Al ratio while GPC stayed relatively constant. Similar trend is seen in Figs. 11a and 11b in the concentration of hydrogen and deuterium as a function of temperature and purging time, respectively. This shows, that while the films deposited at lower temperature or with short purging time have more impurities and have worse stoichiometry, the GPC remains the same. This will result into films with lower density. Films with O/Al ratio closer to 1.5 and with lower impurity concentration must therefore be denser. This has been measured and reported also by others [98,99].

This can be interpreted so that with longer purging, the reactions have more time to go to completion. Therefore the concentration of the reactive sites is higher after the long purging, which increases the intake of Al and O. In return, the impurity concentration decreases. On the other hand, when purging time is short, some unreacted species or by-products could be embedded in the film. This contributes to the film thickness so that measuring merely the GPC gives an impression that purging time does not have an effect on the film growth.

In Fig. 11a is shown the hydrogen concentration of the TMA +  $^1\text{H}_2\text{O}$  process as well as the  $^1\text{H}$  and  $^2\text{H}$  concentrations of TMA +  $^2\text{H}_2\text{O}$  process as a function of temperature. For comparison, the concentrations measured by Guerra-Nunéz *et al.* [82] are also included. For both waters the total hydrogen concentration increases with decreasing deposition temperature. These results are in good agreement with earlier research [82,98]. The total hydrogen concentration of the  $^2\text{H}_2\text{O}$  process (not shown) is somewhat higher than with the  $^1\text{H}_2\text{O}$  process but the trends are similar. Hiraiwa *et al.* [100] observed an increase in the total hydrogen deuterium concentration at 100 °C when heavy water was used, while Guerra-Nunéz *et al.* did not find any difference [82].

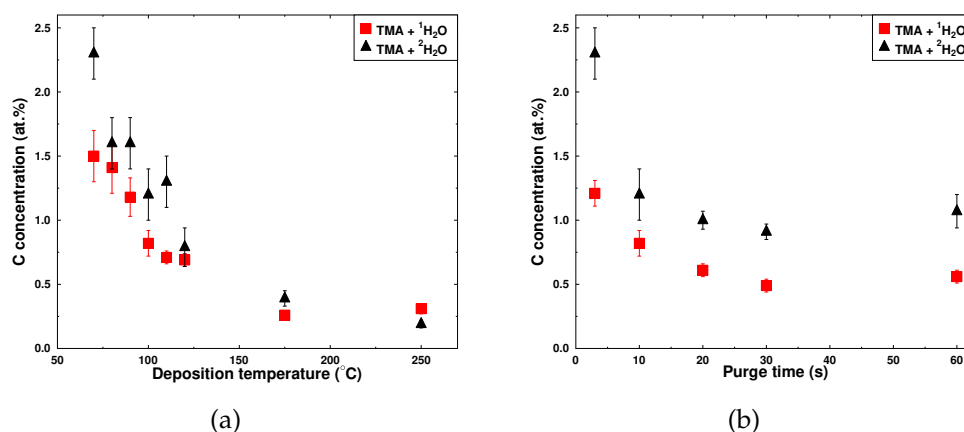


FIGURE 12 a) Carbon concentration of films deposited at different temperatures using 300 ms pulses and 10 s purging times. b) Carbon concentration as a function of purging times, films were deposited at 100 °C using 300 ms pulses. Reprinted with permission from [PI], Copyright 2021, Elsevier.

When deposition temperature is 80 °C or above, the main hydrogen impurity in the films is <sup>2</sup>H originating from water precursor. When the deposition temperature is decreased to 70 °C, the concentration of <sup>2</sup>H suddenly starts to decrease and the concentration of <sup>1</sup>H to increase rapidly. Similar phenomenon is visible in Fig. 11b in which hydrogen and deuterium concentrations are plotted as a function of purging time. When the purging time is decreased to 3 s the main hydrogen impurity changes from deuterium to hydrogen. This indicates that the change of the major hydrogen isotope in the film relates to the slowness of some reactions. A sufficient purging time is required to produce films with higher deuterium concentration than hydrogen concentration, and this seems to be temperature dependent. Guerra-Nun ez *et al.* [82] did not see such a change in the major hydrogen isotope seen by us and shown in Fig. 11a. They observed that deuterium is the main impurity hydrogen isotope in all the deposition temperatures. Guerra-Nun ez *et al.* used very long purging times, 60 s, which might explain the discrepancy between the data. In addition, their process contained a separate 2 s exposure time after the precursor pulses. These remarks clearly show that not only the temperature plays a significant role in impurity incorporation but also the purging time is as important.

When depositing temperature of TMA + <sup>2</sup>H<sub>2</sub>O was decreased further to 60 °C (not shown) clear signs of CVD-like growth were detected. This indicates that the purging time of 10 s was not sufficient. This kind of behaviour is often attributed to physisorption or condensation of multiple layers of precursor at low temperatures, as conceptualized in Fig. 2 or if the purging is not sufficient at given temperature as shown in Fig. 4b. While there are two possible precursors that can condensate on the substrate, water is often thought to be the more problematic precursor at low temperatures [98]. This conclusion is supported by the fact that no CVD-like growth was observed for the film deposited at 60 °C with normal water.

The increase of <sup>1</sup>H at low deposition temperatures could be due to the per-

sistent methyl groups detected by Vandalon and Kessels [41,42]. They concluded that when the deposition temperature is low, water is not reactive enough to remove all the methyl groups. This could also explain why the total hydrogen concentration is higher when heavy water is used. As heavy water has even lower reactivity than normal water, more persistent methyl groups are left in the film and buried in subsequent pulses. This should also lead to increased carbon concentration.

In Figs. 12a and 12b the carbon concentration in the films is plotted as a function of deposition temperature and purging time, respectively. The carbon concentration follows the trend of the  $^1\text{H}$  concentration seen in Figs. 11a and 11b. The probable source of C and  $^1\text{H}$  impurities are methyl groups that are buried in the film. However, if we look at the amount of  $^1\text{H}$  originating from the TMA and compare it to the carbon concentration, we see that there should be significantly more carbon in the film if all the  $^1\text{H}$  would be bound to methyl groups. Deposition with  $^2\text{H}_2\text{O}$  yields to somewhat higher carbon concentration than deposition with normal water. This indicates that at least somewhat more methyl groups are buried in the film due to lower reactivity of heavy water. At low deposition temperatures, in which the  $^1\text{H}$  is the main hydrogen isotope, there is much more  $^1\text{H}$  in the film than what would be expected if it was in the form of methyl groups. This, however, changes and above  $100\text{ }^\circ\text{C}$  the  $^1\text{H}/\text{C}$  ratio is well below 3.

The low amount of carbon at low deposition temperature remains unexplained. This puzzle was addressed by Vandalon and Kessels [41], who suggested that the persistent  $\text{CH}_3$  groups could react in the subsequent cycles. According to their results the amount of persistent methyls at low deposition temperature should lead to significant carbon concentration. As discussed in the previous chapter, Sperling *et al.* [84] concluded that the reaction of  $\text{H}_2\text{O}$  with methyl groups has a coverage dependent reaction barrier. They believed that this explains the low carbon concentration as the reaction probability depends purely on the  $\text{CH}_3$  coverage, not on if the methyl originates from the previous cycle. Another possible explanation for the low impurity carbon concentration could be etching of  $\text{HOAl}(\text{CH}_3)_2$  during the water pulse phenomenon found by Werbrouck *et al.* [85].

However, none of these possible reactions do not explain the very high concentration of  $^1\text{H}$  at low deposition temperatures and with short purging times.



## 5.2 Temporal ALD of ZnO

All the ZnO films were oxygen rich with O/Zn ratio varying between 1.33 and 1.09. The increase of the deposition temperature decreased the ratio, and at 200 °C close to stoichiometric films were deposited. These results suffer, however, from the multiple scattering due to relatively heavy  $^{127}\text{I}$  incident beam and Zn recoils ( $m_{\text{Zn}} = 65.38$ ). This leads to a small underestimation of Zn concentration [127] and therefore the true O/Zn value is slightly lower than reported. However, oxygen rich films have been reported before [86,101,132–134] and it is possible to tune the electrical properties of the ZnO by manipulating the stoichiometry of the films [132,135]. The high O/Zn ratio has been attributed to oxygen interstitials [132], Zn vacancies [101] and to hydrogen that occupies Zn-sites [134,135].

The effect of using heavy water to GPC as a function of the deposition temperature is shown in Fig. 13a. At low deposition temperatures the GPC is significantly lower when heavy water is used instead of normal water. As the deposition temperature increases, the gap in GPC between the two processes decreases and at 200 °C the GPC is the same. The difference in GPC between the waters can be again explained by the kinetic isotope effect, which disappears as the temperature provides enough energy to overcome the reaction barrier. Figure 13a also shows that GPC starts to decrease when deposition temperature exceeds 150 °C. The maximum GPC at 150 °C is well documented and it is often contributed to the loss of surface OH groups at higher temperatures [48,61,87,96]. At high temperatures the concentration of surface OH groups starts to decrease during the purging and it becomes the limiting factor of the film growth. The behaviour is similar to the GPC of the  $\text{Al}_2\text{O}_3$  (Fig. 9a) but it is more pronounced with ZnO.

The effect of purging time to GPC with  $^2\text{H}_2\text{O}$  was studied at 60 °C. While purging time did not have any significant effect on the GPC in the case of  $\text{Al}_2\text{O}_3$  at 100 °C, with ZnO there is a small but clear increase in the GPC with increasing purging time. This is quite opposite to the normal behaviour in which GPC is high with short purging times due to the CVD-like growth and then decreases until it reaches constant value with sufficient purging time as illustrated in Fig. 4b. In addition, Park *et al.* [136] observed almost a 30% decrease in GPC by increasing purging time from 20 s to 120 s at 170 °C. These contradictory results are explained by the different deposition temperatures and by the mechanisms that hinder the GPC at different temperatures. At 170 °C the desorption of OH groups decreases the number of reaction sites for DEZ and therefore the GPC as well. As purging time is increased, OH groups will have more time to desorb which leads to lower GPC. Whereas at 60 °C dehydroxylation is not significant and the GPC limiting factor seems to be the low and slow reactivity of precursors. Transient steric hindrance can also play a role as discussed later.

There is no sign of CVD-like growth with neither normal nor heavy water even at 30 °C or at 60 °C with very short purging times. This is in some contradiction with the results from  $\text{Al}_2\text{O}_3$ . The CVD-component in low temperature TMA +  $\text{H}_2\text{O}$  process is believed to be caused by adsorption of multiple layers

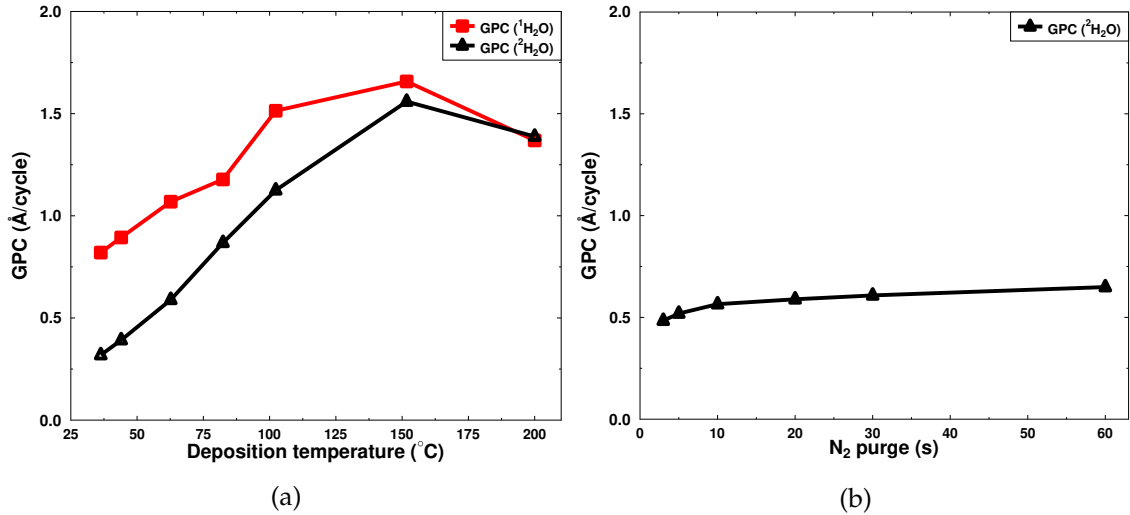


FIGURE 13 a) Growth per cycle as a function of the deposition temperature. One ALD cycle consisted of 150 ms DEZ pulse, 10 s purge, 500 ms  $^1\text{H}_2\text{O}/^2\text{H}_2\text{O}$  pulse and 20 s purge. b) Growth per cycle as a function of the purging time using DEZ and  $^2\text{H}_2\text{O}$  as precursors at 60 °C. The purging times after the both precursors were kept the same when the purging time was 3, 5 or 10 s. For longer purging times only purge after  $^2\text{H}_2\text{O}$  was increased and purge after DEZ was kept at 10 s. Reprinted with permission from [PII], Copyright 2021, MDPI.

of water. At low temperatures, the desorption rate of water is so slow that very long purging time is needed to remove the excess water. With ZnO this does not seem to be the case. At 60 °C even the 3 s purge times do not cause the GPC to increase rapidly. There are a few possible explanations. Firstly, it is possible that excess water is not the only cause for CVD-like growth, but it also depends on the metal precursor. Secondly, water desorption on ZnO surface is much faster than on  $\text{Al}_2\text{O}_3$  surface. As DEZ is highly reactive towards water, it is unlikely that DEZ would not react with excess water if it is available.

$\text{Al}_2\text{O}_3$  films are amorphous in all deposition temperatures and can usually be crystallized only by post-deposition annealing at very high temperatures [80]. Quite the opposite is true for the ZnO films deposited with DEZ and water. They are polycrystalline even at very low deposition temperatures [26, 86, 87].

In order to confirm that crystallinity of the films is preserved regardless of the water used, characterization techniques XRD, AFM and HIM were used to study the crystallinity and surface morphology of the films. The XRD patterns of selected films deposited with both normal and heavy water are shown in Fig. 14. The diffraction peaks show that the ZnO films have wurtzite crystal structure in all temperatures but the preferred growth orientation changes. At 40 and 60 °C the preferred orientation is (002) when normal water is used. The preferred orientation changes to (100) when the deposition temperature is increased. The crystallinity e.g. the diffraction peak height is lower for films deposited using  $^2\text{H}_2\text{O}$ . This is at least partly due to the lower thickness of the films deposited with heavy water. However, similar change in preferred orientation is visible with both waters, although the transformation happens at somewhat higher tem-

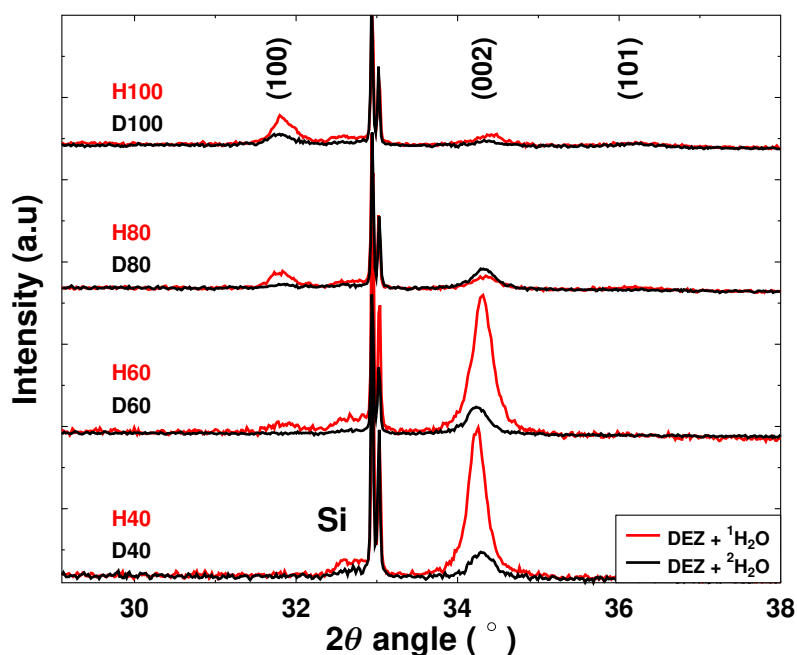


FIGURE 14 XRD patterns of selected ZnO films deposited at different temperatures with both  $^1\text{H}_2\text{O}$  (red) and  $^2\text{H}_2\text{O}$  (black). Films deposited with  $^2\text{H}_2\text{O}$  are thinner than the films deposited with  $^1\text{H}_2\text{O}$ , which affects the peak intensity. Double peak at  $33^\circ$  originates from the silicon substrate. Reprinted with permission from [PII], Copyright 2021, MDPI.

perature with heavy water. This is in line with the discussion of kinetic isotope effect. The average crystalline size according to Scherrer formula eq. (14) is for (002) oriented crystals 21–34 nm and 27–28 nm for the (100) crystals. The crystalline size of (002) orientation decreases with deposition temperature. There is no significant difference in average crystal size between samples deposited with the two waters.

The AFM micrographs in Fig. 15 confirm the change in crystal orientation. Hints of the transformation are already visible  $60^\circ\text{C}$  when normal water is used. In the case of heavy water, crystals with (100) orientation become visible at  $80^\circ\text{C}$ . In addition, roughness data can be extracted from the AFM micrographs. The films with (100) preferred orientation have higher roughness compared to (002) oriented films. The film deposited with normal water at  $100^\circ\text{C}$  has the highest roughness reaching root-mean-square (RMS) roughness of 9.2 nm. The roughness of (002) oriented films varies between 3.6 and 4.6 nm.

In addition to XRD and AFM, HIM was used to image the cross sections of cleaved samples. The change in crystalline orientation is also visible in HIM images (Figs. 16a and 16b). The cross sectional images also confirm that the films are crystalline throughout the film.

The impurity hydrogen concentrations were studied at different deposition temperatures. Fig. 17a shows the  $^1\text{H}$  and  $^2\text{H}$  concentrations of heavy water process as well as  $^1\text{H}$  concentration of normal water process. The hydrogen concentration increases with decreasing deposition temperature. With heavy water, both  $^1\text{H}$  and  $^2\text{H}$  concentrations increase with decreasing deposition temperature

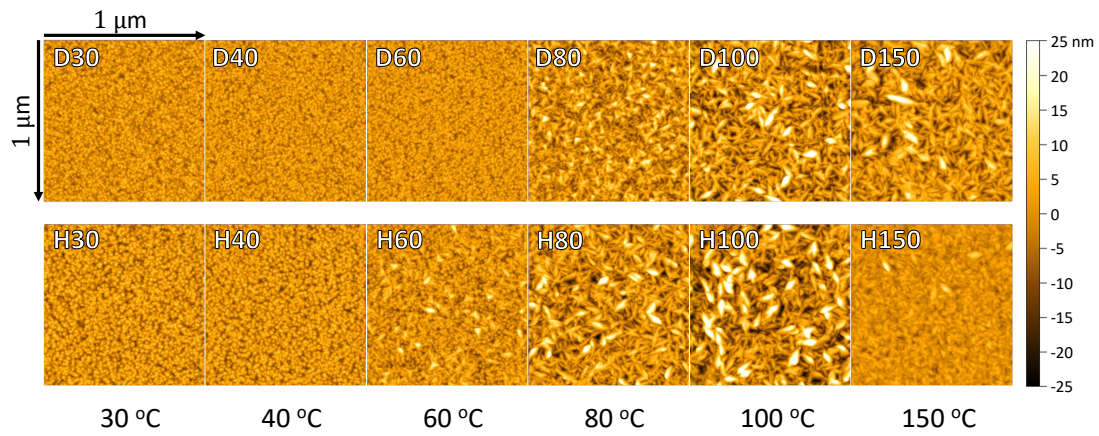


FIGURE 15 AFM micrographs of ZnO films deposited with DEZ and either  $^2\text{H}_2\text{O}$  (upper) or  $^1\text{H}_2\text{O}$  (lower) at different temperatures. Preferred crystal orientation changes as the temperature is raised. Length of the side in each image is 1  $\mu\text{m}$ . Reprinted with permission from [PII], Copyright 2021, MDPI.

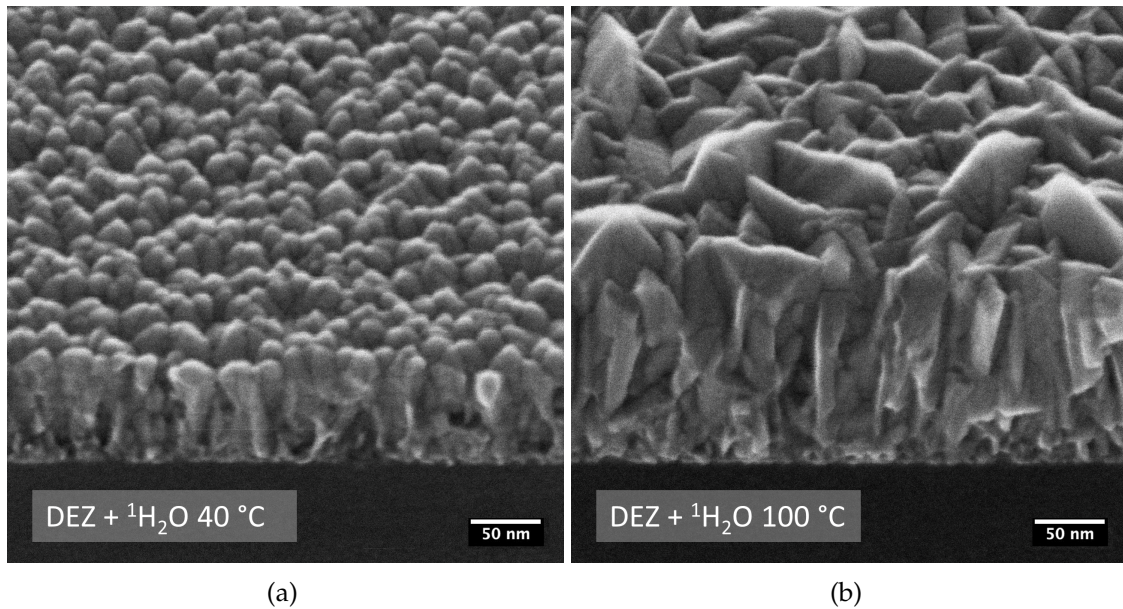


FIGURE 16 Cross-sectional HIM images of ZnO films deposited with normal water at a) 40 °C and b) 100 °C. The cleaved samples are imaged at a 45° angle relative to the beam. Reprinted with permission from [PII], Copyright 2021, MDPI.

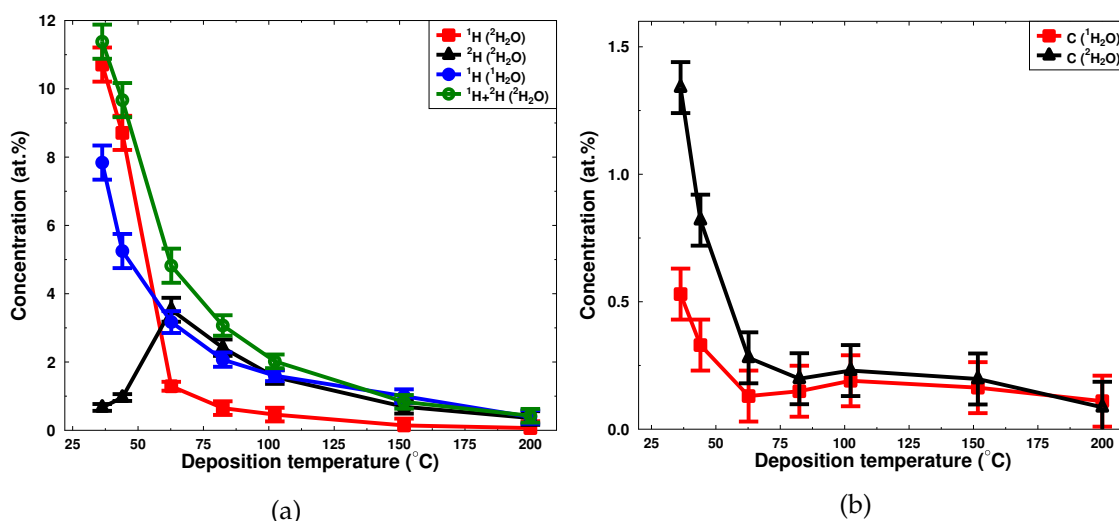


FIGURE 17 a) Hydrogen isotope and b) carbon concentration of the films deposited at different temperatures using 150 ms DEZ and 500 ms  $^1\text{H}_2\text{O}$  or  $^2\text{H}_2\text{O}$  pulses. In a) red and black denote the  $^1\text{H}$  and  $^2\text{H}$  concentrations when heavy water was used, respectively, and green is the sum of the two isotopes. Blue is the  $^1\text{H}$  concentration when normal water was used. Purging times after DEZ and water pulses were 10 and 20 s, respectively. Reprinted with permission from [PII], Copyright 2021, MDPI.

until the the temperature goes below 60 °C. Below 60 °C, the amount of  $^2\text{H}$  in the film drops dramatically, while the concentration of the  $^1\text{H}$  goes rapidly up. This behaviour looks very similar to  $\text{Al}_2\text{O}_3$  process in Fig. 11a. However, with  $\text{Al}_2\text{O}_3$  the change in major hydrogen isotope in the film was quickly followed by the CVD-like growth. As mentioned earlier and shown in Figs. 13a and 13b, there is no CVD-like growth with ZnO. Therefore the change of major impurity hydrogen isotope does not seem to directly relate to the CVD-like growth.

The similarity between the results of the two materials is somewhat surprising even though the precursors and idealised reaction mechanisms are very similar. The fact that  $\text{Al}_2\text{O}_3$  films are amorphous and ZnO films are polycrystalline does not seem to play any major role in hydrogen incorporation. One possible explanation is that ZnO films contain amorphous regions which are hydrogen rich. There is evidence of this kind of behaviour for  $\text{In}_2\text{O}_3$  films [113]. The HIM images in Figs. 16a and 16b do not show any clearly amorphous regions but this explanation remains possible. As mentioned earlier, hydrogen might also occupy Zn-sites [134, 135].

