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Conditioned Generalisation in Generalised Anxiety Disorder: The Role of Concurrent Perceptual and Conceptual Cues

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1 **Abstract**

2 Previous research in extinction indicates no difference in US expectancies for aversive
3 and non-aversive unconditioned stimuli (USs). In this study, we bridged these topics by
4 examining how concurrent perceptual and conceptual cues influence conditioned
5 generalisation of generalised anxiety disorder (GAD) patients by using non-aversive USs.
6 The study included two consecutive phases: acquisition and generalisation. In the
7 acquisition phase, we used blue and purple images as the perceptually conditioned
8 stimuli, images of animals and household items as the conceptually conditioned stimuli,
9 and non-aversive images as unconditioned stimuli (US). In the generalisation phase, we
10 used images containing both conceptual and perceptual cues (e.g., blue animals) as the
11 generalisation stimuli. Participants rated the US expectancy for all images. We found that
12 compared with the control group, the patients exhibited generalisation in response to
13 stimuli that included conditional conceptual cues. These results reveal novel evidence of
14 generalisation in GAD and may have implications for considering the concept-based
15 information in extinction treatment.

16 **Keywords:** general anxiety disorder, generalisation, conceptual cue, perceptual cue

17 **Introduction**

18 A significant portion of the population is affected by anxiety disorders, including
19 generalised anxiety disorder (GAD), social anxiety disorder (SAD), panic disorder,
20 specific phobias, and separation anxiety (American Psychiatric Association, 2013).
21 According to large population-based surveys in 2015, up to 33.7% of the population in
22 the United States experiences having an anxiety disorder within their lifetime (Bandelow
23 & Michaelis, 2015). In addition to the direct effects in individuals, anxiety disorders can
24 lead to other mood disorders such as depression (Meier et al., 2015). Furthermore,
25 treating anxiety disorders is expensive and arduous as the recurrence rate is high
26 (Bandelow, Michaelis, & Wedekind, 2017). A defining feature of many anxiety disorders
27 is the overgeneralisation of fear (e.g., Lissek et al., 2010; Lissek, 2012; Lissek et al.,
28 2014), which refers to the spread of fear responses from fear-eliciting stimuli to items

29 that only resemble fear-eliciting stimuli (e.g., Grant & Schiller, 1953; Lissek et al., 2010;
30 Lissek et al., 2014).

31 As we know, moderate generalisation can benefit human beings to adjust to the variable
32 environment (Öhman, 2009). It is important to learn how to apply previously acquired
33 information about a stimulus to other novel stimuli that are similar to the original
34 stimulus (Gentner, 2003). Associative learning can be used to broadly refer to the
35 formation of associations in memory between stimuli, contexts, outcomes, and
36 behaviours (e.g., Pavlovian, operant learning) (Treanor, Rosenberg, & Craske, 2021).
37 Stimulus generalisation in associative learning refers to the extent of applying a new
38 stimulus to a previously learned stimulus. For example, in Pavlovian conditioning, if a
39 given conditioned stimulus (CS+; e.g., a tone) is paired with an unconditioned stimulus
40 (US; e.g., electrical shock), the presentation of CS+ will elicit a conditioned response
41 (Wheeler, Amundson, & Miller, 2006). A stimulus that is always presented alone (CS−)
42 predicts the absence of an aversive US. Generalisation research is founded on the classic
43 Pavlovian conditioning paradigm (Pavlov, 1927). A typical generalisation paradigm
44 consists of two phases: acquisition and generalisation (e.g., Struyf, Zaman, Hermans, &
45 Vervliet, 2017; Vervliet, Kindt, Vansteenwegen, & Hermans, 2010; Zaman, Ceulemans,
46 Hermans, & Beckers, 2019). In the generalisation phase, individuals are presented with
47 the CS+, the CS−, and generalisation stimuli (GSs)—stimuli that systematically vary in
48 similarity to the CS+ (e.g., circles of different sizes when the CS was a circle). However,
49 the disadvantage of preliminary research of generalisation is solely focusing on fear and
50 using electrical shocks as the US. It makes the participants highly nervous, especially
51 patients with anxiety disorders, and increases the difficulty of manipulation (Spix,
52 Lommen, & Boddez, 2021). Additionally, existing research has identified that US
53 expectancies for aversive and non-aversive USs convincingly show that there are no
54 differences in this measure as a function of US aversiveness (e.g., Spix et al., 2021;
55 Meulders, Boddez, Vansteenwegen, & Baeyens, 2013). Spix et al. (2021) estimated that
56 the individual extinction used three geometrical shapes (triangle, square, and circle) as
57 CS. Shock and a neutral picture served as the aversive US and the non-aversive US,
58 respectively. Their findings showed considerable overlap in the extinction performance
59 for aversive and non-aversive US conditioning. Therefore, we examined whether

60 generalisation will occur with non-aversive US images.

