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## Hyperspectral imaging of macroinvertebrates – a pilot study for detecting metal contamination in aquatic ecosystems

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#### Abstract

- 25 The applicability of spectral analysis in detection of freshwater metal contamination was assessed
- by developing and testing a novel hyperspectral imaging (HSI) application for aquatic insect
- 27 larvae (Trichoptera: Hydropsychidae). Larvae were first exposed to four different cadmium (Cd)
- concentrations: 0, 1, 10 and 100  $\mu$ g L<sup>-1</sup> for 96 h. Individual larvae were then preserved in ethanol,
- inspected with microscopy for the number of anomalies in larval gills, and imaged by
- 30 hyperspectral camera operating with wavebands between 500 and 850 nm. Three additional
- 31 larvae from each exposure were analyzed for tissue Cd concentration. Although the larval tissue
- 32 Cd concentrations correlated positively with actual water concentrations, the toxicity response of
- larvae i.e. frequency of gill abnormalities did not differ among the Cd concentrations. In contrast,
- 34 hyperspectral imaging data indicated some concentration-response relationship of larval spectral
- 35 properties to the Cd exposure, but it was too weak for reliable automatic distinction between
- 36 exposed and unexposed larvae. In this pilot study a workflow for data processing for a novel
- 37 application of hyperspectral imaging was developed. Based on the results of this preliminary
- study, the workflow in the imaging process will be optimized and its potential for detecting metal
- 39 contamination of aquatic environments reassessed.

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**Keywords**: Aquatic insect larvae; cadmium toxicity; Fabry-Perot interferometer; hyperspectral imaging; metal pollution

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48 Introduction 50 Metal pollution threatens aquatic ecosystems worldwide. High metal concentrations are found especially in stream ecosystems contaminated by effluents from active or abandoned mines, 51 industrial waste waters or drainage waters from acid sulfate soils (Ljung et al. 2009; Byrne et al. 52 2012). Metal exposure impairs physiological function and reproduction of aquatic organisms 53 54 (Boening 2000; Pane et al. 2003), and causes adverse health effects in humans (Järup and 55 Åkesson 2009). Direct measurement of chemical concentrations in water is expensive, as due to typically high 56 spatial and temporal variation, extensive sampling would be required to reliably detect also 57 58 critical peak concentrations. Moreover, and perhaps more importantly, metal concentrations in 59 the water do not directly indicate the concentrations accumulated in organisms or in food webs. Developing and improving biotesting of effluents and their effects could enable efficient 60 detection of and rapid response to possible pollution incidents (Bae and Park 2014). 61 Hyperspectral imaging (HSI) is spectroscopy coupled with imaging. The basic principle in 62 spectroscopy is that each substance reflects and absorbs different wavelengths of light. 63 64 Consequently, a given substance often has a unique spectral signature – a fingerprint. In spectral analysis, the goal is to differentiate and recognize substances based on their spectral properties. 65 HSI diverges from conventional reflectance spectroscopy in that it produces an image of pixel 66 67 68

spectra. The technique enables revealing changes, which might be unseen for human eye. HSI has many applications e.g. in geology, mineralogy, agriculture and steel industry including automated detection of metal content (Antonucci et al. 2012; Gutierrez et al. 2010; Riaza et al. 2011). In this study, we attempt to apply – to our knowledge for the first time – HSI in detection of metal contamination in animals, more specifically in aquatic insects. This approach could provide a novel method for quantifying heavy metal pollution in natural water bodies through HSI and spectral data processing. If HSI proves to be a suitable method to differentiate between metal contaminated and non-contaminated larvae, it can be used to replace or support direct measurement of metal concentrations in waters affected by mines and mills and in assessment of

76 associated ecological risk. Benthic macroinvertebrates are an integral constituent of the aquatic ecosystems and essential to 77 the system functioning (Covich et al. 2004). Furthermore, as an important food source for 78 79 benthivorous fish, benthic macroinvertebrates may transfer contaminants to higher trophic levels. 80 Larvae of caddisfly family Hydropsychidae are abundant and widespread in running waters. Metal exposure of Hydropsychidae larvae can result in morphological damages such as anal 81 papillae and tracheal gill darkening and reduction, the incidence of which can be used for 82 indication of metal contamination (Leslie et al. 1999; Vuori 1994, 1995; Vuori and Kukkonen, 83 84

The main purpose of this study was to evaluate the applicability of HSI in detecting metal 85 contamination of *Hydropsyche pellucidula* (Trichoptera: Hydropsychidae) larvae with cadmium 86 87 (Cd) as a representative model compound. Cd is one of the priority hazardous substances in the 88 US and EU legislation (CWA 2002; European Union 2013). Cadmium is mainly released locally into the environment from mining activity as a by-product from zinc (Zn), copper (Cu) and lead 89 90 (Pb) ore mining (European Environment Agency 2011). Other sources of Cd include industry and agriculture. Many metals like iron (Fe), Zn and Cu are essential to biochemical function of 91 92 animals, but Cd have no known metabolic function and is highly toxic for plants and fish (Gallego et al. 2012; Mebane et al. 2012). The sensitivity of insect larvae to Cd varies across 93 different taxa (Cain et al. 2004; Buchwalter et al. 2008) and family Hydropsychidae is considered 94

