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N-Heteroaryl Carbamates from Carbon Dioxide via Chemoselective Superbase Catalysis: Substrate Scope and Mechanistic Investigation

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ABSTRACT: We report a mild superbase-catalyzed and nitrogen-selective carboxylation of N-heteroaryls, with subsequent alkylation enabling the synthesis of drug-like O-alkyl carbamates in good yields (av. 86%). Our findings suggest a partial revision of the current mechanistic understanding as superbases upon mixing with indoles and azoles generally form uncharged hydrogen-bonded complexes and not ionic salts as previously proposed. However, when these complexes are exposed to CO_2, carbamate salts are formed. These can be categorized into two subgroups, stable and fluxional carbamate salts, where the latter undergo fast and reversible CO_2 exchange, thus being poor substrates for alkylation. Experiments and DFT calculations indicate that the fluxional behavior is primarily caused by substrate-specific electronic destabilization effects. The degree of destabilization depends on the number of nitrogen atoms within and the functional group substitution on the heterocyclic ring structures. Fluxionality can be compensated for by the use of lower temperatures and/or higher CO_2 pressures as both measures stabilize the carbamate salts sufficiently, enabling subsequent alkylation.

Two sides of carbamate reactivity

Stable
- Single N atom in ring
- Slow CO_2 exchange
- Readily alkylated

Fluxional
- Multiple N atoms in ring
- Fast CO_2 exchange
- Challenging alkylation

150 147
δ ^{13}C{^{1}H} (ppm)

- *22 isolated compounds (av. 86% yield)*
- *Mechanistic studies by computation and experiment*

KEYWORDS: carbon dioxide, heterocycles, superbases, carboxylation, mechanisms, computations, NMR
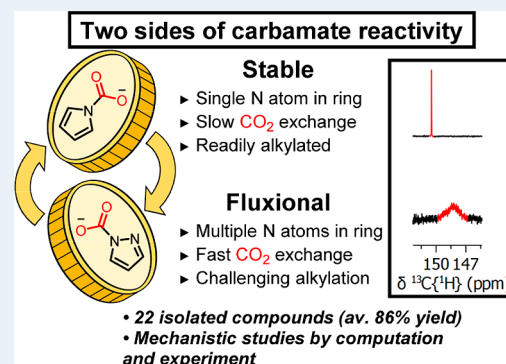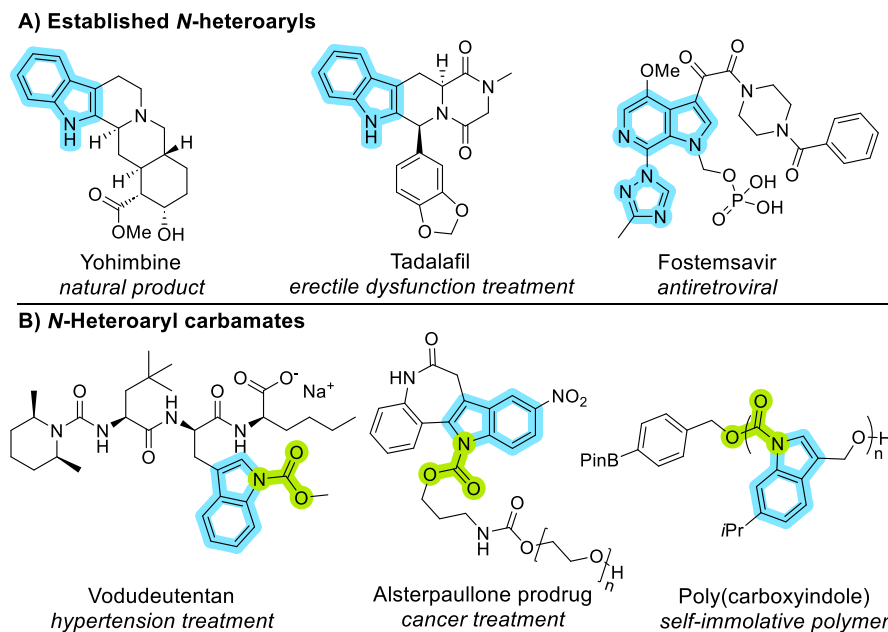
INTRODUCTION

Nitrogen-containing heteroaryls, such as indoles and azoles, are important constituents of natural products and many FDA-approved small-molecule pharmaceuticals (Scheme 1A).[1−3] Consequently, there have been extensive research efforts on N-heteroaryl functionalization and derivatization, which are essential for the development of new chemical compounds for medicinal and other applications.[4−9] Carboxylation at the nitrogen position leads to the formation of carbamates which are prodrug elements and key motifs in many pharmaceuticals.[10−13] Indeed, N-heteroaryl carbamates are promising medicinal targets due to their potential in improving physiochemical properties and stabilities under biological conditions (Scheme 1B).[13,14] A recent example involves the utilization of N-heteroaryl carbamates as intermediates in the prodrug development of pibrentasvir.[15] Carbamates based on N-heteroaryls have also been applied as degradable polymers.[16]

Carbon dioxide (CO_2) is a synthetically attractive molecule as it is a non-toxic, inexpensive, and readily available C1-source.[12,17] The use of CO_2 has received significant attention in the functionalization of N-heteroaryls, particularly in C−C bond formation.[5−7] The alternative C−N bond formation, resulting in the formation of carbamates, is well-known for saturated N-heterocycles (Scheme 2A).[11,17] However, carbamate formation using CO_2 and unsaturated N-heterocycles (N-heteroaryls) is a much less explored field (Scheme 2B).

Indoles, an important subgroup of N-heteroaryls, can be N-carboxylated using n-BuLi; however, the use of a hard base severely limits functional group compatibility.[18−21] Additionally, the inherent nucleophilic reactivity of indoles resides at the C3 position,[22] implying that most CO_2-based carboxylations take place here.[5,23−25] Consequently, directing reactivity to nitrogen N1 is challenging.[26,27] A recent report detailed indole N-carboxylation as part of an intramolecular cyclization.[28] The current state-of-the-art approach to accessing indole O-alkyl carbamates involves a two-pot process (Scheme 2C).[29,30] Alcohols are transformed to imidazole carbamates, and once isolated, these can be used to transfer the carbamate moiety to indoles. It would be advantageous if the desired indole O-alkyl carbamates could be prepared directly from benign CO_2 without the need to prepare and isolate imidazole carbamates. For related azole-based substrates, N-formylated products can be obtained with CO_2 under reductive conditions, whereas carbamates can be formed catalytically using an NHC−copper complex.[31−33]

Scheme 1. Selected Examples of Important *N*-Heteroaryls (A) and Corresponding Carbamates (B)

A) Established *N*-heteroaryls

Yohimbine
natural product

Tadalafil
erectile dysfunction treatment

Fostemsavir
antiretroviral

B) *N*-Heteroaryl carbamates

Vodudeutentan
hypertension treatment

Alsterpaullone prodrug
cancer treatment

Poly(carboxyindole)
self-immolative polymer

Selective formation of desired products from *N*-heteroaryls requires a good understanding of their inherent reactivities. *N*-Heteroaryls have found widespread application in ionic liquids as the anionic component (Scheme 2D).[34−48] The cationic component can be a tetraalkylphosphonium,[36−39] an azolium (HetAr⁺),[40,41] or a superbase, such as TMG, DBU, or MTBD, which are proposed to deprotonate *N*-heteroaryls.[42−48] The resulting salts interact with CO_2, but the mechanistic details are not thoroughly understood.[49,50] The behavior of ionic liquids is important to understand for rationalizing their role as modulators and enhancers of heterogeneous catalysts.[51−53] Superbases have many important applications as catalysts and stoichiometric reagents.[54] Consequently, understanding super-base-mediated nucleophile activation is critical for designing new reactions.[55] We reasoned that the superbase-mediated activation of *N*-heterocycles could enable a convenient and complementary CO_2-based entry to the synthesis of *N*-heteroaryl carbamates. Herein, we present a one-pot method toward in situ conversion of *N*-heteroaryls and CO_2 to carbamate anions, followed by a facile reaction with available alkyl bromides (Scheme 2E). Using this approach, structurally diverse indole carbamates are obtained with complete selectivity for nitrogen N1 in high yields and under mild conditions. Our mechanistic analysis reveals clear trends in the reactivity of *N*-heteroaryls with CO_2, leading to a partial revision of the currently accepted mechanistic understanding.

