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# Elliptic flow of charged particles at midrapidity relative to the spectator plane in Pb–Pb and Xe–Xe collisions

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## ABSTRACT

Measurements of the elliptic flow coefficient relative to the collision plane defined by the spectator neutrons  $v_2\{\Psi_{SP}\}$  in collisions of Pb ions at center-of-mass energy per nucleon–nucleon pair  $\sqrt{s_{NN}} = 2.76$  TeV and Xe ions at  $\sqrt{s_{NN}} = 5.44$  TeV are reported. The results are presented for charged particles produced at midrapidity as a function of centrality and transverse momentum for the 5–70% and 0.2–6 GeV/c ranges, respectively. The ratio between  $v_2\{\Psi_{SP}\}$  and the elliptic flow coefficient relative to the participant plane  $v_2\{4\}$ , estimated using four-particle correlations, deviates by up to 20% from unity depending on centrality. This observation differs strongly from the magnitude of the corresponding eccentricity ratios predicted by the T<sub>R</sub>ENTo and the elliptic power models of initial state fluctuations that are tuned to describe the participant plane anisotropies. The differences can be interpreted as a decorrelation of the neutron spectator plane and the reaction plane because of fragmentation of the remnants from the colliding nuclei, which points to an incompleteness of current models describing the initial state fluctuations. A significant transverse momentum dependence of the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  is observed in all but the most central collisions, which may help to understand whether momentum anisotropies at low and intermediate transverse momentum have a common origin in initial state fluctuations. The ratios of  $v_2\{\Psi_{SP}\}$  and  $v_2\{4\}$  to the corresponding initial state eccentricities for Xe–Xe and Pb–Pb collisions at similar initial entropy density show a difference of  $(7.0 \pm 0.9)\%$  with an additional variation of +1.8% when including RHIC data in the T<sub>R</sub>ENTo parameter extraction. These observations provide new experimental constraints for viscous effects in the hydrodynamic modeling of the expanding quark–gluon plasma produced in heavy-ion collisions at the LHC.

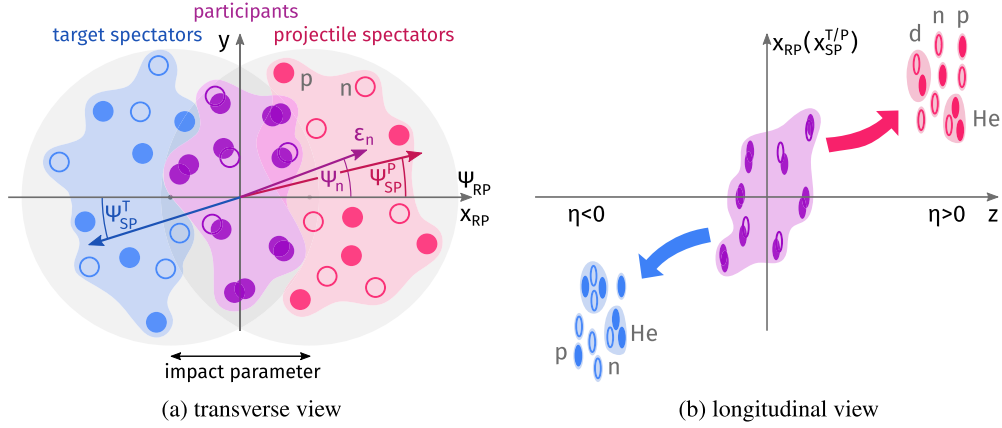
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Determining the properties of deconfined quark–gluon matter, called quark–gluon plasma (QGP), is the goal of the heavy-ion program at the Large Hadron Collider (LHC). Previous studies of data collected at the Brookhaven Relativistic Heavy-Ion Collider (RHIC) and the LHC have shown that the QGP behaves like a liquid with very small specific shear and bulk viscosities [1,2]. In heavy-ion collisions, these properties are encoded in the collective expansion of the strongly interacting QGP. Measurements of this collective behavior, in particular the anisotropic flow driven by the spatial anisotropy of the shape of the overlap region of the colliding nuclei, can be used to infer the QGP transport properties. The anisotropic flow is quantified by the coefficients  $v_n$  of a Fourier decomposition of the momentum anisotropy of emitted particles relative to the collision symmetry planes with angles  $\Psi_n$  of the harmonic  $n$ . The dominant coefficient in off-center collision of heavy ions is the elliptic flow  $v_2$ . The shape of the initial energy density distribution in the overlap region of the nuclei (participant

zone) fluctuates from collision to collision due to the motion of nucleons and the quantum mechanical nature of the nucleus–nucleus interaction, which is typically modeled by spatial position fluctuations of the interacting nucleons. This was initially discussed in the context of the elliptic flow fluctuations [3–5] and later confirmed by observations [6–10] of significant non-zero triangular flow  $v_3$  and higher flow harmonics. A detailed picture of fluctuations has already been inferred from the comparison of initial state models to measurements of flow fluctuations relative to the participant symmetry planes [8,11–28]. Additional information about the pattern of initial state fluctuations can be obtained from measurements using spectator nucleons, which decouple very fast from the participant zone.