61 To date, numerous studies have investigated generalisation using perceptual and
62 conceptual cues (for reviews, see Lonsdorf et al., 2017; e.g., Lissek et al., 2008;
63 Dunsmoor & Murphy, 2015). Perceptual generalisation studies involve generalising
64 across perceptual similarities, typically visual stimuli such as shapes (e.g., Meulders et
65 al., 2012), colours (e.g., Vervliet et al., 2010; Lee et al., 2019), human faces (e.g.,
66 Dunsmoor, Mitroff, & LaBar, 2009), or context (e.g., Andreatta et al. 2020). Researchers
67 have also demonstrated perceptual generalisation using auditory, tactile, and olfactory
68 stimuli (Lonsdorf et al., 2017; e.g., Resnik, Sobel, & Paz, 2011; Wesson & Wilson,
69 2010). These studies have consistently shown that the perceptual similarity between GSs
70 and the CS+ strongly influences fear generalisation; the more similar they are, the
71 stronger the generalised fear response (e.g., Lissek et al., 2008; Lissek et al., 2010; Lissek
72 et al., 2014). In addition, studies indicate that compared with healthy individuals, patients
73 with anxiety disorders show an intensified perceptual generalisation of fear (e.g.,
74 Kaczurkin et al., 2017; Lissek et al., 2010; Lissek et al., 2014; Morey et al., 2015). For
75 example, Lissek et al. (2014) discovered that relative to their healthy peers, patients with
76 GAD tended to overgeneralise the conditioned fear, as evidenced by a flatter generalised
77 gradient across the GSs. In addition to perceptual similarities, generalisation can also be
78 built through conceptual associations between GSs and the CS+. In real-life settings,
79 people who have experienced fearful traumatic events are afraid of certain conditional
80 objects/contexts. These objects/contexts often share little perceptual similarity with the
81 initial CS+ but are conceptually closely associated with it (Dunsmoor, White, & LaBar,
82 2011). For example, a person who has a phobia of dogs may fear not only dogs but also
83 cats, or even dog-associated objects (e.g., dog collar), people (e.g., veterinarian), or
84 places (e.g., parks). Conceptual generalisation studies also rely on visual stimuli such as
85 images of animals and tools (Dunsmoor, Martin, & LaBar, 2012) or words (Dunsmoor et
86 al., 2011; Dunsmoor & Murphy, 2014). Previous studies have shown that in addition to
87 perceptual similarity, fear can generalise through conceptual closeness. For example,
88 Dunsmoor and colleagues (2012) showed that unconditional objects also induce fear
89 responses when they belong to the same conceptual category as conditional objects. In
90 their study, they used an electrical shock as the US and two superordinate categories

91 (e.g., animals & tools) of basic-level exemplars (e.g., dog & hammer) as the CS+ and
92 CS-, respectively. The results showed that the participants expected an electrical shock
93 more after seeing the objects in the fearful category (i.e., the category containing
94 conditional objects).

95 As mentioned above, evidence supports the idea that both perceptual similarity
96 and conceptual closeness promote fear generalisation. However, only a few studies have
97 examined the combined effect of perceptual and conceptual cues on fear generalisation
98 (Bennett et al., 2015; Peperkorn, Alpers, & Mühlberger, 2014). Peperkorn et al. (2014)
99 used a matching-to-sample (MTS) task, including sounds, nonsense words, and animal-
100 like objects, to investigate whether learned fear could generalise to threat-relevant stimuli
101 within the same category due to similar perceptual or conceptual features. They
102 ascertained that both conceptual and perceptual variants related to the aversive stimulus
103 category could heighten fear. However, to the best of our knowledge, no research has
104 studied the relationship between anxiety disorders and conceptual generalisation, nor
105 generalisation based on simultaneously incorporated conceptual and perceptual cues.
106 Addressing these questions is valuable for expanding our knowledge of generalisation
107 and specifically in finding ways to differentiate between anxiety disorders and healthy
108 individuals. Indeed, more detailed knowledge might lead to better treatments for anxiety
109 disorders. For example, decreasing generalisation along perceptual lines might not be
110 enough if anxiety disorders are also rooted in generalisation in response to conceptual
111 cues. Understanding the relationship between anxiety disorders and generalisation to
112 conceptual cues or co-occurring perceptual and conceptual cues will expectantly provide
113 suggestions for developing more effective means to treat anxiety disorders.