RESULTS AND DISCUSSION

Indole Carboxylations and Reaction Scope. Initially, we investigated the reactivity of unsubstituted indole **1a** with 1-bromopentane under 1 bar CO_2 (Scheme 3). After optimization (Supporting Information, Section S11), we found that the reaction could be conducted under stoichiometric conditions (condition **A**) or alternatively under catalytic conditions (condition **B**). The latter produced *O*-alkyl carbamate **2a** in a superior yield. Carboxylation occurred exclusively at N1, *i.e.*, no reaction at C3 was observed.

The generality of the reaction was explored for electronically varied indoles. Electron-donating (**2b** and **2c**) and moderately
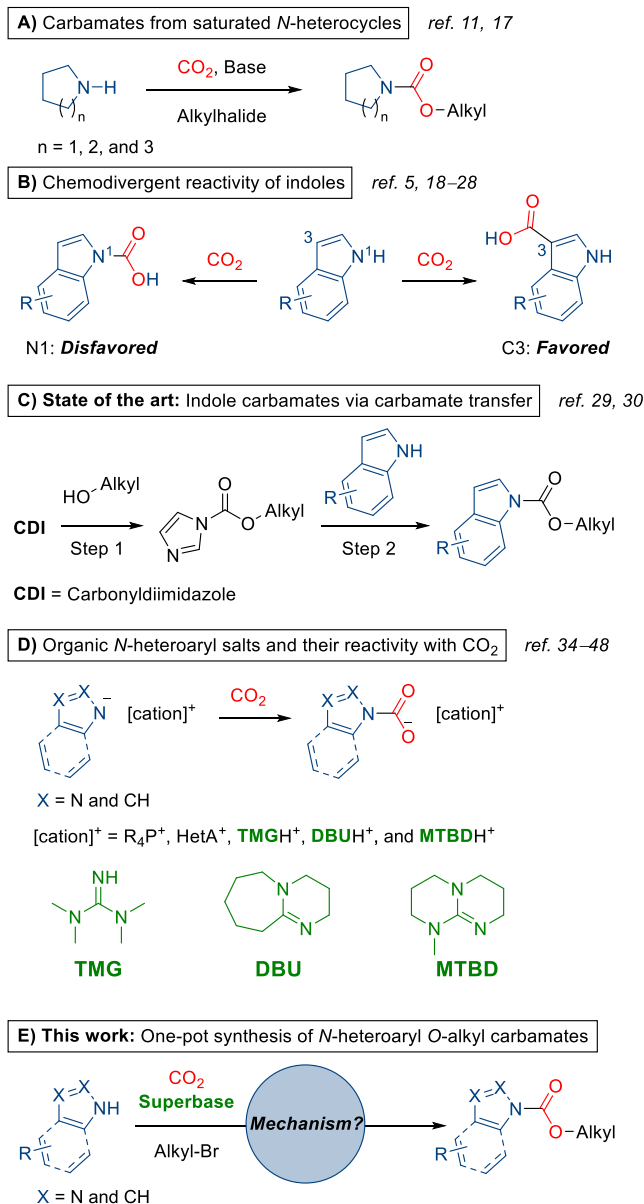
electron-withdrawing substituents (**2d−g**) are well tolerated. Strongly electron-deficient *O*-alkyl carbamates **2h** and **2i** can be formed *via* conditions **A** and **B** but with significant quantities of *N*-alkylated byproduct **3** (Supporting Information, Section S11.3). Gratifyingly, increasing the CO_2 pressure to 40 bar and using 1-iodopentane (Scheme 3, condition **C**) suppressed the formation of byproduct **3**, thereby providing the desired *O*-alkyl carbamates in good yields.

The structural variation of the indole ring system was explored next. Introduction of a second nitrogen atom (azaindole **2j**) is only compatible with condition **A**, while adding a fused benzene ring (**2k**) or removing one (**2l**) is well tolerated. More complex substrates such as the sleep hormone melatonin (**2m**) and biologically relevant tryptophan derivates (**2n** and **2o**) are well tolerated, with enantiopurity preserved under catalytic condition **B**. Curiously, indoles bearing substituents adjacent to the nitrogen center fail to react with CO_2 (**1p** and **1q**). These indoles are also known to be unreactive in carbamate transfer (Scheme 2C).[29]

The scope of alkyl bromides utilizing condition **B** was then explored (Scheme 4). Complex functionalities are well tolerated, including heterocycles and secondary bromides. Drug-like compounds **4a-f** and **4r-g** are prepared in a facile manner. The structure of **4a-e** was confirmed by X-ray crystallography.[56]

We conclude that the developed conditions **A**, **B**, and **C** provide facile access to indole *O*-alkyl carbamates **2** and **4**, with sensitive synthetic handles such as aldehyde (**2f**), boronic ester (**2g**), Weinreb amide (**2n**), and alkyne (**4a**) remaining intact. In the absence of CO_2, only the *N*-alkylated byproduct **3** is observed (Supporting Information, Section S11). The superbase is a critical component as its absence dramatically decreases the carbamate yield, leading to the formation of significant quantities of **3**. To understand the role of the superbase, we turned toward exploring the reaction mechanism.

Mechanistic Studies on Indole Carboxylations. On the basis of literature results and our previous work, we propose a catalytic reaction pathway for superbase-mediated carboxyla-

Scheme 2. Reactivity of *N*-Heterocycles with CO_2

A) Carbamates from saturated *N*-heterocycles   *ref. 11, 17*

n = 1, 2, and 3

B) Chemodivergent reactivity of indoles   *ref. 5, 18–28*

N1: *Disfavored*   C3: *Favored*

C) **State of the art:** Indole carbamates via carbamate transfer   *ref. 29, 30*

CDI = Carbonyldiimidazole

D) Organic *N*-heteroaryl salts and their reactivity with CO_2 *ref. 34–48*

X = N and CH

[cation]$^+$ = R$_4$P$^+$, HetA$^+$, **TMGH$^+$**, **DBUH$^+$**, and **MTBDH$^+$**

TMG   **DBU**   **MTBD**

E) **This work:** One-pot synthesis of *N*-heteroaryl *O*-alkyl carbamates

Mechanism?