Fig. 1 sketches the geometry of a non-central heavy-ion collision, divided into the regions of the participant nucleons (i.e. those encountering strong interactions) and the deflected spectator nucleons of the target (T) and projectile (P) nuclei. The geometrical reaction plane, denoted by its angle  $\Psi_{RP}$  in Fig. 1a, is spanned by the impact parameter, pointing in the direction of the  $x_{RP}$  axis, and the movement direction of the colliding nuclei, which is indicated

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**Fig. 1.** A sketch of the geometry of a non-central heavy-ion collision in the (a) transverse ( $x_{RP}, y$ ) and (b) reaction ( $x_{RP}, z$ ) planes. The  $x_{RP}$  axis points along the direction of the impact parameter given by the distance between the centers of the colliding nuclei, while the  $z$  axis is oriented along the direction of the colliding nuclei. The full (open) circles represent the protons (neutrons) from projectile (red) and target (blue) nuclei. The participant nucleons are shown in purple. In panel (a), the arrows indicate the eccentricity vectors ( $\epsilon_n$  is shown as example) and corresponding reaction ( $\Psi_{RP}$ ), projectile/target spectator ( $\Psi_{SP}^{P,T}$ ), and participant (multiple  $\Psi_n, n \geq 1$ ) plane angles. Panel (b) shows the outward deflection, indicated by curved arrows, of the spectators and fragmentation products of the projectile ( $\eta > 0$ ) and target ( $\eta < 0$ ) recoil nuclei, such as protons ( $p$ ), neutrons ( $n$ ), and nuclei (deuteron, helium, etc).

by the  $z$  axis. The fluctuating shape of the energy density distribution of the collision can be characterized by the eccentricities  $\epsilon_n$  for  $n > 1$

$$\epsilon_n e^{in\Psi_n} = -\frac{\int r^n e^{in\varphi} \rho(r, \varphi) r dr d\varphi}{\int r^n \rho(r, \varphi) r dr d\varphi}, \quad (1)$$

where  $\rho(r, \varphi)$  is the initial energy or entropy density near midrapidity and  $(r, \varphi)$  are the polar coordinates. Eq. (1) for  $n = 2$  gives the standard formula for the ellipticity [29,30]. In the absence of fluctuations, the azimuthal symmetry plane of the collision is given by the reaction plane. In the presence of fluctuations, the collision symmetry is not described by a single reaction plane, but by multiple participant planes with angles  $\Psi_n$  and spectator planes with angles  $\Psi_{SP}^T$  and  $\Psi_{SP}^P$ . The spectator planes are spanned by the beam direction and the deflection of the spectators, which is determined by the sum of the momenta of the spectator nucleons and fragments. For small fluctuations of the spectator deflection, the target and projectile spectator planes share a common symmetry plane with angle  $\Psi_{SP}$ . The sketch is oriented along the reaction plane  $x_{RP}$  (i.e.  $\Psi_{RP} = 0$ ), while in the experiment the orientation of the collision relative to the laboratory frame fluctuates from one collision to another. This causes the reaction plane angle to be different from zero.

Fig. 1b illustrates a longitudinal view of the early time of a heavy-ion collision, in particular the deflection of the spectators. The fragmentation of the nuclear recoil is a complex process [31] involving the release of protons, neutrons, and other nuclei, which causes a decorrelation of the reaction plane and spectator planes. Additionally, a plane defined only by a subset of the spectator fragments (e.g. only neutrons or protons) can be different from the overall spectator plane orientation illustrated in Fig. 1a. This difference depends on the collision centrality due to the balance between the energy carried by free nucleons and nuclear fragments.

The deflection of the spectators along the spectator planes at LHC collision energies, as illustrated by the red and blue arrows in Fig. 1a, was shown to be outward from the nuclear overlap region, based on the measured directed flow relative to the neutron spectator plane and hydrodynamic model calculations [32]. From measurements of the neutron deflection at the LHC, the transverse momentum exchange between the participants and the spectators has been estimated to be in the order of 20 MeV/c per nucleon in midcentral collisions [33]. This is about an order of magnitude smaller than the width of the transverse momentum distribution

of emitted spectators, which is proportional [34,35] to the Fermi momentum of 265 MeV/c [36] for lead nuclei.

Due to the geometry of the collision, the direction in which the spectators are deflected is believed to be strongly correlated to the reaction plane. In collisions with large impact parameters, the smaller number of sources of particle production (number of participants) results in a stronger decorrelation of the participant symmetry plane and the reaction plane. Measurements of the directed flow using the deflection of spectator neutrons showed a small but non-zero decorrelation between the direction of the neutron deflection and the reaction plane orientation, in addition to a relative decorrelation of the neutron spectator planes originating from the projectile and target nuclei, respectively [33]. A strong correlation between the participant and spectator planes is expected in midcentral collisions because of the dominance of the elliptic flow [37]. Together with measurements relative to the participant planes, a measurement relative to the spectator deflection provides unique information about spatial orientation of the participant zone relative to the geometrical orientation of the colliding nuclei [33].

Hydrodynamic model calculations with small viscosities indicate an approximately linear response to the initial eccentricities for elliptic and triangular flow [38]. In ideal hydrodynamics, the elliptic flow  $v_2$  is proportional to the initial eccentricity [39]

$$v_2 \propto \kappa_2 \epsilon_2. \quad (2)$$

This has been demonstrated by the comparison of measurements relative to the participant plane with eccentricities from different initial state model calculations [25]. The scaling coefficient  $\kappa_2$  is related to the initial entropy density. In ideal hydrodynamics, it is estimated from the final state multiplicity  $dN_{ch}/dy$  per unit of the overlap area  $S$  [40–42]

$$\frac{v_2}{\epsilon_2} \propto \frac{1}{S} \frac{dN_{ch}}{dy}. \quad (3)$$

Following Ref. [25], the area  $S$  is defined as  $S = 4\pi\sigma_x\sigma_y$ , where  $\sigma_x$  is the spatial width of the participant zone along the reaction plane direction ( $x_{RP}$ ) and  $\sigma_y$  is along the transverse direction ( $y$ ). This scaling can be tested by comparing different collision systems and their dependence on centrality or center-of-mass energy. Such a scaling is broken by viscous effects, which depend on the magnitude of the temperature-dependent specific shear and bulk

viscosities [41]. Even for an ideal fluid, the scaling can be broken during the final stages of the evolution before freeze-out [41].