Guziewicz *et al.* deposited ZnO from DEZ and  $^2\text{H}_2\text{O}$  and they reported similar, although somewhat lower  $^1\text{H}$  and  $^2\text{H}$  concentrations for ZnO films deposited between 100 and 200 °C [107]. In their study they also found out that deuterium is the major isotope in all studied temperatures. Xia *et al.* however report the opposite. They also observed that in all temperatures between 100 and 300 °C the  $^1\text{H}$  concentration was higher than  $^2\text{H}$  concentration [109]. In our study this was only seen when deposition temperature was low or the purging time was short. Interestingly Xia *et al.* used long 30 s purging times, which contradicts



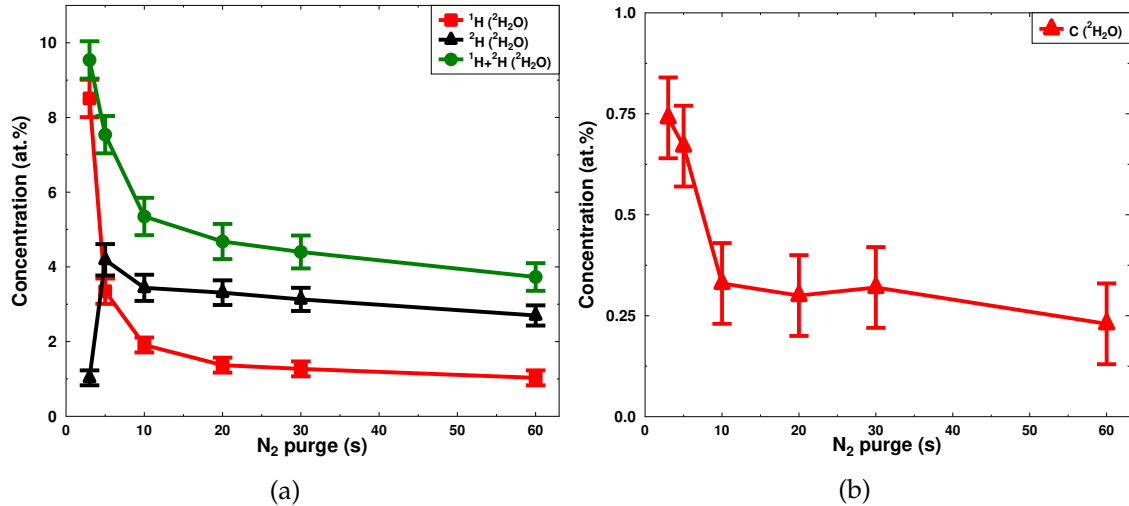


FIGURE 18 a) Hydrogen and b) carbon concentration as a function of the N<sub>2</sub> purge time. All the samples were deposited at 60 °C using 150 ms DEZ pulses and 500 ms <sup>2</sup>H<sub>2</sub>O pulses. Purging time between the pulses was varied. The purging times after both precursors were kept the same if the purging time was 3, 5 or 10 s. For longer purging times only the purge after <sup>2</sup>H<sub>2</sub>O was increased and the purge after DEZ was kept at 10 s. Reprinted with permission from [PII], Copyright 2021, MDPI.

with our findings. It must be noted that Xia *et al.* used short (15 ms) DEZ pulses and deposited only few tens of cycles compared to our 150 ms DEZ pulses and 1000–1400 cycles. These differences could possibly explain the discrepancy.

As in the case of Al<sub>2</sub>O<sub>3</sub> with the persistent methyls, persistent ethyl groups could explain the high <sup>1</sup>H concentration at low deposition temperatures. Persistent ethyls were experimentally detected by Mackus *et al.* [95] and computationally predicted by Weckman and Laasonen [49]. In Fig. 17b is plotted the carbon concentration of the films as a function of the deposition temperature. The effect of the temperature and the use of heavy water are very similar to the behaviour of the hydrogen incorporation. However, if it is assumed that <sup>1</sup>H impurities would be embedded in the film as an unreacted ethyl groups (C<sub>2</sub>H<sub>5</sub>), there should be 2.5 <sup>1</sup>H atoms per one carbon atom. If we compare the concentrations of <sup>1</sup>H and carbon at low temperature or with short purging in Figs. 17a and 17b, it is clear that there is much more <sup>1</sup>H than what would be expected. At 100 °C the <sup>1</sup>H/C ratio is exactly 2.5 but above that, the ratio is actually below the expected 2.5. This behaviour is very similar to what was observed with Al<sub>2</sub>O<sub>3</sub>. It is possible that ethyl groups can react with water in subsequent cycles, but again, the definitive explanation behind the relatively high <sup>1</sup>H concentration at low temperature remains unknown.

The effect of purging to impurity hydrogen and carbon concentration is shown in Figs. 18a and 18b, respectively. A low deposition temperature of 60 °C was selected in order to increase the impurity concentrations and emphasize the effect. Longer purging time reduces both hydrogen and carbon incorporation significantly. When the purging time is decreased to 3 s, the main hydrogen isotope changes from deuterium to hydrogen. This resembles the effect of decreasing de-

position temperature and is identical to what was found for  $\text{Al}_2\text{O}_3$  in Fig 11b. Therefore, this effect must be a result of a slow reaction that goes to a completion only with very long purging times resulting in  $^2\text{H}$  rich films. The carbon concentration as a function of purging time follows the  $^1\text{H}$  concentration (Fig. 18b). However, independent of the purging time, the  $^1\text{H}$  concentration is still higher than what would be expected if the hydrogen would be bound solely to ethyl groups.

As mentioned earlier, the increase of GPC with increasing purging time contradicts with the assumption of steady GPC once sufficient purging time is reached. In order to get more information on the effects of purging, unsymmetric purging test was done. Depositions at  $60^\circ\text{C}$  were made with 3-3 s, 3-10 s, 10-3 s and 10-10 s purging times after DEZ and water pulses, respectively. The hydrogen and deuterium concentrations with different purging schemes are shown in Fig. 19a. Interestingly the change of the purging from 3-10 s to 10-3 s does not have any significant effect on deuterium or hydrogen concentration, although 10-3 s purging produces a little higher  $^2\text{H}$  and a little lower  $^1\text{H}$  concentrations. A more important factor seems to be the total purging time. It is surprising that longer purging time actually increases the absolute concentration of  $^2\text{H}$ , but the reason behind it remains unknown. When it comes to the GPC with different purging times (Fig. 19b), the total purging time seems to be the most significant factor. Similar to our previous results in Fig. 13b, the longer the purging time is, the higher the GPC is.

Longer purging clearly effects the growth of the film as films with long purging times have less impurities and higher GPC. On the other hand, long purges decrease the growth rate even if the growth per cycle is higher. In order to study the possible transient steric hindrance proposed by Wang *et al.* [137], films with multiple short pulsing (MSP) were deposited at  $40^\circ\text{C}$ . Transient steric hindrance can be interpreted as steric hindrance due to slow reactions and desorption of reaction products from the surface which temporarily block reaction sites. Likewise, Muneshwar *et al.* reported an increase of GPC by dividing the metal precursor pulse into multiple short pulses [138]. They contributed the higher GPC to the removal of ligands between the pulsing sequence. As the reaction advances between the short pulses, previously blocked reaction sites are revealed and can react further.

Impurity  $^1\text{H}$  and  $^2\text{H}$  concentrations of MSP depositions are shown in fig. 19c. The use of the MSP slightly increases the  $^1\text{H}$  concentration but did not have significant effect on the  $^2\text{H}$  concentration. However, the GPC increased over 35 % when MSP was used with both precursors. Although impurity concentrations slightly increase with MSP, this is a significant increase in throughput, since the total cycle time does not change.

The increase of GPC with MSP indicates that something is blocking reactive sites during precursor pulsing. From our data it is not possible to conclude if it is the physisorbed but unreacted DEZ molecules that desorb later without reacting or the ethane by-products that are not yet desorbed from the surface. Both of these may play a role as the MSP of only one precursor slightly increases the GPC

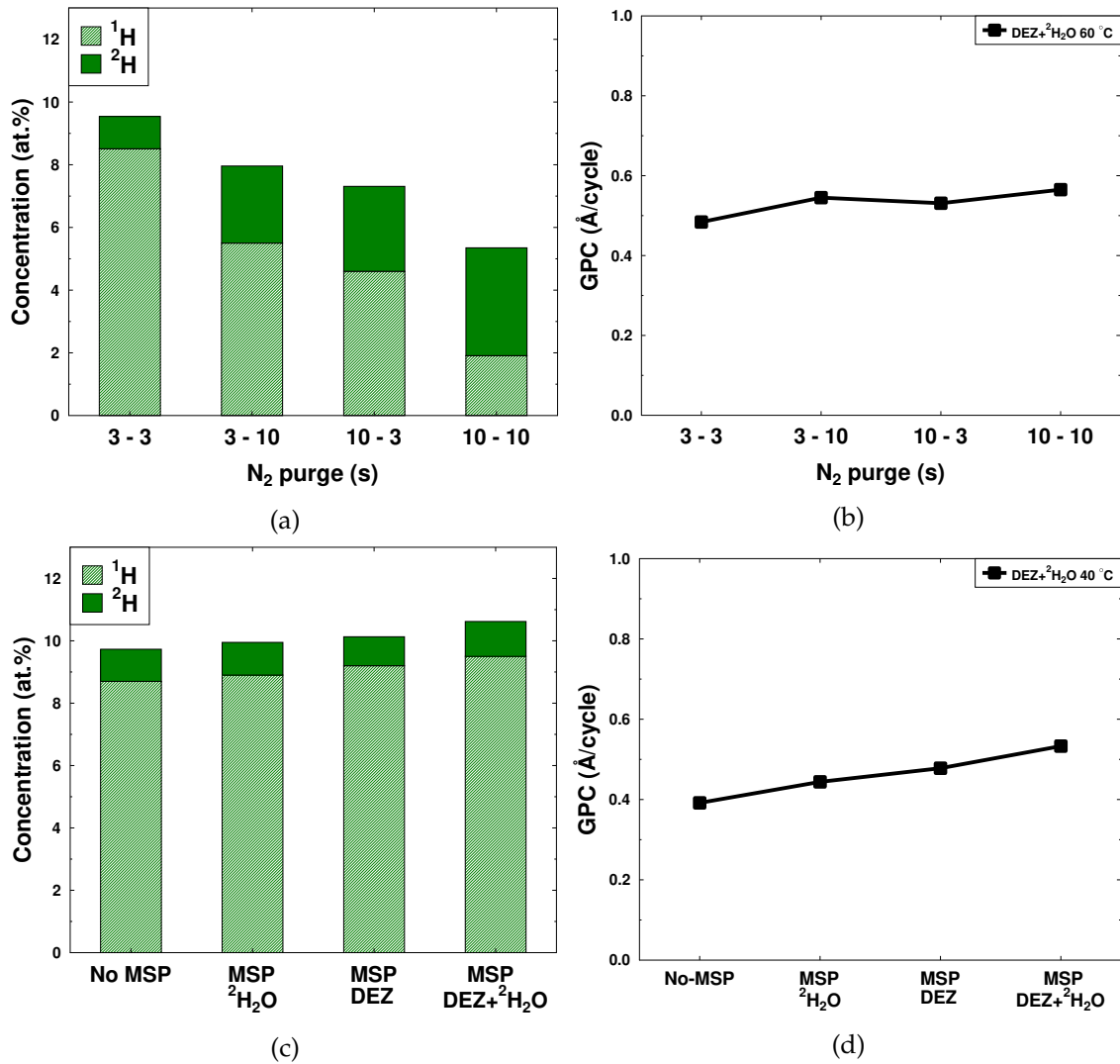


FIGURE 19 a) Hydrogen and deuterium concentration with different  $\text{N}_2$  purge schemes deposited at  $60^\circ\text{C}$ . b) Growth per cycle with different  $\text{N}_2$  purging schemes deposited at  $60^\circ\text{C}$ . c) Hydrogen and deuterium concentration when multiple short pulsing (see text) was used at  $40^\circ\text{C}$ . d) Growth per cycle using multiple short pulsing at  $40^\circ\text{C}$ . Reprinted with permission from [PII], Copyright 2021, MDPI.



in both cases.

### 5.3 Spatial ALD of Al<sub>2</sub>O<sub>3</sub> and ZnO

The merit of SALD is the speed of depositing the films. As demonstrated earlier in the thesis, shortening the purging time often change the film composition with conventional ALD. The short purging time of the SALD is often justified by the lack of large reactor space that needs to be purged properly before the next precursor pulse and is of no concern. The effect of fast deposition speed to GPC and impurity incorporation was studied with both normal and heavy water. The film quality must reflected to the throughput of the process which in the case of spatial ALD is even more important than actual GPC.

The film thickness of SALD Al<sub>2</sub>O<sub>3</sub> and ZnO films as a function of deposition temperature are shown in Figs. 20a and 20b respectively. The films were deposited using a substrate rotation speed of 10 rpm. The thickness is estimated from ToF-ERDA depth profiles since the flexible substrate prevented thickness measurements with ellipsometry. Therefore the thicknesses of SALD samples are given as  $1 \times 10^{15}$  at./cm<sup>2</sup> which roughly corresponds to 1 Å in the case of Al<sub>2</sub>O<sub>3</sub> and 1.2 Å in the case of ZnO if literature values for densities are assumed. Due to the surface roughness and multiple scattering effects, especially with ZnO films measured with <sup>127</sup>I ion beam, the thickness measurement of the films suffers from inaccuracy. However, the results are comparative.

The GPC of the Al<sub>2</sub>O<sub>3</sub> stays mostly unchanged from 80 to 140 °C. This is in good agreement with the results with conventional ALD in Fig. 9a. When deposition temperature is decreased to 60 °C the GPC of TMA+<sup>1</sup>H<sub>2</sub>O quickly doubles and the TMA+<sup>2</sup>H<sub>2</sub>O did not produce a proper film at all. This is due to CVD-like growth, similar to conventional ALD Al<sub>2</sub>O<sub>3</sub>. The GPC of Al<sub>2</sub>O<sub>3</sub> follows the effect of short purging time presented in Fig. 4b and increases with decreasing purging time as the the CVD-like growth becomes viable. The thickness of Al<sub>2</sub>O<sub>3</sub> film at 60 °C was also far from uniform. Very high GPC of Al<sub>2</sub>O<sub>3</sub> can also be a result of TMA underdosing reported by Salami *et al.* [139]. However, the behaviour of the GPC is very similar to what was detected with conventional ALD and is most probably due to CVD-like growth. In the case of ZnO, there is an increase in the GPC with increasing temperature until 120 °C. At 140 °C the GPC starts to decrease. The GPC decreased also with the conventional ALD once the temperature was increased enough, but that happened only after 150 °C. If the decreasing of the GPC is due to desorping OH groups, as reported in several studies [42, 57, 61, 96], the relatively high nitrogen flow of SALD reactor may reduce the hydroxyl concentration leading to a lower than expected GPC. In addition, Nelson *et al.* reported that the exposure/purge time can change the temperature of maximum GPC [140].

Increasing the rotation speed of SALD reactor with fixed precursor and purging inlets decreases both the precursor exposure time and inert gas purg-

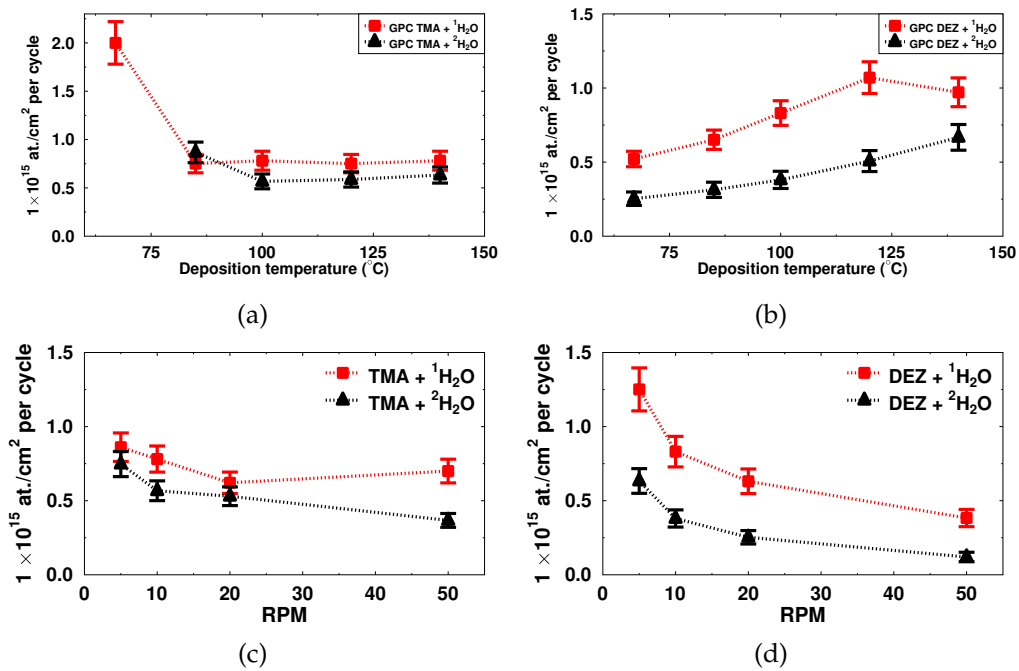


FIGURE 20 Growth per cycle evaluated from the ToF-ERDA depth profiles. GPC of a) Al<sub>2</sub>O<sub>3</sub> and b) ZnO as a function of deposition temperature. The sample rotation speed was held at 10 rpm for all the samples. The GPC as a function of the substrate rotation speed at 100 °C for c) Al<sub>2</sub>O<sub>3</sub> and d) ZnO. Reprinted with permission from [PIII], Copyright 2022, Elsevier.

ing. Therefore, insufficient precursor exposure can decrease the GPC ( Fig. 4a) while too short purging can lead to uncontrolled CVD-like growth (Fig. 4b). In Figs. 20c and 20d the GPCs of Al<sub>2</sub>O<sub>3</sub> and ZnO films are shown with different substrate speeds ranging from 5 to 50 rpm at 100 °C. The GPC of Al<sub>2</sub>O<sub>3</sub> somewhat decreases with increasing rotation speed e.g. shorter precursor exposure and purging time. In the case of ZnO, slowing down the rotation increases the GPC more significantly. This might be due to the increased exposure time, but as demonstrated with conventional ALD in Fig. 13b, also the purging time plays a role on how much ZnO film is deposited in one cycle.

Even though the GPC decreased with the increasing rotation speed, the throughput, or the growth rate of the Al<sub>2</sub>O<sub>3</sub> films deposited with <sup>1</sup>H<sub>2</sub>O increase from  $0.22$  to  $1.75 \times 10^{15}$  at./cm<sup>2</sup> per second when the rotation speed is increased from 5 to 50 rpm. Similarly, ZnO growth rate (with <sup>1</sup>H<sub>2</sub>O) increased from  $0.31$  to  $0.92 \times 10^{15}$  at./cm<sup>2</sup> per second. Because the consumption of precursors is continuous the faster deposition does not only save time but also possibly expensive precursors. Therefore, accessing higher throughput by faster rotation can be tempting for industrial applications but it comes at a cost of higher impurity concentrations as discussed below.

The SALD depositions at low temperature and/or with short purging or fast rotation replicate the results from conventional ALD. The low temperature CVD-like growth of Al<sub>2</sub>O<sub>3</sub> is absent with ZnO. This confirms that the onset temperature of CVD-growth is dependent either on the metal precursor or the desorption rate

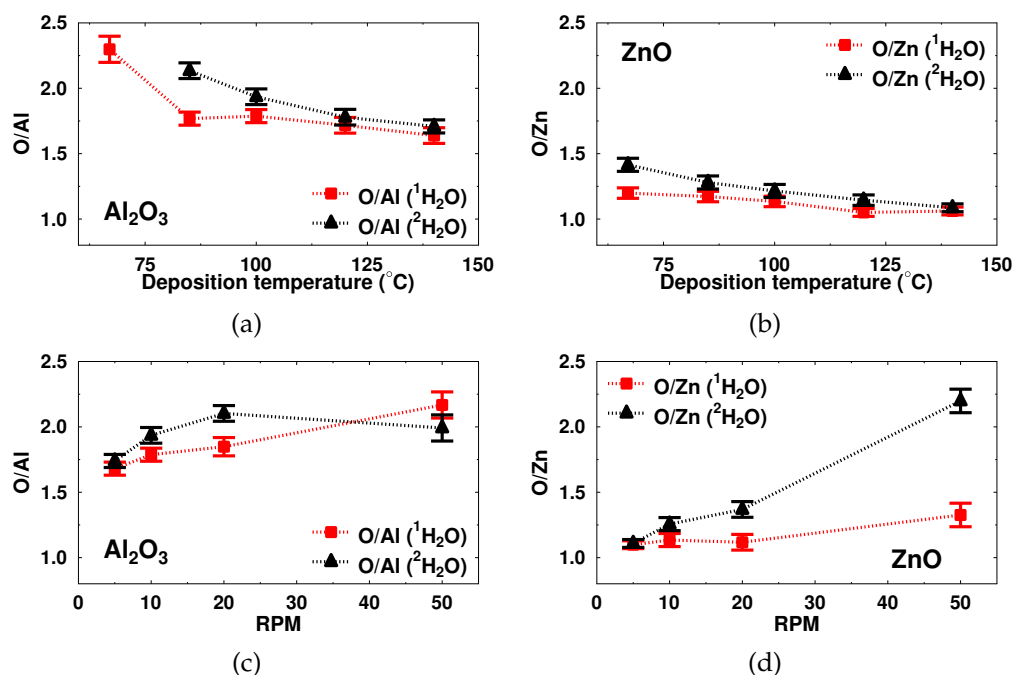


FIGURE 21 Ratio of a) O/Al and b) O/Zn as a function of deposition temperature. The rotation speed was kept at 10 rpm. Ratio of c) O/Al and d) O/Zn as a function of rotation speed. The deposition temperature was kept at 100  $^\circ\text{C}$ . Reprinted with permission from [PIII], Copyright 2022, Elsevier.

of water is faster on ZnO than  $\text{Al}_2\text{O}_3$  surface. The kinetic isotope effect is also visible with SALD and the GPC of heavy water processes for both  $\text{Al}_2\text{O}_3$  and ZnO is lower than with normal water. Kinetic isotope effect seems to decrease GPC of the ZnO somewhat more than of the  $\text{Al}_2\text{O}_3$ .

The rotation speeds from 5 to 50 rpm corresponds to precursor exposure times of 46 ms at 50 rpm to 458 ms at 5 rpm. While the exposure time is short at 50 rpm, there are reports of much faster depositions. For example, Nandakumar *et al.* demonstrated SALD of ZnO from DEZ and  $\text{H}_2\text{O}$  with exposure time as low as 8 ms [141]. Reflecting on that, even our shortest exposure time of 46 ms should be sufficiently long.

All the samples, both  $\text{Al}_2\text{O}_3$  and ZnO were oxygen rich as seen in Figs. 21 a–d. Both increasing the deposition temperature and decreasing the rotation speed produce more stoichiometric films. Multiple scattering in ToF-ERDA leads again to a slight underestimation of Zn, which results in higher than actual O/Zn ratio. However, ZnO films deposited at low temperature and with high substrate rotation speed are clearly oxygen rich. The films deposited with heavy water tend to have slightly higher oxygen content than the ones deposited with normal water but only the ZnO films deposited with  $^2\text{H}_2\text{O}$  at high sample rotation speeds differ significantly from their normal water counterparts. ALD  $\text{Al}_2\text{O}_3$  films tend to be oxygen rich [82, 98, 99] which is in line with our results. According to our results with temporal ALD the oxygen concentration seems to go up hand in hand with the hydrogen concentration. High oxygen concentration would implicate that a lot of  $\text{O}^2\text{H}$  groups are buried in the film as proposed by Guerra-Nuñez *et al.* [82].

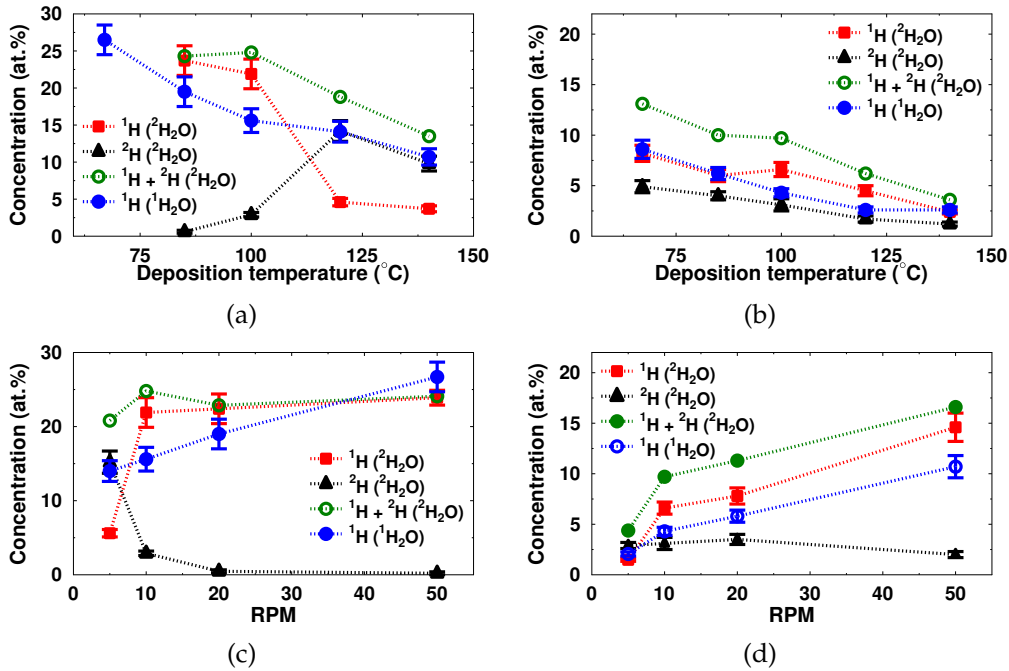


FIGURE 22  $^1\text{H}$  and  $^2\text{H}$  concentration of the films as a function of deposition temperature. a)  $\text{Al}_2\text{O}_3$ , b)  $\text{ZnO}$ . Substrate rotation speed was kept at 10 rpm.  $^1\text{H}$  and  $^2\text{H}$  concentration of the films as a function of rotation speed deposited at 100 °C. c)  $\text{Al}_2\text{O}_3$ , d)  $\text{ZnO}$ . Reprinted with permission from [PIII], Copyright 2022, Elsevier.

The hydrogen and deuterium concentrations of SALD  $\text{Al}_2\text{O}_3$  films deposited with both normal and heavy water are shown in Fig. 22a as a function of the deposition temperature. With both waters, the total hydrogen concentration decreases with increasing deposition temperature. The total hydrogen concentration is somewhat higher with  $^2\text{H}_2\text{O}$ . Between 100 and 120 °C the major hydrogen isotope in the film changes from  $^1\text{H}$  to  $^2\text{H}$ . This clearly follows a similar trend to films deposited with conventional ALD. With conventional ALD the change of the major hydrogen isotope occurred between 70 to 80 °C as seen in Fig. 11a. However, as pointed out earlier, the purging time affects the hydrogen isotope incorporation (Fig. 11b) and the purging times are much shorter with spatial ALD compared to conventional ALD. Therefore, the higher temperature where  $^1\text{H}$  becomes dominant hydrogen isotope, is not a surprise.

In the case of  $\text{ZnO}$  in Fig. 22b,  $^1\text{H}$  stays the main hydrogen isotope in all studied temperatures. The total hydrogen concentration with both waters decreases with deposition temperature, as expected. It is possible that for  $\text{ZnO}$  the purging time e.g. the rotation speed of 10 rpm is too high to see the change of the hydrogen isotope. It can be thought that films with higher  $^2\text{H}$  than  $^1\text{H}$  concentration are grown at more ideal conditions. This is supported by the conventional ALD results where  $^1\text{H}$  is the major isotope when the deposition temperature is low or the purging time is short. Films with high  $^1\text{H}$  concentration also have low GPC. Reflecting on these conclusions, deposition conditions of SALD  $\text{ZnO}$  seem to be out of the ideal zone.