X = N and CH

tion of indoles (Scheme 5).[57,58] Initially, the superbase reacts with CO_2 and indole **1a**, forming a *mixed carbamate*. The latter reacts with the alkyl bromide through an S_N2-type reaction, forming the observed product **2a**. The superbase is regenerated by Cs_2CO_3. In the absence of a superbase, Cs_2CO_3 can mediate the reaction; however, cesium carbamate salt **5a** seems to be significantly more unstable than the superbase-derived mixed carbamate. We deduce this from the substantially lowered yields of product **2a** and the large quantities of byproduct **3a**. DFT calculations (PBE0-D3BJ[IEFPCM-(DMF)]) on the reaction of **1a** with CO_2 in the presence of the superbase TMG show that the proposed mixed carbamate formation has a feasible barrier of 16.1 kcal/mol (313 K, Supporting Information, Section S1.2). In contrast, the competing *N*-alkylation has a high barrier of 33.0 kcal/mol, in line with the experimentally observed absence of byproduct **3a**.

The key step of mixed carbamate formation was investigated using in situ NMR (Scheme 6A). We employed TMG as the base as it produces simpler NMR spectra than DBU while being catalytically comparable (Supporting Information, Section S11.1). Reference spectra were first measured of indole **1a** and TMG under argon (Scheme 6A). Under these conditions, TMG and indole **1a** appear to form a hydrogen-bonded complex as indole **1a** NH is shifted downfield by 0.11 ppm by ^{1}H NMR in the presence of TMG. Similar bonding is known for anilines.[58] The complex appears to consist of neutral components, as judged from the TMG central carbon ^{13}C{^{1}H} resonance at 166.3 ppm, which is close to freebase at 166.2 ppm.[58] This finding seems to contradict previous literature, which has proposed that indole **1a** is deprotonated by superbases.[43] However, DFT calculations (PBE0-D3BJ-[IEFPCM(DMF)], Supporting Information, Section S1.3) support our experimental findings, predicting a cost of 5 to 8 kcal/mol for forming ionic salts from TMG and indoles **1a**, **1h**, or **1q**. Known pK_a values for indole **1a** (21.0) and TMG (13.2) in DMSO also support that the indole **1a**−TMG complex remains fully neutral (Supporting Information, Section S4.1).[59−61]

We proceeded to measure the NMR of indole **1a** and TMG under CO_2 (1 bar). Under these conditions, TMG becomes protonated as the central carbon is now observed at 162.0 ppm (Scheme 6A). This is consistent with the formation of a mixed carbamate, which is also supported by the appearance of the indole carboxylate as a new ^{13}C{^{1}H} resonance at 151.8 ppm. It was further assessed if the change from a neutral complex (under argon) to an ionic salt (under CO_2) can be observed with other NMR-active nuclei. The difference between freebase and protonated TMG is *ca.* 0.3 ppm by ^{1}H NMR. While this is a meaningful difference, we felt a larger absolute value would be beneficial in determining the protonation state of TMG. In this regard, we found that ^{15}N NMR at natural isotopic abundance is very sensitive since the difference between freebase and protonated TMG is up to 80 ppm (Supporting Information, Section S4.4). The ^{15}N NMR results clearly indicate that the indole **1a**−TMG complex is neutral under argon but forms an ionic mixed carbamate under CO_2.

While ^{15}N NMR is accurate, we recognize the long acquisition times (15−48 h). As a compromise between high sensitivity and short acquisition times, we advocate the use of ^{13}C{^{1}H} NMR in studying whether *N*-heteroaryl−superbase complexes are neutral or ionic. This strategy is based on the key observation that the signal from the central carbon of TMG is sensitive to protonation. Under our conditions, good-quality spectra (of TMG) are obtained in 1 min. The total change in the chemical shift of the TMG central carbon, $\Delta\delta(^{13}C)$, is provided in Scheme 6 using eq 1. This equation assesses changes in protonation state when changing the atmosphere from argon to CO_2. For example, if a *N*-heteroaryl−TMG complex is a fully ionic salt under argon, then switching to CO_2 should not affect the protonation state of TMG, resulting in $\Delta\delta(^{13}C) = 0$. The generality of eq 1 was also demonstrated for other complexes of *N*-heteroaryls and superbases (vide infra).

$$\Delta\delta(^{13}C) = \delta(^{13}C[CO_2]) - \delta(^{13}C[Ar]) \qquad (1)$$

We continued by studying a selection of 5-substituted indoles in order of increasing electron-deficiency by the Hammett constant σ_p (Scheme 6B). NMR spectra were recorded first under argon and then under CO_2 (1 bar). In all cases, there was a large change in the protonation state of
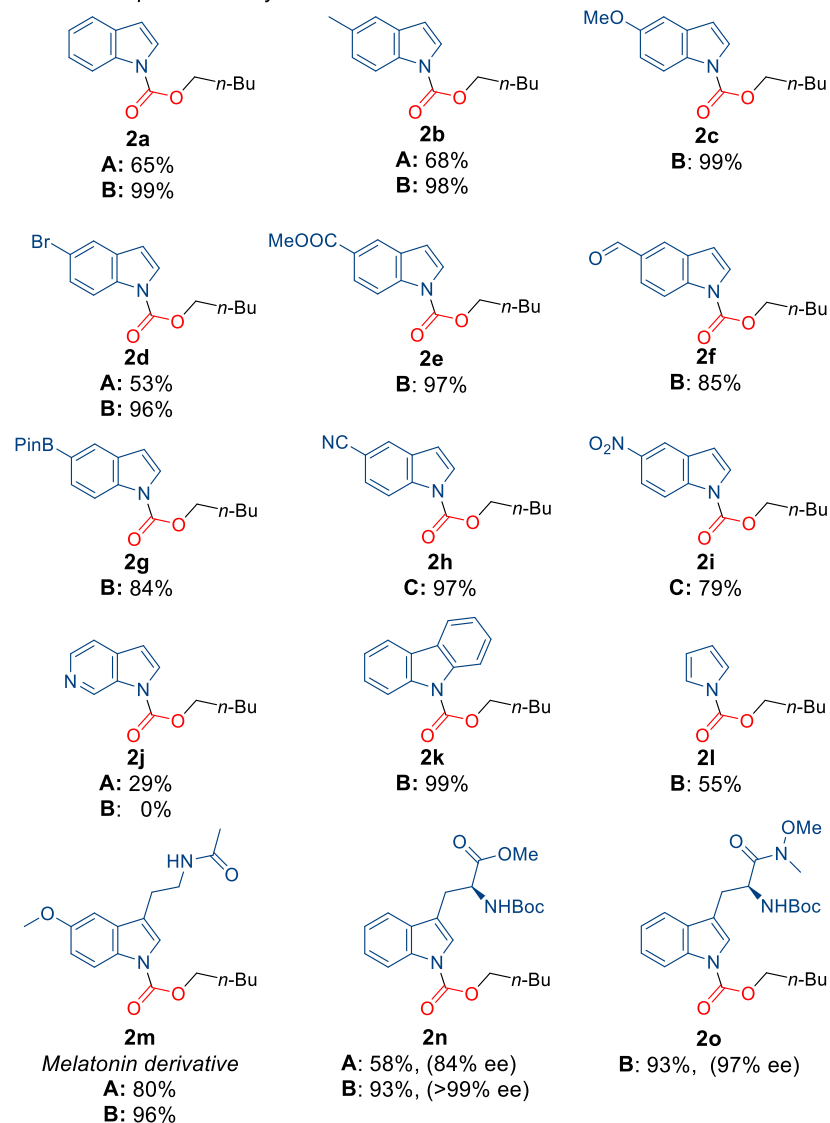
Scheme 3. Indole Carboxylation Scope
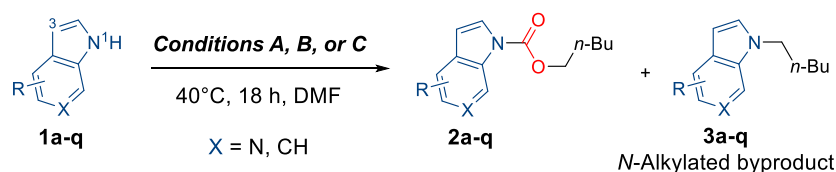
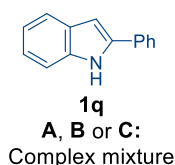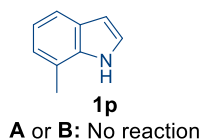**A:** DBU (2 equiv), 1-Bromopentane (2 equiv), and CO_2 (1 bar)