One of the largest uncertainties in the description of heavy-ion collisions is the pattern of fluctuations in the initial state that results in large uncertainties of the extracted QGP transport properties [43,44]. Among the observables that quantify the effects of initial state fluctuations are the cumulants [29], which can be used to decompose both the spatial and momentum anisotropies. The cumulants of the eccentricity distribution describe the shape of the initial state fluctuations. There are different models describing this initial state and its fluctuations. One of the simplest analytical descriptions is the Bessel-Gaussian model (BGM) [45] in which many sources of entropy production result in a two-dimensional Gaussian shape of fluctuations, where all higher-order ( $m \geq 4$ ) eccentricity cumulants,  $\varepsilon_2\{m\}$ , are degenerate and equal to the reaction plane eccentricity

$$\varepsilon_2\{2\} > \varepsilon_2\{4\} = \varepsilon_{\text{RP}}. \quad (4)$$

The BGM is only applicable to small fluctuations. The elliptic-power model (EPM) is a more general analytical model, which is also applicable for the description of large fluctuations [11]. In the EPM, the degeneracy of higher-order cumulants is broken and therefore

$$\varepsilon_2\{2\} > \varepsilon_2\{4\} > \varepsilon_{\text{RP}}. \quad (5)$$

There are a number of Monte Carlo models of the initial state that either implement a specific physical mechanism of pre-equilibrium entropy production, for example IP-Glasma [46], or do not assume a specific mechanism, such as TrENTo [12]. In TrENTo, the entropy production in the participant zone is parameterized by the transverse density of the participating nucleons, i.e. the participant thickness. Each of these models is characterized by specific signatures of the eccentricity cumulants and their relationships. The importance of subnucleonic degrees of freedom for modeling fluctuations in central collisions has been highlighted in Ref. [47].

One can probe the initial state eccentricities by comparing the ratios of eccentricity cumulants defined by Eq. (1) to equivalent flow observables, if the fluid response is linear as given by Eq. (2). These observables can be constructed through angular correlations of the emitted particles and the symmetry planes

$$v_2\{\Psi_{\text{SP}}\} = \langle \langle \cos 2(\varphi_1 - \Psi_{\text{SP}}) \rangle \rangle, \quad (6)$$

$$v_2\{2, |\Delta\eta|\} = \sqrt{\langle \langle \cos 2(\varphi_1 - \varphi_2) \rangle \rangle} = \langle v_2^2 \rangle^{\frac{1}{2}}, \quad (7)$$

$$\begin{aligned} v_2\{4\} &= \left[ 2 \langle \langle \cos 2(\varphi_1 - \varphi_2) \rangle \rangle^2 \right. \\ &\quad \left. - \langle \langle \cos 2(\varphi_1 - \varphi_2 + \varphi_3 - \varphi_4) \rangle \rangle \right]^{\frac{1}{4}} \\ &= \left[ 2 \langle v_2^2 \rangle^2 - \langle v_4^2 \rangle \right]^{\frac{1}{4}}. \end{aligned} \quad (8)$$

Here  $\varphi_i$  ( $i = 1 \dots 4$ ) are the azimuthal angles of the produced particles,  $\langle \dots \rangle$  is the average over all collisions, and  $\langle \langle \dots \rangle \rangle$  is the average over all particles in a given transverse momentum window in all collisions. The  $v_2\{\Psi_{\text{SP}}\}$  is the elliptic flow measured relative to the spectator plane with angle  $\Psi_{\text{SP}}$ , where fluctuations of the spectator symmetry planes are assumed to be small. The  $v_2\{2\}$  and  $v_2\{4\}$  are estimators of elliptic flow coefficients whose equations, including  $p_T$ -dependence, are derived from the two- and four-particle cumulants [48,49]. The variable  $|\Delta\eta|$  in Eq. (7) refers to the minimum pseudorapidity ( $\eta$ ) separation between the two correlated particles. The observables  $v_2\{\Psi_{\text{SP}}\}$ ,  $v_2\{4\}$ , and  $v_2\{2, |\Delta\eta|\}$  may deviate from  $v_2\{\Psi_{\text{RP}}\}$ , which is the unmeasurable momentum anisotropy relative to the reaction plane. The comparison of ratios

of the measured anisotropic flow coefficients to their respective eccentricities is instrumental for identifying the most realistic model of the initial state.

The transverse momentum dependence of the flow coefficients  $v_n$  allows one to study if there is a common origin of anisotropy at different transverse momentum scales due to initial state eccentricity fluctuations. The main source of anisotropy for soft ( $p_T < 3$  GeV/c) particle production is the collective expansion of the QGP [38]. At significantly larger  $p_T$ , the dominant contribution to  $v_n$  is the path length dependent energy loss of the jet propagating through the azimuthally asymmetric medium oriented along  $\Psi_n$  [50]. A significant transverse momentum dependence of flow fluctuations relative to the participant planes is observed [50] in central collisions and within large experimental uncertainties in midcentral collisions. Given that the spectators only probe the early time evolution of the collision and provide sensitivity to a different symmetry plane, the transverse momentum dependence of the ratio  $v_2\{\Psi_{\text{SP}}\}/v_2\{4\}$  can be used as an additional observable to investigate the origin of flow fluctuations.

An accurate determination of the flow coefficients relative to the spectator plane, in particular of the elliptic flow  $v_2\{\Psi_{\text{SP}}\}$ , is also crucial for the search of the chiral magnetic effect (CME) [51, 52]. Because the magnetic field orientation is correlated more strongly to the spectator deflection than to the participant plane orientation, the measurement relative to both planes allows the separation of the CME signal from the background correlations coupled to the elliptic flow [52–57].

In this letter, the charged particle elliptic flow relative to the neutron spectator deflection,  $v_2\{\Psi_{\text{SP}}\}$ , and to the participant plane, quantified by  $v_2\{2, |\Delta\eta|\} > 1$  and  $v_2\{4\}$ , is reported as a function of centrality and transverse momentum for collisions of Pb ions at a center-of-mass energy per nucleon–nucleon pair of  $\sqrt{s_{\text{NN}}} = 2.76$  TeV and Xe ions at  $\sqrt{s_{\text{NN}}} = 5.44$  TeV. The comparison with different initial state models, such as BGM, EPM, and TrENTo, and the scaling properties of  $v_2/\varepsilon_2$  are also presented.