114 *The current study*

115 In this study, we used non-aversive USs to investigate the effect of concurrent perceptual
116 and conceptual cues on generalisation and how GAD can affect generalisation based on
117 these different co-occurring types of cues. We used two colours—blue and purple—as
118 perceptual cues (P+; P-) and two object categories—animals and household items—as
119 conceptual cues (C+; C-). In the acquisition phase, participants learned to differentiate
120 unconditional cues (CS-: P- and C-) from conditional cues (CS+: P+ and C+). However,

121 in the generalisation phase, the four cues were combined to generate four types of new
122 stimuli (P+C+, P+C-, P-C+, and P-C-). Acquisition and generalisation were measured
123 using the US-expectancy ratings. US-expectancy is a verbal measure that indicates the
124 extent to which participants expect the US to occur. It is the most commonly used
125 subjective measure in human fear-conditioning paradigms (Lonsdorf et al., 2017; Boddez
126 et al., 2013). We hypothesised that (a) after the acquisition, US-expectancy ratings would
127 be higher for CS+ than CS-; and (b) during the generalisation test, the difference in US-
128 expectancy ratings between GS+ cues (conditional GS: P+C+, P+C-, and P-C+) and
129 GS- cues (unconditional GS: P-C-) would be higher in patients with GAD than in
130 healthy controls; and (c) conditioned generalisation to perceptually and conceptually
131 conditional cues (C+P+) would be greater in patients with GAD than in controls.

132 **Methods**

133 *Participants*

134 Sixty-three Chinese participants voluntarily participated in our experiment. Thirty-two
135 were patients with GAD, and the others were healthy individuals. All the participants
136 were right-handed with normal or corrected-to-normal visual acuity and no colour-
137 blindness. They filled out written consent forms and were asked to complete a
138 demographic questionnaire before the experiment. The tasks, measures, and procedures
139 were approved by the Medicine Ethics Committee of Shenzhen University, and all
140 participants were treated in accordance with the declaration of Helsinki.

141 The patients with GAD were recruited from two hospitals and two medical
142 centres in a southeast city of China. They were recruited only if they met all the
143 following criteria: 1) diagnosed with GAD by psychiatrists who referred to the Fifth
144 Edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5); 2) scored
145 over seven on the Hamilton Rating Scale for Anxiety (HAMA); 3) aged between 15 and
146 55 years; 3) did not have major depression disorder (MDD), severe physical illnesses,
147 such as schizophrenia, bipolar disorder, brain organic diseases, or epilepsy; and 4) no
148 history of substance abuse. The healthy control group was recruited from communities,
149 medical centres, and universities. They reported no history of mental illnesses, and they

150 matched the patients in age, gender, and educational level. Education level was
151 categorised as primary or junior high school, senior middle school, or university and
152 above.

153 We excluded five participants (three patients and two healthy individuals) because
154 they failed to follow our instructions during the experiment. The final sample was 58
155 participants, including 29 patients with GAD and 29 healthy individuals (aged 17–55
156 years; mean age: 32.26 ± 10.39 years). A previous study reported that the estimated age
157 of onset (AOO) for GAD is 34.9 years. Additionally, the AOO differs greatly depending
158 on anxiety disorder subtypes, and another study reported GAD onset to be in young
159 adulthood (Lijster et al., 2017). Thus, we limited the participants' age to between 15 and
160 55 years. An independent-samples t-test on showed that the two groups did not differ in
161 age ($t(56) = 0.41, p = .68$, Cohen's $d = 0.11$). A Chi-square analysis on education level
162 also showed no difference between the groups ($\chi^2(2, N=58) = 0.348, p = .84$. Table 1
163 displays sample characteristics for each group.