B: DBU (20 mol%), Cs_2CO_3 (4 equiv), 1-Bromopentane (2 equiv), and CO_2 (1 bar)

C: As condition **B**, but 1-Iodopentane (3 equiv.) and CO_2 (40 bar)
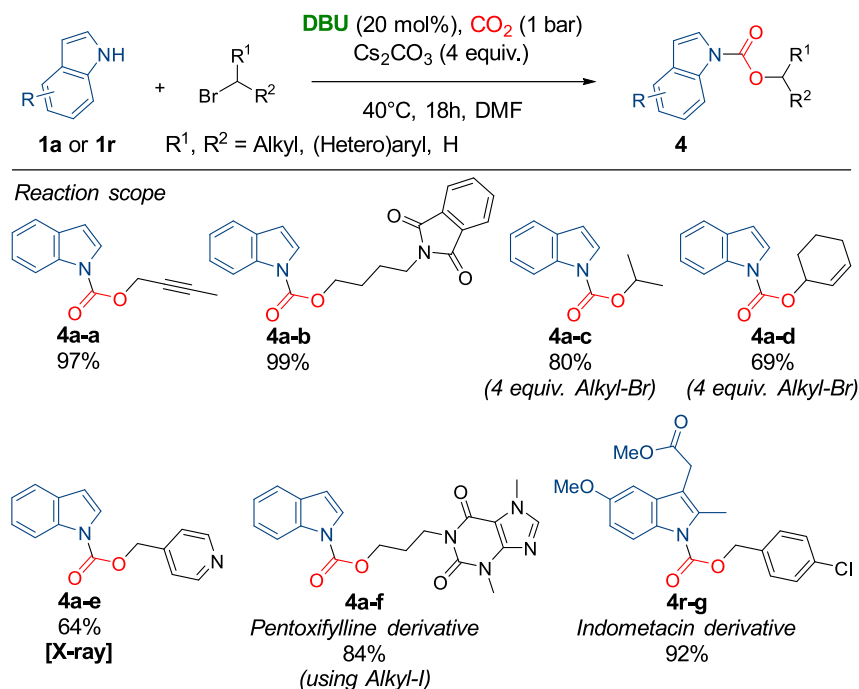
Reaction scope - isolated yields:

2a
A: 65%
B: 99%

2b
A: 68%
B: 98%

2c
B: 99%

2d
A: 53%
B: 96%

2e
B: 97%

2f
B: 85%

2g
B: 84%

2h
C: 97%

2i
C: 79%

2j
A: 29%
B: 0%

2k
B: 99%

2l
B: 55%

2m
Melatonin derivative
A: 80%
B: 96%

2n
A: 58%, (84% ee)
B: 93%, (>99% ee)

2o
B: 93%, (97% ee)

Unsuccessful substrates

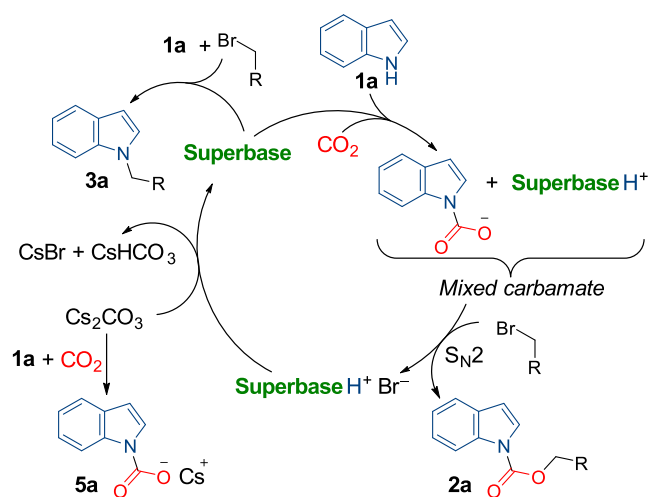**1p**
A or B: No reaction

1q
A, B or C:
Complex mixture

TMG ($\Delta\delta(^{13}C) \approx -4$ ppm). This indicates that the studied indole−TMG complexes are neutral under argon but form ionic salts under CO_2. The electronics of the parent indoles have a significant effect on the carboxylation behavior of the

Scheme 4. Alkyl Bromide Scope in Catalytic Indole Carboxylation (Condition B)

4a-a
97%

4a-b
99%

4a-c
80%
(4 equiv. Alkyl-Br)

4a-d
69%
(4 equiv. Alkyl-Br)

4a-e
64%
[X-ray]

4a-f
Pentoxifylline derivative
84%
(using Alkyl-I)

4r-g
Indometacin derivative
92%

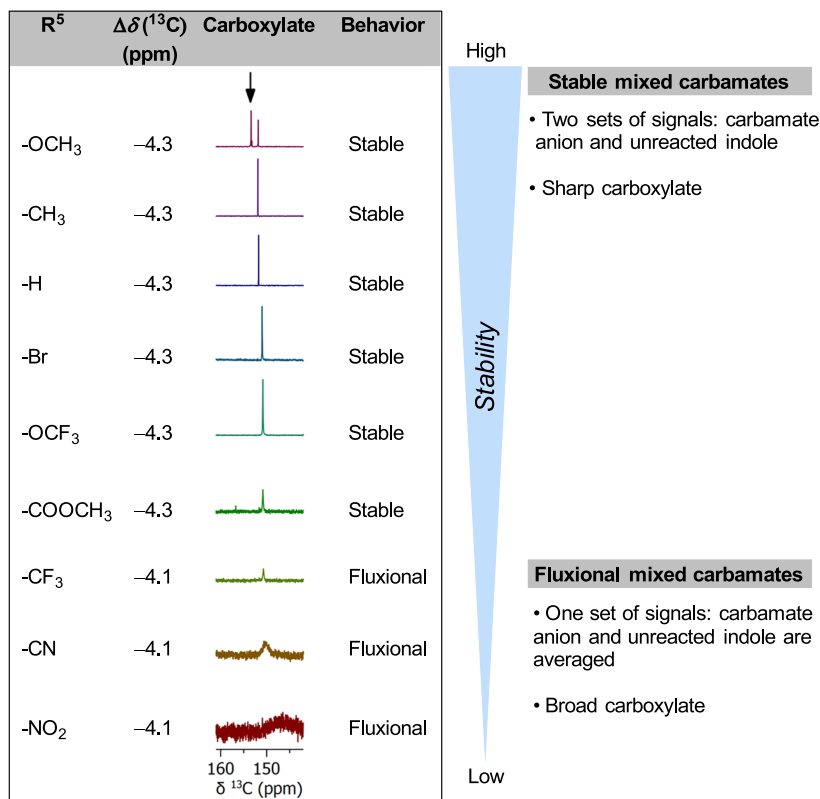**Scheme 5. Proposed Catalytic Cycle for the Formation of Indole-Derived O-Alkyl Carbamates**