ALICE is a dedicated heavy-ion experiment at the LHC. A detailed description of the detector and its performance can be found elsewhere [58,59]. The trajectories of the charged particles are reconstructed using the Inner Tracking System (ITS) and the Time Projection Chamber (TPC) [60,61]. The neutron spectator deflection is estimated using the neutron Zero Degree Calorimeters (ZDC) [62]. The ZDC consist of two detectors with a  $2 \times 2$  transverse segmentation. During the Pb–Pb (Xe–Xe) data-taking, the ZDC were placed 114 m (112.5 m) away from the collision point on both sides of the experiment, named A and C side, covering the pseudorapidity interval  $|\eta| > 8.78$  (8.77). The collision centrality is reconstructed using the energy deposition in the forward V0 scintillator arrays [63].

About 10 (1) million of minimum bias [59] Pb–Pb (Xe–Xe) collisions collected in the year 2010 (2017) are analyzed. This corresponds to collisions in the 5–70% centrality range and a reconstructed primary collision vertex located within  $\pm 10$  cm along the beam direction from the nominal interaction point. Outside of this centrality range, the deflection of the spectators cannot be reliably reconstructed because of the small number of detected neutron spectators in central collisions and the large fraction of spectator neutrons that are not detected by the ZDC in peripheral collisions because they are bound in charged fragments and thus deflected by the LHC magnets.

The charged particles are selected within the range of pseudorapidity  $|\eta| < 0.8$  and transverse momentum  $p_T > 0.2$  GeV/c. The tracks are reconstructed using the combined information from the ITS and the TPC detectors. A minimum of 70 TPC space points (out of a maximum of 159) and a minimum of two ITS hits, with at least one in one of the two innermost layers, are required for all tracks. A reduced  $\chi^2$  per TPC space point (ITS hit) in the range

0.1–4 (less than 36) is required. Only tracks with a distance of closest approach to the primary vertex position smaller than 3.2 cm in the beam direction and within a radius of 2.4 cm in the transverse plane are considered.

The observables in Eqs. (6) to (8), are calculated using flow vectors  $q_n^K$  of harmonic  $n$  in each collision as

$$q_n^K = x_n^K + iy_n^K = \frac{\sum_{j \in K} (w_j)^p e^{in\varphi_j}}{\sum_{j \in K} (w_j)^p}, \quad (9)$$

where  $K$  denotes either the reconstructed tracks  $K = T$  or the two ZDC subdetectors  $K = A, C$ .

For the second harmonic flow vector of the reconstructed tracks  $q_2^T$ , the  $w_j$  and  $\varphi_j$  are the weight and azimuthal angle of the  $j$ -th track, respectively. The weights in the  $q_2^T$  calculation are defined by the inverse product  $w = 1/(\varepsilon dN/d\varphi)$  of the  $p_T$ -dependent reconstruction efficiency  $\varepsilon$  and the measured non-uniform azimuthal angle distribution  $dN/d\varphi$  of the charged particles. The  $dN/d\varphi$  is calculated as a function of the pseudorapidity of the particles and the primary vertex position along the beam direction. The impact of the correction for the non-uniform azimuthal acceptance of the tracks is negligible. The reconstruction efficiency correction is derived from a Monte Carlo simulation using the HIJING [64] heavy-ion event generator to simulate collisions and GEANT3 [65] to model particle transport through the ALICE detector material. It is applied to all measurements of the  $p_T$ -integrated flow coefficients. The power  $p$  in Eq. (9) corresponds to the correction of the non-uniform azimuthal acceptance and reconstruction efficiency in the multi-particle cumulant method [66]. It only differs from unity for  $q_2^T$ . The  $v_2\{2, |\Delta\eta| > 1\}$  and  $v_2\{4\}$  are calculated from flow vectors defined in Eq. (9) using the multi-particle cumulant approach [66]. In the measurement of  $v_2\{2, |\Delta\eta| > 1\}$ , a pseudorapidity separation of  $|\Delta\eta| > 1$  is applied as it largely suppresses few-particle correlations (so called non-flow), such as those originating from jets or resonance decays [67].

For the first harmonic flow vectors of the ZDC,  $q_1^A$  and  $q_1^C$ ,  $\varphi_j$  corresponds to the angle of the center of the  $j$ -th calorimeter segment and  $w_j$  to its measured energy deposition. The indices  $A$  and  $C$  stand for the subdetectors located on either side of the interaction point. The neutron spectator deflection is estimated with these flow vectors. The beam configuration can result in an average shift of the spectator neutron distributions in the transverse plane of the ZDC that are corrected by a data-driven procedure (re-centering)

$$q_n' = q_n - \langle q_n \rangle. \quad (10)$$

To account for changing beam conditions, the re-centering correction is calculated as a function of the centrality, and the three dimensional position of the collision vertex for each data-taking period. The elliptic flow  $v_2\{\Psi_{SP}\}$  is calculated from an average of three independent estimates using the scalar product method with mixed harmonics [68]

$$v_2\{\Psi_{SP}\} = \frac{2}{3} \left( \frac{\langle x_2^T x_1^A x_1^C \rangle}{\langle x_1^A x_1^C \rangle} - \frac{\langle x_2^T y_1^A y_1^C \rangle}{\langle y_1^A y_1^C \rangle} \right) + \sqrt{\frac{\langle y_2^T x_1^A y_1^C \rangle \langle y_2^T y_1^A x_1^C \rangle}{\langle x_1^A x_1^C \rangle \langle y_1^A y_1^C \rangle}}, \quad (11)$$

which corresponds to a measurement of elliptic flow relative to the common spectator plane  $\Psi_{SP}$ . The numerical coefficient (2/3) arises from (1/3) which corresponds to an average of the three independent terms in Eq. (11) and an additional factor two