The hydrogen incorporation at 100 °C as a function of the sample rotation speed are shown in Figs. 22c and 22d for Al<sub>2</sub>O<sub>3</sub> and ZnO, respectively. Again, increasing the rotation speed increases the hydrogen concentration and using heavy water results in higher total hydrogen concentration. For the both film materials <sup>2</sup>H becomes the main hydrogen isotope when the rotation speed is decreased to 5 rpm. For rotation speeds higher than that, films contain more <sup>1</sup>H than <sup>2</sup>H. If the higher <sup>2</sup>H than <sup>1</sup>H concentration is considered as a measurement for ideal ALD reaction as discussed above, rotation speeds higher than 5 rpm at 100 °C are not desired. The effect of the shorter exposure time can not be totally excluded but as shown in Figs. 11b and 18a, sufficient purging time is required to produce films with <sup>2</sup>H being the main isotope.

The high O/Al and O/Zn ratios do not result in as high <sup>2</sup>H concentrations as would be expected if the OH groups would be buried into the film. Similarly to the conventional ALD, also the carbon concentrations of the SALD films do not match with the prediction of buried methyl and ethyl groups when the <sup>1</sup>H concentration is high. The carbon concentration of Al<sub>2</sub>O<sub>3</sub> films range from 1.1 to 2.9 at.% and of the ZnO films from 0.7 to 2.8 at.%. For both Al<sub>2</sub>O<sub>3</sub> and ZnO the <sup>1</sup>H/C ratio is well above the 3 and 2.5, respectively, when deposition temperature is 100 °C or below.

#### 5.4 Kinetic isotope effect on hydrogen concentration

Some ALD studies with heavy water have disregarded the kinetic isotope effect (KIE) which decreases the zero point energy of a bond and therefore decreases the reaction rate as described in Section 2.6. However, our results show that KIE has a significant effect on film composition and GPC. The O/Al and O/Zn ratios of the films, were somewhat oxygen rich with both materials when heavy water was used. Similarly the impurity carbon and total hydrogen concentrations were somewhat higher with heavy water. The most notable difference was in the GPC for both materials as the GPC decreased when heavy water was used. This is emphasized at low deposition temperatures as seen in Figs. 9a and 13a. The gap in GPC between the waters diminishes when there is enough energy for <sup>1</sup>H<sub>2</sub>O/<sup>2</sup>H<sub>2</sub>O to overcome the reaction barrier.

In the case of impurity incorporation, the use of heavy water can be regarded as a temperature shift. The effect of using heavy water to total hydrogen concentration is illustrated in Figs. 23a and 23b. The x-values e.g. the temperature of the total <sup>1</sup>H + <sup>2</sup>H concentration of the <sup>2</sup>H<sub>2</sub>O process is shifted by 15 °C towards lower temperatures. As a result, the total hydrogen concentration of both water processes matches almost perfectly. This means, that when the results from heavy water processes are compared with the typically used normal water, a temperature shift of roughly 15 °C must be considered for both Al<sub>2</sub>O<sub>3</sub> and ZnO. However, it must be noted that some properties, such as crystallinity suffer from the use of heavy water more than by just a simple shift in temperature as showed

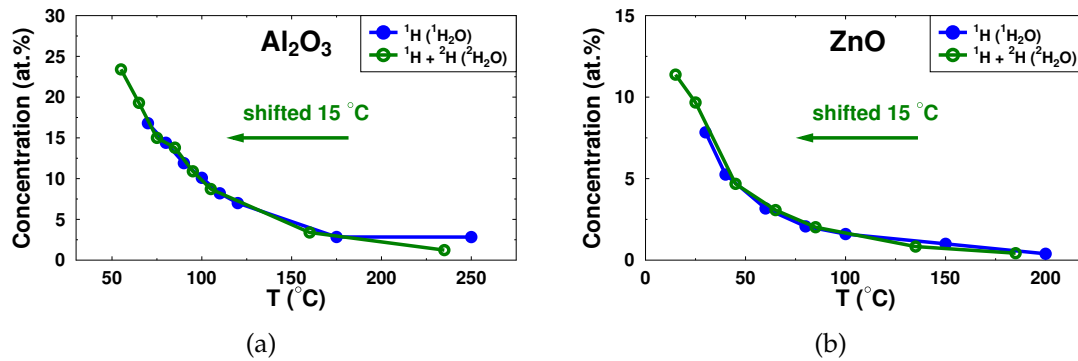


FIGURE 23 The x-axis of total  $^1\text{H} + ^2\text{H}$  concentration of heavy water process (green) is shifted to the lower temperature by  $15\text{ }^\circ\text{C}$  and it matches with the  $^1\text{H}$  concentration of the normal water process.

in Fig. 14.

While normal and heavy water have been used as interchangeable precursors in ALD reaction mechanism studies, our results with typical process parameters show that the kinetic isotope effect is something to be considered. On the other hand, when a temperature shift is taken into account, the use of heavy water is well justified in ALD chemistry studies.

## 5.5 Hydrogen migration

During the studies it was quickly discovered that the deuterium concentration in the films changes if the samples are stored in ambient environment between the deposition and the ToF-ERDA measurements. To avoid this, the as-deposited samples were stored in a vacuum between the deposition and measurements in order to ensure that the composition would not change. We also verified that the vacuum storage did not affect the film composition by measuring samples from the same deposition before and after the vacuum storage.

In order to study this more closely, as-deposited  $\text{Al}_2\text{O}_3$  films were kept 24 h at  $60\text{ }^\circ\text{C}$  in 80 % relative humidity (RH). Fig. 24a presents the elemental depth profile of as-deposited  $\text{Al}_2\text{O}_3$ . After the treatment in climate chamber (Fig. 24b) the  $^2\text{H}$  concentration has decreased dramatically while  $^1\text{H}$  concentration increased equally. The total amount of hydrogen isotopes presented in green in Figs. 24a and 24b remains almost unchanged even though the concentrations of hydrogen isotopes change significantly.

It is known [44, 106] that ALD  $\text{Al}_2\text{O}_3$  contain OH groups and as Guerra-Nuñez *et al.* concluded, hydroxyl groups are embedded into the film as impurities. Since deuterium in the films originates from water, it is an easy assumption to make that at least majority of the deuterium is bound to oxygen atoms. In addition, films are oxygen rich as shown in Fig. 10 which suggest that extra oxygen might be in the form of OH groups. In order to study if only the deuterium atoms migrate through the film, or does the whole OH groups move,  $\text{Al}_2\text{O}_3$  films were

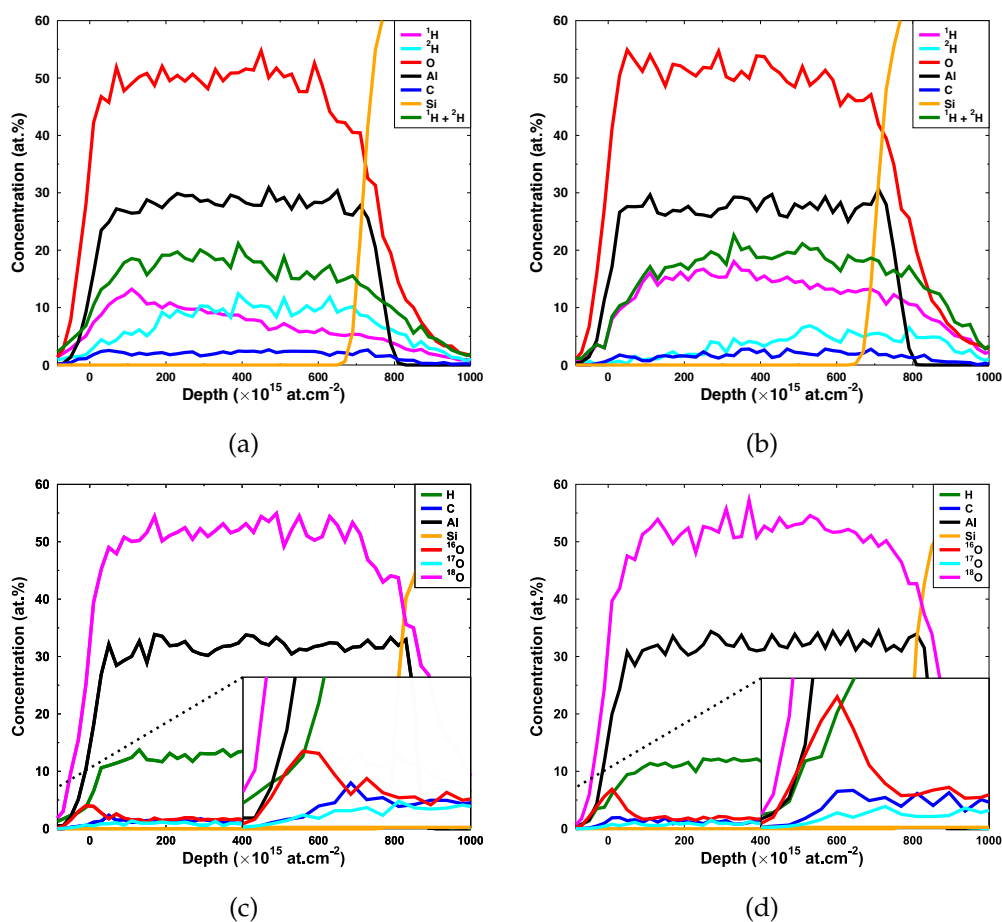


FIGURE 24 Elemental depth profiles of  $\text{Al}_2\text{O}_3$  films deposited at  $100\text{ }^\circ\text{C}$  with 150 ms precursor pulses and 3 s purging. a) As-deposited film using TMA and  $^2\text{H}_2\text{O}$ . The sample was kept at room temperature in air for one day before the characterization. b) The same film as in a) but after 24 h at  $60\text{ }^\circ\text{C}$  and 80 % RH. c) As-deposited film using TMA and  $\text{H}_2^{18}\text{O}$ . The sample was kept for one day at room temperature in air before the characterization. d) The same film as in c) but after 48 h in  $60\text{ }^\circ\text{C}$  and 80 % RH. Adopted with permission from [PI], Copyright 2021, Elsevier.

deposited using oxygen-18 enriched water,  $^1\text{H}_2^{18}\text{O}$ . An elemental depth profile of as-deposited film with  $^1\text{H}_2^{18}\text{O}$  is shown in Fig. 24c. Most of the oxygen in the film is oxygen-18, as expected, and only a few atomic percent of oxygen-16 and oxygen-17 is present. Depth profile of the same film after a 48 h exposure in the climate chamber ( $60\text{ }^\circ\text{C}$  and 80 % RH) in Fig. 24d shows that there is only a small increase in oxygen-16 concentration at the film surface and no other changes. Therefore it is easy to conclude that only  $^1\text{H}/^2\text{H}$  migrates easily in the film.

$\text{Al}_2\text{O}_3$  films deposited at  $100\text{ }^\circ\text{C}$  were also stored in ambient conditions for prolonged period and measured again with ToF-ERDA after the storage. Similar decrease in  $^2\text{H}$  and equal increase in  $^1\text{H}$  concentration were detected with  $\text{Al}_2\text{O}_3$  sample that had high initial total hydrogen concentration (Supplementary Fig 27). However, a sample with lower hydrogen concentration, deposited at the same temperature but with longer pulse and purging times, did not show any significant change in hydrogen isotope concentrations even after 5 months of

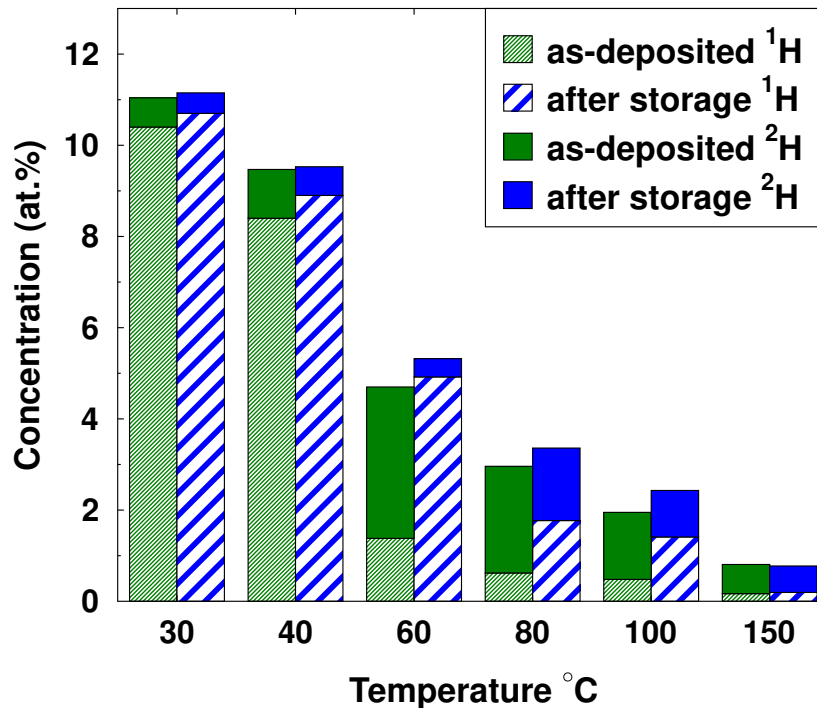


FIGURE 25 Change in the <sup>1</sup>H/<sup>2</sup>H concentration after two months of storage at ambient conditions. For example in the far left bar the film deposited at 30 °C contains 10.4 at.% <sup>1</sup>H and 0.64 at.% <sup>2</sup>H just after the deposition, and 10.7 at.% <sup>1</sup>H and 0.45 at.% <sup>2</sup>H after the storage. Reprinted with permission from [PII], Copyright 2021, MDPI.

storage (Supplementary Fig 28). This indicates that there is a threshold hydrogen concentration which is required for the exchange reaction to proceed through the film. This information can be crucial for applications that suffer from hydrogen induced damage [51–54].

The Al<sub>2</sub>O<sub>3</sub> films are thought to be pinhole free after the nucleation step of few tens of cycles [8, 142]. In order to confirm that the migration is not due to porosity of the films, selected samples were investigated with helium ion microscopy. Within the resolution of the images, films appear to be smooth, pinhole free and no signs of cracks were detected as seen in Supplementary Figs. 29a and 29b.

Similar study was done for ZnO films. The films deposited at different temperatures were measured again after 2 months of storage at ambient conditions. Similar to Al<sub>2</sub>O<sub>3</sub> films, the deuterium concentration of the samples decreased during the storage as seen in Fig. 25. The total hydrogen concentration had slightly increased between the measurements, but this increase is clear only for samples deposited between 60 and 100 °C. The as-deposited sample grown at 60 °C has the highest initial <sup>2</sup>H concentration of all the samples, and the decrease of <sup>2</sup>H and the increase of <sup>1</sup>H is most pronounced in that sample.

The notable decrease of <sup>2</sup>H and only a minor change in total hydrogen con-



centration indicates that hydrogen can exchange with ambient hydrogen (originating from ambient  $H_2$  or  $H_2O$ ) and therefore also migrate through the film, behaviour similar to  $Al_2O_3$  films. The hydrogen migration seems to be surprisingly similar in both materials even though  $Al_2O_3$  is amorphous and  $ZnO$  is polycrystalline.

## 6 CONCLUSIONS AND OUTLOOK

Hydrogen incorporation in Al<sub>2</sub>O<sub>3</sub> and ZnO thin films grown with ALD were investigated using normal water, heavy water and oxygen-18 enriched water. In addition to conventional ALD, a spatial ALD reactor was used. Increase of both the deposition temperature as well as purging times was found to decrease the hydrogen incorporation in the films. Al<sub>2</sub>O<sub>3</sub> films deposited at low temperature can contain up to 16.8 at.% and ZnO films 7.8 at.% of hydrogen. By increasing the deposition temperature the hydrogen concentrations decreased to under 2.8 at.% and 0.4 at.% for Al<sub>2</sub>O<sub>3</sub> and ZnO, respectively. Lower hydrogen concentrations could also be achieved using longer purging times while keeping the deposition temperature low. Increasing purging times of Al<sub>2</sub>O<sub>3</sub> process at 100 °C from 3 to 60 s decreased the hydrogen concentration from 13.0 to 6.0 at.%. In the case of ZnO with heavy water at 60 °C the total hydrogen concentration decreased from 9.5 at.% to 3.7 at.% when total purging time was increased from 3 s after both precursors to 10 s after the DEZ pulse and to 60 s after the heavy water pulse. However, increasing the purging times leads to more than an order of magnitude longer cycle times in both processes.

The main source of impurity hydrogen was found to be dependent on the deposition conditions. Both the deposition temperature, as well as the purging times between the pulses were found to be significant. For both Al<sub>2</sub>O<sub>3</sub> and ZnO deposited from TMA and DEZ in combination with water, it seems that in ideal conditions i.e. high enough temperature and/or long purging time, the major hydrogen isotope in the films with heavy water is deuterium. This is in line with the results of Guerra-Nuñez *et al.* who used very long purging times [82]. The suggested mechanism of hydrogen/deuterium incorporation through the rehydroxylation explains the incorporation when purging time is sufficient for the given temperature. However, with less ideal process parameters, the mechanism proposed by Guerra-Nuñez *et al.* does not explain the high <sup>1</sup>H and low <sup>2</sup>H concentration in the films.

The persistent methyl and ethyl groups could well explain the high <sup>1</sup>H concentration found in the films deposited at low temperature or with short purging but for both Al<sub>2</sub>O<sub>3</sub> and ZnO the low concentration of carbon in the films con-

tradicts with the explanation. Others have tried to explain the low carbon concentration by the reaction of persistent groups in subsequent cycles [41, 84]. This explanation, however, does not account the very high  $^1\text{H}$  concentration left in the films. In addition, the high O/(Zn or Al) ratio at low temperatures would predict high  $^2\text{H}$  concentration, but the results show otherwise. This contradiction remains a puzzle.

Results of the spatial ALD samples show that similar principles govern the impurity incorporation in both ALD methods. Although SALD greatly speeds up the deposition due to the shorter purging times, it also produces films with high impurity concentrations. Therefore, the balance between speed and quality must be considered carefully.

Despite of the similarities of  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  deposited using TMA and DEZ, respectively, the GPC at low temperature behaves differently. Only TMA +  $\text{H}_2\text{O}$  process suffers on the CVD-like growth. Physisorption and slow desorption of water at low deposition temperature has been argued to be the reason behind high GPC at low temperature. However, the same does not seem to apply to DEZ process.

In addition, deuterium was found to migrate through the film. As the samples were stored in ambient or humid condition, the concentration of deuterium decreased and the concentration of the hydrogen increased equally. The fact that the total amount of hydrogen isotopes in the films did not change significantly during the storage, points to exchange of hydrogen in the film with ambient hydrogen sources, namely  $\text{H}_2\text{O}$  and  $\text{H}_2$ . In the case of  $\text{Al}_2\text{O}_3$ , ambient conditions resulted in migration of hydrogen only if the initial hydrogen concentration was high enough. This would limit the use of these films as hydrogen barriers. On the other hand, the fact that ALD thin films, especially the low temperature  $\text{Al}_2\text{O}_3$ , contain very high amounts of hydrogen could enable utilizing them in hydrogen storage applications. In addition, the discovered deuterium migration hints that these films could act as proton conductors.

Looking at all the results, it seems evident that the reaction mechanisms and impurity incorporation are more complex than previously thought. This is true especially when short purging times are used. As shown in the thesis, isotopic labelling in combination with ion beam techniques is a powerful tool to study ALD depositions. In this thesis only the water precursor was used for labelling. The use of deuterated metal precursors, like deuterated TMA [41], would give additional information and could be used to validate the method. Furthermore, deuterated ammonia could be used to study nitride depositions.

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## APPENDIX 1 SUPPLEMENTARY FIGURES

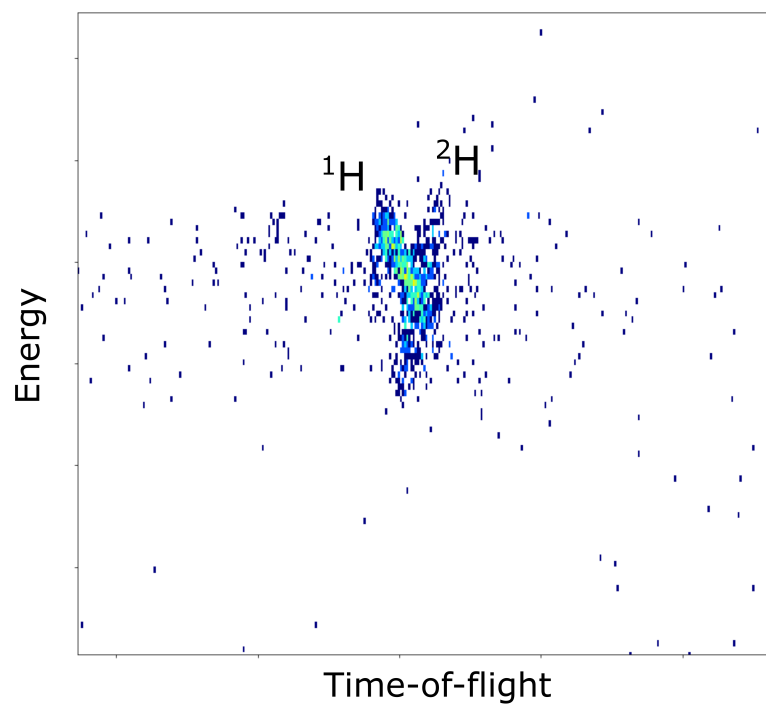


FIGURE 26 Overlapping ToF-E data of  $^1\text{H}$  and  $^2\text{H}$  with 10.215 MeV  $^{35}\text{Cl}$  beam.

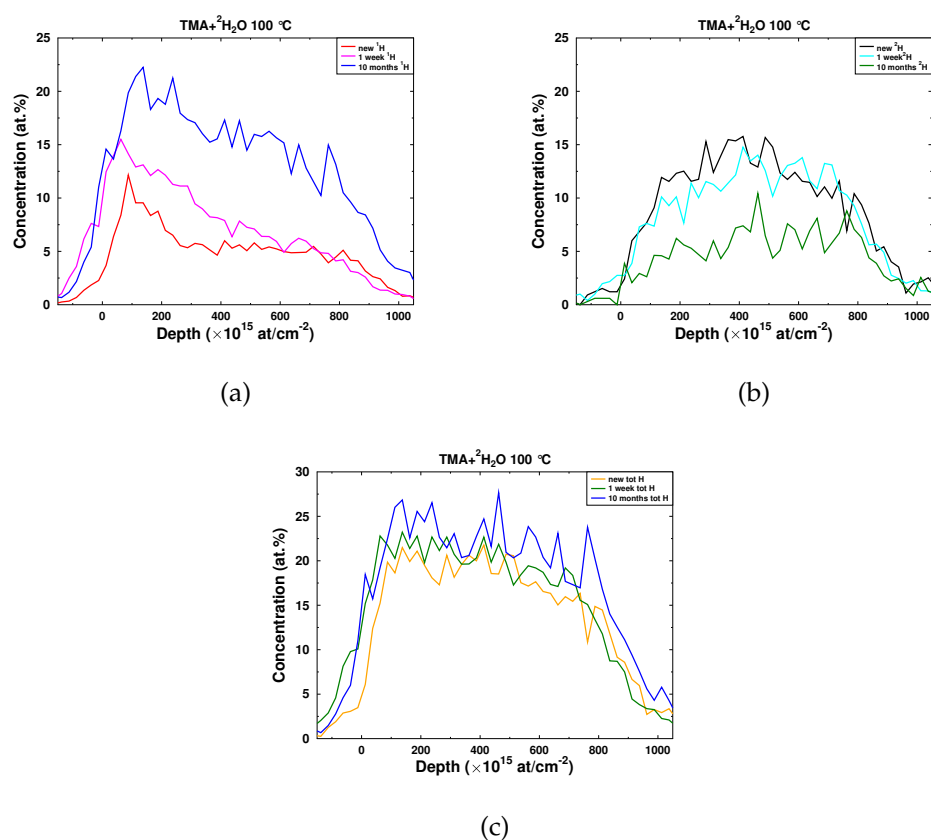


FIGURE 27 Al<sub>2</sub>O<sub>3</sub> sample was deposited from TMA and <sup>2</sup>H<sub>2</sub>O at 100 °C using 150 ms pulses and 3 s purging making the hydrogen concentration high. The sample was stored in ambient condition and measured again later. The <sup>1</sup>H concentration increased as the <sup>2</sup>H concentration decreased equally. a) Elemental depth profile of <sup>1</sup>H, b) <sup>2</sup>H and c) the sum of <sup>1</sup>H and <sup>2</sup>H presented in a) and b). Reprinted with permission from [PI], Copyright 2021, Elsevier.

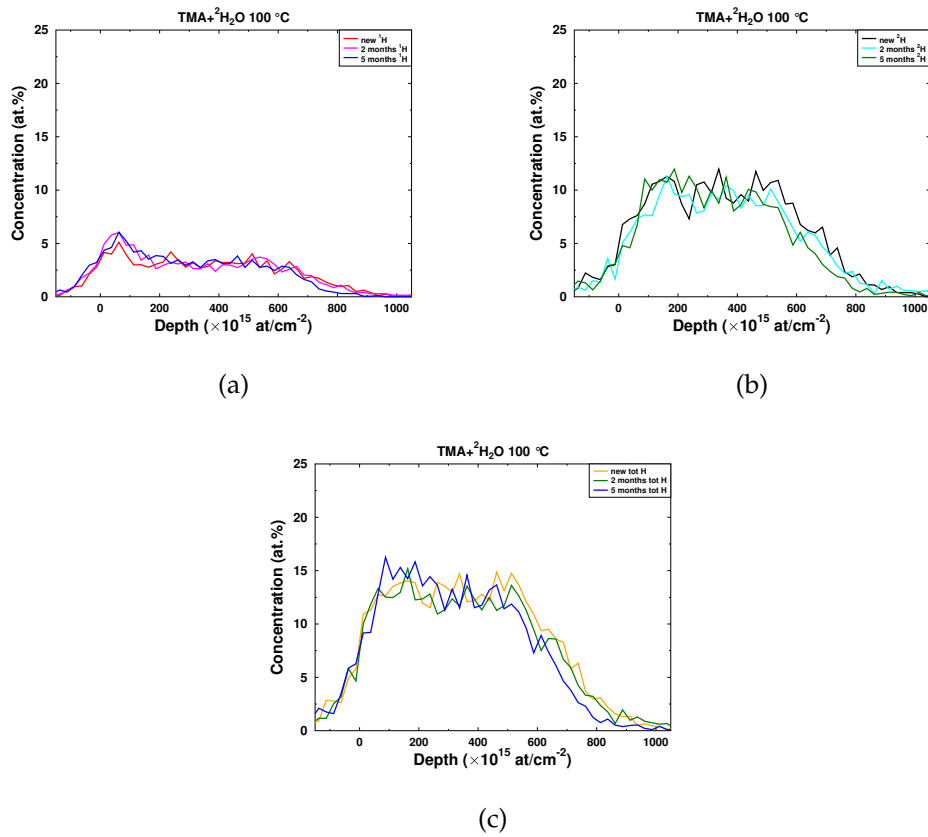


FIGURE 28  $\text{Al}_2\text{O}_3$  sample was deposited from TMA and  $^2\text{H}_2\text{O}$  at  $100\text{ }^\circ\text{C}$  using 300 ms pulses and 10 s purging and was stored in ambient conditions and measured again later. a) Elemental depth profile of  $^1\text{H}$ , b)  $^2\text{H}$  and c) the sum of  $^1\text{H}$  and  $^2\text{H}$  presented in a) and b). Reprinted with permission from [PI], Copyright 2021, Elsevier.