164 *Stimulus materials*

165 *Unconditioned stimuli*

166 One hundred and fifteen undergraduate students (51 men; mean age: 21.92 ± 1.43 years)
167 were asked to complete a free-association task and provide as many fear-inducing nouns
168 as possible (e.g., snake). We picked the most frequent items as the head-word, then from
169 three categories (animals, scenes, and objects) the participants were asked to choose
170 images combined with the headword, 30 images respectively (public resources like
171 Baidu, Souhu). Then, we recruited 84 participants (45 women; age range: 18–25 years)
172 that were recruited to rate the valence, arousal, and fear levels of the pictures on a 9-point
173 scale. Finally, 81 fear-evoking images were chosen. The mean ratings were as follows:
174 Fear, 4.80 ± 1.06 ; valence, 3.57 ± 0.16 ; and arousal, 6.16 ± 0.58 . Subsequently, we
175 selected 20 images of moderately fearful¹ (5.82 ± 0.80), valence rating (3.10 ± 0.50) and

¹ In this experiment, we intentionally used moderately (instead of highly) fearful images as US
to limit their negative impact on the patients with GAD. This might have led to a

GAD and Conditioned Generalization

176 arousal rating (6.80 ± 0.42) to represent the US. These images were rated on 9-point
177 scales (fear: 1 = *not fearful at all*, 9 = *very fearful*; valence: 1 = *low pleasure*, 9 = *high*
178 *pleasure*; arousal: 1 = *very calm*, 9 = *very excited*).

179 *Conditioned stimuli*

180 We used two types of conditioned stimuli: perceptual and conceptual. The stimuli for
181 perceptual acquisition were 30 meaningless cloud-like shapes, of which half were blue,
182 and the other half were purple. For each participant, one of the two colours was the P+,
183 and the other was the P-. The stimuli for conceptual acquisition were 30 black line
184 drawings, of which half were animals (e.g., a dog) and the other half were household
185 items (e.g., a kettle). Assignment of colours to the P+ and P- and assignment of
186 categories to the C+ and C- were partially counterbalanced across participants; 'animals'
187 and 'blue' served as the CS+ for 32 participants, and 'furniture' and 'purple' were the
188 CS+ for the other 26 participants. In a pilot study, 45 university students rated the valence
189 and arousal levels of the conceptual CS on a 9-point scale (1 = *extremely*
190 *unpleasant/calming*, 9 = *extremely pleasant/exciting*). The average valence and arousal
191 ratings for the animal (valence: $M = 5.21 \pm 0.46$; arousal: $M = 5.12 \pm 0.20$) and
192 household item ($M = 5.38 \pm 0.27$; $M = 5.13 \pm 0.18$) images were both neutral (near 5),
193 and neither valence ($t(28) = 1.28$, $p = .21$) nor arousal ($t(28) = 0.22$, $p = .83$) differed
194 between categories.

195 *Generalised stimuli*

196 Four types of stimuli served as GS: C+P+, C+P-, C-P+, and C-P-. The set of
197 generalised stimuli were thus 40 coloured line drawings; 10 blue animals, 10 purple
198 animals, 10 blue household items, and 10 purple household items. In addition, GS items
199 are different from those in the acquisition phase. For example, when the P+ stimuli were
200 blue, and the C+ stimuli were animals in the acquisition phase, the P+C+ stimuli in the
201 generalisation phase were blue animals. Similar to black conceptual CS, the coloured GS

weakened effectiveness of US. See the detailed discussion regarding the use of images in the Discussion.

202 were rated as neutral in valence and arousal (animals: $M = 5.26 \pm 0.60$; $M = 5.14 \pm 0.23$,
203 respectively; household items: $M = 5.34$, $SD = 0.26$; $M = 5.07 \pm 0.17$), and neither
204 differed between the groups (valence: $t(38) = 0.57$, $p = .57$; arousal: $t(38) = 1.22$, $p =$
205 $.23$).

206 ***Procedure***

207 Importantly, throughout the experiment, the contingencies between the CS/GS and the
208 US were not provided; the participants were simply instructed to learn the association
209 between the images they were shown.

210 *Stimulus presentation*

211 We programmed the experiment with E-prime 2.0 software (Psychology Software Tools,
212 Pittsburgh, PA). All the stimuli were presented on a white background. A fixation (+)
213 was presented at the centre of a screen for 800–1200 ms at the beginning of each trial.
214 Then the CS or GS was presented, and the participants rated the US-based on a five-
215 alternative forced-choice scale (1 = no likely at all, 5 = very likely) that appeared beneath
216 the images. The instructions were: “Please rate the likelihood that you will be shown an
217 unpleasant image.” The participants were asked to provide the ratings as soon as possible
218 according to their immediate feelings. Choices were made using a computer keyboard.
219 When the choice was made, the CS disappeared, and the US (or a blank screen) was then
220 presented 1000 ms after the CSs offset. All the stimuli were presented in a
221 pseudorandomised order. The inter-trial interval (ITI) was 1200–1500 ms (see Figure 1).