- 95 quite tolerant (Mebane et al. 2012). Cd is known to accumulate to insect larvae, but the high
- variability in the bioconcentration factor (BCF) values, and the observed negative correlation
- between the exposure and the BCF indicate that BCF is not necessarily an optimal measure for
- 98 Cd bioaccumulation (Poteat et al. 2012). We assume that metal exposure induces morphological
- changes, including color alteration, in larvae, and that these changes can be quantified by
- analyzing larval spectral properties, even better than by more subjective, traditional microscopic
- observation by humans. In addition, spectral analysis operating also in the wavebands of infrared
- light might reveal changes invisible to human eye.
- Specific issues addressed were: 1) can HSI be used to differentiate between Cd contaminated and
- non-contaminated larvae? and 2) can metal body burden in Hydropsyche larvae be predicted from
- 105 hyperspectral data? The larvae were also screened microscopically to explore if they showed any
- visible morphological abnormalities in response to Cd exposure, and to compare these results
- with HSI data.

### 108109 Methods and materials

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- Test organism and exposure set up
- Fifth instar larvae of *Hydropsyche pellucidula* (Trichoptera: Hydropsychidae) were collected
- from unpolluted River Siikakoski, Finland in November 2012. R. Siikakoski is an appropriate
- reference site with a demonstrated high ecological and good chemical status (Finnish
- Environment Institutes Water Quality Database Hertta). Median water pH in R. Siikakoski was
- 7.0 (range 6.6–7.3) and total hardness (Ca + Mg) 0.2 mmol  $L^{-1}$  during 2000 2013. Cd
- 117 concentration in the R. Siikakoski measured during 2003 2004 ranged between 0.05 and 0.25
- $\mu$ g L<sup>-1</sup>. Larvae were transported in aerated river water to the laboratory, where the animals were
- acclimatized slowly to the test temperature ( $19 \pm 1^{\circ}$ C) and test water, kept with photoperiod of
- light:dark 16:8 h, and fed with aquarium fish flake food Tetramin® ad libitum. Only viable
- animals after 14 d acclimation period were chosen for the exposures. Animals were not fed
- during the exposure, or one day prior to the experiment to reduce the amount of fecal material
- and possible metal sorption to it during the experiment.
- The test design included one control and three different exposure concentrations each in three
- replicates. One replicate consisted of 11 larvae in one test container, a 2 L borosilicate glass
- beaker, and total number of exposed individuals was hence 132. Test concentrations of Cd were
- 127 0.0 μg L<sup>-1</sup> (control), 1.0 μg L<sup>-1</sup>, 10.0 μg L<sup>-1</sup> and 100.0 μg L<sup>-1</sup>. Volume of experimental water was
- 0.15 L per larvae. Glass beakers and all vessels and pipets were washed with acid (10% HCl),
- and then rinsed and soaked in MilliQ water before the exposure. During the exposure, glass
- beakers were continuously aerated to maintain rapid water circulation and oxygen saturation, and
- were covered with Parafilm® to prevent water evaporation. In each test vial, artificial plastic
- substrate was provided for larvae for net spinning. Exposure time was 96 h.

- 134 Experimental water and chemicals
- 135 Artificial freshwater prepared according to the standard ISO 6341 (1996) (CaCl·2 H<sub>2</sub>O, MgSO<sub>4</sub>·7
- H<sub>2</sub>O, NaHCO<sub>3</sub>, KCl) with total hardness (Ca + Mg) of 0.5 mmol  $L^{-1}$  was used in all the
- exposures, prepared into a MilliQ-water, and buffered prior to exposures with 0.5 M phosphate
- buffer (Na<sub>2</sub>HPO<sub>4</sub>·H<sub>2</sub>O, Na<sub>2</sub>H<sub>2</sub>PO<sub>4</sub>·H<sub>2</sub>O) to pH  $7 \pm 0.1$ . After buffering, water was aerated
- overnight and pH adjusted with 1 M HCl, if needed. Stock solutions of 10 000 mg L<sup>-1</sup>, 1000 mg
- 140 L<sup>-1</sup> and 100 mg L<sup>-1</sup> were prepared into a MilliQ-water from anhydrous cadmium chloride, CdCl<sub>2</sub>

141 (Alfa Aesar GmbH & Co.KG), and test concentrations were prepared by dilution of the stock solution to 5000 ml of test water, which was partitioned as 1650 ml into each glass beaker. 142 143 Based on the results of a preliminary exposure with similar test setup, Cd concentration of 144 experimental water decreases rapidly due to animal uptake. In order to maintain actual Cd 145 concentrations ≥ 90% of the nominal, experimental water was completely changed at 3 h, 9 h, 24 146 h, 48 h and 72 h of test duration by decanting. Water samples (50 ml) for Cd analysis from each 147 test concentration and control (n = 4) were taken two times right after test solutions had been 148 prepared to see pipetting accuracy in spiking, and just before each water renewal, preserved with supra pur nitric acid (65% HNO<sub>3</sub>) and stored in the refrigerator prior to analyses. Water samples 149 before water renewals at 3 h, 9 h and 24 h were taken only from the first replicate from the 150 151 control and each exposure concentration, but at 48 h and 96 h water samples were taken from all 152 replicates. pH, temperature (°C) and oxygen saturation (%) were measured daily from each 153 replicate.