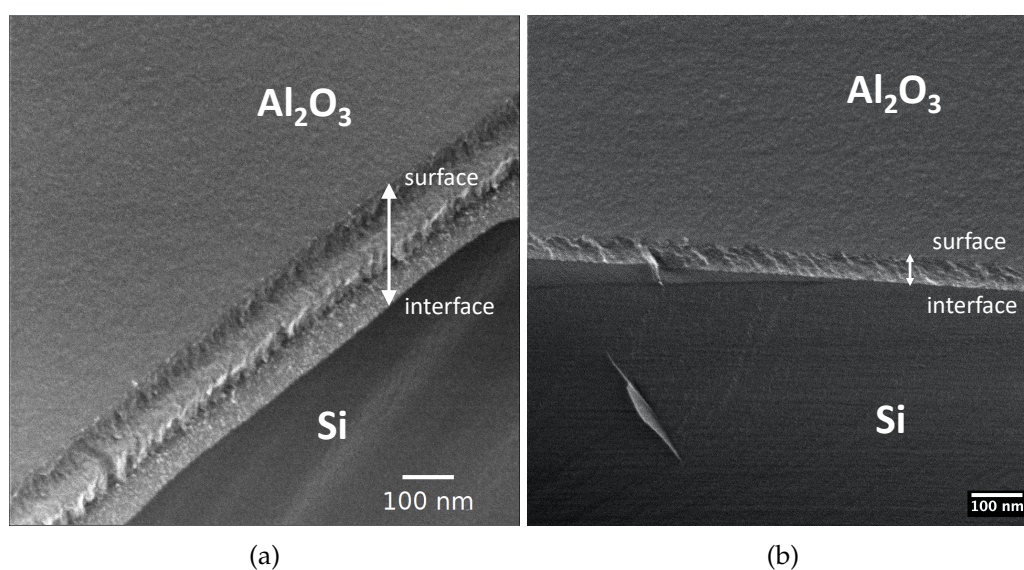


FIGURE 29 Cleaved 100 nm thick  $\text{Al}_2\text{O}_3$  film at  $30^\circ$  angle imaged with HIM. a) The film was deposited with TMA and  $^2\text{H}_2\text{O}$  at  $100^\circ\text{C}$  using 150 ms precursor pulses and 3 s purging. b) The film was deposited with same recipe as in a) but using TMA +  $^1\text{H}_2^{18}\text{O}$ . Reprinted with permission from [PI], Copyright 2021, Elsevier.

## ORIGINAL PAPERS

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**Al<sub>2</sub>O<sub>3</sub> ALD FILMS GROWN USING TMA + RARE ISOTOPE  
<sup>2</sup>H<sub>2</sub><sup>16</sup>O AND <sup>1</sup>H<sub>2</sub><sup>18</sup>O PRECURSORS**

by

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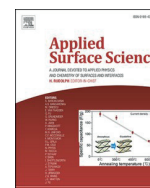
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Full Length Article

# $^{12}\text{O}_3$ ALD films grown using TMA + rare isotope $^2\text{H}_2^{16}\text{O}$ and $^1\text{H}_2^{18}\text{O}$ precursors

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## ABSTRACT

In this work hydrogen and oxygen migration and exchange reactions in the atomic layer deposited (ALD)  $\text{Al}_2\text{O}_3$  thin films were studied together with hydrogen incorporation by varying deposition parameters.  $^{12}\text{O}_3$  films deposited at low temperatures can contain more than 20 at.% of hydrogen. Both higher temperature and longer purge length decrease the hydrogen and carbon concentrations significantly. In order to track the hydrogen and oxygen movement in the films, heavy water ( $^2\text{H}_2^{16}\text{O}$ ) and oxygen-18 enriched water ( $^1\text{H}_2^{18}\text{O}$ ) were used as precursors in combination with trimethylaluminium (TMA). Different isotopes of the same element were quantified by means of time-of-flight elastic recoil detection analysis (ToF-ERDA). It was found out that  $^1\text{H}/^2\text{H}$  exchange reactions take place even at room temperature if the hydrogen concentrations are high enough. On the other hand, oxygen atoms in the films do not migrate notably.

## 1. Introduction

Atomic layer deposited (ALD) amorphous aluminium oxide ( $\text{Al}_2\text{O}_3$ ) is both extensively used and much studied thin film material. Alumina films have been studied, for example, as a high-k material for semiconductor industry [1–3] as well for gas permeation barriers [4–6]. There are numerous ALD processes for  $\text{Al}_2\text{O}_3$  but especially the process with trimethylaluminium (TMA) and water as precursors is widely studied (www.atomiclimits.com ALD database mentions almost 300 articles as to date [7]) and the reaction mechanism is also believed to be quite well understood [8,9].

One early identified application for amorphous ALD-oxide films has been to use it for moisture and gas permeation barriers [10]. Thin moisture barriers are needed in packaging materials where flexibility of the barrier is of importance. Another example is organic light emitting diodes (OLED) which require transparent moisture barrier. OLEDs are known to be sensitive to water vapour and oxygen, and in order to increase their lifespan diffusion of these gases must be prevented [11]. When considering gas and moisture barriers, atomic layer deposition is an ideal deposition method. Due to the self-terminating reactions of ALD, conformal and pinhole-free films can be grown even on complex and porous substrates [12,13]. It is also possible to deposit the ALD-films at low temperatures required by the organic substrates. Therefore coating polymers with the ALD is an attractive option.

ALD thin films often contain significant amounts of hydrogen,

especially when grown at low deposition temperatures. In addition to water vapour [4] and oxygen [6], also hydrogen can cause degradation for example in capacitor components [14].  $^{12}\text{O}_3$  thin films along with other ALD-oxides have been studied as  $\text{H}_2$  barriers for this purpose and has been shown that the diffusion of hydrogen to a capacitor dielectric material decreases significantly if a protective ALD film is applied [15]. However, in some applications hydrogen is a desirable element in the film. Hydrogen from the ALD capping film can, for example, passivate the Si-interface in solar-cells by binding to dangling bonds which are responsible for the charge carrier recombination resulting in suboptimal energy conversion efficiency [16].

Metal-organic ALD precursors are frequently used and the organic ligands very often contain hydrogen. In addition, hydrogen containing coreactants such as  $^1\text{H}_2\text{O}$  and  $\text{NH}_3$  are extensively used for depositing oxides and nides, respectively. Therefore hydrogen is a common impurity in all thin films but it is quite often disregarded because only a few measuring techniques, such as elastic recoil detection analysis (ERDA) and secondary ion mass spectrometry (SIMS), can directly detect hydrogen atoms in the films. The advantage of ERDA and especially time-of-flight ERDA (ToF-ERDA) over SIMS is the possibility of quantitative elemental depth profiling [17].

For example in the case of TMA and  $^1\text{H}_2\text{O}$  process both precursors contain hydrogen that can be incorporated in the film.  $^{12}\text{O}_3$  can be deposited from the TMA and water at as low as 33 °C but the impurity contents are even very high [18]. In order to decrease the residual

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hydrogen concentration in Al<sub>2</sub>O<sub>3</sub> films, one can increase the deposition temperature [19]. Alternatively, post deposition annealing can also be used to decrease the hydrogen content [20]. On the other hand, many of the applications requiring gas barriers involve polymers or other organic substrates and elevated temperatures can not be used during or after the deposition.

The use of precursors containing rare stable isotopes can give information not only on reaction mechanism but also on sources of impurities in the films. For example, hydrogen has two stable isotopes, <sup>1</sup>H (99.985%) and <sup>2</sup>H (0.015%) [21] and in the following text hydrogen refers to <sup>1</sup>H and deuterium to <sup>2</sup>H. Similarly, water refers to <sup>1</sup>H<sub>2</sub>O and heavy water to <sup>2</sup>H<sub>2</sub>O.

Deuterated precursors have been used in various studies [9,19,22,23]. By using heavy water instead of water in TMA + <sup>1</sup>H<sub>2</sub>O process the source of hydrogen impurity can be detected. It is often presumed that as the elements do not change, heavy water can be treated as equivalent to normal water [9,24,25].

These isotope studies have given detailed information on reaction mechanism and TMA + H<sub>2</sub>O process [9,19,25] is probably the most widely studied ALD process. That said, even the reason of the most important aspect of ALD – the self terminating reaction – in the case of this process is still under debate. Saturation of the reaction is often contributed to the steric hindrance of the ligands which populate the surface so that the reactive sites are blocked [9,26]. On the other hand, Vandalon et al. propose that the steric hindrance can not be the sole cause of terminating reaction. Their claim is that non-reactive methyl groups cause reactions to stop at least in the lower temperatures [25]. However, there are open questions such as why the persistent -CH<sub>3</sub> groups are not incorporated in the film as a carbon impurity since the deposited films contain only a small concentration of carbon even at low deposition temperatures [25,27].

In his work we studied how hydrogen is incorporated in the films. In addition, the migration of hydrogen (and oxygen) in the films is studied. In order to do so, both heavy water (<sup>2</sup>H<sub>2</sub>O) and oxygen-18 (natural abundance 0.2% [21]) enriched water (H<sub>2</sub><sup>18</sup>O) were used as oxygen sources. The effect of different ALD conditions, such as the deposition temperature and purge lengths, were also studied. It was found that the impurities decrease with increasing deposition temperature. In addition, the migration of hydrogen was only detected if hydrogen concentration in the film was high.

## 2. Experimental details

Al<sub>2</sub>O<sub>3</sub> films were deposited using Beneq TFS 200 side flow reactor. Nitrogen from Inmatec PN 1150 nitrogen generator (99.999%) was used as a carrier gas as well as for purging between the precursor pulses. Pressure during the deposition in the reaction chamber was 1–2 mbar. TMA (Strem >98%) was used as an aluminium source for the process. Three types of water were used as an oxygen source: normal water <sup>1</sup>H<sub>2</sub><sup>16</sup>O, heavy water <sup>2</sup>H<sub>2</sub><sup>16</sup>O (Medical Isotopes Inc. 99.99%) and oxygen-18 enriched water <sup>1</sup>H<sub>2</sub><sup>18</sup>O (Medical Isotopes Inc. 97%). Deposition temperature was varied between 70 °C and 250 °C. Pulse lengths for both precursors were kept constant at 300 ms which is double the time for our typical Al<sub>2</sub>O<sub>3</sub> processes. The longer pulse length was used to ensure a complete saturation of the substrate surfaces. Purging time was in most depositions 10 s after both precursor pulses but the effect of the purging time was also investigated and it was varied between 3 and 60 s. All films were deposited on n-type (100) silicon chips cut from a bigger wafer with native SiO<sub>x</sub> layer. Sample pieces were distributed in front, back and sides of the reactor in order to ensure film conformality all over the reactor. To minimise H-contamination prior the TMA + <sup>2</sup>H<sub>2</sub>O deposition, the reactor was treated with 50 <sup>2</sup>H<sub>2</sub>O pulses (150 ms).

As-deposited samples were also exposed to elevated temperature and humid conditions by storing them in a climate chamber (Weiss WK3-180/40) for 24 or 48 h at 60 °C and a 80% relative humidity (RH) at

atmospheric pressure.

The elemental composition and depth profiles of the films were measured with a time-of-flight elastic recoil detection analysis (ToF-ERDA) using 11.915 MeV <sup>63</sup>Cu<sup>6+</sup> ions [28]. Recoiled species were detected at 41° angle using mirror measuring geometry. Analysis and elemental depth profiles were made with Potku analysis software [29]. Surface and interface regions of the films were excluded from the elemental analysis and compositions were calculated from the bulk of the film.

Al<sub>2</sub>O<sub>3</sub> films were investigated with helium ion microscopy (HIM) (Zeiss Orion Nanofab) in order to study the porosity of the films.

Thicknesses of the films were measured with Rudolph AUTO EL III ellipsometer with 632.8 nm laser.

## 3. Results and discussion

The deposition rate decreases about one third when normal water is changed to <sup>2</sup>H<sub>2</sub>O as seen in Fig. 1a when every other parameter is kept constant. Similar decrease in growth-per-cycle (GPC) is reported by Hiraiwa et al. [24]. This is most probably due to the kinetic isotope effect, which is utilised for example in chemical reaction mechanism studies [30]. This effect raises the activation energy of the reaction and therefore reduces the reaction rate which could explain the results. As mentioned in the Introduction, the interchangeability of <sup>1</sup>H<sub>2</sub>O and <sup>2</sup>H<sub>2</sub>O is often assumed. On the other hand, one can not rule out that the changes in activation energies can also change the probabilities of different reaction paths making some paths more favourable than others compared to situation with normal water. For example reaction with the TMA and oxygen bridge site (i.e. Al–O–Al) [31] does not involve deuterium which may favour this reaction over the reaction rate with O<sup>2</sup>H-groups is decreased.

The GPC of the TMA + <sup>1</sup>H<sub>2</sub>O process has been reported to increase as a function of the deposition temperature giving the highest GPC at 200–300 °C depending on the source [22,24,32,33]. Our results are comparable and the growth rate starts to decrease between 200 and 250 °C (Fig. 1a). This has been attributed to the decrease of reactive OH-sites due to dehydration [25,33]. On the other hand, deposition with <sup>2</sup>H<sub>2</sub>O changes the situation so that the growth rate continues to increase even at 250 °C and approaches the GPC of the <sup>1</sup>H<sub>2</sub>O process. It seems that the extra energy from the higher temperature drives the reaction more than what the dehydration slows it. When considering purging times (Fig. 1b), it seems that the purging length does not play a significant role in <sup>1</sup>H<sub>2</sub>O process at 100 °C, but longer purging increases the GPC slightly in <sup>2</sup>H<sub>2</sub>O process. This is another indication of slower reaction rates due to the isotope effect.

Composition and elemental depth profiles of the samples were produced from coincidence time-of-flight and energy data (Fig. 2). Elemental composition of all the samples can be found in Supplementary (Table 1 and 2).

Al<sub>2</sub>O<sub>3</sub> films grown at low temperatures tend to have oxygen rich composition and the O/Al ratio closes to the stoichiometric value of 1.5 only at 200 °C and above [9,18,34]. Our similar results are shown in Fig. 3a. Also purging time changes the O/Al ratio of films deposited at 100 °C (Fig. 3b) although even the 60 s long purging time produces O-rich film. Even though the GPC decreases when the oxygen source is changed from <sup>1</sup>H<sub>2</sub>O to <sup>2</sup>H<sub>2</sub>O (Fig. 1a), it does not affect the ratio between the main components of the film. In regard, using <sup>2</sup>H<sub>2</sub>O instead of <sup>1</sup>H<sub>2</sub>O is well justified.

While O/Al ratio is independent of the oxygen precursor, there is a difference in the amount of hydrogen incorporated in the films (Fig. 4a). Films deposited with heavy water tend to have slightly higher total amount of <sup>1</sup>H and <sup>2</sup>H compared to hydrogen content in the films deposited with normal water. In earlier studies the equivalence between the use of <sup>1</sup>H<sub>2</sub>O or <sup>2</sup>H<sub>2</sub>O have been justified by similar total hydrogen concentrations [9,19]. Somewhat similar results to ours, with higher total hydrogen when <sup>1</sup>H<sub>2</sub>O was replaced with <sup>2</sup>H<sub>2</sub>O, were obtained by



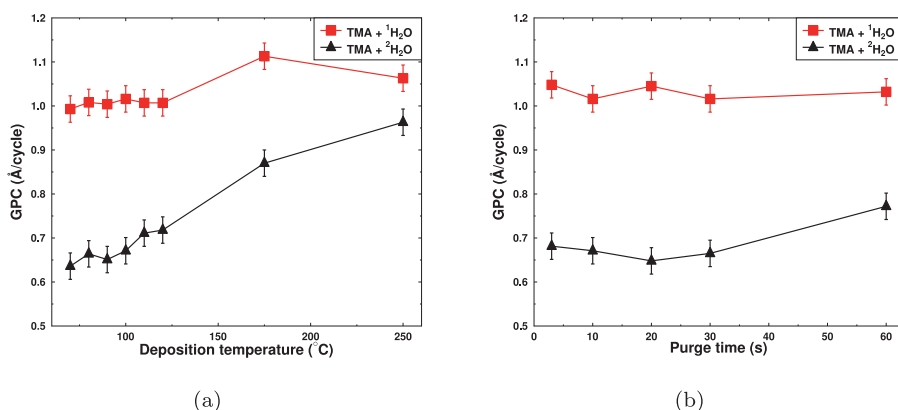


Fig. 1. a) Growth-per-cycle as a function of the deposition temperature. Films were deposited using 300 ms precursor pulses and 10 s N<sub>2</sub> purges between the pulses. b) Growth-per-cycle of the films deposited at 100 °C. Nitrogen purge was varied between 3 and 60 s.

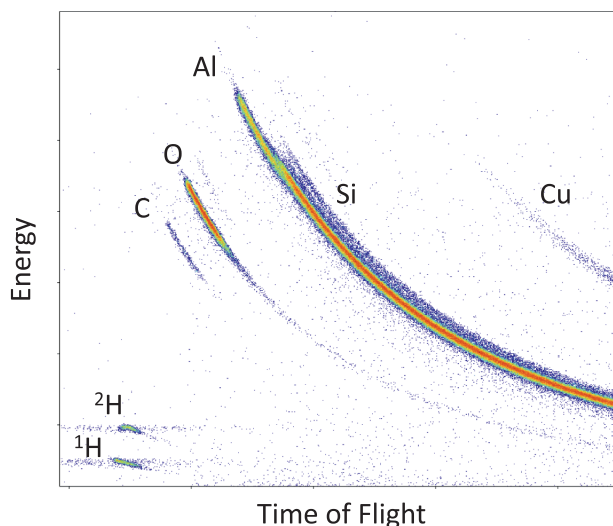


Fig. 2. Coincidence time-of-flight and energy histogram of a ToF-ERDA measurement. Elements and isotopes differentiate according to their masses. Film was deposited using 1000 cycles of TMA and <sup>2</sup>H<sub>2</sub>O at 100 °C. Scattered <sup>63</sup>Cu beam used for the measurement is also visible.

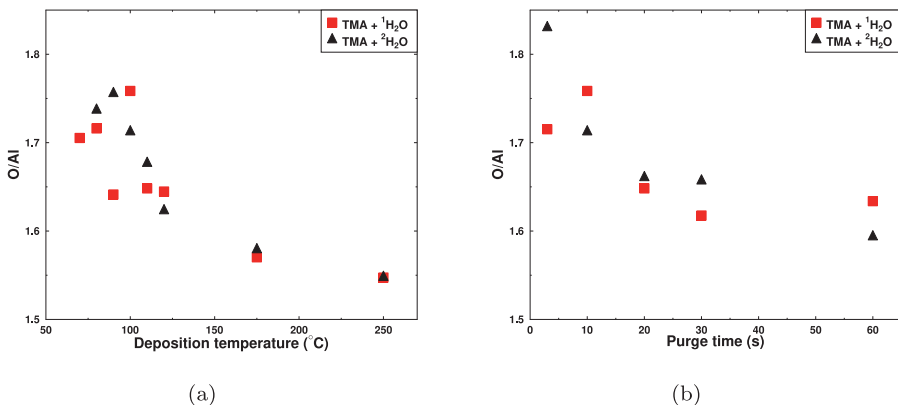
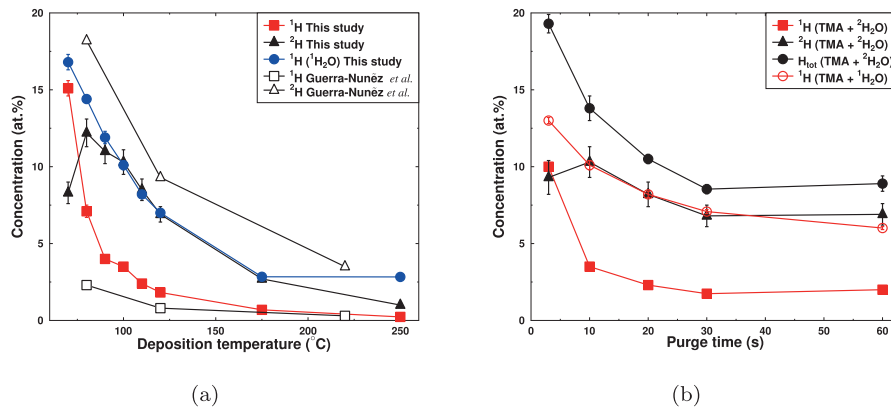


Fig. 3. a) O/Al ratio as a function of the deposition temperature. Samples were deposited using 300 ms precursor pulses and 10 s purging. b) O/Al ratio as a function of the purging time. Samples were deposited at 100 °C.

Hiraiwa et al. [24]. However, their conclusion was that the difference is not significant and can be neglected. Nevertheless, the total hydrogen concentration follows a similar trend with both oxygen precursors even though the use of <sup>2</sup>H<sub>2</sub>O results in somewhat higher total hydrogen concentration than <sup>1</sup>H<sub>2</sub>O.

The deposition temperature plays a major role in hydrogen incorporation in LD-Al<sub>2</sub>O<sub>3</sub> films. High temperatures decrease the hydrogen concentration as shown in Fig. 4a and goes below 5 at.% only above 175 °C. The main hydrogen source is from water since there is more deuterium than hydrogen in the film when the deposition temperature is higher than 70 °C. It is notable that in our study the majority hydrogen isotope changes from hydrogen to deuterium between 70 and 80 °C. Films deposited at 70 °C, based on visual inspection as well as with thickness profiles given by the ellipsometer, appeared completely fine; films were uniform in thickness all around the reactor and visually looked similar to ones deposited at higher temperatures. When the deposition temperature was decreased to 60 °C clear signs of CVD-growth were observed (See Supplementary 7) for the TMA + <sup>2</sup>H<sub>2</sub>O process. Films deposited with <sup>1</sup>H<sub>2</sub>O were uniform. The drastic change in <sup>1</sup>H and <sup>2</sup>H composition at 70 °C would therefore be an indication of CVD component in the reaction. It is known [18] that water molecules physisorb on “cold” surfaces and require long pumping (i.e. purging) time. If the purging time is not sufficient, physisorption leads to higher than monolayer surface concentration of <sup>1</sup>H<sub>2</sub>O or OH which is still present when TMA is pulsed into the reactor. However, as seen in Fig. 4a, the deuterium concentration drops significantly at 70 °C, indicating that deuterium from water is not incorporated in the film in large quantities.



**Fig. 4.** a)  $^1\text{H}$  and  $^2\text{H}$  impurity concentrations at different deposition temperatures. Films were deposited using 300 ms precursor pulses and 10 s purging times. Comparison to Guerra-Nunéz et al. [9] is also shown. b)  $^1\text{H}$  and  $^2\text{H}$  concentrations as a function of purge time. Deposited at 100 °C using 300 ms precursor pulses.

In addition, CVD-growth would increase the GPC which was not observed at 70 °C (Fig. 1a). This can be explained by the studies of Vandalon et al. [22,25] where they showed that there is a certain temperature limit where water is not reactive enough towards the  $\text{CH}_3$ -groups at the substrate surface which leaves excessive amount of persistent  $\text{CH}_3$ -groups in the film. As Vandalon et al. pointed out, also simulations suggest that the isolated  $\text{CH}_3$ -groups have higher reaction barrier compared to the multiple methyl groups in close proximity to each other [35]. These isolated unreactive methyl groups could be the source of the high hydrogen concentration in the film deposited at 70 °C.

As seen in Fig. 5a and b, the carbon concentration follows very similar trend of the hydrogen concentration and decreases with increasing deposition temperature and purge length. Carbon concentration is not high even at low temperatures and the use of  $^2\text{H}_2\text{O}$  instead of  $^1\text{H}_2\text{O}$  does not have a significant effect on carbon concentration. However, it remains as an open question what happens to the carbon since even at 70 °C the amount of carbon in the films is only  $2.3 \pm 0.2$  at. % (Fig. 5a). If hydrogen would be in the film in the form of methyl groups we should expect carbon concentration to be closer to 5 at.%. Same observation was done by Vandalon et al. and they proposed that these methyl groups can react with water in subsequent cycles.

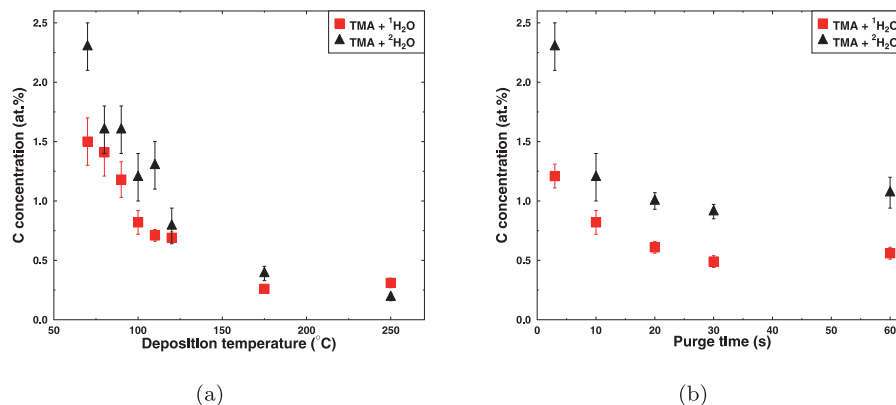
Comparison of  $^1\text{H}$  and  $^2\text{H}$  impurities with previous work by Guerra-Nunéz et al. is made also in Fig. 4a. General trends are similar although our results show slightly higher deuterium content but somewhat lower hydrogen incorporation. In our samples in Fig. 4a are deposited with 300 ms precursor pulses and 10 s  $\text{N}_2$  purges while Guerra-Nunéz et al. used 1 s pulses, 2 s exposure time and 60 s purging. While this long LD cycle can improve the film quality, it also makes the deposition very long

and maybe impractical for industrial purposes.

The length of the purge has a significant effect on the hydrogen incorporation in the film as seen in Fig. 4b. Both the hydrogen and deuterium concentration decrease as the purging times increases. Although deposited films are conformal in thickness (less than 2% variation over the area of the reactor) even with the shorter purging times, and therefore seem to represent pure and self-limiting LD-growth, the films are far from identical. Reactions are observed to go to completion at quite slow rate at low temperatures, requiring 30 s purging even as high as at 100 °C before the hydrogen concentration saturates. Similar trends observed for  $^1\text{H}_2\text{O}$  process which is somewhat surprising since the GPC does not change with increasing purging times (Fig. 1b).