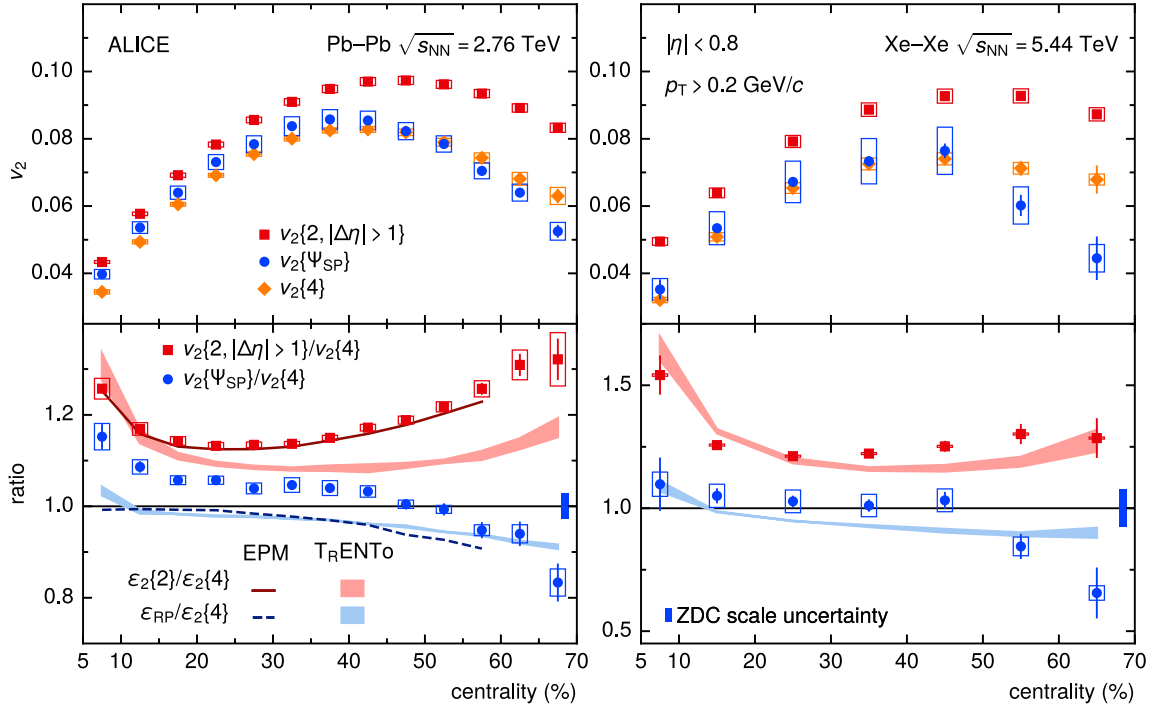
from an expectation that correlators in the numerators of individual terms gives  $(1/4)v_2\{\Psi_{SP}\}R_1^2\{ZDC\}$  and in the denominators  $(1/2)R_1^2\{ZDC\}$ , where  $R_1\{ZDC\}$  is a convolution of the directed flow of spectators with the ZDC response.

The systematic uncertainties are evaluated from variations of the analysis procedure. The total systematic uncertainty is given by the square root of the sum in quadrature of the differences in the results obtained from each significant variation. A variation is considered significant, if  $\Delta R/\Delta\sigma > 1$  [69], where  $\Delta R$  is the difference between results from a given variation and the default analysis procedure. The variable  $\Delta\sigma$  is the square root of the difference between the corresponding squared statistical uncertainties.

The description of systematic uncertainties related to measurements of  $v_2\{2, |\Delta\eta| > 1\}$  and  $v_2\{4\}$  is presented in Ref. [70]. The details of the systematic uncertainty evaluation for  $v_2\{\Psi_{SP}\}$  are described below. The largest source of systematic uncertainty is due to spurious correlations which are not corrected by the re-centering procedure. These affect the denominators in Eq. (11), which are determined by the diagonal correlations  $\langle x_1^A x_1^C \rangle$  and  $\langle y_1^A y_1^C \rangle$ . The corresponding relative uncertainty, labeled as ZDC scale uncertainty, is evaluated from the off-diagonal correlations  $\langle x_1^A y_1^C \rangle$  and  $\langle y_1^A x_1^C \rangle$ , which should not contain physical signal, divided by the diagonal correlations. This uncertainty is found to be about 4% (9%) in Pb–Pb (Xe–Xe) collisions for all centrality classes and it is assigned as a fully correlated uncertainty for  $v_2\{\Psi_{SP}\}$  results. The observed negative sign of the diagonal correlations indicates a deflection of the neutron spectators in, on average, opposite directions [33]. The off-diagonal correlations may be non-zero because of the specific beam conditions during the LHC operations that may affect the distribution of neutrons impinging ZDC, such as the beam divergence at the interaction point.

The second largest contribution to the relative uncertainty comes from the differences among the three independent estimates of  $v_2\{\Psi_{SP}\}$  corresponding to the three terms in Eq. (11) and amounts to lower than 2%. The uncertainty due to the implementation of the flow vector re-centering procedure, defined by Eq. (10), is evaluated by comparing to results from an iterative procedure. In the latter, each of the four iterations consists of one coarse-binned four-dimensional re-centering step followed by four fine-grained one-dimensional steps as a function of the centrality and the three dimensional position of the collision vertex. The corresponding relative variation is below 1%. Introducing an additional equalization of the average ZDC signals in the flow vector calculation in Eq. (9) amounts to a relative uncertainty less than 1%.