#### Measured end points

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Larval mortality was checked daily. After the exposure, one larva per replicate (n = 3 per Cd concentration) was rinsed quickly to remove Cd adsorbed on H. pellucidula cuticula with pHbuffered artificial freshwater, weighed and freeze-dried for tissue Cd concentration analysis. All other ten larvae were preserved in 70% ethanol (purity 91.2%) for examination of gill damages and for HSI. Gill examination or HSI imaging results were not acquired from larvae which were prepared into Cd tissue analysis. In the present study, we measured larval wet weight, but according to another study conducted in our laboratory, the mean wet weight to dry weight ratio for H. pellucidula larvae (n = 74) is 3.61 ( $\pm$  0.50) (Ruuth 2017), which may be used as an estimate of conversion factor for tissue concentrations. Hydropsychid gill abnormality index (HY-index, HYI) (Vuori and Kukkonen 2002) was calculated as HYI =  $\sum NAG/n$ , where NAG is the number of abnormal gills, and n is the number of individuals. HYI values can vary from 0 to 19 as *H. pellucidula* has 9 pairs of abdominal gill tufts with one extra gill on the 2nd abdominal segment. Normal, undamaged gills are whitish and branching as shown in the picture in Online Resources 1 (ESM 1). A gill tuft was considered damaged, if it was totally reduced or darkened with its basal or distal parts, or if the gill tuft had dark spots on > 50% of its branches (Ratia et al. 2012). Hyperspectral images were taken from ethanol-preserved larvae (n = 120) from their dorsal, ventral, left and right sides. Larvae were individually immersed in tap water and placed with insect needles on a Petri dish just before imaging. Petri dishes were placed on a grey background and larvae imaged in a random order. Normality of the HYI distribution was tested using Shapiro-Wilk-test and equality of variances by Levene test. 1-way ANOVA was used to test if the HYI values differed across exposure concentrations. Spearman correlation was used to study larval Cd concentration in relation to actual water Cd concentrations. IBM SPSS Statistics 20 software was used in statistical analyses.

### Cd analyses

Weighed and freeze-dried *H. pellucidula* samples were pre-treated for elemental analysis using an ultrasound-assisted digestion method. The samples were digested using 2 mL of aqua regia as a digestion solution. The digestion solution was added, after which sample vessel was closed and placed into a 35 kHz, model Sonorex RK 512 CH ultrasonic water bath supplied by Bandelin.

The ultrasound-assisted digestion procedure was carried out in a temperature of about 60 °C. The optimized sonication procedure lasted 15 minutes, divided into five equal steps. The sample

vessel was shaken by hand between each step to ensure effective mixing of the sample and the 187 digestion solution. After cooling, the sample solution was transferred into a volumetric flask and 188 diluted to a final volume of 10 ml with water. All the ICP-OES measurements (larval tissue and 189 water samples of 10 and 100  $\mu$ g L<sup>-1</sup>) were performed with a Perkin-Elmer (Norwalk, CT, USA) 190 model Optima 8300 inductively coupled plasma optical emission spectrometry. A cyclonic spray 191 192 chamber and GemCone Low-flow nebulizer were used throughout. The determination of Cd 193 concentrations was performed using parameters of the instrument (nebulizer flow 0.6 L min<sup>-1</sup>, auxiliary gas flow 0.2 L min<sup>-1</sup>, plasma gas flow 8 L min<sup>-1</sup> and plasma power of 1450 W). Two 194 wavelengths with the axial plasma viewing used in the determination were 228.802 nm and 195 196 214.440 nm. A Perkin Elmer Model AAnalyst 800 atomic absorption spectrometer with an AS-197 800 autosampler was used for GFAAS measurements in order to perform accurate analysis of Cd 198 at low level of concentrations (water samples of 0 and 1  $\mu$ g L<sup>-1</sup>). All the measurements were 199 based on integrated absorbance and were performed using a Zeeman-effect background correction system. The determination of Cd was performed at 228.8 nm using a Perkin Elmer 200 hollow cathode lamp supplied by Perkin Elmer. Pyrolytic graphite-coated THGA tubes with end 201 202 caps and an integrated L'vov-type platform (Perkin Elmer) were used. Argon (AGA, Espoo, 203 Finland) was used as a protective gas throughout. 20 µL of sample solution and 5 µL of matrix 204 modifier solution (a mixture of 0.1% NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub> + 0.06% Mg(NO<sub>3</sub>)<sub>2</sub>) were injected into the 205 furnace. All the ICP-OES measurements were carried out using a four-point calibration. The determination of Cd was performed by taking the most sensitive emission lines to attain the 206 207 sensitivity required. The detection limits of the determination of water samples using wavelengths 228.802 nm and 214.440 nm resulted in 0.69 and 0.76  $\mu$ g L<sup>-1</sup>, respectively. The 208 detection limit for the *H. pellucidula* tissue samples using same wavelengths resulted in 0.15 and 209 210 0.16 mg kg<sup>-1</sup>. All the GFAAS measurements were done using a six-point calibration. The characteristic masses for each analysis were calculated by the measurement of 2.5 µg L<sup>-1</sup> of Cd 211 212 standard solution. The characteristic masses calculated were within 10% of the recommended values. The detection limit of the determination of Cd in water samples by GFAAS resulted in 213 concentration of 0.23  $\mu$ g L<sup>-1</sup>. The accuracy of the method was tested by the analysis of 214 SRM1643f (Trace elements in water) and DOLT-4 (Dogfish Liver) certified reference materials. 215 The determination of Cd resulted in recovery rates from 95.6% to 99.5% for both of the CRMs 216 217 analyzed. 218

#### Hyperspectral imaging

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The main instrument used was a compact and lightweight hyperspectral imager developed by VTT Technical Research Centre of Finland. Spectral separation in this device is based on the piezo-actuated Fabry-Perot interferometer (FPI). The component is hermetically sealed into a metal can filled with nitrogen. Both the parallelism and the distance between the mirrors of the Fabry-Perot interferometer need to be controlled with great degree of accuracy. This is achieved with three closed loop control systems positioned at the edges of the mirror plates. Each channel has a piezoelectric actuator with associated capacitive measuring element, which is used to determine the mirror separation. Each channel is controlled with nanometer accuracy to obtain the desired parallelism and air gap between the mirrors. Detailed information about camera is given in Table 1.