The mass gain per cycle is a more direct indication of slow reaction rate and desorption of reaction species at low temperatures compared to measuring only GPC, as films with similar thickness can have different mass density. It is shown on quartz crystal microbalance (QCM) studies that the mass gain per cycle decreases as the purging times are increased at low temperatures [18,36]. In addition, the mass loss after the precursor pulse can continue for tens of seconds indicating that the reactions are slow and the desorption of reaction products and physisorbed surface species require long purging times at low temperatures. This supports our finding that long purging times decrease hydrogen, carbon and excess oxygen content in the film.

This purging time issue is one example of a source of inconsistency in LD research pointed out by Sønsteby et al. in the recent paper [37]. They address the problems on LD reproducibility and relate, for example, higher than expected GPC to short purging times possibly



**Fig. 5.** a) Carbon concentration of films deposited at different temperatures using 300 ms pulses and 10 s purging times. b) Carbon concentration as a function of purging times, films were deposited at 100 °C using 300 ms pulses.

leading to CVD like growth. This is all true, but looking only at the GPC does not guarantee that the results are comparable as we have shown above. Even if purging times are adequate to produce conformal films, purging can be short enough to also change the composition of the film as seen in Fig. 4b even if the GPC does not change (See Fig. 1b). In addition, the reactor used will influence the required purging (and pulsing) times. Details, such as vacuum level, reactor geometry and gas flows will have an effect on deposition and film growth. It is important to note this issue when comparing the results obtained by the different groups. This issue is most pronounced at low temperatures. As seen in Fig. 4a, our results are somewhat different compared to those measured by Guerra-Nun ez et al. The difference between our and their results is of order of a few at.% which is larger than the margin of error. Therefore the discrepancy must come from the different pulsing and purging times, reactor geometry and deposition pressure, and it is important to report all the deposition parameters in order to compare the results reliably.

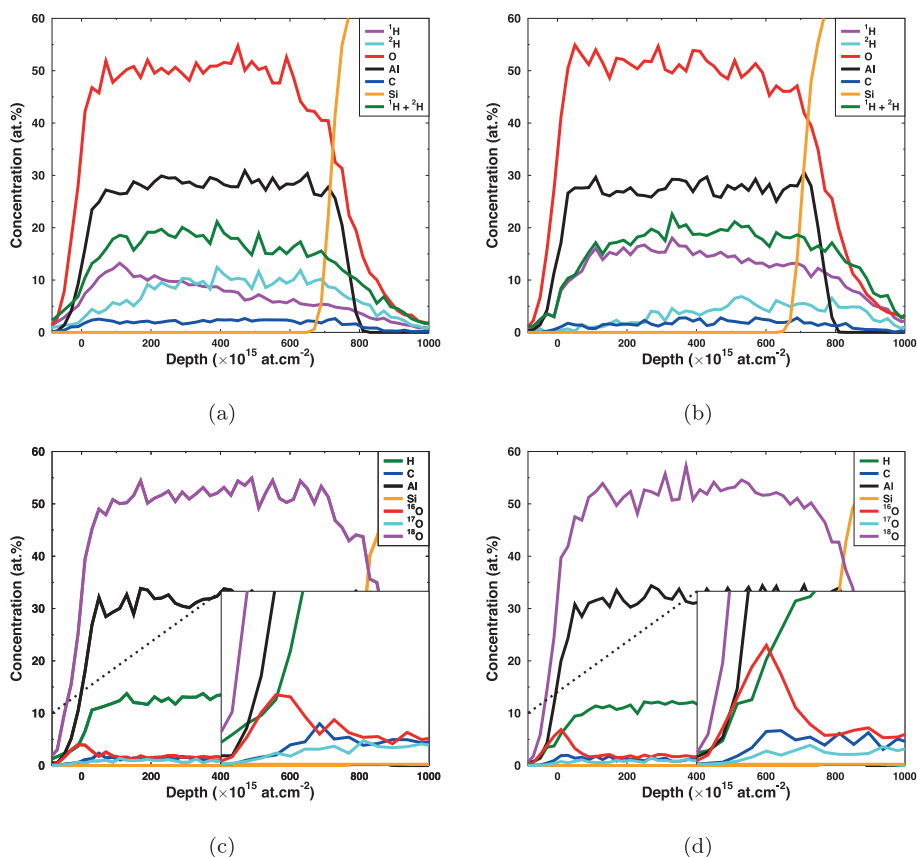
We also studied the hydrogen migration in the  $\text{Al}_2\text{O}_3$  films. A depth profile of an as-deposited  $\text{TMA} + {}^2\text{H}_2\text{O}$  film is presented in Fig. 6a). The films were deposited using 150 ms precursor pulses and 3 s purging at  $100^\circ\text{C}$  which makes them hydrogen rich. In Fig. 6b) the same film is shown after 24 h exposure in a climate chamber. The conditions in the chamber were kept constant at  $60^\circ\text{C}$  and at 80% relative humidity. After the treatment the deuterium concentration was dramatically decreased and at the same time the concentration of  ${}^1\text{H}$  had increased equally keeping the total amount of elemental hydrogen constant. This can be explained by the hydrogen exchange reactions. Hydrogen in water vapour and gaseous  $\text{H}_2$  can exchange hydrogen atoms with the impurity hydrogen/deuterium in the film. Since the natural abundance of

deuterium (0.02%) is much lower than the ratio in these films, the percentage of the  ${}^2\text{H}$  drops due to these exchanges. Interestingly, the exchange does not happen only at the surface but also all the way through the 80 nm thick films. This requires migration of hydrogen for rather long distances even in these low temperature annealing conditions. Other possibilities at the film's porous and gases penetrate the film easily.

However, there is a threshold for hydrogen concentration that is needed for the exchange reaction to proceed through the whole film. Sample deposited at  $100^\circ\text{C}$  with 300 ms pulses and 10 s purging times contain  $\sim 15$  at.% of total hydrogen ( ${}^1\text{H} + {}^2\text{H}$ ). When this sample is exposed to climate chamber conditions, there are no major changes in either hydrogen or deuterium concentrations (See Supplementary .9).

We can observe in Fig. 6a that both  ${}^1\text{H}$  and  ${}^2\text{H}$  are unevenly distributed in the film. There is more  ${}^1\text{H}$  at the surface and more  ${}^2\text{H}$  at the interface. This is an example of a similar exchange reaction that happens also at room temperature in air given enough time (1 day) between the deposition and characterization (see Supplementary Fig. .8). Therefore data shown in Figs. 1, 3, 4 and 5 were measured from the samples that were kept in a load-lock under a vacuum condition ( $<1$  mbar) until exposed to a very briefly just before the characterization.

It is known based on IR-studies that the hydrogen impurities in  $\text{LD-1} \text{Al}_2\text{O}_3$  films are found at least in the form of OH-groups [38,39]. Signal from OH stretching has been shown to increase as the hydrogen content of the film increases, supporting the claim that hydrogen is found in the films in form of OH-groups [38]. Films deposited at low temperature also oxygen chelating at there are undercoordinated oxygen atoms.



**Fig. 6.** Elemental depth profiles of  $\text{Al}_2\text{O}_3$  films deposited at  $100^\circ\text{C}$  with 150 ms precursor pulses and 3 s purging. a) As-deposited film using TMA and  ${}^2\text{H}_2\text{O}$ . The sample was kept at room temperature in air for one day before the characterization. b) The same film as in a) but after 24 h at  $60^\circ\text{C}$  and 80% RH. c) As-deposited film using TMA and  $\text{H}_2{}^{18}\text{O}$ . The sample was kept for one day at room temperature in air before the characterization. d) The same film as in c) but after 48 h at  $60^\circ\text{C}$  and 80% RH.

Relatively low carbon concentration rules out the speculation that the majority of the hydrogen would be in the form of  $\text{CH}_3$ -groups. Furthermore, in the films grown with  $\text{TMA} + {}^2\text{H}_2\text{O}$ ,  ${}^2\text{H}$  atoms are most likely bound to oxygen as they originate from the water.

In order to see if the hydrogen migration is related to the movement of the whole OH-group or if there is any oxygen diffusion from the ambient present, films using  $\text{TMA}$  and  $\text{H}_2^{18}\text{O}$  as precursors were deposited. The depth profile of an as-deposited film grown at  $100^\circ\text{C}$  is presented in Fig. 6c). We see that oxygen-18 enriched water produces films that contain less hydrogen than the films deposited with  ${}^2\text{H}_2\text{O}$ . However, hydrogen concentration in Fig. 6c is comparable to the samples deposited with  ${}^1\text{H}_2\text{O}$ . This is expected since small change in oxygen mass does not have a great effect on the activation energy and therefore kinetic isotope effect is not noticeable. After 48 h in the climate chamber at  $60^\circ\text{C}$  and at 80% RH the films show only very minor difference at the surface as seen in Fig. 6d). Inside the film the amount of oxygen-18 does not change, indicating that oxygen even in OH-groups can not efficiently exchange with oxygen from  $\text{O}_2$  or  $\text{H}_2\text{O}$  at similar rate compared to hydrogen. This indicates that only hydrogen is migrating in the film, not whole OH-groups. From the results it is also clear that the oxygen at s bonded to aluminium does not exchange with oxygen atoms from  $\text{O}_2$  or  ${}^1\text{H}_2\text{O}$  molecules.

Dingemann et al. showed that hydrogen effuses from the  $\text{Al}_2\text{O}_3$  films in the form of both  $\text{H}_2$  and  ${}^1\text{H}_2\text{O}$  when annealed at 200 to  $1000^\circ\text{C}$  in high vacuum ( $10^{-7}$  mbar) conditions [20]. That process, however, must be different compared to process reported in this paper. The high temperature annealing removes and so decreases the amount of hydrogen in the films. Here we observe only an (isotope) exchange reaction and the total amount of hydrogen in the film does not change. Considering that the  ${}^1\text{H}/{}^2\text{H}$ -exchange reaction is chemically similar to the  $\text{H}/\text{H}$ -exchange, it is clear that the hydrogen moves through the films easily from the film as been deposited at low temperature and as high hydrogen content. Hydrogen diffusion studies done by Cameron et al. with radioactive hydrogen isotope tritium ( ${}^3\text{H}$ ) show that hydrogen can diffuse through also in atomic form [40], which is in agreement with our findings.

Porosity of the film could increase both the efficiency of the hydrogen exchange and hydrogen permeation in the film enabling gases to penetrate and interact also deeper in the film. Many amorphous LD films are known to be pinhole free [4,41] and this was confirmed also here with helium ion microscopy (HIM) (See Supplementary A.10a and .10b ). With the nominal HIM resolution of 0.5 nm no detectable porosity could be observed.

#### 4. Conclusions

Although ALD alumina films have been suggested and used as possible gas barriers, it is clear that at least hydrogen is able to transport through the film rather easily in a warm and humid environment if the initial hydrogen concentration of the film is high. Our results indicate that the hydrogen transport proceeds via hydrogen exchange reactions. Therefore it is possible to decrease the hydrogen transport by controlling the amount of hydrogen left in the film during the deposition. This can be achieved by careful selection of precursors or increasing the deposition temperature and using very long purging times. Optionally also post-deposition annealing can be applied. However, the coated material in barrier applications is commonly a polymer, which many times uses out high deposition temperatures. Longer purges can increase the deposition times, possibly by an order of magnitude, which reduces the throughput significantly making the LD- $\text{Al}_2\text{O}_3$  films as hydrogen permeation barriers not so attractive method.

As demonstrated here, a significant amount of hydrogen is stored in the films and hydrogen can also move through the film if the concentration is high. Oddly enough, this could open opportunities using LD- $\text{Al}_2\text{O}_3$  films as proton conductors [42]. ALD also offers various ways to control the hydrogen content and diffusion.

In order to study the hydrogen incorporation in the films, we replaced  ${}^1\text{H}_2\text{O}$  with  ${}^2\text{H}_2\text{O}$ . Change of isotope in a molecule should not change the chemistry since the element is not changed. However, in the case of hydrogen, replacing hydrogen with deuterium doubles the mass of the atom and it affects the film growth. Growth per cycle is decreased and impurity carbon and total hydrogen incorporated in the films increased when  ${}^2\text{H}_2\text{O}$  is used. On the other hand, the ratio between the main components, oxygen and aluminium, is independent of oxygen precursor. While there are differences in the films depending on the oxygen precursor, the general trends are similar and therefore the comparison is justified when done carefully.

It is also noteworthy to keep in mind that in addition to pulsing times and deposition temperature, purging times affect greatly the film quality and this should be taken into account especially when comparing results from different studies. Our work clearly demonstrates that even though a quick glance at the samples does not show any difference, there can be a significant difference in the compositions. If this is the case even with the close to “ideal” ALD process of  $\text{TMA}$  and water, even more care should be taken when interpreting results obtained with limited characterization techniques from more demanding processes.

#### CRedit authorship contribution statement

**S. Kinnunen:** Investigation, Visualization, Writing - original draft.  
**K. Arstila:** Investigation, Writing - review & editing. **T. Sajavaara:** Supervision, Writing - review & editing.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at [ps://doi.org/10.1016/j.apsusc.2020.148909](https://doi.org/10.1016/j.apsusc.2020.148909).

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**PII**

**HYDROGEN AND DEUTERIUM INCORPORATION IN ZnO  
FILMS GROWN BY ATOMIC LAYER DEPOSITION**

by

S. Kinnunen, M. Lahtinen, K. Arstila and T. Sajavaara

Coatings 11, (2021), 542

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Article

# Hydrogen and Deuterium Incorporation in ZnO Films Grown by Atomic Layer Deposition

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**Abstract:** Zinc oxide (ZnO) thin films were grown by atomic layer deposition using diethylzinc (DEZ) and water. In addition to depositions with normal water, heavy water (<sup>2</sup>H<sub>2</sub>O) was used in order to study the reaction mechanisms and the hydrogen incorporation at different deposition temperatures from 30 to 200 °C. The total hydrogen concentration in the films was found to increase as the deposition temperature decreased. When the deposition temperature decreased close to room temperature, the main source of impurity in hydrogen changed from <sup>1</sup>H to <sup>2</sup>H. A sufficiently long purging time changed the main hydrogen isotope incorporated in the film back to <sup>1</sup>H. A multiple short pulse scheme was used to study the transient steric hindrance. In addition, the effect of the storage of the samples in ambient conditions was studied. During the storage, the deuterium concentration decreased while the hydrogen concentration increased an equal amount, indicating that there was an isotope exchange reaction with ambient H<sub>2</sub> and/or H<sub>2</sub>O.

**Keywords:** ZnO; ALD; heavy water; diethylzinc; ToF-ERDA



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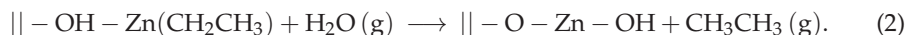
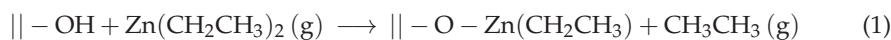
## 1. Introduction

Atomic layer deposition (ALD) is a thin film deposition technique that is widely adopted in integrated circuits [1] and other modern devices such as in organic light emitting diodes (OLEDs) [2] and perovskite solar cells [3]. Unique to ALD is its ability to control the film thickness with subnanometer precision and to produce a conformal film on top of complex three-dimensional objects as well as on porous substrates [4,5].

Zinc oxide, ZnO, is an optically transparent wide band gap semiconductor ( $E_g \sim 3.3$  eV) [6]. The electrical properties of ZnO can be tailored with doping, which makes it a versatile material for numerous applications [7]. The unique assets of ALD have attracted the attention of ALD-grown ZnO films for various applications. For example, the excellent conformality enables the deposition of ZnO on high surface area powders used in catalysis [8]. Doped ZnO can be used as transparent conductive oxide (TCO) for optoelectronics [9] and ZnO can be deposited at near room temperature [6,10–13], making ALD a suitable deposition method for temperature-sensitive substrates such as polymers. Recently, spatial atomic layer deposition (SALD) has been harnessed for high throughput and large area depositions, making ALD more interesting for industrial use [6]. In addition, ALD ZnO has been studied for its reversible wettability [14,15], and it has been found to have antibacterial properties [16].

The atomic layer deposition of ZnO using diethylzinc (DEZ) and water as precursors has been widely studied. ZnO films deposited with DEZ and water are polycrystalline with a hexagonal wurtzite crystal structure [10,17]. While the crystal structure itself does not change, the preferred orientation of the crystals can change with the deposition temperature [10,13,18]. In addition, the substrate [17] and the process parameters such as pulse and purging times [19] have been found to affect the crystal orientation.

The ideal reaction mechanism for DEZ and H<sub>2</sub>O is as follows [20]:



In the first half reaction (1), DEZ reacts with the surface OH-group, releasing ethane. The half reaction stops when all the OH-groups have reacted and the whole surface is saturated with monoethylzinc. In the second half reaction (2), water is pulsed and removes ethyl groups from zinc atoms and renews the surface with OH-groups. In the ideal case, the saturation of the surface is reached when all of the hydroxyl or ethyl groups are removed from the surface.

However, in reality, the reaction is more complex, and less than a monolayer of material is deposited in each cycle. This phenomenon is often related to the steric hindrance caused by the ligand molecules. Bulky ligands, such as ethyl groups, can cover reactive sites, and the saturation of growth is reached before every reactive site is occupied [21]. At high temperatures, the desorption of molecules providing reactive sites can also decrease the growth-per-cycle (GPC), and the self-limiting reaction is terminated due to the lack of reactive sites rather than steric hindrance [21].

Furthermore, at low temperatures, the saturation of the surface can be due to the low reactivity of the ligands. The termination of the half cycle for the low-temperature deposition of Al<sub>2</sub>O<sub>3</sub> from trimethylaluminium (TMA) and H<sub>2</sub>O was experimentally studied by Vandalon and Kessels [22]. They showed that after the H<sub>2</sub>O pulse, there were persistent CH<sub>3</sub>-groups at the surface. Their conclusion was that, at low temperatures, water is not reactive enough towards the methyl surface species, resulting in saturation. Similar results were obtained by Mackus et al. for DEZ and H<sub>2</sub>O [23]. There is also strong theoretical evidence of persistent surface groups. Both the DEZ and H<sub>2</sub>O half cycles were studied computationally by Weckman and Laasonen [24,25]. They found out that DEZ is reactive towards water even at room temperature, but water is not able to remove all the ethyl groups and the water pulse would therefore be the growth-limiting step in the reaction.

Some sensitive substrates, such as organic materials and plastics, may require a low deposition temperature. Reaction rates at these temperatures are not only slower but also the mechanism of saturation of the half cycle might be different than at higher temperatures. As a result, significant amounts of precursor-derived impurities, such as carbon and hydrogen, are incorporated in the film due to incomplete reactions. While hydrogen incorporation is sometimes even desired [26], more often, high-purity films are required, and hydrogen impurities can have a negative effect on the film properties [27]. The hydrogen concentration of ALD ZnO films has also been found to be related to the conductivity of the films [28,29].

In this study, we used heavy water, <sup>2</sup>H<sub>2</sub>O, as an oxygen source in order to probe the impurities and to study the reaction mechanisms of ZnO films deposited at low temperatures using DEZ. Hydrogen originating from the precursors is a common impurity in ALD films, and with <sup>2</sup>H<sub>2</sub>O, it is possible to distinguish whether the hydrogen in the film originates from DEZ or water. In addition, this can reveal valuable information regarding the reaction mechanisms. Heavy water is, in principle, chemically identical to normal water as none of the elements change. However, the heavier hydrogen isotope has some effect on the reactions, as discussed in the text below.

Earlier reaction mechanism studies have been performed with precursors containing rare stable isotopes, such as heavy water [30–36]. There are a few techniques that can be utilized to differentiate isotopes from each other. Quadrupole mass spectrometry (QMS) can be used to detect reaction side-products in the gas phase [31–33]. Ion beam techniques, such as time-of-flight elastic recoil detection analysis (ToF-ERDA) [30,37] and secondary ion beam mass spectrometry (SIMS) [35], can detect different isotopes within the film. In addition, IR spectroscopy can be used to resolve different isotopes [34]. In our study,



we utilized ToF-ERDA, which can directly and quantitatively measure hydrogen and its isotopes as well as the elemental composition of the films.

## 2. Materials and Methods

Thin films were deposited using a Beneq TFS 200 ALD-reactor. Nitrogen from an Inmatec PN 1150 nitrogen generator (99.999% purity) was used as a purging gas. Diethylzinc (Strem Chemicals, min. 95%) was used as a zinc precursor. Both normal deionized water  $^1\text{H}_2\text{O}$  and heavy water  $^2\text{H}_2\text{O}$  (Medical Isotopes Inc., Pelham, NH, USA. 99.9%) were used as oxygen sources. Depositions were performed at 1–2 mbar of base pressure with a constant (300 sccm)  $\text{N}_2$  flow. The effect of the temperature on ZnO films was studied using 150 ms and 500 ms pulses for DEZ and  $^1\text{H}_2\text{O}/^2\text{H}_2\text{O}$ , respectively. The purging time after the DEZ pulse was 10 s, and 20 s after  $^1\text{H}_2\text{O}/^2\text{H}_2\text{O}$ . In addition, ZnO samples were deposited using variety of purging times at 60 °C and with a multiple short pulsing (MSP) scheme at 40 °C.

Atomic force microscopy (AFM), helium ion microscopy (HIM) and powder X-ray diffraction (XRD) were used to study the crystallinity of the deposited films. AFM imaging was done using Bruker Dimension Icon in the peak force tapping mode. XRD measurements were carried out using a Malvern Pananalytical X'Pert PRO diffractometer with  $\text{Cu K}_\alpha$  radiation ( $\lambda = 1.54187 \text{ \AA}$  via Ni  $\beta$ -filter; 45 kV, 40 mA). Data processing and search-match phase analyses were carried out using the program X'pert HighScore Plus v. 4.9 and ICDD-PDF4+ database (version 2020) [38,39]. Cross sections of cleaved ZnO samples were imaged with a Carl Zeiss Orion NanoFab helium ion microscope using a 30 keV helium beam at a 45° angle.

Thin film elemental depth profiles were measured with ToF-ERDA using a 13.615 MeV  $^{127}\text{I}^{7+}$  ion beam [40]. Analysis was done using Potku-software [41].

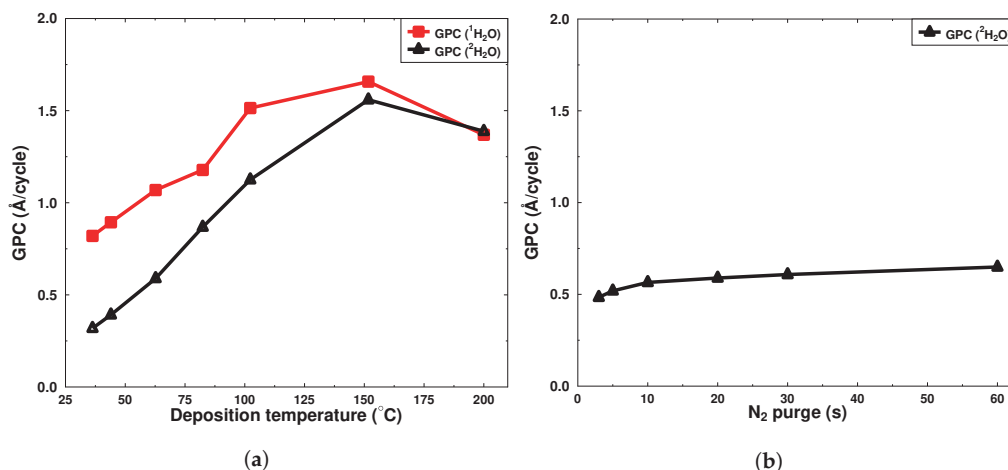
Film thicknesses were measured with a Rudolph AUTO EL III ellipsometer using a 632.8 nm wavelength.

## 3. Results and Discussion

All the deposited ZnO films were found to be slightly oxygen rich according to the ToF-ERDA measurements. The measured O/Zn ratio varied between 1.33 and 1.09, and the O/Zn ratio decreased with increasing deposition temperature. Due to the scattering effects, the amount of Zn in the film was slightly underestimated [42]. However, oxygen rich ZnO films deposited with ALD, especially at low deposition temperatures, have been reported previously [10,27,43–45]. The high oxygen concentration in the ZnO films was attributed to the zinc vacancies [44] in the crystal as well as to the oxygen interstitials [43]. In addition, hydrogen impurities were proposed to occupy the Zn-sites in the crystal [27].

The growth-per-cycle increased with increasing deposition temperature, reaching 1.7 Å/cycle at 150 °C when  $^1\text{H}_2\text{O}$  was used (Figure 1a and Table 1). When the deposition temperature was further increased to 200 °C, the GPC decreased. This expected and well-documented decrease in GPC has been attributed to both the loss of OH-groups at elevated temperatures and the desorption of precursors before reacting on the surface [11,20,25]. The loss of OH-groups decreases the number of reactive sites for DEZ and results in a lower GPC. The GPC results in this study are somewhat lower than previously reported in the literature [10,11,20] but still comparable. The observed differences could have originated from multiple sources such as reactor design, reactor pressure and purging times [46]. When heavy water was used instead of normal water (Figure 1a), the GPC was significantly lower, especially at low temperatures. This can be attributed to the kinetic isotope effect. The use of a heavier hydrogen isotope increased the activation energy of the reaction, which slowed down the reaction rate. Therefore, the kinetic isotope effect can be thought of as equivalent to the decrease in the temperature. The effect became less significant as the temperature increased and there was enough energy for the reaction to reach completion. The kinetic isotope effect is utilized, for example, in chemical reaction

mechanism studies [47], where an isotopic substitution changes the reaction rate if a bond at or near the substitute plays a role in the reaction.



**Figure 1.** (a) Growth-per-cycle as a function of the deposition temperature. One ALD cycle consisted of a 150 ms DEZ pulse, 10 s purge, 500 ms  $^1\text{H}_2\text{O}/^2\text{H}_2\text{O}$  pulse and 20 s purge. (b) Growth-per-cycle as a function of the purging time using DEZ and  $^2\text{H}_2\text{O}$  as precursors at 60 °C. The purging times after both precursors were kept the same when the purging time was 3, 5 or 10 s. For the longer purging times, only the purge after  $^2\text{H}_2\text{O}$  was increased, and the purge after DEZ was kept at 10 s.

**Table 1.** The main results of ZnO samples deposited with both  $^1\text{H}_2\text{O}$  and  $^2\text{H}_2\text{O}$  at different deposition temperatures. Each cycle consisted of a 150 ms DEZ pulse, 10 s purge, 500 ms  $^1\text{H}_2\text{O}/^2\text{H}_2\text{O}$  pulse and 20 s purge.