The systematic uncertainties related to the reconstruction of charged particle tracks and to the secondary particle contamination are evaluated by varying the track selection criteria. A relative uncertainty from changing the selection of the distance to the primary vertex of the collision as a function of particle  $p_T$ , the minimum number of TPC clusters and the reduced  $\chi^2$  per TPC cluster is about 1.5%. No significant difference between results for positively and negatively charged particles is observed. A relative uncertainty of 0.7% on the  $p_T$ -dependent track reconstruction efficiency was estimated from the difference between the results obtained with an efficiency calculation using all primary tracks and those using only tracks of primary  $\pi$ ,  $K$ ,  $p$ ,  $\mu$ , and  $e$ . The uncertainty due to the non-uniform acceptance correction, estimated from the difference of results with and without applying the  $1/(dN/d\varphi)$  weights in Eq. (9), is not significant. An uncertainty due to the centrality determination reaching up to 2% in the most peripheral collisions is estimated from the difference of the results obtained when using an alternative centrality estimator based on the multiplicity of hits in the ITS. The effects of the TPC misalignment and the beam optics at the interaction point are estimated to be smaller than 0.5% by comparing results obtained with different magnetic field polarities of the ALICE solenoid. The impact



**Fig. 2.** (upper panels) Elliptic flow relative to the spectator plane,  $v_2\{\Psi_{SP}\}$ , and to the participant plane,  $v_2\{2, |\Delta\eta| > 1\}$  and  $v_2\{4\}$ , as a function of centrality in Pb–Pb (left) and Xe–Xe (right) collisions. (bottom panels) Ratios of the elliptic flow  $v_2\{\Psi_{SP}\}$  and  $v_2\{2, |\Delta\eta| > 1\}$  to  $v_2\{4\}$ . The dashed (solid) lines show the eccentricity ratios of  $\varepsilon_{RP}$  ( $\varepsilon_2\{2\}$ ) to  $\varepsilon_2\{4\}$  from the elliptic power model. The corresponding eccentricity ratios for  $T_{RENTo}$  are shown as solid bands. The error bars (open boxes) indicate statistical (systematic) uncertainties. The bin-to-bin uncorrelated uncertainties and the correlated ones are combined for  $v_2\{\Psi_{SP}\}$  results. For the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$ , the ZDC scale uncertainties are shown separately as solid boxes centered at unity on the right side of the lower panels.

on the results of the variation of charged particle acceptance in pseudorapidity within the fiducial volume of ALICE is probed by reducing the accepted range of the position of the reconstructed primary vertex of the collisions along the beam direction from the nominal  $\pm 10$  cm to  $\pm 8$  cm. It yields no significant change of  $v_2\{\Psi_{SP}\}$ . For the ratios  $v_2\{2, |\Delta\eta| > 1\}/v_2\{4\}$  and  $v_2\{\Psi_{SP}\}/v_2\{4\}$  most of the common sources of systematic uncertainties cancel out. In addition, the bin-to-bin uncorrelated uncertainties cancel in the  $p_T$ -dependence of these ratios.

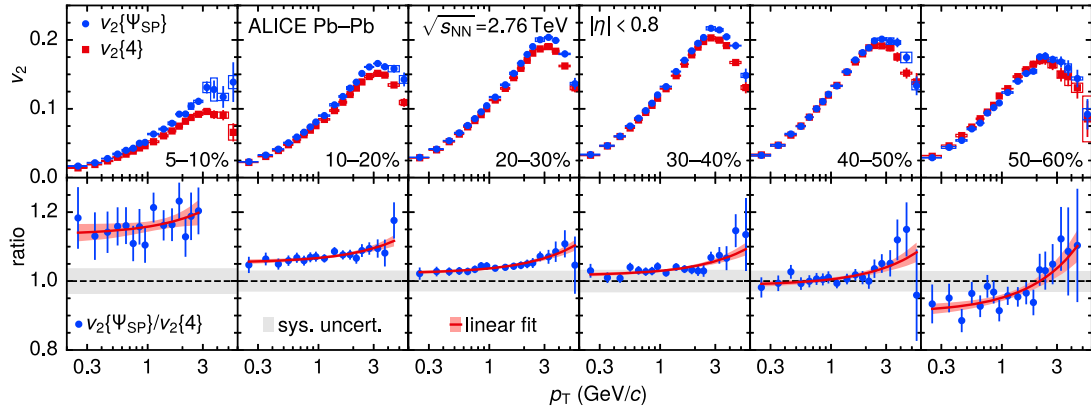
The results for the elliptic flow coefficients relative to the spectator symmetry plane,  $v_2\{\Psi_{SP}\}$ , and the participant plane,  $v_2\{2\}$  and  $v_2\{4\}$ , as a function of the centrality in Pb–Pb and Xe–Xe collisions are shown in the upper panels of Fig. 2. In both Pb–Pb and Xe–Xe collisions, the maximum of  $v_2\{\Psi_{SP}\}$  is located in the 35–45% centrality range with a hint of being shifted towards more peripheral collisions for smaller systems. The difference between  $v_2\{2, |\Delta\eta| > 1\}$  and  $v_2\{4\}$  is due to fluctuations in the participant zone, which have been extensively studied [8,71–73]. In peripheral collisions, this difference may also originate from residual few-particle correlations. In midcentral collisions,  $v_2\{\Psi_{SP}\}$  and  $v_2\{4\}$  are similar as expected from the BGM (see Eq. (4)).

To study the differences between the flow observables in detail, the lower panels of Fig. 2 show the centrality dependence of the ratios of  $v_2\{2, |\Delta\eta| > 1\}$  and  $v_2\{\Psi_{SP}\}$  to  $v_2\{4\}$  as well as the ratios of the respective eccentricity cumulants calculated from the EPM and the  $T_{RENTo}$  models. The width of the bands, which represent the  $T_{RENTo}$  calculations, in Fig. 2 indicate the differences between two configurations that are tuned to different experimental data. The model in Ref. [43] uses Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV and  $\sqrt{s_{NN}} = 5.02$  TeV, whereas Ref. [44] uses data of Au–Au collisions at  $\sqrt{s_{NN}} = 0.2$  TeV and Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV. The deformed Woods–Saxon parametrization of Xe nuclei is adopted from Ref. [74]. The considered model calculations do not take into account the subnucleonic degrees of free-

dom. It was demonstrated in Ref. [25] based on two-particle cumulant measurements that at the LHC they only impact very central (0–5%) Pb–Pb collisions, which is outside of the reported centrality range. The EPM model parameters, which relies on significant differences between higher order cumulants, are only accessible with the larger data sample of Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [70].