#### **Table 1** Specifications of VTT's Fabry-Perot hyperspectral imager.

Parameter	
Horizontal and vertical FOV (deg.)	> 36 > 26
Nominal focal length (mm)	$9.3 \pm 3$ (Custom lenses)
Wavelength range (nm)	500–885
Spectral resolution at FWHM (nm)	9–40
Adjustable spectral resolution step	< 1
f-number	< 6.7
Maximum spectral image size (pixels)	$2592 \times 1944$
Spectral image size with default binning (pixels)	$320 \times 240$
Camera dimensions (mm)	$62 \times 66 \times 219$
Weight (g)	< 450

In imaging setup HSI was mounted to a stand using a macro objective for sufficient magnification. Illumination was provided from both sides using one 200 W broadband halogen light on each side of the specimen holder. This lighting setup was selected to provide even light distribution over the subject without significant shadows. Imaging system setup is illustrated in Online Resources 2 (ESM 2). Incandescent light sources were utilized to provide a broadband illumination spectrum without significant spikes or other abnormalities. Each larva was prepared and mounted with non-reflective matte black metal pins prior to imaging using the same exposure and integration period settings, with the same sequence of spectral bands for all specimens. In our experiment, we used default spatial pixel binning, which improved noise performance of imaged spectra. Water level in the specimen holder was maintained at a level sufficient to fully immerse each specimen to prevent any reflective border effects from parts of the specimen disturbing the surface of the water. This also ensured similar additional spectral absorption caused by the water covering the specimen. Further standardizing the depth of this immersion is of importance for achieving as comparable imaging conditions as possible. Petri dish where specimens were set was on diffuse grey surface (approx. 30% reflectance). Used wavelengths and full width at half maximum (FWHM) of each band are listed (Table 2).

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Table 2 Used wavelengths and full width at half maximum (FWHM) of each band

Wavelenght	496.2	496.4	499.2	503.2	507.2	510.8	514.6	518.9	523.7	528.4
	532.4	533.5	535.9	538.5	539.6	543.2	547.3	552	557.1	561.8
	566.2	571.5	579.1	582.8	586.1	589.8	593.8	597.7	601.9	606.3
	610.9	612.2	618	625.9	634.1	639.8	646	653.6	659.9	660.8
	666.6	666.9	674.5	682.3	688.9	695.3	701.8	709.1	716.4	723
	729.5	735.6	744	750.5	756.7	762.5	769.8	777.7	786.7	793.4
	800.2	806.2	812.3	818.3	824.9	832.7	838.6	846.5	852.5	859.8
FWHM	32.1	30.3	29.3	45.6	30.2	29.2	30.8	25.2	30.7	20.9
	30.9	31.7	31.2	30.3	30.3	31	30.6	30.7	19.4	29.9
	21.9	30.3	21.4	30.7	21	30.7	21.8	29.4	22.8	29
	23.1	29.4	22	31	20.4	31.9	19.8	31.6	19.8	30.3
	20.2	29.6	21.4	28.5	22.2	28.1	22.4	28.6	21.8	29
	23.3	28.7	24.9	28.3	23	28.6	20.2	27.6	19.2	28.4
	19.7	28.5	19.6	19.5	19.8	20.4	11.2	20	12.9	15.3

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A hyperspectral image is a stack of grayscale images (Figure 1). Each of these images represents intensities at a given wavelength of light. A given pixel in each image is from the same target location. Thus, if a vector of pixels is taken through this stack, it forms a spectrum from a given point in the imaged object. After all specimens were imaged with the system, all pixels from all

spectral cubes were transformed to one large data matrix so that each row corresponded to a certain spectrum from a certain image. From this matrix unique spectral fingerprints were looked for. These fingerprint spectra are called as endmembers. The occurrence of each endmember can then be computed through inversion. Our assumption was that based on the statistical features for each specimen we could create supervised classification for specimen's contamination. In this case specimens would be classified to four groups based on the exposure concentration.