Sample	T (°C)	$^1\text{H}$ (at.%)	$^2\text{H}$ (at.%)	C (at.%)	Thickness (nm)	RMS Roughness (nm)	GPC (Å/cycle)
DEZ+ $^2\text{H}_2\text{O}$							
D30	30	10.7	0.7	1.3	45	3.6	0.32
D40	40	8.7	1.0	0.8	47	3.5	0.39
D60	60	1.4	3.3	0.3	59	3.4	0.59
D80	80	0.7	2.4	0.2	87	5.7	0.87
D100	100	0.5	1.6	0.2	113	7.5	1.13
D150	150	0.1	0.7	0.2	156	6.2	1.56
D200	200	>0.1	0.4	>0.1	139	-	1.39
DEZ+ $^1\text{H}_2\text{O}$							
H30	30	7.8	-	0.5	82	4.5	0.82
H40	40	5.3	-	0.3	89	4.3	0.89
H60	60	3.2	-	0.1	107	4.6	1.07
H80	80	2.1	-	0.2	118	6.8	1.18
H100	100	1.6	-	0.2	155	9.2	1.55
H150	150	1.0	-	0.2	133	3.1	1.66
H200	200	0.4	-	0.1	137	-	1.37

The increase of the purging time slightly increased the growth per cycle (Figure 1b and Table 2), but the effect was only minor at 60 °C when DEZ and  $^2\text{H}_2\text{O}$  were used. Park et al. reported that long purging times have a considerable impact on the film properties [48]. In their study, they observed that at 170 °C, the GPC decreased from 2.2 Å/cycle to 1.6 Å/cycle when the purging time after the  $^1\text{H}_2\text{O}$  pulse was increased from 20 s to 120 s, thus leading them to conclude that the decrease in the GPC was due to the loss of OH-groups via dehydration, which led to a decreased concentration of the reaction sites for DEZ molecules in the next precursor pulse. A comparison with our results indicates that the effect of purging on GPC is dependent on the deposition temperature. The GPC at 60 °C is not

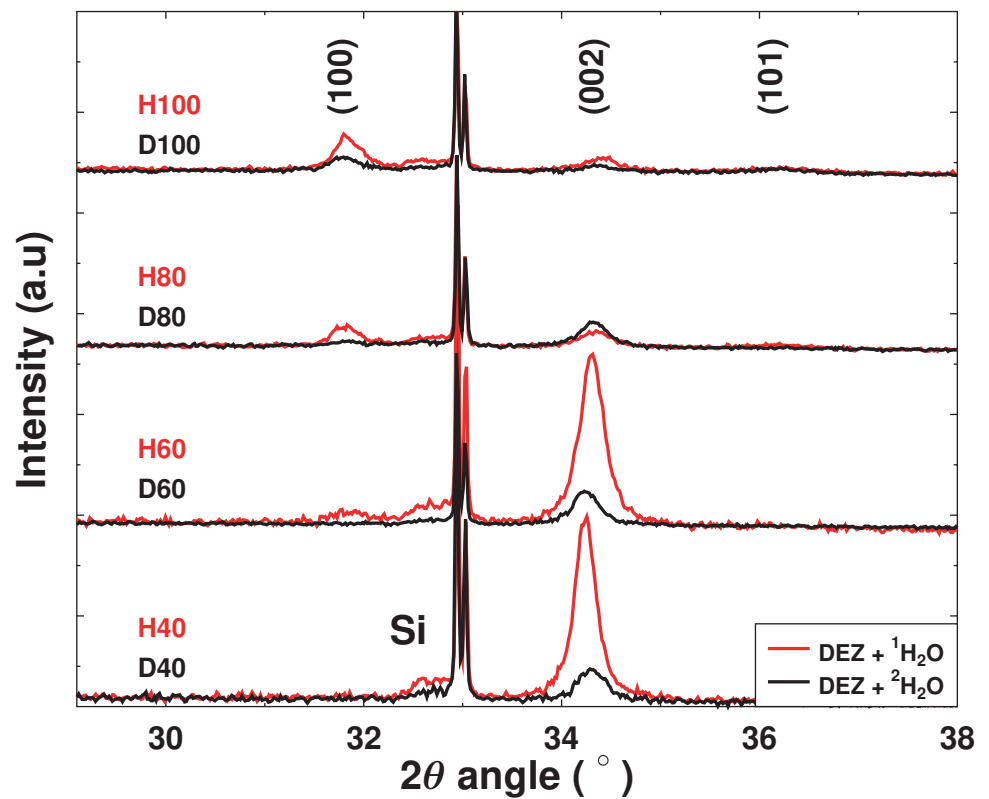
limited due to the loss of OH-groups during the purge step but rather due to the slow reactivity of the precursors. Longer purging times at low temperatures are often favored in order to avoid uncontrolled CVD-like growth resulting in a high GPC. However, no indication of CVD growth was detected, even at very short purging times, as seen in Figure 1b. The increase of the GPC with increasing purging time at 60 °C could have been due to slow reactions and the desorption of by-products. If the by-products were not desorbed from the surface when the next precursor arrived, they could block reactive sites which in turn would reduce the GPC. This transient steric hindrance is discussed later in the text. At higher deposition temperatures, the reactions and desorption of by-products would likely be fast enough so that other factors, such as the concentration of the reactive sites, would become the limiting factors to the GPC.

**Table 2.** The main results of the ZnO samples deposited at 60 °C with varying purging times. The precursor pulse times were kept at 150 ms and 500 ms for DEZ and  $^2\text{H}_2\text{O}$ , respectively.

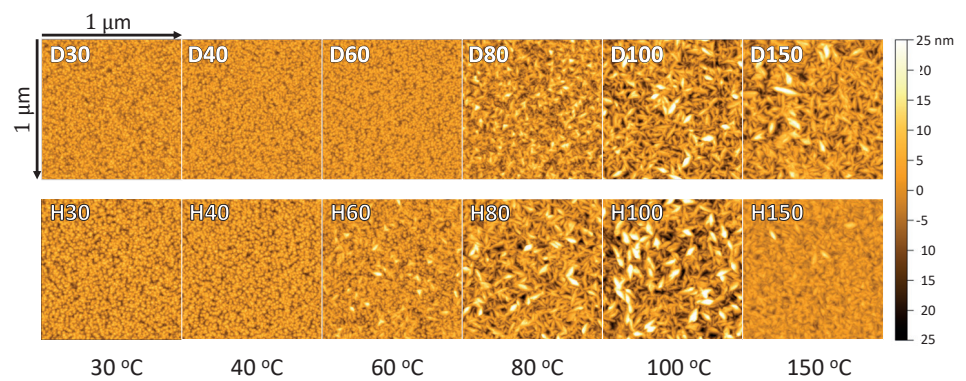
Sample	N <sub>2</sub> Purge (s)	<sup>1</sup> H (at.%)	<sup>2</sup> H (at.%)	C (at.%)	Thickness (nm)	RMS Roughness (nm)	GPC (Å/cycle)
N3	3	8.5	1.0	0.7	48	3.3	0.48
N5	5	3.4	4.2	0.7	52	2.7	0.52
N10	10	1.9	3.4	0.3	57	3.3	0.57
N20	20	1.4	3.3	0.3	59	3.4	0.59
N30	30	1.3	3.1	0.3	61	3.1	0.61
N60	60	1.0	2.7	0.2	65	3.4	0.65

The effect of using  $^2\text{H}_2\text{O}$  instead of  $^1\text{H}_2\text{O}$  on film crystallinity and surface morphology was studied with XRD, AFM and HIM. The diffraction peaks characteristic to the wurtzite structure in Figure 2 (JCPDS 01-084-6784 [49]) show a transformation of preferred orientation from (002) to (100), as reported by Malm et al. [10] and also by Cai et al. [11] and Guziewicz et al. [12]. At low temperatures (40 °C and 60 °C), the preferred orientation of the crystals was (002), and this transformed to (100) at higher temperatures (100 °C). However, the crystallinity in the films was quite low, as indicated by the broad peak profiles and low intensities of the characteristic peaks. The films deposited with  $^1\text{H}_2\text{O}$  were more crystalline than the films deposited with  $^2\text{H}_2\text{O}$ . Some of this difference can be attributed to thinner films due to the lower GPC, as seen in Table 1. The film deposited at 40 °C with  $^2\text{H}_2\text{O}$  was 47 nm thick, while the film deposited with  $^1\text{H}_2\text{O}$  was 89 nm thick. At 100 °C, the thicknesses were 113 and 155 nm, respectively. However, the trend of change in the orientation was similar for both  $^1\text{H}_2\text{O}$  and  $^2\text{H}_2\text{O}$ .

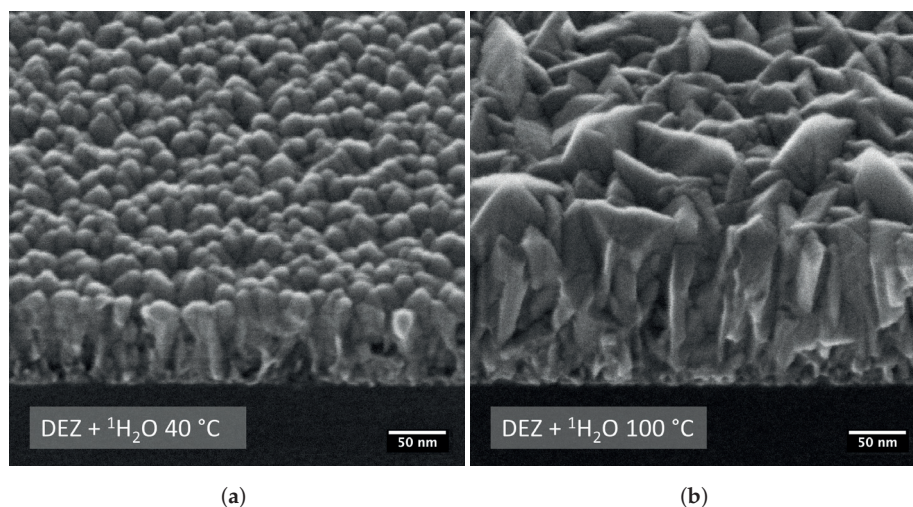
The atomic force microscope micrographs in Figure 3 confirm the transformation of the preferred crystal orientation from (002) to (100). The change in crystal orientation is visible in AFM micrographs at lower temperatures than in XRD patterns. The same transformation is also evident from the HIM images in Figure 4a,b. Cross-sectional HIM images also reveal that neither the crystallinity nor the orientation changed considerably within the film. HIM images of ZnO films deposited at 40, 60, 100 and 150 °C with both normal and heavy water are presented in Supplementary Figures S1 and S2. The root-mean-square (RMS) roughness (calculated from the AFM micrographs) of the films with a preferred (002) orientation varied from 3.4 to 4.5 nm, as seen in Table 1. The roughness increased at higher temperatures when the (100) orientation became visible, with its highest value of 9.2 nm in the case of the sample deposited with  $^1\text{H}_2\text{O}$  at 100 °C. When  $^1\text{H}_2\text{O}$  was used as an oxygen source, crystals with (100) orientation were visible already at the deposition temperature of 60 °C. In the case of heavy water, the (100) oriented crystals became visible only at 80 °C and above as seen in Figure 3. This also supports the earlier claim that the use of  $^2\text{H}_2\text{O}$  has a similar effect on the deposition process as the decrease in the deposition temperature.



**Figure 2.** XRD patterns of selected ZnO films deposited at different temperatures with both  $^1\text{H}_2\text{O}$  (red) and  $^2\text{H}_2\text{O}$  (black). Films deposited with  $^2\text{H}_2\text{O}$  are thinner than the films deposited with  $^1\text{H}_2\text{O}$ , which affects the peak intensity. The double peak at  $33^\circ$  originates from the silicon substrate.



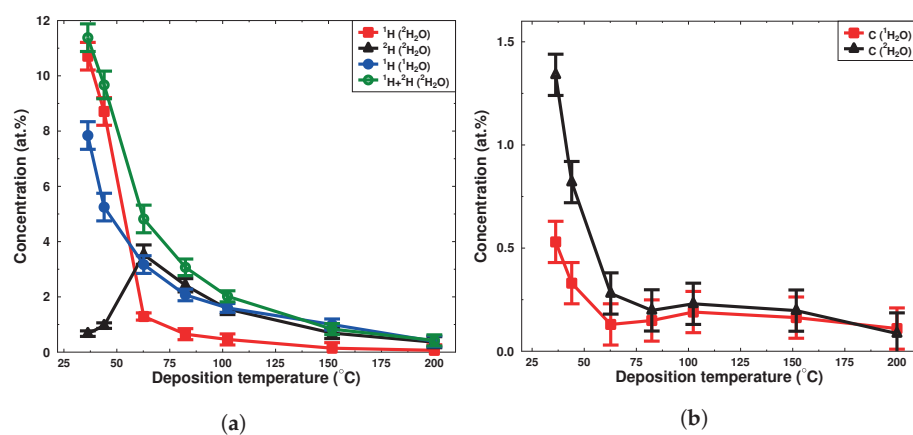
**Figure 3.** AFM micrographs of ZnO films deposited with DEZ and either  $^1\text{H}_2\text{O}$  or  $^2\text{H}_2\text{O}$  at different temperatures. The preferred crystal orientation changes as the temperature is raised. The lengths of the sides in each image are 1 μm.



**Figure 4.** Cross-sectional HIM images of ZnO films deposited with normal water at (a) 40 °C and (b) 100 °C. The cleaved samples are imaged at a 45° angle relative to the beam.

Figure 5a presents the hydrogen isotope concentrations in the ZnO films as a function of the deposition temperature (also in Table 1). As expected, the total hydrogen concentration decreased with the increasing deposition temperature. The use of heavy water yielded a higher total hydrogen concentration than when using normal water, but the general trend is very similar. The higher total hydrogen concentration of the  $^2\text{H}_2\text{O}$  process can be attributed to the kinetic isotope effect and similarly to the GPC and change in preferred crystal orientation; the use of  $^2\text{H}_2\text{O}$  induced a temperature shift to the concentration values. A heavier hydrogen isotope raises the activation energy of the reaction, and this effect is visible especially in the lower deposition temperatures as shown in Figure 5.

In a recent work, Guziewicz et al. [36] deposited ZnO with DEZ and  $^2\text{H}_2\text{O}$ . Using SIMS, they found that both deuterium and hydrogen concentrations decrease as the deposition temperature is increased from 100 to 200 °C, which is in agreement with our findings. Their  $^1\text{H}$  and  $^2\text{H}$  concentrations are slightly lower than in our measurements. The difference is evident especially in ZnO films deposited with heavy water at 100 °C. According to our study, these films contain 1.6 at.% deuterium, while Guziewicz et al. report a value around 0.5 at.%. A possible explanation for this discrepancy could be the different pulsing and purging times used in our studies. For example, the purging time can affect the impurity composition significantly, as discussed later.



**Figure 5.** (a) Hydrogen isotope and (b) carbon concentration of the films deposited at different temperatures using 150 ms DEZ and 500 ms  $^1\text{H}_2\text{O}$  or  $^2\text{H}_2\text{O}$  pulses. Purging times after the precursor pulses were 10 and 20 s, respectively.



Guziewicz et al. [36] studied the  $^1\text{H}/^2\text{H}$  incorporation above 100 °C. Interestingly, the major hydrogen isotope changed from deuterium to hydrogen when the deposition temperature was decreased below 50 °C, as seen in Figure 5a. A similar phenomenon was observed in our previous study when  $\text{Al}_2\text{O}_3$  films were deposited from trimethyl aluminum (TMA) and heavy water [37]. Both TMA and DEZ are organometallic alkyls whose chemical characteristics could be expected to be similar. However, the TMA +  $\text{H}_2\text{O}$  process produces amorphous films even at 600 °C [17], whereas ZnO films deposited using DEZ+ $\text{H}_2\text{O}$  are crystalline even near the room temperature, as seen in Figures 2 and 3 and shown by Malm et al. earlier [10]. Therefore, the crystallinity of the film does not seem to be a contributing factor for impurity incorporation.

The high  $^1\text{H}$  concentration at low temperature can be attributed to persistent ethyl groups [23,25] which behave similarly to persistent methyl groups in the case of the TMA+ $\text{H}_2\text{O}$  process [22,34]. In these earlier studies, it was shown both computationally and experimentally that at low temperatures water is not reactive enough to remove all the methyl/ethyl groups from the surface. The persistent alkyl-groups remain at the surface even after multiple water exposures. There is also some evidence for co-operative mechanisms of surface groups, as it has been shown that multiple methyl/ethyl groups react with a lower reaction barrier compared to isolated groups [24,50]. These isolated alkyl groups are then buried into the film during the next ALD cycles.

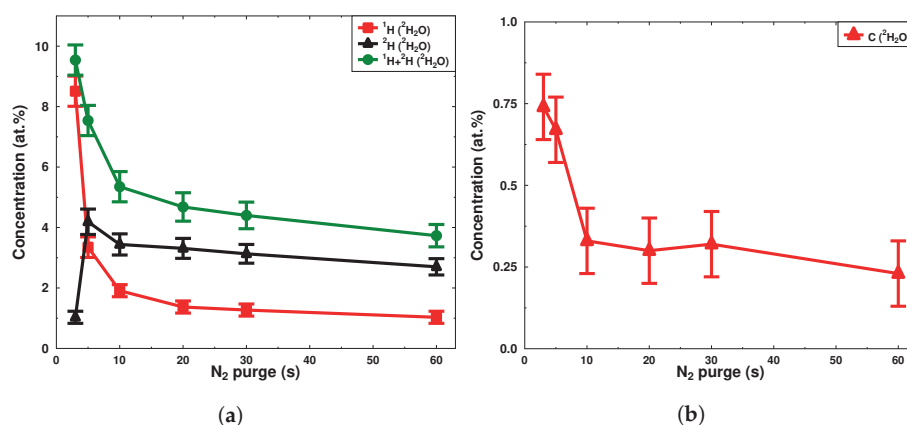
The carbon concentration of the ZnO films follows a similar increasing trend with the hydrogen concentration as the deposition temperature is decreased, as seen in the Figure 5b, and the carbon concentration starts to increase rapidly as the deposition temperature goes below 50 °C. However, it must be noted that the films contain much more  $^1\text{H}$  or much less carbon than what would be expected if these impurities only originated from persistent ethyl groups. If hydrogen originated mainly from the unreacted ethyl groups, the majority of the carbon in the film would need to escape via some yet unknown mechanism. One possible mechanism studied by Weckman and Laasonen was the  $\beta$ -elimination of two  $\text{Zn-CH}_2\text{CH}_3$  surface groups following a release of gaseous  $\text{C}_2\text{H}_4$  and  $\text{C}_2\text{H}_6$ . However, according to their calculations, the activation energy (2.52 eV) for  $\beta$ -elimination is too high to play any significant role on skewing the C/ $^1\text{H}$  ratio [25].

At low temperatures, the reduced desorption rate of molecular water from the surface is thought to be a problem for ideal ALD-growth. The growth would therefore not be self-limited, as more than a monolayer of water stays on the surface even after long purging times. However, as mentioned above, a decrease in the deposition temperature (Figure 5a) or in the purging time (Figure 6b) decreases the amount of deuterium dramatically as the concentration of hydrogen increases. It would be an easy assumption to make that the physisorbed heavy water would lead to a higher deuterium concentration and/or to uncontrolled chemical vapor deposition-like (CVD) growth. However, no evidence of uncontrolled CVD growth with very high GPC was found, as can be seen in Figure 1a,b. More interestingly, in our previous study, the TMA +  $^2\text{H}_2\text{O}$  process showed CVD-like growth already at 60 °C [37]. In addition, the  $\text{Al}_2\text{O}_3$  films investigated in that study contained roughly two times more hydrogen than ZnO films deposited at the same temperature. It could be assumed that if the uncontrolled growth were mainly due to the adsorption of multiple layers of water, the CVD-growth should be clearly visible for both DEZ and TMA at equivalent temperature. Thus, our observations indicate that there exists either some DEZ/TMA related CVD-growth or the water sticks on the  $\text{Al}_2\text{O}_3$  surface more tightly than on the ZnO surface.

The effect of the purging time on the impurity composition of the ZnO films was studied by pulsing DEZ and  $^2\text{H}_2\text{O}$  at 60 °C with different purging schemes. Both hydrogen and deuterium concentrations and corresponding carbon concentrations are tabulated in Table 2 and plotted in Figure 6a,b, respectively. The effect of the purging shows a very similar trend as that obtained by changing the deposition temperature. Longer purging times resulted in lower  $^2\text{H}$  and  $^1\text{H}$  concentrations, while very short purging times resulted in a dramatic drop in  $^2\text{H}$  and an increase in  $^1\text{H}$  concentrations. The higher  $^1\text{H}$  concentration was also correlated with the higher carbon concentration. These results indicate that the

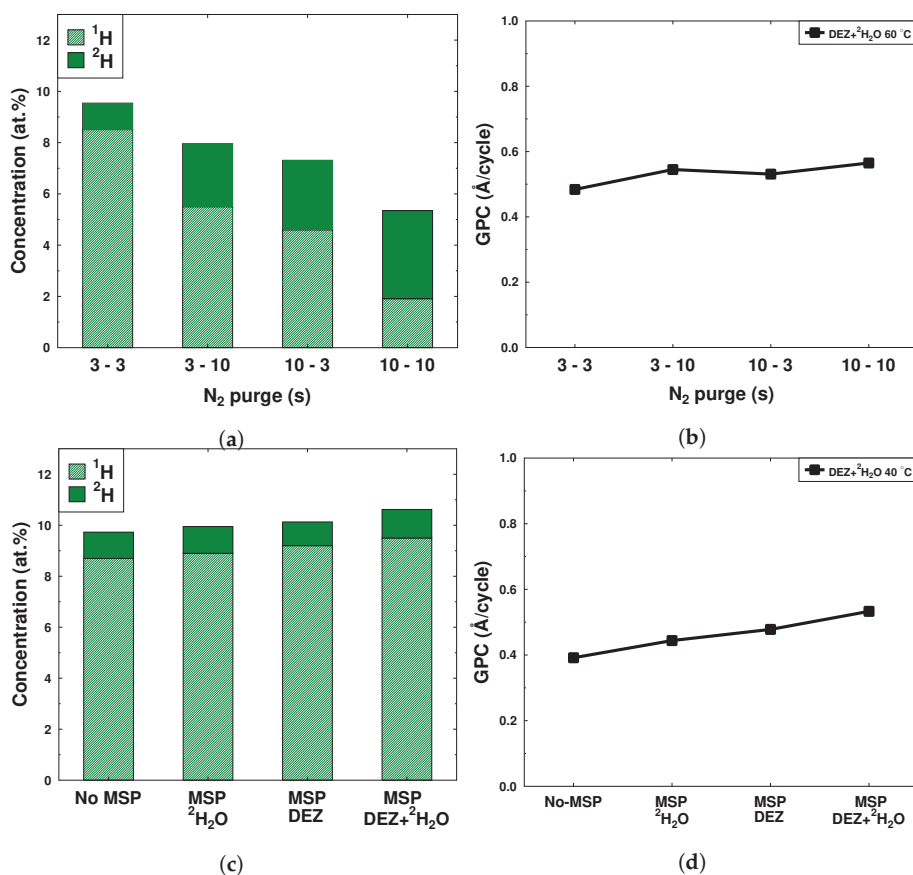
change of the primary hydrogen isotope at low temperatures is also related to the purge time, as a shortening of the purge time has a similar effect to decreasing the deposition temperature. The effect of the purging on the roughness and crystallinity of the films was also studied with AFM (Table 2), but no noticeable difference on surface morphology was observed between the samples with different purging times.

For short purging times, the cause of the low deuterium and high hydrogen concentrations is more difficult to explain. Cai et al. used a quartz crystal microbalance (QCM) to measure the mass change during the DEZ and H<sub>2</sub>O pulses [11]. In principle, the mass change during the water pulse should be negative, since the heavier ethyl-group is replaced by a lighter OH-group. However, Cai et al. observed that the mass change during the water pulse was slightly positive. Similar results have previously been reported also by Yousfi et al. [20] and Elam and George [51]. Elam and George concluded that the DEZ molecule reacts with more than one OH-group, leaving the bare zinc atoms on the surface. Water can then react with these undercoordinated Zn atoms, balancing the mass loss from ethyl–water exchange reactions. According to Cai et al., approximately 1.5 OH-groups react with each DEZ molecule when the deposition temperature is between 80 and 250 °C, but at 30 °C, DEZ reacts with two OH-groups. This could well explain our observation of the smaller amount of deuterium at low temperatures. On the other hand, DEZ reacting with the OH-groups should also lead to low <sup>1</sup>H and C concentrations, which contradicts our results. In addition, Weckman and Laasonen calculated that the second ligand exchange leading to bare zinc atoms has a high reaction barrier, making the second ligand exchange feasible only at higher temperatures [24].



**Figure 6.** (a) Hydrogen and (b) carbon concentration as a function of the N<sub>2</sub> purge time. All the samples were deposited at 60 °C using 150 ms DEZ pulses and 500 ms <sup>2</sup>H<sub>2</sub>O pulses. The purging time between the pulses was varied. The purging times after both precursors were kept the same when the purging time was 3, 5 or 10 s. For longer purging times, only the purge after <sup>2</sup>H<sub>2</sub>O was increased, and the purge after DEZ was kept at 10 s.

A question arises regarding whether the purging of DEZ and <sup>2</sup>H<sub>2</sub>O is more important than the deposition temperature for the incorporation of the impurities and <sup>1</sup>H/<sup>2</sup>H concentrations. Therefore, more detailed purging experiments were performed at 60 °C with purging schemes of 3/3 s, 3/10 s, 10/3 s and 10/10 s after DEZ and <sup>2</sup>H<sub>2</sub>O pulses, respectively. Results shown in Figure 7a indicate that there was a small preference for a lower total hydrogen concentration when purging after a longer DEZ pulse. However, there was no significant difference in <sup>1</sup>H or <sup>2</sup>H concentrations if the purging was changed from 3/10 s to 10/3 s. It seems that the total purging time of the ALD cycle is a more important factor in the hydrogen and deuterium incorporation. A longer purge also led to a somewhat higher GPC, as shown in Figure 7b. It is also possible that the ligands from the previous pulse, which had not yet reacted, or by-products which had not yet desorbed from the surface, could block reactive sites when the next precursor pulse arrived.



**Figure 7.** (a) Hydrogen and deuterium concentration with different  $\text{N}_2$  purge schemes deposited at 60 °C. (b) Growth per cycle with different  $\text{N}_2$  purging schemes deposited at 60 °C. (c) Hydrogen and deuterium concentration when multiple short pulses (see text) were used at 40 °C. (d) Growth per cycle using multiple short pulsing at 40 °C.

We also studied whether the transient steric hindrance proposed by Wang et al. [52] could explain our data. Wang et al. deposited ZnO films from DEZ and  $^1\text{H}_2\text{O}$  using multiple short pulses (MSP), and they divided one pulse into shorter separated pulses while keeping the total pulse time (i.e., precursor exposure) the same. Using the MSP increased the growth per cycle, and their conclusion was that the slow desorption of byproducts and unreacted precursor molecules blocked some of the reactive sites when a single pulse was used. Multiple short pulses gives more time for desorption, allowing more precursors to adsorb, leading to a higher overall intake of material per cycle. It is known from the QCM studies that the decrease of the surface species (i.e., weight) can take seconds even at 177 °C [51].