In both central and peripheral Pb–Pb collisions, the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  strongly deviates from unity, which is the value expected from the BGM for the eccentricity ratio. In midcentral collisions, the measured ratio is smaller than in central collisions, but it is significantly larger than the BGM (unity), EPM and  $T_{RENTo}$  model calculations. The latter indicates that the spectator plane becomes decorrelated from the reaction plane and simultaneously more correlated with the participant plane. Thus  $v_2\{\Psi_{SP}\}$  becomes closer to  $v_2\{2, |\Delta\eta| > 1\}$ , which makes the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  above unity. Similar trends are observed in Xe–Xe collisions, however due to the limited size of the data sample the deviations from unity are not significant within the uncertainties except in the most peripheral collisions. A decorrelation in peripheral collisions is expected due to the small number of sources of particle production (number of participants), as well as due to the decreasing fraction of energy carried by the neutrons measured with the ZDC relative to the unmeasured energy of protons and other charged nuclear fragments.

In central collisions, despite the largest number of particle-producing sources, the fluctuations are also large and deviations of the  $v_2\{\Psi_{SP}\}/v_2\{4\}$  ratio from unity are growing, which is in contrast to BGM expectations. The deviation from unity is also large compared to the EPM calculations, which yield a value close to unity in central collisions. This can be either due to (a) a small number of spectators emitted in these collisions which increases the spectator plane fluctuations (decorrelation) around the reaction plane and consequently increases the magnitude of  $v_2\{\Psi_{SP}\}$ , (b) the strengthening of the correlation between  $\Psi_{SP}$  and  $\Psi_2$  angles,



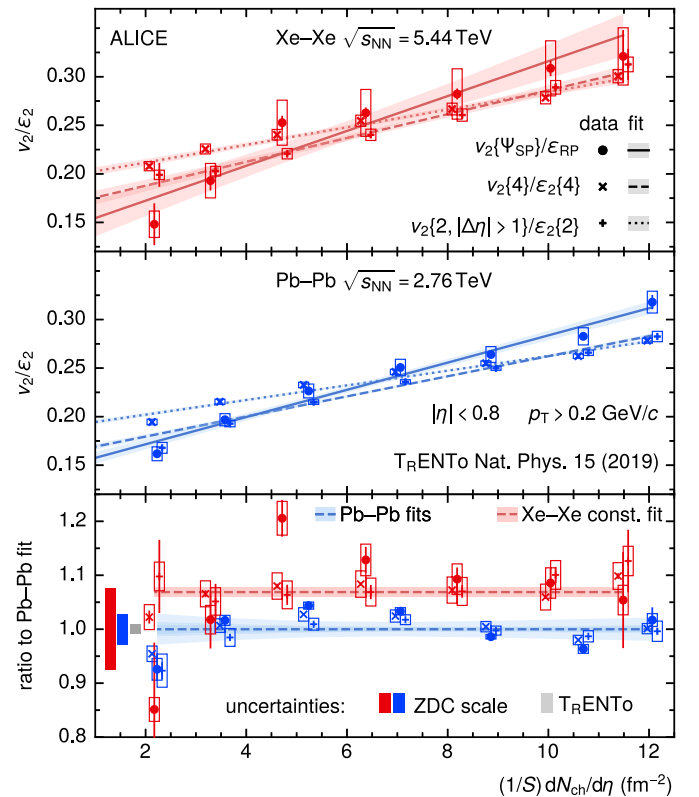
**Fig. 3.** (upper panels) Elliptic flow relative to the spectator plane,  $v_2\{\Psi_{SP}\}$ , and to the participant plane,  $v_2\{4\}$ , as a function of transverse momentum in different centrality classes for Pb-Pb collisions. The linear fits to the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  are shown as red lines for the individual centrality classes. The error bars (open boxes) indicate statistical (systematic) uncertainties. The correlated uncertainties, related to the ZDC, and bin-to-bin uncorrelated ones are combined for  $v_2\{\Psi_{SP}\}$  (upper panels). For the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  (lower panels), the correlated uncertainty is shown by the grey band at unity.

or (c) specific geometry fluctuations and/or correlated local particle production in individual participating nucleon-nucleon interactions that reduce  $v_2\{4\}$ . In midcentral and peripheral collisions, both models predict a small deviation from unity for  $\varepsilon_{RP}/\varepsilon_2\{4\}$ , which is expected for small fluctuations given by the second equality of Eq. (4) and due to the small skewness observed in Ref. [70]. The ratio of  $\varepsilon_2\{2\}/\varepsilon_2\{4\}$  from the T<sub>R</sub>ENTo model shows smaller fluctuations compared to the tuned-on-data EPM calculations and the measured  $v_2\{2, |\Delta\eta| > 1\}/v_2\{4\}$ .

The upper panels of Fig. 3 show the dependence of the elliptic flow coefficients  $v_2\{\Psi_{SP}\}$  and  $v_2\{4\}$  on transverse momentum for different centrality classes in Pb-Pb collisions. The lower panels of Fig. 3 show the ratio  $v_2\{\Psi_{SP}\}/v_2\{4\}$  as a function of  $p_T$  for different centrality classes. To quantify the possible dependence of  $v_2\{\Psi_{SP}\}/v_2\{4\}$  on  $p_T$ , the ratio was fitted with a linear function in the range 0.2–6 GeV/c. The slopes of the linear fit in different centrality classes are:  $2.31 \pm 2.17$  (5–10%),  $1.41 \pm 0.48$  (10–20%),  $1.48 \pm 0.36$  (20–30%),  $1.40 \pm 0.42$  (30–40%),  $1.74 \pm 0.65$  (40–50%),  $4.29 \pm 1.34$  (50–60%) in units of  $100/(\text{GeV}/c)$ . There are indications for a non-flat transverse momentum dependence of  $v_2\{\Psi_{SP}\}/v_2\{4\}$  with a statistical significance of about  $2.5\text{--}4\sigma$  in all centrality classes except for 5–10% central collisions where it is only  $1\sigma$ . These observations are important for understanding to which extent the momentum anisotropy at low and intermediate transverse momentum has a common origin from the fluctuating initial state anisotropy in the coordinate space and quantifying the effects of momentum-dependent flow magnitude and angle decorrelation [22,75].