resulting mixed carbamates. The three most electron-rich indoles in the series (5-OMe, -Me, and -H) display well-separated signals of unreacted indole and product (carbamate anion) by ^{1}H and ^{13}C{^{1}H} NMR, allowing determination of the in situ yield (83, 82, and 80%, respectively). The corresponding carboxylate ^{13}C{^{1}H} signal (151.8−153.4 ppm) is sharp and of high intensity (Scheme 6B), similar to the carboxylate signal of aniline-derived carbamates.[58] This implies that these particular indole-derived mixed carbamates are quite stable on the NMR time scale due to slow CO_2 exchange. However, for more electron-deficient indoles, there is a trend of gradually increasing *fluxionality* (decreased stability), as is exemplified by the incremental broadening of the carboxylate ^{13}C{^{1}H} resonance (Scheme 6B). For the three most electron-deficient indoles (5-CF$_3$, -CN, and -NO$_2$), unreacted indole is not observable under CO_2 (1 bar). Instead, the starting material and product (carbamate anion) are

observed as a single set of broad and low-intensity signals due to rapid CO_2 exchange on the NMR time scale.[58] A rapid CO_2 exchange rate is supported by the fact that these indoles require elevated CO_2 pressure (40 bar) to suppress the formation of N-alkylated byproduct **3** (Scheme 3 and Supporting Information, Section S11.3). The higher CO_2 pressures likely stabilize the fluxional behavior and, therefore, the mixed carbamate has a sufficient life time to react with alkyl bromides.

If fluxional behavior was to be caused by rapid CO_2 exchange, the equilibrium would also be expected to be sensitive to thermal effects.[58] In this regard, we performed variable-temperature NMR studies (VT-NMR) in DMF-d_7, similar in properties to DMSO-d_6 but melting at lower temperatures. Electron-deficient and highly fluxional 5-cyanoindole **1h** was chosen as the substrate (Figure 1). Once CO_2 (1 bar) is applied, the resonances of protons H7 and H2 become very broad. In the carbon spectrum, there is significant line broadening, particularly for carbons adjacent to N1. This is accompanied by N1 no longer being detectable by ^{15}N NMR. Lowering the temperature to −25 °C stabilizes the mixed carbamate, as is evident from the increased sharpness and intensity of H7 and H2, as well as the overall sharpening of the ^{13}C{^{1}H} spectrum. Moreover, N1 is again detectable by ^{15}N NMR. *In other words, when the rate of CO_2 exchange is fast, the reactive nitrogen atom is not detectable by ^{15}N NMR.* The NMR behavior of 5-cyanoindole **1h** indicates that low temperatures can stabilize fluxional mixed carbamates by reducing the rate of CO_2 exchange. Finally, the effect of pressure was evaluated by in situ NMR at 25 °C (Supporting Information, Section S14.3). Increasing pressure from 1 bar CO_2 to 5 bar has no observable effect on 5-cyanoindole **1h** by ^{1}H or ^{13}C{^{1}H} NMR. However, a slight increase in stabilization is observed at 10 bar CO_2 by ^{1}H NMR. These changes are of lesser magnitude in comparison to thermal stabilization, *i.e.*, when the sample is cooled from 25 to 0 °C.

**Scheme 6. NMR Assessment of 5-Substituted Indoles with TMG (1 equiv)**

A) General reactivity of 5-substituted indoles and TMG

Under argon: neutral compounds   *Under CO_2: ionic mixed carbamates*

$R^5 = H$

$\delta(^{13}C\ [Ar]) = 166.3$ ppm

151.8 ppm

$\delta(^{13}C\ [CO_2]) = 162.0$ ppm

$\Delta\delta(^{13}C) = -4.3$ ppm
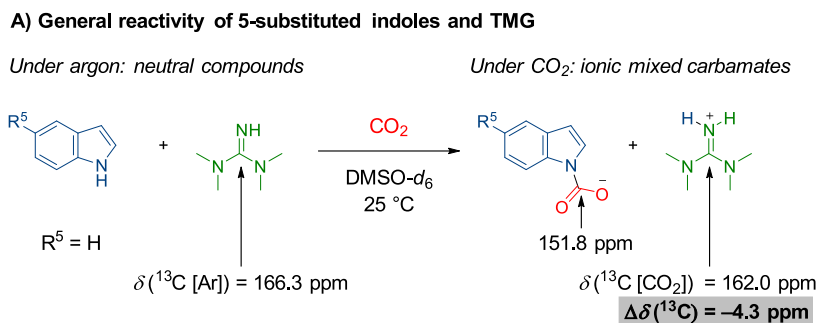
B) The influence of electronic effects on 5-substituted indoles

R^5	$\Delta\delta(^{13}C)$ (ppm)	Carboxylate	Behavior
-OCH$_3$	−4.3		Stable
-CH$_3$	−4.3		Stable
-H	−4.3		Stable
-Br	−4.3		Stable
-OCF$_3$	−4.3		Stable
-COOCH$_3$	−4.3		Stable
-CF$_3$	−4.1		Fluxional
-CN	−4.1		Fluxional
-NO$_2$	−4.1		Fluxional

160   150
δ ^{13}C (ppm)

High — *Stability* — Low

Stable mixed carbamates
- Two sets of signals: carbamate anion and unreacted indole
- Sharp carboxylate

Fluxional mixed carbamates
- One set of signals: carbamate anion and unreacted indole are averaged
- Broad carboxylate

Detailed insights into the operative carboxylation pathways were obtained from computational modeling of different indoles (PBE0-D3BJ[IEFPCM(DMF)], 298 K). Our analysis shows that TMG pre-associates to the indole through hydrogen bonding. The subsequent attack on CO_2 occurs concertedly with proton transfer from indole **1a** to TMG (Figure 2).[58] The computed Gibbs free energies at 298 K show a favorable barrier of 15.7 kcal/mol for this step, with a reaction energy of −2.8 kcal/mol (Table 1, entry 1), in line with the experimentally observed reactivity. Electron-deficient 5-cyanoindole **1h** also provides a favorable computed barrier and reaction energy (entry 2). Interestingly, indoles **1p** and **1q**, which failed to produce any carbamate in our experiments (Scheme 3), display feasible barriers but endergonic reaction energies (2.6 and 4.0 kcal/mol), indicating that decarboxylation is faster than carboxylation (Table 1, entries 3−4). The optimized geometries of products **1a** and **1h** show that the carboxylate is nearly in-plane ($\theta \approx 15°$, entries 1−2). In contrast, in the products of **1p** and **1q**, the adjacent methyl and phenyl substituents force the carboxylate out-of-plane ($\theta \approx$

40°, entries 3−4), reducing non-covalent charge stabilization compared to **1a** and **1h** (see Supporting Information, Section S1.4).

Differing Reactivity of Azoles. Having successfully reacted CO_2 with indoles and pyrrole, we proceeded to investigate structurally related azoles **1s−w** (Scheme 7). Generally, complex product mixtures or *N*-alkylated by-products **3** were obtained, which gave us the initial impression that azoles do not react with CO_2. We turned our attention toward understanding this apparent lack of reactivity from a mechanistic perspective.