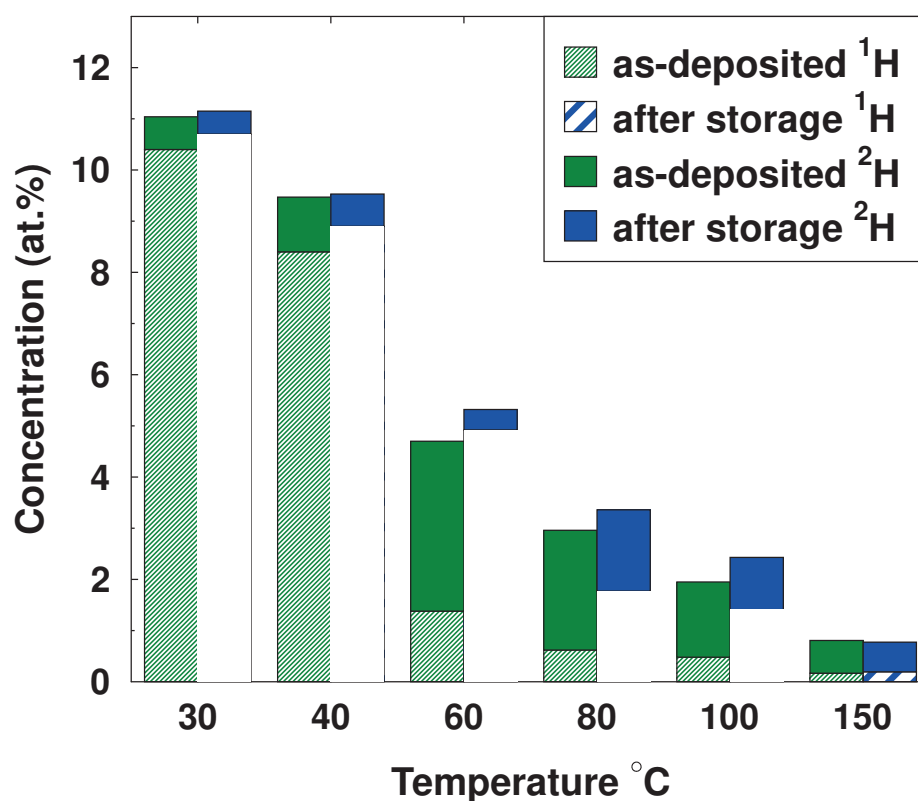
In order to study this hypothesis, ZnO films were deposited at 40 °C using a recipe in which the pulses were divided into three shorter pulses so that the total precursor dose remained unchanged. This was done using  $3 \times 50$  ms pulses for DEZ and  $3 \times 167$  ms for heavy water. The purge time between the short pulses was set to 1 s. In order to keep the total cycle time the same as in the previous depositions, purging times of 8 s and 18 s were used instead of 10 s and 20 s after DEZ and heavy water, respectively. Three different samples were deposited: MSP for both DEZ and water, MSP only with  $^2\text{H}_2\text{O}$  and MSP only with DEZ.

Hydrogen and deuterium concentrations of the films deposited with the MSP process along with conventional single pulse deposition are shown in Figure 7c. Multiple short pulses did not significantly change the  $^2\text{H}$  concentration but slightly increased the  $^1\text{H}$  concentration in each case. The highest total hydrogen concentration was measured when MSP was used for both DEZ and  $^2\text{H}_2\text{O}$ , and at the same time, the GPC increased more



than 25% from 0.40 to 0.53 Å/cycle. These two results are somewhat contradictory, as an increase in the GPC would be an indication of the transient steric hindrance proposed by Wang et al. [52]. On the other hand, this should lead to a film with fewer impurities, but our results indicated the opposite. The reason behind the contradiction remains unknown and requires further studies. It seems that the total purging time is the most significant factor affecting the film composition, as shown in Figure 7a. Nevertheless, the MSP seems to increase the GPC significantly without increasing the cycle time, and the faster low-temperature deposition is beneficial for industrial applications.

In order to study the hydrogen (deuterium) migration and exchange reactions in the film, samples deposited with  $^2\text{H}_2\text{O}$  were measured again after two months of storage in ambient conditions. The results (Figure 8) show that the sum of the hydrogen and deuterium in the film increased slightly during the storage. Simultaneously, the  $^2\text{H}$  concentration in the film decreased considerably while the  $^1\text{H}$  concentration increased. Especially in the sample deposited at 60 °C, which had the highest deuterium concentration to begin with, almost all the deuterium was replaced with hydrogen.



**Figure 8.** Change in the  $^1\text{H}/^2\text{H}$  concentration after two months of storage at ambient conditions. For example, in the far left bar, the film deposited at 30 °C contains 10.4 at.%  $^1\text{H}$  and 0.64 at.%  $^2\text{H}$  immediately after the deposition and 10.7 at.%  $^1\text{H}$  and 0.45 at.%  $^2\text{H}$  after the storage.

This decrease in the deuterium concentration was most likely caused by an exchange reaction between the deuterium atoms in the film surface and hydrogen atoms from either molecular hydrogen  $\text{H}_2$  or from water vapor, which are found in air. Since these gases contain only a very minor amount of deuterium, the deuterium concentration in the film would decrease. This exchange does not only take place in the film surface, but the deuterium concentration also decreases through the cross-section of the film, even if it is 100 nm thick. Analogous results were obtained in our previous study with  $\text{Al}_2\text{O}_3$  [37]. However, the ALD ZnO films are crystalline whereas  $\text{Al}_2\text{O}_3$  films are amorphous.

#### 4. Conclusions

The atomic layer deposition of ZnO at low temperatures is a complex process. Our study shows that there are probably multiple processes that occur at the same time, including the fast primary reactions of the ALD growth and the slower secondary reactions that can take place even below the outermost layer of atoms. This is demonstrated by varying the purging time, which has a significant effect on the impurity levels of the films. All the films deposited in this study had a uniform thickness throughout the reactor and did not show any hint of CVD-growth even though the elemental compositions of the films were significantly different. This can influence the film properties. Therefore, it is important to describe the deposition conditions in great detail. This also means that great care must be taken when the results from multiple studies are compared, especially at low deposition temperatures.

The use of stable isotopes gives valuable information regarding the reactions, especially when the deposition conditions are not ideal; i.e., at low temperatures where the reaction rate can be slow and adequate purging times impractically long. The high  $^1\text{H}$  and low  $^2\text{H}$  concentrations in ZnO films at low temperatures and with short purging times indicate the existence of some unidentified mechanism that may govern the growth in these non-ideal conditions. The second ligand exchange reaction suggested by Cai et al. [11] was found to be an unlikely candidate at low temperatures, since  $^1\text{H}$  and C concentrations increased rapidly at low temperature.

The high  $^1\text{H}$  and low  $^2\text{H}$  concentration at low deposition temperatures could be an indication of persistent non-reactive ligands, as suggested by Weckman et al. [25] and Mackus et al. [23]. More reactive DEZ would remove practically all the possible OH-groups, leading to decreased  $^2\text{H}$  and increased  $^1\text{H}$  concentrations. However, there are two observations contradicting this explanation: firstly, there was a lower concentration of carbon in the film than what would be expected based on the  $^1\text{H}$  concentration or vice versa; secondly, shortening the purging time led to a similar effect as decreasing the deposition temperature, which also implies the existence of some slower yet unknown reaction mechanism, as discussed above.

There is also a transient steric hindrance component which decreases the GPC at low temperature. Multiple short pulses can be used to tackle this problem, as a higher GPC can be achieved without increasing the ALD cycle time, making the low-temperature process faster. Changing from a single pulse to the MSP does not, however, change the impurity concentrations of the films. This can be achieved only through longer purging, as shown above. The longer purging times also lead to a somewhat higher GPC. Therefore, there might be a transient steric hindrance component related also to this slower process of removing the persistent methyl groups from the film. These species can also block the reactive sites which become available to the precursor only after the long purging.

The kinetic isotope effect due to heavier deuterium induces an effect similar to decreasing the deposition temperature, which must be taken into account when comparing our results with results from different studies. However, replacing normal water with heavy water seems to reproduce similar trends in terms of the GPC, impurity concentration and crystallinity, validating the use of heavy water when studying the DEZ +  $\text{H}_2\text{O}$  ALD-process.

**Supplementary Materials:** The following are available online at <https://www.mdpi.com/2079-6412/11/5/542/s1>, Figure S1: HIM- $^1\text{H}_2\text{O}$ , Figure S2: HIM- $^2\text{H}_2\text{O}$ .

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### Abbreviations

The following abbreviations are used in this manuscript:

AFM	Atomic force microscopy
ALD	Atomic layer deposition
CVD	Chemical vapor deposition
DEZ	Diethylzinc
GPC	Growth per cycle
HIM	Helium ion microscopy
IR	Infrared
MSP	Multiple short pulses
OLED	Organic light emitting diode
QCM	Quartz crystal microbalance
QMS	Quadrupole mass spectrometry
RMS	Root-mean-square
SIMS	Secondary ion mass spectrometry
TMA	Trimethylaluminium
ToF-ERDA	Time-of-flight elastic recoil detection analysis
XRD	X-ray diffraction

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**PIII**

**SPATIAL ALD OF  $\text{Al}_2\text{O}_3$  AND  $\text{ZnO}$  USING HEAVY WATER**

by

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# Spatial ALD of Al<sub>2</sub>O<sub>3</sub> and ZnO using heavy water

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## ABSTRACT

Al<sub>2</sub>O<sub>3</sub> and ZnO thin films were deposited from trimethylaluminium (TMA) and diethylzinc (DEZ) in combination with water using cylindrical rotating substrate spatial atomic layer deposition (SALD). The depositions were done between 67 and 140 °C. The growth per cycle for Al<sub>2</sub>O<sub>3</sub> varied between 0.75 and 1.0 × 10<sup>15</sup> at./cm<sup>2</sup> per cycle and for ZnO between 0.52 and 1.07 × 10<sup>15</sup> at./cm<sup>2</sup> per cycle. The hydrogen incorporation was studied by varying the deposition temperature and substrate rotation speed. The rotation speed affects the precursor exposure time (46–458 ms) and inert gas purging time (111–1120 ms). The results show that Al<sub>2</sub>O<sub>3</sub> films can contain up to 25 at.% of hydrogen when the deposition temperature is 67 °C or the deposition exposure/purging times are short. The deposition of ZnO in similar conditions produces films with hydrogen concentrations up to 15 at.%. The source of impurity hydrogen was investigated by using heavy water, D<sub>2</sub>O, as an oxygen source. The main hydrogen isotope in the Al<sub>2</sub>O<sub>3</sub> films at low temperatures and fast deposition speed was found to be <sup>1</sup>H, while at higher temperatures and lower deposition speeds <sup>2</sup>H isotope was dominant. For ZnO, <sup>1</sup>H was the majority hydrogen isotope in the films in all the studied deposition conditions except at the lowest rotation speed.

## 1. Introduction

Conventional pulsed atomic layer deposition (ALD) consists of two or more precursor pulses separated in time with inert gas purging in between. Atomic layer deposition is a widely studied and used method to deposit pinhole-free films with sub-nanometer thickness control, and it enables precise deposition on complex 3D-structures and high-aspect-ratio substrates [1–3]. While the conventional ALD can be considered as a temporal ALD, spatial atomic layer deposition (SALD) separates the precursor pulses in space rather than in time. This enables faster deposition, for example, for solar cell passivation [4–7] and the construction of roll-to-roll (R2R) reactors for flexible substrates [8,9]. Fast large area deposition is also desired, for example, on packaging materials [10,11], in organic electronics like memristors [12] and in organic light emitting diode (OLED) displays where thin and transparent gas and moisture permeation barriers are required [9,10,13,14]. Spatial ALD also enables completely new substrates such as large area fabrics to be coated effectively with ALD [15]. Spatial ALD has great potential also in lithium-ion battery [16–18] applications and printed electronics [19].

Temporal ALD is often performed at low pressure conditions enabling efficient gas transfer and purging of the by-products [2], while SALD can be operated even at atmospheric pressure [20,21]. This can

further increase the throughput since there is no need for pumping down to a low deposition pressure. With SALD the coating head is in close proximity to the substrate so that air cannot infiltrate to the reaction space between the coating head and substrate surface. Therefore, the deposition space and all the unreacted precursor molecules can be pumped without escaping. This removes the requirement for vacuum in the reactor space, making deposition with roll-to-roll and on large surface area substrates much more attractive.

The benefit of SALD is often believed to be that long purging times between the precursor pulses are not needed [22]. With temporal ALD at low deposition temperatures the purging times can be rather minutes than seconds [23,24]. The close proximity of precursor head to substrate significantly decreases the adsorption of precursor molecules on reactor walls, present in the temporal ALD. Therefore, much shorter purging time is required, and high speed SALD has realised deposition rates as high as 1 nm/s [25,26]. However, the picture is more complicated when the deposition temperature is low and the reaction and by-product desorption rates are slow. Understanding the non-ideal ALD-conditions is important especially when flexible organic substrates are used. These often start to deteriorate at higher temperatures required for the deposition of high quality thin films.

On the downside, spatial ALD does not enable easy and independent

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control of purging and precursor exposure time, characteristic to temporal ALD. As the precursor inlets inject the precursors continuously, also the consumption of precursors requires optimisation in order to minimise the waste of the often expensive precursors [27]. In SALD, either the substrate is moved at constant speed in close proximity to the precursor inlet regions, or the coating head containing the exposure/purge sequence is moved while the substrate remains stationary [28]. Therefore, the whole reactor configuration must be changed if the precursor exposure and purging time ratio is tuned. In order to address this challenge Yersak et al. used modular SALD-reactor where varying the exposure/purge ratio was realised through removable spacers [18]. However, in most cases with SALD it is possible to play only with purging and precursor flows and the control of process parameters is usually more limited compared to the temporal ALD.

ALD thin films deposited at low temperatures often contain significant amounts of hydrogen and other impurities originating from the precursors [23,24,29–33]. In this study we used heavy water,  $D_2O$ , instead of normal water in two common ALD processes:  $Al_2O_3$  from trimethylaluminium (TMA) and water, and ZnO from diethylzinc (DEZ) and water. ALD  $Al_2O_3$  is an amorphous dielectric and can be used as a high-k layer in several applications, but it also has been studied as a moisture barrier for OLEDs and packaging [10,13,34]. ALD ZnO is a polycrystalline wide band gap semiconductor with wurtzite crystal structure [30,35]. The electrical properties of ZnO are tunable through doping and, for example, aluminum doped ZnO (AZO) have been studied as a transparent conducting oxide [36]. In addition,  $Al_2O_3/ZnO$  nanolaminates are studied as barrier layers [37] as well as in biosensing applications due to their tunable optical properties [38–41].

Earlier we studied these two processes using heavy water with temporal ALD [24,33] and we found out that, in addition to the deposition temperature, the purging time has a significant effect on impurity incorporation. Precursors containing rare isotopes have been used to study reaction mechanisms of the temporal ALD [29,31,42,43] but to our knowledge this is the first time isotopic precursors are used to study SALD films. By varying the temperature and substrate velocity (precursor exposure/purge time) we found out that hydrogen/deuterium incorporation follows mostly similar trend as the deposition with temporal ALD in our previous studies [24,33]. However, the temperature in which the majority hydrogen isotope shifts from  $^1H$  to  $^2H$  was found to be higher than with temporal ALD. We also found out that the growth-per-cycle (GPC) of the ZnO decreases more with increasing substrate rotation speed compared to  $Al_2O_3$ .

## 2. Experimental methods

A modified spatial ALD reactor, Beneq FS 200R (Fig. 1), was used to deposit  $Al_2O_3$  and ZnO films on a flexible Ti-coated polyethylene terephthalate (PET) (Rowo Coatings). Trimethylaluminium (Strem Chemicals, min. 98%) and diethylzinc (Volatec, min. 99%) were used as metal precursors and both normal ( $^1H_2O$ ) and heavy water ( $D_2O$ , Medical Isotopes Inc., Pelham, NH, USA, 99.9%) were used as oxygen precursors. Nitrogen (Linde AG, 99.999% purity) was used as carrier gas and purging gas. All precursors were heated to 35 °C in order to increase their vapor pressure. The substrate was attached to a cylinder with a diameter of 10 cm and there is a 500  $\mu m$  gap between the precursor nozzle and the substrate. During the deposition the cylinder is rotated so that the substrate passes through stationary precursor and purging zones. The reactor consisted of 4 TMA/DEZ and 4 water inlets divided by  $N_2$  barrier flows. Due to the modification of the reactor, one rotation of the substrate cylinder effectively consists only of three ALD cycles as two of the TMA/DEZ and two of the  $H_2O$  inlets are successively as seen in Fig. 1. All  $Al_2O_3$  samples and ZnO samples deposited using normal water were grown with 333 rotations. Due to the lower GPC, ZnO samples grown with heavy water were deposited with 500 rotations. Total nitrogen barrier flow during the deposition was 4600 sccm and both metal precursor and water were delivered using  $N_2$  carrier gas flow of 100

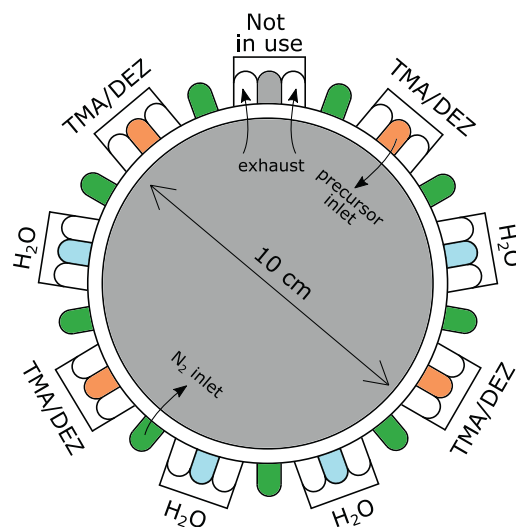


Fig. 1. Schematic of the modified SALD reactor used in the study.

sccm. During the deposition the base pressure in the reactor was 17–3 mbar depending on the deposition temperature.

Temperature series was carried out for both materials at deposition temperatures between 67 °C and 140 °C with substrate rotation speed 10 rpm. In addition, the rotation speed e.g. the precursor exposure/purge time was varied by changing the substrate rotation speed between 5 and 50 rpm at the deposition temperature 100 °C.

The elemental compositions and film thicknesses were measured with time-of-flight elastic recoil detection analysis (ToF-ERDA) [44] using 13.615 MeV  $^{63}Cu^{6+}$  incident ions for the  $Al_2O_3$  samples and 13.615 MeV  $^{127}I^{7+}$  for the ZnO samples. Elemental depth profiles were analysed with Potku software [45]. All the samples deposited with  $D_2O$  were measured immediately after the deposition. This was done to ensure that the  $D$  concentration does not change due to hydrogen exchange reactions with ambient moisture or hydrogen gas [24,33].

## 3. Results and discussion

The thicknesses and the elemental composition of the films were determined by means of ToF-ERDA depth profiles. In a ToF-ERDA measurement incident ions are accelerated in the energy range from few MeV to a few tens of MeVs towards the sample. Incident beam recoils sample atoms through elastic collisions towards a detector, in which the velocity (ToF) and the energy of these recoils are measured in coincidence. From ToF-energy histogram (Fig. 2a) different masses can be separated. This enables differentiating not only the elements but also the isotopes if there are no overlapping masses. The stopping power e.g. the energy loss of ions in medium as well as the scattering cross sections are well known. Therefore, quantitative elemental composition and a depth profile can be calculated without any reference samples [44,46].

The determination of absolute values for film thicknesses suffers from the substrate roughness and multiple scattering effects in ion beam analysis, especially with ZnO oxide films [47]. However, the relative trends in GPC can be observed and analysed. All the films (apart from  $Al_2O_3$  films deposited at 67 °C with  $^1H_2O$ ) were conformal by visual inspection. In addition, depositing  $Al_2O_3$  at 67 °C with  $D_2O$  did not produce a proper film at all with the rotation speed of 10 rpm.

As an example, Fig. 3a presents the coincidence time-of-flight and energy histogram of a  $Al_2O_3$  film deposited with TMA and  $D_2O$  at 100 °C using 5 rpm rotation speed. In Fig. 3b is shown a depth profile of the same sample. The elemental composition of all the samples is determined from the center of the film excluding both surface and interface regions.



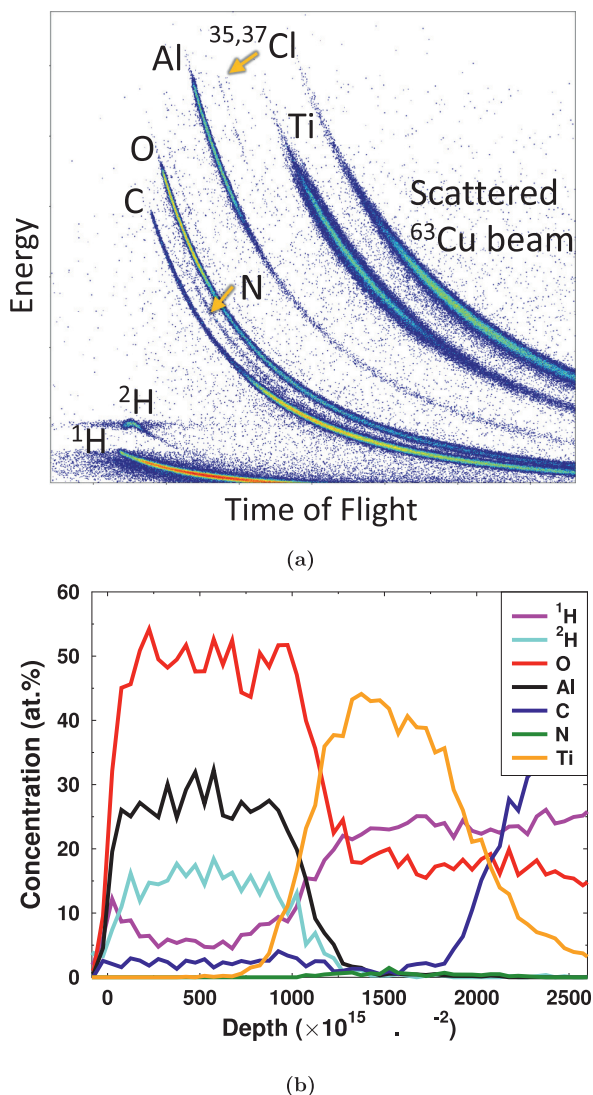


Fig. 3. a) Coincidence time-of-flight and energy histogram of  $\text{Al}_2\text{O}_3$  film deposited with TMA and  $\text{H}_2\text{O}$  at  $100^\circ\text{C}$  with 5 rpm. The film contains trace Cl at the surface and interface but it is omitted from the analysis for clarity. Minor amount of nitrogen from the Ti layer is also visible. b) Depth profile of the same sample where Ti film on top of PET substrate can be seen.

The growth-per-cycle for  $\text{Al}_2\text{O}_3$  films deposited with normal water is relatively independent on the deposition temperature between  $85$  and  $140^\circ\text{C}$  when normal water is used, as seen in (Fig. 3a) and it is in line with our previous study with the temporal ALD [24]. However, the GPC is somewhat lower than often reported in the literature [23,29,48]. Ion beam techniques can measure the thicknesses in atoms/cm rather than in nanometers. The thickness of  $1 \times 10^{15}$  at./cm corresponds roughly to  $1 \text{ \AA}$  if the density of  $\text{Al}_2\text{O}_3$  is assumed to be the bulk value of  $3.5 \text{ g/cm}^3$ . However, it must be noted that ALD films deposited at low temperatures tend to be less dense than their bulk counterparts or even the ones deposited at higher temperatures [23]. Fig. 3a shows also that the use of heavy water decreases the GPC slightly. This is most probably due to the kinetic isotope effect (KIE) which lowers the reaction rate by increasing the activation energy [49]. Similar effect has been detected for the temporal ALD [24,33,50]. It has also been previously shown with temporal ALD that water has a temperature dependent sticking probability and therefore the water pulse is the limiting factor at low temperatures [51,52]. The lower GPC, when heavy water is used, is further evidence

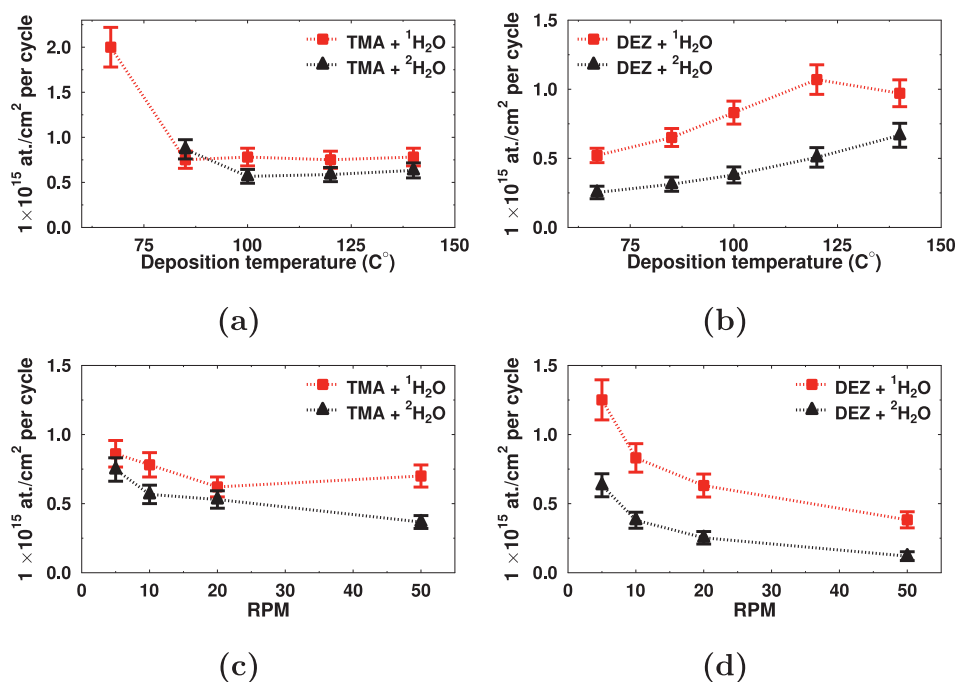
of water being the growth limiting precursor.

When the deposition temperature of  $\text{Al}_2\text{O}_3$  was decreased to  $67^\circ\text{C}$  the GPC increased rapidly due to the CVD-like growth. This is attributed in most studies to the adsorption of multiple layers of water at low deposition temperatures [23,53,54]. This will lead to excess water on the substrate surface which will in turn react with the next precursor leading to a more CVD-like growth if the purging time is not long enough for water to desorb. Maydannik et al. studied anomalous growth of SALD  $\text{Al}_2\text{O}_3$  with similar reactor design as ours. They first suspected that mixing of the precursors is due to a boundary layer formed above the rotating substrate and this caused the high GPC [55]. Later they concluded that the higher than expected GPC cannot be due to the boundary layer but must be due to the adsorbed excess water and insufficient purging at low deposition temperature [53]. Even though the CVD-growth can be a problem as shown above, the deposition of  $\text{Al}_2\text{O}_3$  has been successfully demonstrated even near room temperature by both temporal [23] and spatial [48] ALD.