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Our data processing pipeline was:

- Data normalization to reflectance. From each image baseline spectrum  $s_0$  was selected manually. Raw imaging data was converted to reflectance  $x = s_{i,j}/s_0$  for all pixels in image, where  $s_{i,j}$  is spectrum of a single pixel.
- Spectral unmixing to delineate specimen from image. Vertex component analysis (VCA) was used to detect spectrum of specimen from image. With detected spectrums inversion was calculated using filter vector algorithm (FVA) to create a mask for specimen.
- All spectra from specimen were gathered and endmembers were selected from Figure 2 scatter plot. Endmembers are considered as vertices of convex hull of a data set.
  - Inversion for selected endmembers was calculated using FVA and abundance maps were formed.
  - For abundance images statistical features were calculated. Features were calculated based on the abundance maps and textures of abundance maps using local binary pattern algorithm. Used statistical features were scale and rotation invariant (average, median, mode, variance, standard deviation, entropy, difference entropy, difference variance, difference standard deviation).
- Manifold learning approach was utilized to classify specimens to different groups. For the manifold learning feature space's dimension was reduced using diffusion maps. For specimens in embedded space k-nearest neighbour (KNN) based classification was used. For cross-validation leave-one-out method was used with KNN.
- We will here explain in details how to find the unique spectral fingerprints from the data matrix.
- We utilized a spectral unmixing method. An assumption behind spectral unmixing is that the spectrum arriving at a given pixel is a mixture of reflections that have been given off from
- different materials present in the scene being imaged. To effectively separate these materials, a
- model is required to describe this process, making it possible to devise a reverse operation. One
- common assumption is a so- called linear mixing model, which assumes the detected spectrum
- for each pixel to consist of a linear combination of substance- originated constituent spectra, termed as endmembers.
- As given in (Keshava 2003), linear mixing model (LMM) for single spectrum can be described as

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$$x[\lambda] = \sum_{i=1}^{M} a_i s_i[\lambda] + w[\lambda],$$

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where  $\boldsymbol{x}$  is the detected spectrum as a function of wavebands  $\lambda$ ,  $\boldsymbol{a}_i$  is an abundance coefficient for endmember  $\boldsymbol{s}$ , M is the number of endmembers and  $\boldsymbol{w}$  is the noise term. Expanding LMM to all observed pixel spectra, we shall have a matrix form of X = AS + W, where  $X = [\boldsymbol{x}_1, \boldsymbol{x}_2 \cdots, \boldsymbol{x}_N]^T$  is  $N \times d$  matrix,  $A = [\boldsymbol{a}_1, \boldsymbol{a}_2, \cdots \boldsymbol{a}_M]$  is  $N \times M$  matrix,  $S = [\boldsymbol{s}_1, \boldsymbol{s}_2 \cdots \boldsymbol{s}_M]^T$  is  $M \times d$  matrix and W is  $N \times d$  noise matrix.

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Goals of unmixing processes are to estimate these constituent spectra, and their relative

- 303 abundance for each pixel. Given these abundance coefficients, new images displaying the relative 304 occurrence of a given endmember within the scene can be drawn, usually termed as abundance
- 305 maps.
- Unmixing can be done manually projecting data to a lower dimensional space. We used principal 306
- 307 component analysis to project specimens spectra to a lower dimensional space, where
- endmembers were selected. One unsupervised method used to unmix the spectral data is Vertex 308
- 309 Component Analysis, as outlined in Nascimento and Bioucas Dias (2005). This method assumes
- 310 presence of pure pixels S in the input data X, and proceeds by performing iterative orthogonal
- projections of the data onto subspace spanned by the previously determined endmembers. The 311
- 312 extremity of this projection is taken as being the new endmember signature. This process repeats
- until M endmembers have been extracted. 313
- 314 As such, the assumption of pure pixels existing is a strong one, and not necessarily true in all
- types of data. For purpose of discovering material differences present within the scene imaged in 315
- contrast to finding endmember spectra directly usable for substance identification, the behavior of 316
- selecting the most purest pixel spectra as the endmember signatures is often sufficient. 317
- 318 To obtain abundance maps, given spectral datacubes and endmember spectra, two different
- 319 methods were examined. The first one was a traditional non-negative least squares inversion,
- which proved to provide good abundance maps, but also is computationally expensive. The other 320
- 321 method utilized was the Filter Vector Algorithm (Bowles et al. 1995) (FVA), which in turn
- provided reduced computational cost. 322
- The FVA forms a set of filter vectors F, which are used to estimate abundance coefficients. 323
- 324 Estimation is performed in the following way A = FX, where  $F = [RS]^{-1}R$
- and  $R = S^T (I/NS)^T$ . Here I is  $N \times N$  unit matrix. 325
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- Proposed workflow offers us features, which can be utilized in separation of different spectra. In 327
- 328 the case of H. pellucidula this gave us an opportunity to utilize numerical features based on
- specimen's reflectance spectrum. 329
- After unmixing and inversion we calculated scale and rotation invariant statistical features for 330
- each specimen. These features were listed earlier. With this feature space we got 54 different 331
- features for each specimen, which also means 54 dimensional space. Because of large 332
- dimensionality diffusion maps (Coifman and Lafon 2006) were used to reduce it. Diffusion maps 333
- is a non-linear dimensionality reduction algorithm, which detects underlying manifolds from the 334
- 335 data.
- 336
- Now each specimen  $i=1,2,\ldots,120$  has a feature vector  $x_i^f\in\mathbb{R}^{54}$  and all these feature vectors 337
- form a feature matrix  $X^f = \begin{bmatrix} x_1^f, x_2^f, \cdots, x_{120}^f \end{bmatrix}^T$ . Diffusion matrix W is formed using Gaussian 338
- 339 heat kernel

$$340 k_{i,j} = exp \frac{\left| x_i^f - x_i^f \right|}{\epsilon}$$

- in every element of the  $W_{i,j \in [1,2,\dots,120]}$ . By normalizing W with symmetric matrix  $D_{i,i} = \sum_{j=1}^{120} W_{i,j}$  we will get Markovian transition matrix  $P = D^{-1}W$ . After this the conjugate matrix 341
- 342
- $\hat{P} = D^{1/2}PD^{-1/2}$  is calculated to determine the eigenvalues of P. Now,  $\hat{P} = D^{-1/2}WD^{-1/2}$ , 343
- which is also known as normalized graph Laplacian and it maintains eigenvalues. Singular value 344
- decomposition is used to find the singular values  $\varphi_i$  and singular vectors  $\psi_i$ . Now,  $\Phi_i = \varphi_i \psi_i$  are 345
- 346 the coordinates of the embedded data.
- 347