222 ***Experimental paradigm.*** The experiment consisted of two phases: acquisition and
223 generalisation. The participants had a break between the phases. The acquisition phase
224 consisted of 60 trials, 15 each for the P+, P-, C+, and C-. The reinforcement rate for the
225 P+ and C+ was 80%. The P- and C- were always followed by a blank screen and thus
226 were never associated with the US. The generalisation phase comprised 40 trials, 10 for
227 each type of GS (C+P+, C+P-, C-P+, and C-P-). No GS was paired with the US during
228 this phase, but we never informed participants of this.

229 ***Statistical analyses***

230 Statistical analyses were performed using IBM SPSS 22 (IBM Corporation, Armonk,
 231 NY, USA). Before analysis, acquisition trials were divided into four blocks consisting of
 232 15 trials of each type (P+; P-; C+; C-), and generalisation trials were divided into four
 233 blocks, including 10 trials of each type (P+C+; P+C-; P-C+; P-C-). Behavioural data
 234 during acquisition were analysed within a 2 (Group: GAD, healthy control) \times 2 (CS
 235 Type: CS+, CS-) \times 2 (Cue type: Perceptual, Conceptual) \times 4 (Block: 1, 2, 3, & 4)
 236 repeated measures ANOVA. Responses from the generalisation phase were analysed with
 237 a 2 (Group: GAD, healthy control) \times 2 (Perceptual type: P+, P-) \times 2 (Conceptual type:
 238 C+, C-) \times 4 (Block: 5, 6, 7, & 8) repeated measures ANOVA.

239 In testing our *a priori* hypotheses, a Bonferroni correction was applied when
 240 making multiple comparisons. The Greenhouse-Geisser (1959) correction was applied for
 241 repeated-measures ANOVAs when the sphericity assumption was not met. The effect
 242 size indication η^2 is reported for significant ANOVA effects. Furthermore, the alpha
 243 threshold for statistical significance was 0.05.

244 **Results**245 ***Acquisition***

246 Analysis of the results revealed significant main effects of CS Type ($F(1,56) = 19.909, p$
 247 $< .001, \eta^2 = .262$), Cue Type ($F(1,56) = 7.806, p = .007, \eta^2 = .122$), and Block
 248 ($F(2.307,13.207) = 11.828, p < .001, \eta^2 = .174$), resulting from higher US expectancy
 249 ratings for the CS+ ($M_{CS+} = 2.829, SD_{CS+} = .112$) than for the CS- ($M_{CS-} = 2.375, SD_{CS-}$
 250 $= .117$), higher US expectancy ratings to the Perceptual cue ($M_P = 2.77, SD_P = .124$)
 251 versus the Conceptual cue ($M_C = 2.434, SD_C = .115$), and lower US expectancy ratings
 252 regarding the Block1 ($M_{B1} = 2.33, SD_{B1} = .108$) compared to the Block2 ($M_{B2} = 2.56,$
 253 $SD_{B2} = .121$), 3 ($M_{B3} = 2.815, SD_{B3} = .119$), and 4 ($M_{B4} = 2.702, SD_{B4} = .114$).
 254 Additionally, a CS Type \times Block interaction ($F(2.6,145.621) = 9.318, p < .001, \eta^2 =$
 255 $.143$), revealed that US-expectancy evaluations of CS+ and CS- did not differ during
 256 Block1, $F(1,56) = .613, p = .437, \eta^2 = .011$, but the US-expectancy evaluations of CS+

257 were evaluated as higher than the CS- during all other blocks, $F(1,56) > 10.689$, $p <$
258 $.002$, $\eta^2 > .16$. The remaining omnibus effects did not reach significance, $F < 2.66$, p
259 $> .103$, $\eta^2 < .045$.

260 **Generalisation**

261 Analysis of the results revealed a significant main effect of Conceptual Cue ($F(1,56) =$
262 10.602 , $p = .002$, $\eta^2 = .159$) and Block ($F(2.342,131.133) = 4.217$, $p = .007$, $\eta^2 = .07$),
263 resulting from higher US expectancy ratings for the C+ ($M_{C+} = 2.271$, $SD_{C+} = .138$) than
264 for the C- ($M_{C-} = 1.866$, $SD_{C-} = .122$), and higher US expectancy ratings regarding the
265 Block5 ($M_{B5} = 2.23$, $SD_{B5} = .122$) compared to the Block7 ($M_{B7} = 1.988$, $SD_{B7} = .116$).
266 Furthermore, Group \times Conceptual Cue ($F(1,56) = 7.884$, $p = .007$, $\eta^2 = .123$)
267 interactions were significant, indicating that patients with GAD reported higher US
268 expectancy ratings for stimuli with C+ cues than for stimuli with C- cues ($F(1,56) =$
269 18.386 , $p < .001$, $\eta^2 = .247$, see Figure 2). The remaining omnibus effects did not
270 reach significance, $F < 2.039$, $p > .125$, $\eta^2 < .035$.