Interestingly, the excess growth does not seem to apply to the  $\text{ZnO} + \text{H}_2\text{O}$  process. As seen in Fig. 3b, the anomalous CVD-growth is not observed even at  $67^\circ\text{C}$ . The same observation was done in our previous article with temporal ALD [33]. In that study we could not find CVD-growth neither at low temperature ( $40^\circ\text{C}$ ) nor with very short purging times (3 s) at  $60^\circ\text{C}$ , both of which should lead to more than a monolayer of water based on the conclusions drawn from the  $\text{Al}_2\text{O}_3$  depositions [24]. As  $\text{ZnO}$  is highly reactive towards water, it should also react with the excess water if it is available. Therefore, there are two possible intuitive explanations: 1) Adsorption of excess TMA contributes to the CVD-like growth which is absent with DEZ due to its faster desorption or 2) it is also possible, that the desorption rate of the excess water molecules on  $\text{ZnO}$  surface is much higher than on the  $\text{Al}_2\text{O}_3$  surface and therefore CVD-growth is not detected on the  $\text{ZnO}$  surface.

The effect of heavy water on  $\text{ZnO}$  GPC is similar to  $\text{Al}_2\text{O}_3$  but somewhat greater. No CVD-growth was detected even at low temperatures with heavy water, as seen in Fig. 3b. The GPC of the  $\text{ZnO}$  films seems also to be somewhat lower compared to reported values from temporal ALD studies [30,33,56,57]. Low values of GPC for  $\text{ZnO}$  deposited with SALD around  $100^\circ\text{C}$  compared to temporal ALD have also been reported by others [26,35,58]. In the case of  $\text{ZnO}$ ,  $1 \times 10^{15}$  at./cm corresponds to  $1.2 \text{ \AA}$  if the bulk density  $5.6 \text{ g/cm}^3$  is used. However, also  $\text{ZnO}$  films deposited at low temperature probably have lower density [57,59] and therefore the GPC in  $\text{ \AA}/\text{cycle}$  is higher than expected from the bulk density. The GPC of  $\text{ZnO}$  increases with temperature which is also in line with temporal ALD. With  $^1\text{H}_2\text{O}$ , the GPC starts to decrease at temperatures higher than  $120^\circ\text{C}$ . The decrease in GPC at high deposition temperatures is characteristic to DEZ +  $\text{H}_2\text{O}$  process as the desorption of the OH-groups and physisorbed  $\text{H}_2\text{O}$  starts to limit the GPC [51,60], although the maximum GPC is often observed around  $150^\circ\text{C}$  [33,56,57,61]. The temperature where the maximum GPC is achieved can depend on the process parameters as demonstrated by Nelson et al. [62]. They showed that the temperature of maximum GPC of SALD  $\text{ZnO}$  films depend on the pulse/purge time.

Both  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  films were deposited at  $100^\circ\text{C}$  with varying rotation speed. In our previous work [24] we found out that the purging time did not change the GPC of  $\text{MA} + \text{H}_2\text{O}$  deposition at  $100^\circ\text{C}$  when all the other parameters were kept constant. From Fig. 3c it can be concluded that the rotation speed has an effect on the GPC of  $\text{Al}_2\text{O}_3$ , but the reduction with increased rotation speed is much more pronounced with  $\text{ZnO}$  as seen in Fig. 3d. There is earlier evidence of  $\text{H}_2\text{O}$  soft saturation [18,19,62–64] and the increase in GPC towards slower speed could be because of the longer precursor exposure time. However, in our study with temporal ALD we showed that just by increasing the purging times increased the GPC of  $\text{ZnO}$  deposition at  $60^\circ\text{C}$  [33]. Therefore, in the case of  $\text{ZnO}$ , it is possible that the increasing GPC at slower substrate rotation speeds is not only due to the increasing exposure time but also a result of longer purging. On the other hand, at higher deposition temperatures than used in this study, increasing the purging time has been



**Fig. 3.** Growth per cycle determined from the ToF-ERDA depth profiles. GPC of a) Al O<sub>3</sub> and b) ZnO as a function of deposition temperature. The sample rotation speed was held at 10 rpm for all the samples. The GPC as a function of the substrate rotation speed at 100 °C for c) Al O<sub>3</sub> and d) ZnO.

found to decrease the GPC due to desorption of reaction sites [62,65]. The precursor exposure and purging times with different rotation speeds are shown in Table 1. However, due to the modification of the reactor (Fig. 1), there is one MA/DEZ and one H<sub>2</sub>O double pulse in every rotation. The rotation speed of 10 rpm used in temperature series with exposure time of 229 ms should be more than sufficient. While the precursor exposure times vary significantly from study to study, exposure times of even as short as 8 ms have been reported for ZnO [58]. However, exposure times in range of few tens of milliseconds are often reported for SALD processes [19,25,26,36,62,66].

In our previous study we found signs of transient steric hindrance when depositing ZnO [33] proposed by [67]. In our study we separated the precursor pulses into three shorter pulses at 40 °C while keeping the precursor exposure unchanged. This increased the GPC by 33%. This transient steric hindrance can be due to the slow reactions and slowly desorbing by-products that block the reaction sites temporarily. Similar approach was also utilised by Muneshwar and Cadien [68] and they found that bulkier ligands emphasise the effect. As ethyl-ligands in DEZ are bulkier than methyls in MA, the effect should be more pronounced with the ZnO process and this is supported by the experiment. Therefore, it is possible that the reaction rate and the desorption of by-products can inhibit the growth of ZnO if the purging is too short. It is also possible that the soft-saturation of ZnO is not a separate phenomenon but just a consequence of the transient steric hindrance.

The elemental composition of the films was determined with ToF-ERDA and all the results are presented in Tables 2–5. The stoichiometry of the main components of the films changes considerably with both

the deposition temperature (Fig. 4a and b) and rotation speed (Fig. 4c and d). Both Al O<sub>3</sub> and ZnO films were oxygen rich which seems to be typical for low temperature deposition in these processes [23,24,29,30,33,69]. Increasing both the temperature and the exposure/purge time improves the film quality and produces films closer to the stoichiometric O/Al ratio (1.5) and O/Zn ratio (1). Again, the films deposited with heavy water tend to be somewhat less optimal due to the lower reactivity of H<sub>2</sub>O. Especially ZnO deposited with high substrate velocity and heavy water is very far from stoichiometric ZnO. Measuring the O/Zn ratio with ToF-ERDA using <sup>127</sup>I ion beam suffers from the multiple scattering and as a result the concentration of Zn is somewhat underestimated [47]. However, the results presented in Fig. 4b and d show that the O/Zn ratio approaches the ideal ratio of 1 as the temperature is increased or the rotation speed is slowed. The high oxygen concentration for both Al O<sub>3</sub> and ZnO at low temperatures would imply that many unreacted OH-groups are buried in the film leading to higher than expected oxygen concentration. The hydrogen concentrations in these films point towards the same explanation.

The hydrogen impurities in the Al O<sub>3</sub> and ZnO films can originate from two sources: 1) From the metal precursor, TMA or DEZ, which both contain alkyl groups or 2) from unreacted OH-groups originating from water. The simplified reaction mechanism MA/DEZ with water leading to methane/ethane by-products has been somewhat challenged in recent years. Gurre-Nuñez et al. found a relation between the high O/Al ratio and deuterium concentration [29] in Al O<sub>3</sub> films deposited with temporal ALD. They concluded that most of the hydrogen, in the form of deuterium, is left in the films due to rehydroxylation of oxygen atoms in subsequent cycles. On the other hand, there are evidence of persistent methyl-groups in the case of Al O<sub>3</sub> [43,51] that do not react with water at low deposition temperatures even with excessive pulsing of water. Sperling et al. concluded that the presence of persistent CH<sub>3</sub> is due to the coverage dependent activation energy [70]. Towards the end of the water pulse, when CH<sub>3</sub> coverage is low, the reaction barrier increases making the reaction of isolated CH<sub>3</sub>-groups unlikely. These groups could get buried in the film in subsequent pulses. However, the films have often very low carbon concentration [24,29,30,33,43] which implies

**Table 1**

Precursor residence and purge times with different substrate rotation speeds for each individual precursor nozzle and inert gas purging.

RPM	Residence time (ms)	Purge time (ms)
5	458	1120
10	9	559
20	115	80
50	46	111

**Table**The elemental composition of Al<sub>2</sub>O<sub>3</sub> films deposited at different temperatures with both normal (<sup>1</sup>H<sub>2</sub>O) and heavy water (D<sub>2</sub>O).

	T (°C)	Al	O	C	<sup>1</sup> H	H	tot H	GPC (1 × 10 <sup>15</sup> at/cm <sup>2</sup> )	deposition rate (1 × 10 <sup>15</sup> at/cm <sup>2</sup> per s)
<sup>1</sup> H <sub>2</sub> O	67		50	1.7	6.5	–	6.5	.0	1.0
	85	8	50	3	19.5	–	19.5	0.75	0.38
	100	30	53	1.9	15.6	–	15.6	0.78	0.39
	120	30	52	3.3	14.1	–	14.1	0.75	0.38
	140	33	54	1.6	10.7	–	10.7	0.78	0.39
D <sub>2</sub> O	67	–	–	–	–	–	–	–	–
	85	4	51	1.1	3.7	0.6	4.3	0.87	0.43
	100	5	48	.7	1.9	.9	4.8	0.57	0.28
	120	9	51	1.9	4.6	14.2	18.8	0.59	0.29
	140	31	53	1.9	3.7	9.8	13.5	0.63	0.32

**Table 3**The elemental composition of ZnO films deposited at different temperatures with both normal (<sup>1</sup>H<sub>2</sub>O) and heavy water (D<sub>2</sub>O).

	T (°C)	Zn	O	C	<sup>1</sup> H	H	tot H	GPC (1 × 10 <sup>15</sup> at/cm <sup>2</sup> )	deposition rate (1 × 10 <sup>15</sup> at/cm <sup>2</sup> per s)
<sup>1</sup> H <sub>2</sub> O	67	41	50	0.7	8.6	–	8.6	0.52	0.26
	85	43	50	0.4	6.2	–	6.2	0.65	0.33
	100	45	51	0.4	4.3	–	4.3	0.83	0.42
	120	47	50	0.3	.6	–	.6	1.07	0.54
	140	47	50	0.4	.6	–	.6	0.97	0.49
D <sub>2</sub> O	67	37	49	0.7	8.2	4.9	13.1	0.25	0.13
	85	39	50	0.9	6	4	10.0	0.31	0.16
	100	39	49	1.5	6.6	3.1	9.7	0.38	0.19
	120	43	49	1.8	4.5	1.7	6.2	0.51	0.25
	140	45	50	1.1	.4	1.2	3.6	0.67	0.33

**Table 4**The elemental composition of Al<sub>2</sub>O<sub>3</sub> films deposited at with different rotation speeds at 100 °C with both normal (<sup>1</sup>H<sub>2</sub>O) and heavy water (D<sub>2</sub>O).

	RPM	Al	O	C	<sup>1</sup> H	H	tot H	GPC (1 × 10 <sup>15</sup> at/cm <sup>2</sup> )	deposition rate (1 × 10 <sup>15</sup> at/cm <sup>2</sup> per s)
<sup>1</sup> H <sub>2</sub> O	5	31	53	1.6	14.0	–	14.0	0.86	0.22
	10	30	53	1.9	15.6	–	15.6	0.78	0.39
	0	8	51	.0	19.0	–	19.0	0.62	0.62
	50	3	49	1.4	7	–	7	0.70	1.75
	5	8	49	.2	5.6	15.2	0.8	0.75	0.19
D <sub>2</sub> O	10	5	48	.7	1.9	.9	4.8	0.57	0.28
	0	4	51	1.7	.4	0.5	.9	0.53	0.53
	50	4	48	.9	3.9	0.2	4.1	0.37	0.92

**Table 5**The elemental composition of ZnO films deposited at with different rotation speeds at 100 °C with both normal (<sup>1</sup>H<sub>2</sub>O) and heavy water (D<sub>2</sub>O).

	RPM	Zn	O	C	+H	H	tot H	GPC (1 × 10 <sup>15</sup> at/cm <sup>2</sup> )	deposition rate (1 × 10 <sup>15</sup> at/cm <sup>2</sup> per s)
<sup>1</sup> H <sub>2</sub> O	5	47	51	0.3	.1	–	.1	1.25	0.31
	10	45	51	0.4	4.3	–	4.3	0.83	0.42
	0	44	49	0.6	5.8	–	5.8	0.63	0.63
	50	38	50	1.0	10.7	–	10.7	0.38	0.96
	5	45	50	0.3	1.5	.9	4.4	0.63	0.16
D <sub>2</sub> O	10	39	49	1.5	6.6	3.1	9.7	0.38	0.19
	0	37	51	1.2	7.8	3.5	11.3	0.25	0.25
	50	5	55	.8	14.6	.0	16.6	0.12	0.3

that these persistent groups are not incorporated into the film. Persistent methyl groups probably react with water in subsequent cycles but definitive explanation is not yet given. In addition, Werbrouck et al. [71] found out that there is potentially a secondary reaction pathway causing etching of the already reacted species during the H<sub>2</sub>O pulse. Similarly to TMA-process, persistent ethyl ligands have been observed to stay unreacted at the surface of the ZnO films deposited from TEOS and water at low deposition temperatures. These persistent ethyls have been both experimentally observed [72] and computationally predicted [60].

The hydrogen concentration of the Al<sub>2</sub>O<sub>3</sub> and ZnO deposited with <sup>1</sup>H<sub>2</sub>O process follows an expected trend of decreasing concentration with increasing deposition temperature, reported in many studies before with temporal ALD for both Al<sub>2</sub>O<sub>3</sub> [23,24,29,51] and ZnO [30,31,33] as

seen in Fig. 5a and c. Compared to temporal ALD, the hydrogen concentration of SALD films is somewhat higher.

When heavy water is used, the total hydrogen and deuterium concentrations are slightly higher than with the normal water, which is in agreement with isotopic effect discussed earlier. These results are also in agreement with previous work with temporal ALD [24,33]. In the case of Al<sub>2</sub>O<sub>3</sub> with heavy water, we see a change in majority hydrogen isotope (Fig. 5a) similar to our previous work with Al<sub>2</sub>O<sub>3</sub> films deposited with temporal ALD [24]. As the deposition temperature is decreased below 120 °C, the main hydrogen source changes from deuterium to hydrogen. With temporal ALD the same shift was observed between 70 and 80 °C. In addition to the temperature, this phenomenon is related to the purging times, which can explain the difference in the temperature.

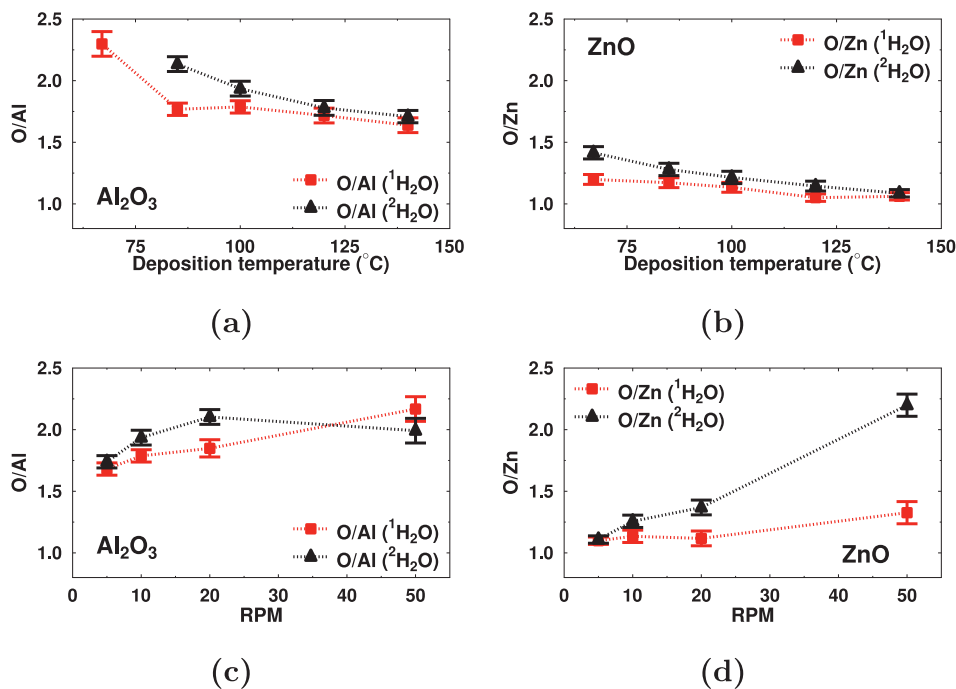


Fig. 4. Ratio of a) O/Al and b) O/Zn as a function of the deposition temperature. The rotation speed was kept at 10 rpm. Ratio of c) O/Al and d) O/Zn as a function of the rotation speed. The deposition temperature was kept at 100 °C.

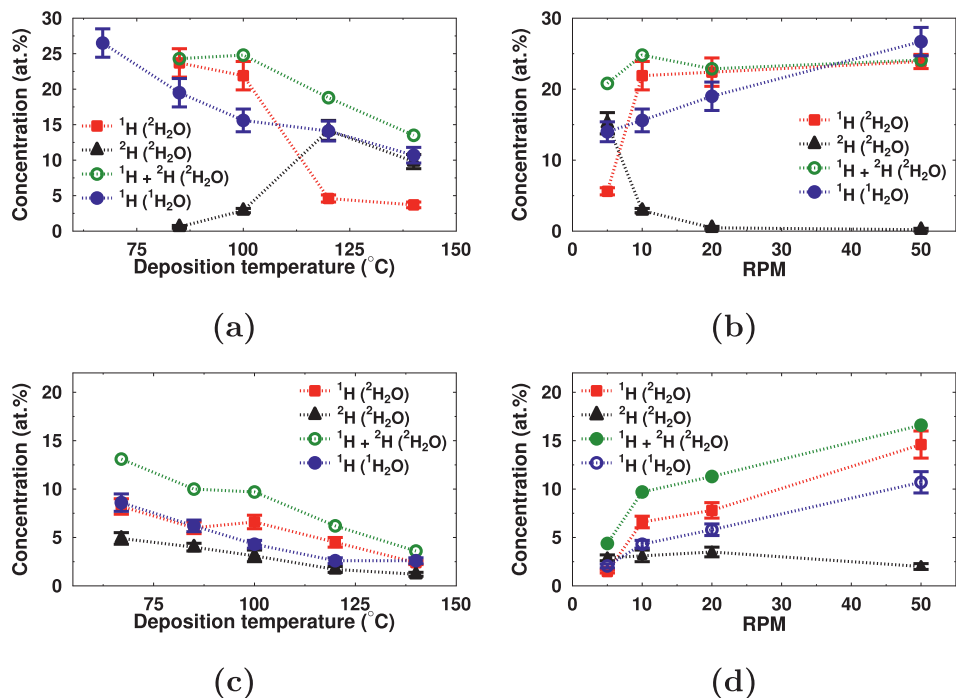


Fig. 5. <sup>1</sup>H and <sup>2</sup>H concentrations of the films as a function of the deposition temperature or a) Al<sub>2</sub>O<sub>3</sub> and b) ZnO. The substrate rotation speed was kept at 10 rpm. <sup>1</sup>H and <sup>2</sup>H concentrations of the films as a function of the rotation speed deposited at 100 °C for c) Al<sub>2</sub>O<sub>3</sub> and d) ZnO.

With temporal ALD, a similar change of the main impurity isotope was observed also at 100 °C, when purging times were decreased to 3 s. This can be due to the slowly reacting CH<sub>3</sub>-groups discussed earlier, some which do not react with water even with very long precursor exposure and purging times [51] if the deposition temperature is low. With too short reaction and purging times there might not be enough time to

remove these methyl groups and many of them are buried in the film. Increasing the temperature increases the energy available for the reaction to overcome the barrier and more and more of these methyl groups react leaving less <sup>1</sup>H in the film.

As mentioned above, the effect of the purging time to majority hydrogen isotope concentration is evident when the rotation speed is

varied as seen in Fig. 5b. With 5 rpm (longest exposure/purge) the main hydrogen isotope is  $^2\text{H}$ , but as the rotation speed is increased and the time for the reaction to go to the completion is too short, the main hydrogen isotope changes to  $^1\text{H}$ . This effect of changing majority hydrogen isotopes is visible when short purging times are used in temporal ALD, so it is not related only to the too short exposure times in itself. The results with SALD are in good agreement with our previous studies with temporal ALD [24,33] where we showed that purging time has a big effect on hydrogen/deuterium incorporation even though the GPC does not change significantly.

With temporal ALD, a similar change of the majority hydrogen isotope incorporation was found also for the DEZ +  $\text{H}_2\text{O}$  process [33]. However, in our SALD process,  $^1\text{H}$  remained as the main hydrogen isotope regardless of the deposition temperature (Fig. 5c). A likely explanation for the high  $^1\text{H}$  concentration is the fast rotation speed (10 rpm) or the given temperature (100 °C). This conclusion is supported by the change of the GPC in Fig. 3d, as the GPC was found to increase significantly as the rotation speed was decreased to 5 rpm at 100 °C. More evidence of this is the hydrogen/deuterium concentration when rotation speed of 5 rpm is used (Fig. 5d). As the rotation speed is decreased to 5 rpm, the  $^1\text{H}$  concentration goes just below the  $^2\text{H}$  concentration, similar to when long purging times are used in temporal ALD [33].

The high oxygen concentration at low deposition temperatures does not seem to lead to a high deuterium concentration as would be expected if the excess oxygen is in the form of buried OH-groups suggested by Guerra-Nuñez et al. [29]. However, the situation is quite the opposite: the higher the  $^1\text{H}$  concentration is the higher the O/Al and O/Zn ratios are. From the previous studies we know that both Al<sub>2</sub>O<sub>3</sub> and ZnO films deposited with ALD have very low carbon concentration even at low deposition temperatures [24,29,30,33,43]. As seen in the Tables 4–5, the carbon concentration is not strongly dependent on the process parameters for Al<sub>2</sub>O<sub>3</sub> and the carbon concentration was between 1.4 and 3.3 at.%. For the ZnO films the carbon concentration varied between 0.3 and 2.8 at.% and there is a clear dependency on rotation speed but the maximum concentration stays rather low. These numbers are low if we consider the  $^1\text{H}$  concentration of the films deposited with low deposition temperature and fast rotation speeds. If the  $^1\text{H}$  would originate purely from the embedded methyl/ethyl groups, the carbon concentration should be significantly higher. From previous studies [24,33] we know that  $^2\text{H}$  migrates within the film and can be replaced by  $^1\text{H}$  from ambient gases. This effect was minimized by measuring all the films containing  $^2\text{H}$  immediately after the deposition exposing the film to ambient condition only for a couple of minutes. However, it is not impossible that the  $^1\text{H}/^2\text{H}$  exchange reactions take place already during the deposition leading to higher than expected  $^1\text{H}$  concentration.

In our previous studies the higher  $^1\text{H}$  concentration compared to the  $^2\text{H}$  concentration was found to be related to too short purging times at the given temperature. The films deposited at “ideal” conditions (high enough temperature, long purging times) had always higher  $^2\text{H}$  concentration than  $^1\text{H}$  concentration for both Al<sub>2</sub>O<sub>3</sub> and ZnO. For example, Guerra-Nuñez et al. [29] and Guziewicz et al. [31] reported  $^2\text{H}$  to be the majority hydrogen isotope at similar deposition temperatures in Al<sub>2</sub>O<sub>3</sub> and ZnO films, respectively. Both of them used long purging times which explains why they did not find films with  $^1\text{H}$  as a majority hydrogen isotope. However, results obtained by Hiraiwa et al. [50] on  $^1\text{H}/^2\text{H}$  concentration of Al<sub>2</sub>O<sub>3</sub> are somewhat mixed. Similar to us, they reported  $^1\text{H}$  to be the majority isotope at 100 °C, and at higher temperature (450 °C) the  $^2\text{H}$  concentration was higher than the  $^1\text{H}$  concentration. However, they reported that the total hydrogen concentration at 450 °C was higher when normal water was used. In a recent study Xia et al. reported quite contradictory results on ZnO films [57] compared to our results in this and in our previous study [33]. According to them the  $^1\text{H}$  concentration is higher than  $^2\text{H}$  concentration in all deposition temperatures between 100 and 300 °C even though they have used long (60 s) purging times. This emphasises the need for precise description and

consideration of the process parameters since they will impact the film composition and other properties, and the conclusions drawn from them. This question has earlier been addressed, for example, by Sønsteby et al. [73].

As seen from Tables 4–5, the actual deposition rate in  $10^{15}$  at./cm<sup>2</sup>/s increases with increasing substrate rotation speed even if the GPC decreases. This is true for both Al<sub>2</sub>O<sub>3</sub> and ZnO but the GPC of ZnO decreases more rapidly with increasing rotation speed. Therefore, the actual increase in throughput of ZnO is significantly lower than with Al<sub>2</sub>O<sub>3</sub>. On the other hand, a high deposition speed also leads to lower quality films with higher impurity concentrations. This can, for example, have an effect on the electrical properties of the film [74,75]. Also, the barrier properties of the film can be affected by the high impurity concentrations. For example, hydrogen incorporation impacts indirectly the performance of ALD films in moisture and gas barrier applications. Films with high hydrogen concentration are known to be less dense [23,29,76], leading often to a poor barrier quality when low deposition temperature and fast deposition speed is used [9,13,34]. However, the deposition rate achieved by the SALD at low temperatures can be an order of magnitude higher than with temporal ALD making it an attractive option if satisfactory film quality can be reached.

#### 4. Conclusions

Both Al<sub>2</sub>O<sub>3</sub> and ZnO films contain  $^1\text{H}$  and  $^2\text{H}$  when deposited with heavy water. The main hydrogen isotope in the films depends on the deposition conditions so that more ideal conditions (high temperature and long exposure/purge times) seem to favour incorporation of  $^2\text{H}$  over  $^1\text{H}$ . For low deposition temperature and short exposure/purge times the results are quite the opposite. This is in line with the results obtained from temporal ALD studies [24,33]. After the deposition at non-ideal conditions both films are also highly oxygen rich. Deuterium gets incorporated presumably in the films as OH-groups, which should lead to high O and  $^2\text{H}$  concentrations. On the other hand, high  $^1\text{H}$  concentration does not lead to as high carbon concentration as would be expected if methyl/ethyl groups are buried into the films. These assumptions are in contradiction with each other. Our results show that the mechanism behind the simultaneous high oxygen and  $^1\text{H}$  concentrations at non-ideal deposition conditions is related to inadequate purging at given temperature, but requires further studies to be understood completely.

Deposition of thin films with spatial ALD requires precise consideration in order to produce high quality and low impurity films. The throughput i.e. the growth rate (Å/s) of the ALD deposition can be sped up considerably by shortening the exposure/purging time. Even though the growth-per-cycle decreases, the throughput can increase due to shorter cycle times. The throughput of the Al<sub>2</sub>O<sub>3</sub> increases significantly with increasing rotation speed while the GPC of the ZnO suffers more from shorter exposure/purging times. However, the increase in throughput does not come without a cost and leads to higher impurity concentration. In addition, the precursor exposure and purge times cannot be as easily or independently changed as in temporal ALD. This makes the design and construction of a SALD-reactor that is suitable for multitude of processes much more challenging.

#### CRedit a thorship contribution statement

**Sami Kinnunen:** Writing – original draft, Investigation, Visualization. **Timo Sajavaara:** Conceptualization, Writing – review & editing, Supervision.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.



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