After dimensional reduction step, we can use classification algorithm to classify specimens to four different exposure groups. We apply simple three nearest neighbor classification to this using the embedded data  $\Phi$  and more precisely 2nd, 3rd and 4th eigenvectors and -values. To test accuracy of classification cross-validation scheme is built with a leave-one-out method. In this method, one data point is removed and the rest are used for training the classifier. Now this removed data point is classified with a trained classifier. This procedure is repeated for all the data points.

#### **Results and discussion**

Water chemistry remained stable throughout the exposure. Average pH ( $\pm$  SD) was 7.14 (0.03), temperature 18.8 °C (0.3) and oxygen saturation 100.0% (0.6). The actual Cd concentrations in experimental water were slightly over the nominal throughout the exposure at the concentrations of 10.0 and 100.0  $\mu$ g L<sup>-1</sup>. At the lowest Cd concentration (1.0  $\mu$ g L<sup>-1</sup>) actual Cd concentration was only 48–80% of the nominal concentration despite frequent water renewals. Larval tissue Cd concentration ( $\mu$ g g<sup>-1</sup> ww) varied somewhat within treatments (Figure 5), but showed a significant positive correlation with actual water concentrations ( $\mu$ g L<sup>-1</sup>) (rs = 0.75, p = 0.005).

There was no larval mortality in any of the exposure concentrations. HYI showing the average number of damaged gills per larvae in the exposed population was low and varied from 1.47 ( $\pm$  0.42) in the control to 1.63 ( $\pm$  0.25) in the exposure concentration of 10.0  $\mu$ g L<sup>-1</sup> with no significant differences among exposure populations (F = 0.077, df = 3, p = 0.971) (Figure 3). HSI data analysis indicated that larvae exposed to high Cd concentrations might have different spectral properties than control larvae. First of all, different parts of the specimen based on spectral unmixing were able to separate. In Figure 4 is one specimen presented as RGB image (A) and abundances of the found endmembers (B, C, D). It seems that B represents dark parts of the thorax and abdomen. C and D present endmembers for the segments, where tissue is soft and does not include pigment.

**Table 3** Average water ( $\mu g \ L^{-1}$ ) and larval tissue ( $\mu g \ g^{-1}$  ww) Cd concentrations ( $\pm$  SD) during the exposure. Experimental water was completely changed five times (at 3, 9, 24, 48 and 72 h), and exposure duration was 96 h

ıration was 96 h Time Nominal water Cd μg L <sup>-1</sup>		Cd Actual water Cd after spiking µg L <sup>-1</sup>	Actual water Cd before water renewal µg L <sup>-1</sup>	Larval tissue Cd μg g <sup>-1</sup> ww	Larval ww mg	
3 h 9 h	0.0 0.0	>0.22 >0.22	>0.22 >0.22			
24 h	0.0		>0.22			
48 h	0.0		>0.22			
72 h	0.0		>0.22			
96 h	0.0		>0.22	0.62 (0.41)	53.3 (4.32)	
3 h	1.0	1.88	0.80			
9 h	1.0	1.26	0.85			
24 h	1.0		0.75			
48 h	1.0		0.62			
72 h	1.0		0.48 (0.11)			
96 h	1.0		0.54 (0.05)	3.98 (1.96)	50.27 (8.09	
3 h	10.0	12.00	10.83			
9 h	10.0	12.20	10.98			
24 h	10.0		9.90			
48 h	10.0		10.00			
72 h	10.0		10.35 (0.34)			
96 h	10.0		10.74 (0.13)	12.76 (6.43)	49.17 (1.83	
3 h	100.0	119.70	117.10			
9 h	100.0	123.60	116.90			
24 h	100.0		117.80			
48 h	100.0		121.90			
72 h	100.0		121.10 (2.08)			
96 h	100.0		122.90 (0.54)	8.92 (3.23)	60.17 (7.27	

Classifier gave 32% accuracy, which is better than guessing (25%). The most promising individual set of features, more specifically the inverse of the variance of pixel intensities in a specimen, correlated with the Cd exposure ( $r_s = 0.29$ ) (Figure 5). These data were captured from the dorsal side of the insect. This result can be interpreted as abundance image's pixel intensities having less difference between each other when Cd exposure concentration is higher. The result indicates that soft parts of the larvae are darker in higher exposure concentrations (Figure 5).