271 **Discussion**

272 In the current study, we used non-aversive USs to investigate the influence of
273 simultaneous perceptual and conceptual cues on generalisation and examined whether
274 patients with GAD exhibited enhanced generalisation. We discerned that acquisition
275 itself did not differ between the two groups. However, patients with GAD tended to
276 generalise conceptual cues: Although the two groups of participants perceived the stimuli
277 with unconditional conceptual cues (C-), the patients made higher US-expectancy ratings
278 for conceptual cues (C+) than the healthy controls.

279 One of the most important findings is that the patients with GAD exhibited
280 elevated generalisation for stimuli containing conditional conceptual cues. This is
281 consistent with a previous study that found that conditioned fear might be stimuli with
282 conceptual similarities to the CS (e.g., Dunsmoor et al., 2011; Vervoort et al., 2014).
283 Research has shown that compared with healthy people, patients with anxiety disorders
284 show an intensified perceptual generalisation of fear (e.g., Lissek et al., 2010; Lissek et

285 al., 2014). To our knowledge, our research is the first to examine how patients with GAD
286 and healthy people might differ in generalisation based on concurrent perceptual and
287 conceptual cues. Our findings increase our knowledge of the relationship between
288 generalisation and anxiety disorders by showing that patients with GAD exhibit
289 generalisation not only for perceptual cues, but also for conceptual cues. One could
290 speculate that the differences in generalisation between the two groups are due to
291 differences in how the groups responded to the acquisition process. However, we argue
292 against this speculation because we found no group difference in US-expectancy ratings
293 during the acquisition phase.

294 Our findings also suggest that conceptual cues outweigh the colour cues for
295 generalisation, as shown by patients with GAD. Specifically, when presented with a
296 stimulus with both colour and category information (e.g., a blue animal), the patients
297 depended principally on the category information to predict the occurrence of the US.
298 Category information has an edge over colours when processing the object. Indeed, in our
299 study, category information is predominantly informative, while colours are unnecessary
300 for a person to understand the meaning of the stimulus. When the patients rely mostly on
301 category information to process the images, they might depend accordingly on category
302 cues to rate the US-expectancy level. This results in generalising the pictures with
303 conditional conceptual cues but not to the pictures with conditional perceptual cues. It is
304 consistent with a previous research that established that avoidance is generalised more
305 into category stimuli than to the perceptual variants (Bennett et al., 2015). In this study,
306 the healthy participants exhibited stunted generalisation, as evidenced by consistently low
307 US expectancy ratings for all four types of GS. This observation contrasts with previous
308 findings showing that both perceptual and conceptual cues can trigger generalisation in
309 healthy participants (Bennett et al., 2015). Furthermore, the US never appeared in the
310 generalisation phase. Thus, the US expectancy ratings tended to decrease over time. The
311 US expectancy for both groups indicated extinction. Zbozinek and Craske (2018)
312 evaluated the effects of multiple extinction stimuli on inhibitory learning. Participants
313 were randomised to Extinction_CS+ (presentations of the original conditional stimulus),
314 Extinction_Singular (presentations of a GS), or Extinction_Variety (presentation of GSs).
315 The results revealed that extinction with a variety of GSs reduced the fear of those GSs.

316 In our study, the extinction of conceptual conditional GSs was more resistant in patients
317 with GAD than in healthy controls. This was consistent with a previous study that found
318 that, in contrast to control participants, PD patients exhibited larger skin conductance
319 responses to CS+ stimuli during extinction, although there was no difference between the
320 two groups during acquisition (Michael et al., 2007). Therefore, it might be necessary to
321 pay more attention to concept-based information in the extinction treatment of patients
322 with GAD.