First, we pondered if the interaction between azoles and superbases differs from that between indoles. Previous literature has proposed that azoles and indoles are deprotonated by superbases, forming ionic liquids.[42−48] However, our computational studies show that azole−TMG complexes, just as indole−TMG complexes, prefer to be neutral, with the exception of tetrazole−TMG and 4-nitroimidazole−TMG (Supporting Information, Section S1.3). Additionally, the predicted extent of salt formation was estimated based on
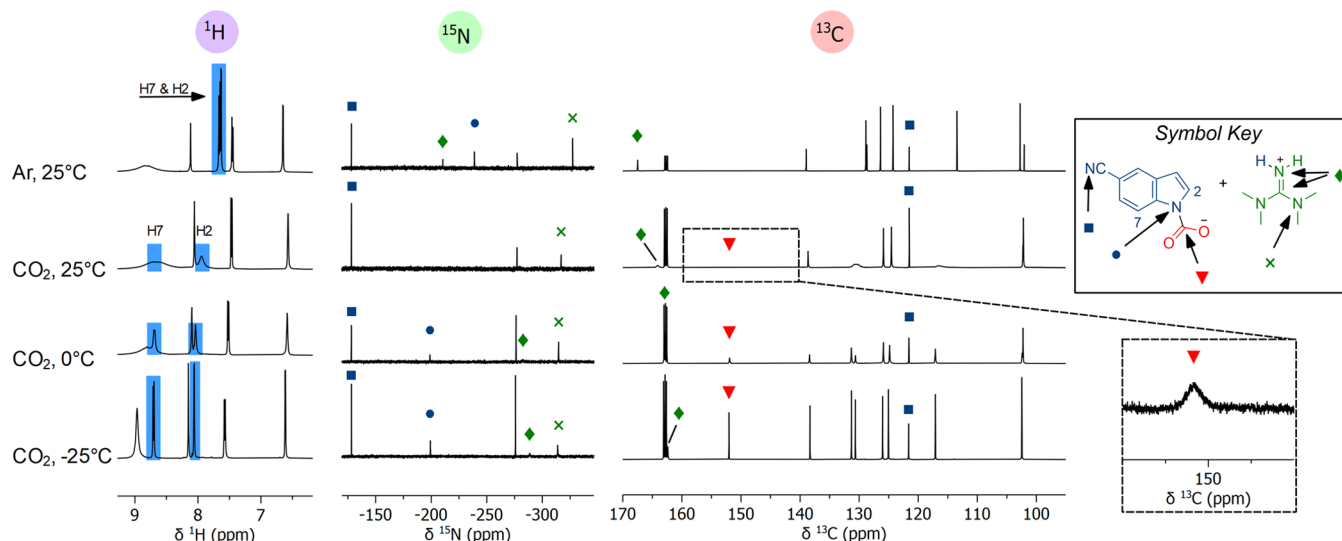
Figure 1. VT-NMR spectra (selected regions) of 5-cyanoindole **1h** and TMG (1 equiv) in DMF-d_7. For complete spectra, see Supporting Information, Section S9.1.
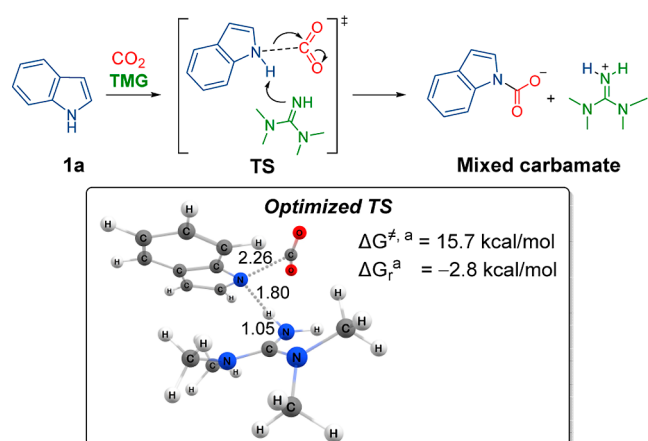
Figure 2. Mechanism for indole carboxylation and optimized TS for indole **1a**. [a]ΔG_{1M}, 298 K, PBE0-D3BJ[IEFPCM(DMF)], and distances are in Angstrom.

Table 1. Computed Free Energies for Mixed Carbamate Formation of Indoles with TMG

entry	compound	substitution[a]	$\Delta G^{\neq,b}$	ΔG_r^b	Θ (deg)[c]
1	**1a**		15.7	−2.8	13.1
2	**1h**	R^5 = CN	14.1	−2.2	14.5
3	**1p**	R^7 = CH$_3$	17.0	2.6	42.0
4	**1q**	R^2 = Ph	16.0	4.0	35.0

[a]Unless specified otherwise, R^2, R^5, and R^7 = H. [b]ΔG_{1M}, kcal/mol, 298 K, PBE0-D3BJ[IEFPCM(DMF)]. [c]Dihedral angle defined by C2−N1−C−O1.

known pK_a values, and the results were aligned with the computed energies (Supporting Information, Section S4.3).[59−61]

Our DFT-based analysis of the carboxylation reactions indicates that pyrrole **1l** has a favorable barrier and reaction energy (Table 2, entry 1), being similar in value to those

indoles that yield isolable *O*-alkyl carbamates (Table 1, entries 1 and 2). This is in line with the experimentally obtained product **2l** (Scheme 3). Azoles **1s−w** contain multiple nitrogen atoms in the ring structure, assigned as pyridine-like or pyrrole-like (Table 2 graphic). For both nitrogen types, all azoles show feasible carboxylation barriers; however, there is a clear preference for the pyridine-like nitrogen atom. An exception is **1w**, likely due to the proximity of the nitro-group. The computed reaction energy of pyrazole **1s** (entry 2) is favorable toward the formation of carbamate, although slightly less when compared to pyrrole **1l**, whereas the other azoles (**1t**, **1u**, **1v**, and **1w**, entries 3−6) display endergonic reaction energies. The lack of isolable products in experiments (Scheme 7) can be explained by the endergonic reaction energies, which imply that the reverse decarboxylation barriers are lower than the carboxylation barriers.

To obtain additional experimental support for our hypothesis of fast carboxylation-decarboxylation, we performed in situ NMR studies. Pyrrole **1l** (pK_a 23.0), which forms a neutral complex with TMG (pK_a 13.2) under argon (Scheme 8A), becomes ionic when CO_2 (1 bar) is applied ($\Delta\delta(^{13}C)$ = −4.0 ppm).[60] The mixed carbamate forms in 80% yield by ^{1}H NMR, while the carboxylate $\Delta\delta(^{13}C)$ signal at 150.5 ppm is sharp and of high intensity (Figure 3), indicating that pyrrole forms a stable mixed carbamate.

Pyrazole **1s** (pK_a 19.8), which contains two nitrogen atoms in the ring (Scheme 8B), forms a neutral complex under argon.[60] When CO_2 (1 bar) is applied, TMG becomes protonated ($\Delta\delta(^{13}C)$ = −3.2 ppm). This suggests that a mixed carbamate has formed, yet no carboxylate was initially observed. Performing a longer $^{13}C\{^1H\}$ NMR experiment revealed broad signals (Figure 4), which we assign to pyrazole carboxylate (148.2 ppm), the ring of carboxylated pyrazole (138.2 and 129.3 ppm), and unreacted pyrazole (132.9 ppm). The broad, low-intensity signals are indicative of fluxionality, *i.e.*, rapid carboxylation-decarboxylation, in agreement with the DFT results.