H. pellucidula larvae accumulated waterborne Cd into their tissues in this study. Accumulated Cd 405 concentration reflected the actual water Cd concentrations, but was on average highest at the 406 second highest exposure concentration, 10  $\mu g \; L^{-1}.$  There is evidence of active internal Cd 407 concentration regulation and some physiological control seem to exist for protecting insects from 408 excess uptake (Buchwalter et al. 2008; Poteat et al. 2012). Larval body burden represents the 409 410 bioavailable fraction of metals, not the entire metal exposure in the water, and therefore reflects 411 the potential harmful ecotoxicological effects of metals on biota. In the present study, larval body 412 burden was high compared to Cd tissue concentrations in field-collected larvae of the same family (e.g., Cain et al. 2000; Awrahman et al. 2016; Prommi and Payakka 2018). 413 All exposure concentrations  $1-100 \mu g L^{-1}$  used in this study were acutely in a sublethal range for 414 415 H. pellucidula as there was no mortality during this 96 h test. In streams receiving mine drainage, Cd concentration may vary  $0.3-2.0 \mu g L^{-1}$  (Ramani et al. 2014), but rivers receiving waters from 416 historical deep metal mines can contain < 10–2600 µg L<sup>-1</sup> Cd (Byrne et al. 2012). In Finnish 417 mine-impacted streams and lakes, Cd concentration has exceeded on a spill occasion the 418 419 maximum environmental quality standard, MAC-EQS, over tenfold (European Union 2013; 420 Kauppi et al. 2013). Water hardness generally protects against Cd toxicity since calcium (Ca) 421 ions can compete with Cd for binding sites on cell membrane thus preventing Cd uptake (Penttinen et al. 1998). In this study, a soft water characteristic of Finnish water bodies and 422 423 natural habitats of *Hydropsyche* larvae was used. According to Mebane et al. (2012), larval 424 Arctopsychidae (closely related to Hydropsychidae) were very tolerant for Cd with 96-h EC50 > 425 458 µg Cd L<sup>-1</sup> when stream water was used as an experimental water with estimated dissolved organic carbon being  $0.6 \text{ mg L}^{-1}$  and field measured pH 6.8-7.5. There are differences in Cd 426 sensitivity between insect families reflecting their phylogeny (Buchwalter et al. 2008). 427 428 Hydropsyche spp. are considered metal tolerant with more efficient detoxification processes and 429 accumulated Cd whole-body concentrations in metal polluted sites lower than e.g., in mayfly larvae (Cain et al. 2004). H. californica has shown very high Cd elimination rate compared to 430 other aquatic invertebrate taxa (Buchwalter et al. 2008). Also animal size affects metal tolerance. 431 Larger individuals may be more tolerant with decreased surface area:mass ratio compared to 432 smaller individuals with higher ion uptake rates (Poteat and Buchwalter 2014) which makes 433 434 earlier instar larvae more susceptible to metals. Metal exposure through dietary sources is found 435 to be potentially more toxic than uptake of dissolved metal from water (Xie and Buchwalter 436 437 No differences were observed in the number of gill damages in *Hydrospyche* larvae among the 438 three different Cd concentrations and the control. Overall, the incidence of gill abnormalities was low compared to much higher incidence of gill damage (average HYI 5-15) found by Vuori and 439 440 Kukkonen (2002) when exposing H. siltalai larvae to very high Cd concentrations (160 and 10 000 μg L<sup>-1</sup>). Although the visual inspection for gill damages did not reveal any relation to Cd 441 442 concentrations, HSI succeeded to detect a weak correlation between water Cd concentrations and 443 spectral features, showing a relationship between darkening of larval soft tissues and Cd exposure 444 concentration. These features could not be correlated to larval Cd tissue concentration directly 445 since the larvae that were imaged were not suitable anymore for tissue Cd analysis due to ethanol preservation. This correlation and maybe those for some other features might have become 446 447 stronger if some apparent sources of error could be avoided. Spectral features of the larvae might 448 have been measured better if the larvae were not immersed in water. In the current imaging 449 system, this was required to avoid larvae from drying out under illumination. Because there was a range of larval sizes present, there was additional variance in the level of water surface. Water 450 451 absorption can be an additional source of error, and would thus be advantageous to eliminate. As