323 We thought of two factors that might have led healthy participants to give
324 similarly low US-expectancy ratings for all four types of GS. First, acquisition often used
325 electro-tactile stimulation, noise, tones, or screams as the US (Glenn et al., 2012). In this
326 study, we used non-aversive pictures in the US, which were probably weaker inducers of
327 emotional responses than other kinds of US, such as electrical shock. Since patients with
328 GAD may be more sensitive and more likely to suffer if the US is too strong, we selected
329 non-aversive pictures as the US to protect them from undue stress. There are advantages
330 to using picture–picture conditioning paradigms when investigating anxiety disorders
331 (Klucken et al., 2009). For example, Schweckendiek and colleagues (2011) used images
332 of spiders, aversive scenes, or household items as the US to study fear learning in patients
333 with specific phobias. Trauma-specific pictures have also been used as the US in a study
334 of PTSD (Wessa and Flor, 2007). However, using these images instead of a stronger
335 fearful stimulus might have led to the fast extinction that we observed in the healthy
336 participants. Generalisation is still likely to happen for healthy individuals when the US is
337 more intense. Therefore, we suggest that the current findings should be verified in future
338 studies to verify that the use other kinds of USs (e.g., electrical shock) can induce
339 stronger responses.

340 The second factor that might have made it difficult to detect responses was the
341 measure we used. There are some ways to measure response to the CS, containing
342 autonomic arousal (skin conductance, heart rate, and pupillary dilation) and self-reports,
343 which include associative learning (US-expectancies, learned the contingency between
344 the US and CS) and evaluative learning (affective ratings, the perceived unpleasantness
345 of the CS because of paired with the US) (Constantinou et al., 2021). We chose to use
346 US-expectancy ratings, which are self-reports that index the degree of associative

347 learning (the CS-US contingency). This is the most commonly used subjective measure
348 in human conditioning paradigms (Lonsdorf et al., 2017). However, Lipp et al. (2020)
349 found that evaluative and conditioning are not independent, and it is necessary to
350 incorporate associative and evaluative learning measures (Constantinou et al., 2021).
351 Furthermore, the lack of affective ratings before and after conditioning could make it
352 difficult to distinguish associative and evaluative learning processes. Thus, additional
353 measures such as CS valence, skin conductance responses (SCRs), affective ratings
354 should be included in future studies to distinguish associative and evaluative learning.

355 Our study has several limitations, based on which we provide suggestions for
356 future research. First, we used category information and colours rather than other kinds of
357 cues. There are two distinct relationships between concepts: taxonomic and thematic.
358 Taxonomically related objects share similar features, whereas thematically related objects
359 co-occur in certain events or scenarios. Thus far, little is known about the roles of these
360 two types of conceptual relationships in generalisation (for an exception, see e.g., Lei,
361 Mei, Dai, & Peng). It is unknown whether the effect of conceptual and perceptual cues on
362 generalisation found in this study can generalise to other types of conceptual and
363 perceptual cues. Future research should examine this question by putting various
364 conceptual and perceptual cues into comparisons. Another limitation is that we used blue
365 and purple animals and furniture, which are fairly unrealistic objects. This flaw may
366 decrease the ecological validity of our findings. Future research should use stimuli that
367 are usual in real life. A third limitation is the age range, which was somewhat large from
368 15 to 55 years. In the generalisation phase, results indicated a difference between patients
369 and healthy controls in the 15-35 age range. Thus, future studies should reduce the
370 maximum age and focus on in-depth research in young people.

371 **Conclusion**

372 In this study, we used non-aversive USs to examine whether patients with GAD would
373 differ from healthy people in the generalisation triggered by concurrent conceptual and
374 perceptual cues. We found that compared with the healthy individuals, the patients
375 showed that generalisation that was induced by category cues but not colour cues. This
376 finding suggests that categories outweigh colours in influencing the formation of

377 generalisation in patients with GAD. Therefore, this knowledge broadens our
378 understanding of the relationship between anxiety disorders and generalisation.

379

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386 **Declaration of interest statement**

387 The authors declare no conflict of interest.

388

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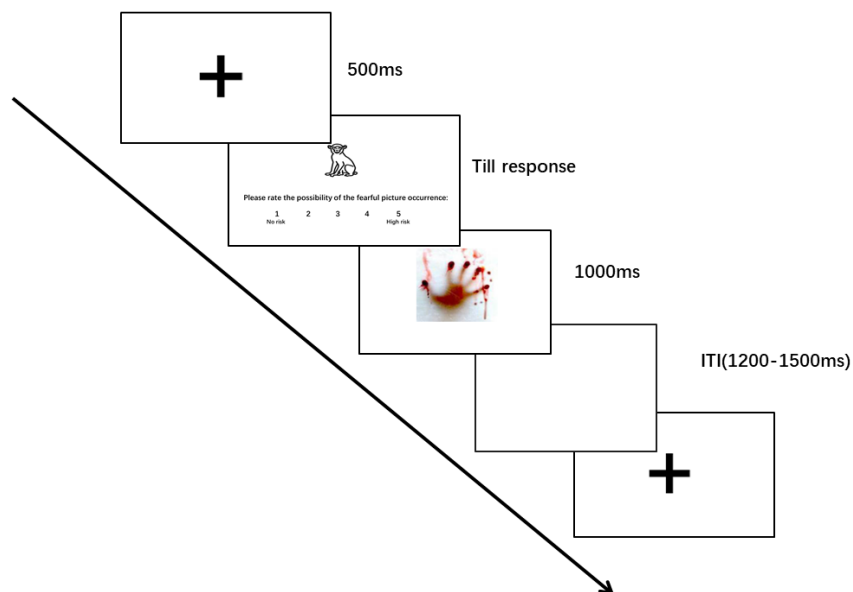
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575 Table 1. Demographics and clinical characteristics across patients and control samples