The different carboxylation behaviors of pyrrole and pyrazole were intriguing and suggested that, as shown for indoles above (Scheme 6), 5-membered *N*-heteroaryls can be categorized as two distinct subgroups, *stable* or *fluxional* mixed
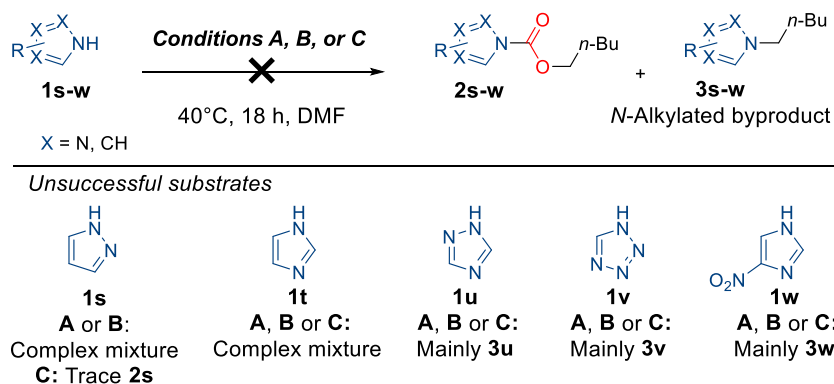
Scheme 7. Attempted Carboxylations of Azoles

Table 2. Computed Free Energies for Mixed Carbamate Formation of 5-Membered N-Heteroaryls with TMG

$$\text{TMG} + \text{HetArH} \xrightarrow{\;CO_2\;} \text{TMGH}^+ + \text{HetArCO}_2^-$$

Carboxylation can occur at two types of nitrogen

Pyridine-like nitrogen
- Aprotic (one double bond)
- Free lone pair
- Lewis basic

Pyrrole-like nitrogen
- Protic (three single bonds)
- Lone pair part of the aromatic system
- Not Lewis basic

Entry	N-Heteroaryl	Reactive N	$\Delta G^{\ddagger,a}$	ΔG_r^a
1	**1l**	N1	18.2	−2.6
2	**1s**	N1	17.7	−1.3
		N2	10.7	−1.3
3	**1t**	N1	16.5	1.1
		N3	10.2	1.1
4	**1u**	N1	14.1	0.7
		N2	11.1	0.7
		N4	8.8	3.0
5	**1v**	N1	10.4	7.1
		N2	10.8	9.0
		N3	12.7	9.0
		N4	9.6	7.1
6	**1w**	N1	14.5	5.6
		N3	15.0	12.3

$^a\Delta G_{1M}$, kcal/mol, 298 K, PBE0-D3BJ[IEFPCM(DMF)], see Supporting Information, Section S1 for details.

carbamates. To explore the generality of this idea, we conducted a more systematic NMR study of 5-membered N-heteroaryls (Figure 5).[62] Imidazole **1t** produces a very similar

$^{13}C\{^1H\}$ spectrum to isomeric pyrazole **1s**. Both azoles show a broad low-intensity carboxylate, implying similar interaction with CO_2. For azoles containing a third nitrogen, **1u** and isomeric 1,2,3-triazole **1u′**, the carboxylates are located somewhat more upfield than for **1s** and **1t**, retaining the low intensity, but being sharp in shape. This suggested to us that triazoles have a weaker interaction with CO_2. Tetrazole **1v** contains four nitrogen atoms, and only free CO_2 (124.2 ppm) is detected, suggesting very little reactivity with CO_2 (Supporting Information, Section S15.9). Compared to imidazole **1t**, 4-nitroimidazole **1w** has a significant upfield shift of the carboxylate, suggesting that $−NO_2$ induces potent electronic destabilization. *The NMR results in Figure 5 indicate that the introduction of a second, pyridine-like nitrogen into the heterocycle induces fluxional behavior.* Any additional nitrogen or strongly electron-withdrawing group seems to weaken the interaction between CO_2 and any given azole. This observation was evaluated by measuring the CO_2 binding capacity of neat azole−superbase complexes using thermogravimetric analysis (TGA, Figure 5).[63] We found that fluxional mixed carbamates with two nitrogen atoms in the ring (**1s** and **1t**) bind ca. 0.8 equiv CO_2, which is associated with a large change in protonation state ($\Delta\delta(^{13}C) \approx −4$ ppm). This amount is approximately halved with the introduction of a third nitrogen atom (**1u** and **1u′**) or a strongly electron-withdrawing group (**1w**). A fourth nitrogen (**1v**) results in no measurable binding of CO_2 (for details, see Supporting Information, Section S4.3). Our findings are in line with computationally predicted CO_2 absorption[34] and previous experimental studies applying azole−superbase complexes as CO_2 capture materials.[42,43,46]

Next, the stability of azole-derived mixed carbamates under lower temperatures was investigated. First, we computed thermal effects on 1,2,4-triazole **1u** (Figure 6). The results show that the pyrrole-like nitrogen N4 has the lowest carboxylation barrier at all tested temperatures, yet its reaction energy is consistently the least favorable. In contrast, carboxylation at N2 is favorable at 248 K and even more so at 223 K, suggesting that this carbamate is the major species at low temperatures. These results are supported by VT-NMR studies (Supporting Information, Section S5.2). At 25 °C, two ^{15}N resonances are observable, assigned to pyridine-like N4 and pyrrole-like N1/N2. As the temperature is lowered, the ^{15}N signal corresponding to N4 decreases in intensity, nearly disappearing at −25 °C. This suggests that the equilibrium is shifted toward carboxylated 1,2,4-triazole **1u**, in agreement with the computed energies.