452 the larvae are not flat, the thickness of water layer on them varies among different parts of the larva, and potentially, to some extent among specimens. However, for the wavelengths used in 453 454 imaging, the absorption by water is limited and has relative small effect on the imaged spectra. Thus, this was handled as a noise factor of linear mixing model, and was thereby controlled for in 455 the data processing. The manual preparation with needles also gives rise to a further uncertainty, 456 457 as each larva is positioned and stretched out in a slightly different manner, which also affects 458 what areas are imaged and to what extent. Physiological explanation for the soft tissue darkening in the higher Cd concentrations indicated 459 by HSI is unclear. Vuori (1994) and Vuori and Kukkonen (2002) observed heavy visual 460 darkening of hydropsychid soft tissues at high Cd exposure levels. This phenomenon was 461 462 associated with heavy darkening and reduction of tracheal gills of the larvae. HSI is known to 463 detect color changes in organisms before the changes are visible to human eye (Little and Summy 2012). By the same token, HSI indication of soft tissue darkening of hydropsychids in the present 464 study may be an early-warning signal of color change related to metabolic disturbances. Cd is 465 observed to alter normal cell ultrastructure in insects, which is linked to protein-based defense 466 system activation (Braeckman et al. 1999a, b). Another explanation may be related to adsorption 467 468 of Cd on body surfaces. Significant proportion of metals can be adsorbed on the body surfaces of aquatic insects, mainly as oxide coating on the cuticle surfaces (Dittman and Buchwalter 2010) or 469 470 direct binding to chitin (Hare 1992). The main component of abdominal cuticle of insects is chitin. Number of studies has shown rapid biosorption of Cd to chitin (Gonzalez-Davila et al. 471 1990; Zhou et al. 2004). The high affinity of chitin to Cd and other metals is related to its 472 structure comparable to the polysaccharide cellulose assembled into crystalline nanofibrils 473 (Vincent 2002). Short-term preservation of larvae in 70% ethanol has probably no significant 474 475 impact on Cd concentrations. Concentrations of closely related zinc in invertebrates have also 476 been shown to be unaffected by ethanol preservation (Braun et al. 2009). In this pilot study the feasibility of a novel technique, HSI, was tested to detect metal 477 contamination of aquatic insects, and a workflow for processing hyperspectral data for this kind 478 of an application was developed. The actual imaging process needs further development, 479 especially concerning stabilization of specimens for spectral imaging in a standardized manner 480 without damaging them. Moreover, it is important to improve the study protocol so that 481 482 individual level information of both tissue Cd accumulation, gill damages, and specific spectral features are acquired. The results show quite large variation in Cd accumulation, and in the 483 spectral features of larvae, so in the future studies it is essential to get both of these parameters 484 485 from each individual. Reliable estimates of Cd body burden also may require larger sample sizes than used in this study, where only three larvae per treatment were analyzed. Although a relation 486 487 between metal exposure concentration and a spectral feature was discovered in the data, it proved to be too weak for performing automatic distinction between the exposed and unexposed 488 489 specimens. Thus the current data set as such is insufficient for directly estimating Cd water or 490 tissue concentrations. Prediction could be potentially performed by similar machine learning 491 methodologies as here was done directly against the observed data. The absolute values of 492 features for each exposure concentration occupy much the same numerical range (Figure 5), 493 making any potential prediction results considerably more uncertain. Still, we consider these 494 preliminary results encouraging enough to study further if HSI has a potential for detection of metal contamination in aquatic insects. To further develop and test HSI to this end, focus in the 495 496 future studies should focus on set-ups that will enable a standardized manner of larvae preparation for spectral imaging, and will yield accurate dose-response data. 497 498

499 Conflict of Interest: The authors declare that they have no conflict of interest.

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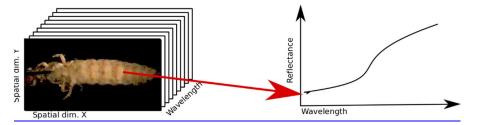
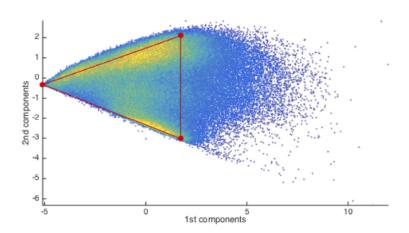
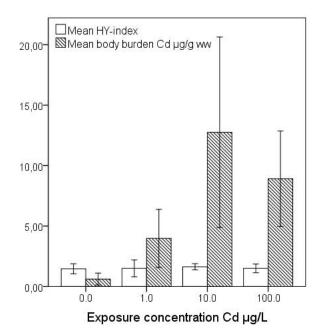


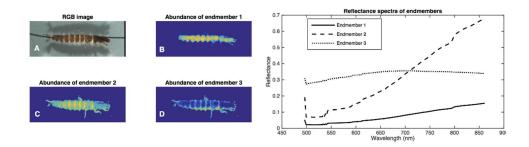
Fig. 1 Illustration of a hyperspectral datacube. Each spatial pixel forms a spectrum through the cube



**Fig. 2** Illustrative density scatter plot of all the specimen spectra projected to the lower dimensional space with principal component analysis. Projection of the data set is almost convex. Color in scatter plot indicates spatial density of projection. In more yellow (lighter) area there are more scatter points than in blue (darker) area. Majority of the data is between marked three red dots. These dots can be seen as endmembers for the data and data points inside this convex hull can be presented as linear combination of these endmembers. Data points outside of hull consist more noise



**Fig. 3** Mean HY-index ( $\pm$  SD) (n = 30) describing the average number of damaged gill tufts of H. pellucidula in the exposed population, and mean larval body burden Cd  $\mu g$  g $^{-1}$  ww ( $\pm$  SD) (n = 3) in Cd-exposure concentrations of 0.0  $\mu g$  L $^{-1}$  (control), 1.0  $\mu g$  L $^{-1}$ , 10.0  $\mu g$  L $^{-1}$ , and 100.0  $\mu g$  L $^{-1}$ 



**Fig. 4** Separated parts of the specimen based on spectral unmixing. Here is one *H. pellucidula* larvae presented as RGB image (A) and abundances of the found endmembers (B, C, D). Extracted endmembers on the right

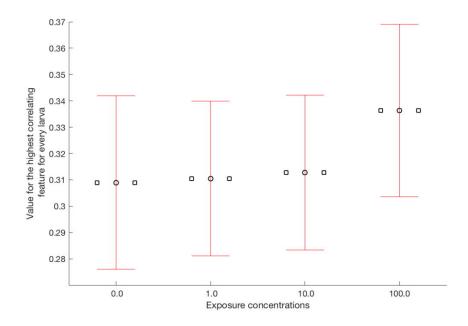


Fig. 5 Values for the highest correlating spectral feature (mean  $\pm$  SD) for every larva in Cd concentrations of 0 (control), 1, 10, and 100  $\mu g \ L^{-1}$