The scaling behavior of the elliptic flow with the initial state eccentricities, as suggested by Eq. (3), is shown in Fig. 4. The top and middle panels present the ratio  $v_2/\varepsilon_2$  as a function of the charged particle multiplicity density per unit of the nuclei overlap area  $(1/S)dN_{ch}/d\eta$  for the elliptic flow coefficients  $v_2\{2, |\Delta\eta| > 1\}$ ,  $v_2\{4\}$ , and  $v_2\{\Psi_{SP}\}$ . The area  $S$  and the corresponding eccentricities are calculated from the T<sub>R</sub>ENTo model using the configuration from Ref. [43]. The experimental data points for  $dN_{ch}/d\eta$  as a function of centrality are taken from Ref. [76] for Pb-Pb and from Ref. [74] for Xe-Xe collisions. The lines represent linear fits to the ratios  $v_2\{\Psi_{SP}\}/\varepsilon_{RP}$ ,  $v_2\{2, |\Delta\eta| > 1\}/\varepsilon_2\{2\}$ , and  $v_2\{4\}/\varepsilon_2\{4\}$ .

Deviations from the scaling behavior are quantified in the bottom panel of Fig. 4, which shows  $v_2/\varepsilon_2$  divided by the respective linear fits of the Pb-Pb measurement. The small differences between eccentricities calculated from the two T<sub>R</sub>ENTo configurations are reflected in the height of the solid gray box on the left side. The splitting between the individual observables for a given



**Fig. 4.** Ratios of  $v_2/\varepsilon_2$  as a function of  $(1/S)dN_{ch}/d\eta$  in Xe-Xe (top panel) and Pb-Pb (middle panel) collisions. The linear fit functions to  $v_2/\varepsilon_2$  in the top and middle panels are shown by lines. The bands show the uncertainty of the fit. (bottom panel) The ratio of  $v_2/\varepsilon_2$  to the linear Pb-Pb fits shown in the middle panel. The data points of  $v_2\{2, |\Delta\eta| > 1\}$ ,  $v_2\{4\}$  are shifted by  $-0.1$  and  $+0.1$  along  $(1/S)dN_{ch}/d\eta$  for better visibility. The error bars (open boxes) indicate statistical (systematic) uncertainties. The ZDC scale uncertainty, due to residual correlations in the determination of the denominators in Eq. (11), and the bin-to-bin uncorrelated one are combined for  $v_2\{\Psi_{SP}\}$  (top and middle panels). For the ratio of  $v_2\{\Psi_{SP}\}$  to the Pb-Pb fit (bottom panel), the ZDC scale uncertainty is separated and is shown by the solid boxes on the left side of the bottom panel. The grey box at unity in the bottom panel on the left side shows the variation depending on the T<sub>R</sub>ENTo configuration. The blue horizontal band (bottom panel) represents the relative uncertainties of the individual linear fits to the Pb-Pb data from the middle panel. The red horizontal line (bottom panel) shows a combined fit of a constant function to the Xe-Xe data and its uncertainty.

collision system may be sensitive to the chosen association of eccentricities to flow coefficients. A relative deviation of  $(7.0 \pm 0.9)\%$  from the scaling given by Eq. (3) between the two collision systems is extracted from a constant line fit to the Xe–Xe data and by propagating uncertainties of the Pb–Pb data. An additional variation of  $+1.8\%$  is estimated from the difference between the two  $T_{\text{R}}\text{ENTo}$  configurations. It is similar for the different flow coefficients and only weakly depends on the multiplicity density or collision centrality. This collision system dependence may be sensitive to the details of the initial state models [25,77] and to viscous effects in the expansion of the QGP [41]. Together with the results on the centrality and transverse momentum dependence, shown in Figs. 2 and 3, the scaling presented in Fig. 4 provides new experimental constraints to both the initial conditions and the transport coefficients of the QGP produced in heavy-ion collisions.

In summary, the elliptic flow of charged particles at midrapidity ( $|\eta| < 0.8$ ) relative to the neutron spectator plane  $v_2\{\Psi_{\text{SP}}\}$  is measured in Pb–Pb at  $\sqrt{s_{\text{NN}}} = 2.76$  TeV and Xe–Xe at  $\sqrt{s_{\text{NN}}} = 5.44$  TeV collisions as a function of the centrality and as a function of the transverse momentum in different centrality classes. The measurements are compared to results of elliptic flow relative to the participant plane obtained from two and four-particle correlations. Both in central and peripheral collisions, the ratio  $v_2\{\Psi_{\text{SP}}\}/v_2\{4\}$  strongly deviates from the respective ratio of the eccentricities,  $\varepsilon_{\text{RP}}/\varepsilon_2\{4\}$ , predicted by the  $T_{\text{R}}\text{ENTo}$  and EPM models of the initial state fluctuations. The observations can be interpreted as a decorrelation of the neutron spectator plane and the reaction plane due to the fragmentation of the recoil from the colliding nuclei which indicates an incomplete description of initial state fluctuations in the models. A transverse momentum dependence of the ratio  $v_2\{\Psi_{\text{SP}}\}/v_2\{4\}$  is observed with  $2.5\text{--}4\sigma$  significance in all but the most central collisions. This is an important experimental input for understanding whether the momentum anisotropies at low and intermediate transverse momentum have a common origin in the initial state fluctuations. The ratios of elliptic flow coefficients to the initial state eccentricities for Xe–Xe and Pb–Pb collisions reveal a relative deviation from the scaling with the initial entropy density of  $(7.0 \pm 0.9)\%$  with an additional variation of  $+1.8\%$  when including RHIC data in the  $T_{\text{R}}\text{ENTo}$  parameter extraction. The deviation is independent of the charged particle multiplicity density, which provides new experimental constraints for viscous effects in the expansion of the quark–gluon plasma produced in heavy-ion collisions at the LHC.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

This manuscript has associated data in a HEPData repository at: <https://www.hepdata.net/record/ins2070420>.

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