Variable	GAD Patients (<i>n</i> = 29)		Healthy Control (<i>n</i> = 29)		Significance ^a
	Mean	SD	Mean	SD	
Age (years)	32.83	11.26	31.69	9.60	<i>p</i> = .68
	N	%	N	%	Significance ^a
Male Gender	15	52%	15	52%	<i>p</i> = 1.00
Educational levels					
Primary or junior high school	5	17%	6	21%	
Senior middle school	10	35%	8	27%	<i>p</i> = .84
University or above	14	48%	15	52%	

576 ^aTwo-tailed *p* values reflecting the significance of group differences derived from
 577 independent samples *t*-tests for all variables except gender which was assessed using the
 578 chi-square statistic.

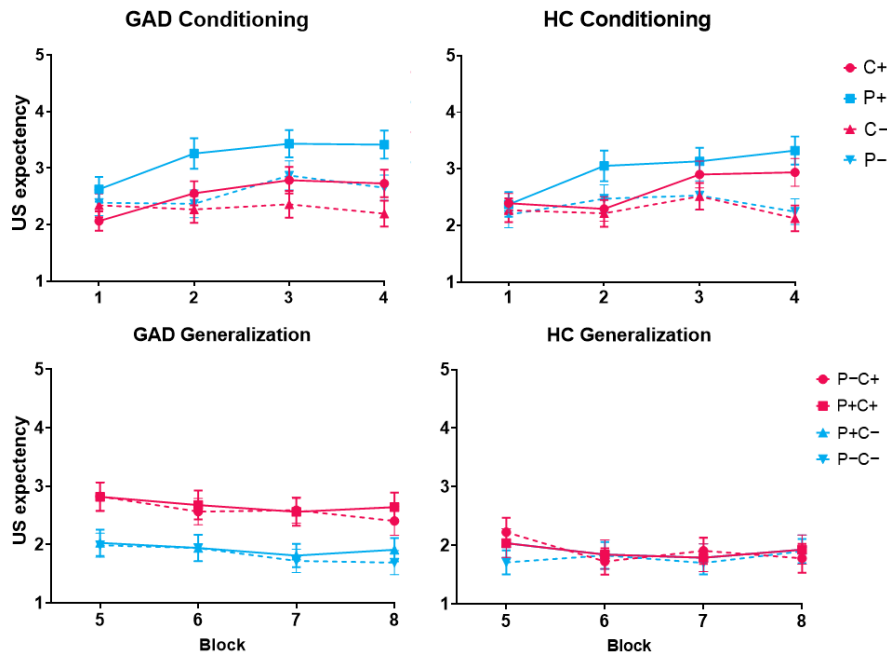


579

580 Figure 1. Example of a trial. A fixation (+) was presented at the centre of a screen for
 581 800–1200 ms at the beginning of each trial. Then, the CS or GS was presented, and the

GAD and Conditioned Generalization

582 participants rated the likelihood that a fearful picture would be shown next. Ratings were
583 made on a five-alternative forced-choice scale (1 = no likelihood, 5 = high likelihood)
584 using a computer keyboard, and the participants were asked to do them as soon as
585 possible according to their immediate feelings. Then US (or a blank screen) was
586 presented 1000 ms after CS offset. All the stimuli were presented in a fully randomised
587 order. The inter-trial interval (ITI) was 1200-1500 ms.



588

589 Figure 2. Average US-Expectancy ratings in acquisition trials, which were divided into
590 blocks consisting of 15 trials of each type (P+; P-; C+; C-). Generalisation trials were
591 divided into blocks consisting of 10 trials of each type (P+C+; P+C-; P- C+; P-C-).
592 Error bars represent standard errors.