Scheme 8. $^{13}C\{^1H\}$ NMR Experiments of Pyrrole 1l (A) or Pyrazole 1s (B) with TMG (1 equiv)
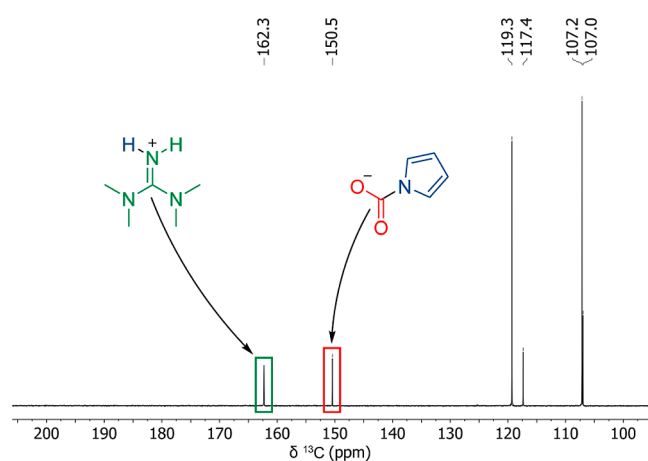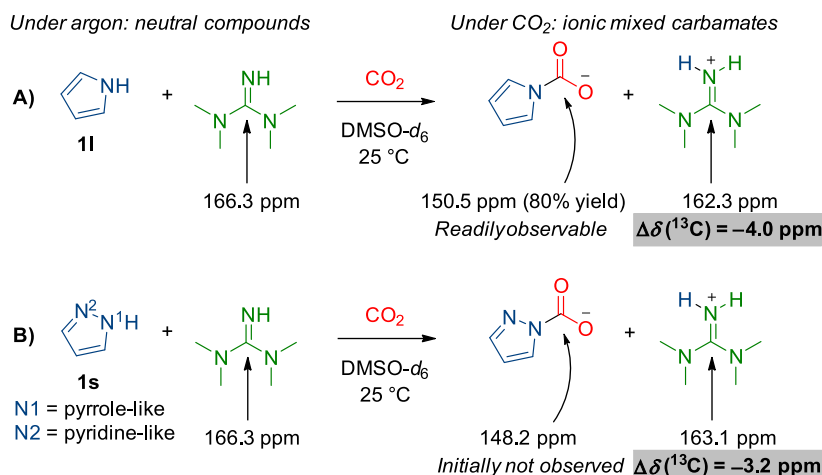
Figure 3. $^{13}C\{^1H\}$ NMR spectrum (selected region) of pyrrole **1l** and TMG (1 equiv) under CO_2 (1 bar) in DMSO-d_6 at 25 °C.
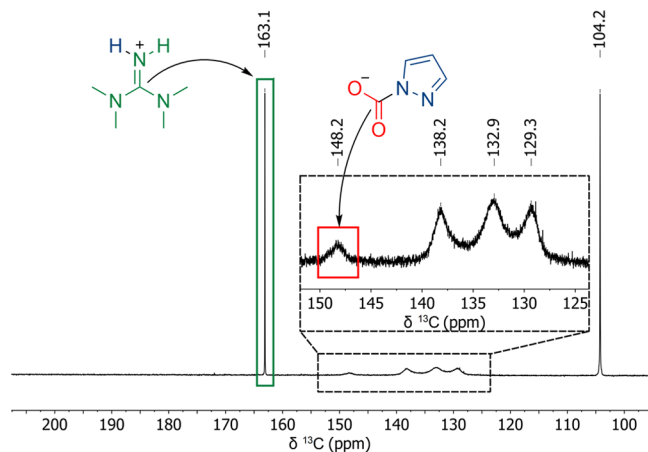

Figure 4. $^{13}C\{^1H\}$ NMR spectrum (selected region) of pyrazole **1s** and TMG (1 equiv) under CO_2 (1 bar) in DMSO-d_6 at 25 °C.

We then computed the reaction energies for all in situ NMR-studied *N*-heteroaryls at different temperatures (Supporting Information, Section S1.5). The results show that of the azoles **1s**–**1w**, pyrazole **1s** has more favorable reaction energies at lower temperatures (−2.9 kcal/mol at 248 K). Indeed, VT-NMR shows that when CO_2 (1 bar) is applied at
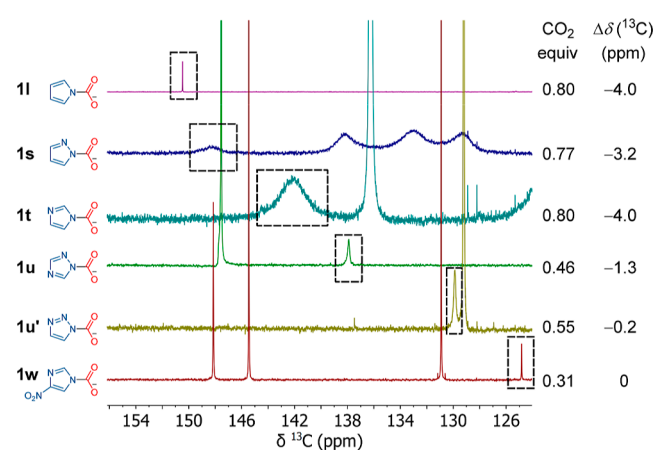
Figure 5. Carboxylate $^{13}C\{^1H\}$ resonance (in dashed square) of mixed carbamates derived from 5-membered *N*-heteroaryls and TMG under CO_2 (1 bar) in DMSO-d_6 at 25 °C. Note that **1l** has significantly less scans than the other experiments. Binding of CO_2 equivalents at 25 °C was determined by ^1H NMR (**1l**) or TGA (**1s**–**1w**). See Supporting Information, Sections S4.3 and S10.

25 °C, the ^{15}N resonance of pyrazole **1s** is not detected. However, when cooled to −25 °C, two sharp multiplicities are detected, suggesting that the fluxional behavior of pyrazole **1s** mixed carbamate is fully stabilized, *i.e.*, the rate of CO_2 exchange is slow at −25 °C (Supporting Information, Section S5.1). Imidazole **1t** behaves similarly but appears to be more fluxional in nature as no ^{15}N signals assigned to imidazole **1t** are detectable under CO_2 even at −25 °C (Supporting Information, Section S5.3). This is in agreement with the computational results (Supporting Information, Section S1.4). To exclude the possibility that the deuterated solvent affects the reactivity of azoles, we measured NMR of the imidazole **1t**−TMG complex under neat conditions (Supporting Information, Section S5.4). In the absence of solvent, the imidazole **1t**−TMG complex is neutral under argon, but forms an ionic salt (mixed carbamate) under CO_2. Under neat conditions, carboxylated imidazole **1t** is detectable by ^{15}N NMR as a broad resonance, consistent with fast CO_2 exchange and implying that the observed reactivity in solvents (DMSO-d_6, DMF-d_7, and CDCl$_3$) is extendable to neat conditions. Considering that azole-derived mixed carbamates are stabilized at low temperatures, it would be beneficial to develop
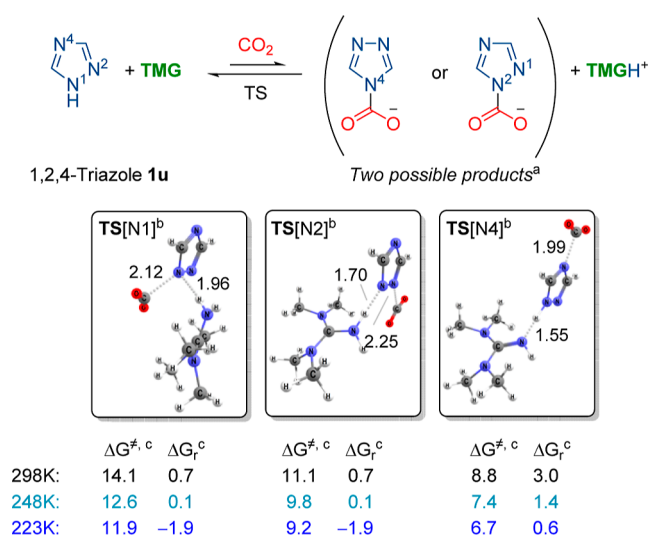
Figure 6. Carboxylation of 1,2,4-triazole **1u** can take place at three different nitrogens (TS[N1], TS[N2], or TS[N4]), with a preference for carboxylation at the pyridine-like nitrogens N1 and N2. [a]Carboxylation at N1 or N2 produces the same product. [b]Distances are in Angstrom. [c]ΔG_{1M}, kcal/mol, PBE0-D3BJ[IEFPCM(DMF)].

subsequent functionalization reactions that proceed at such low temperatures.

Finally, fused derivatives of azoles and indoles were examined in carboxylation reactions to evaluate if they behave similarly to the monocyclic counterparts (Figure 7). Indeed,
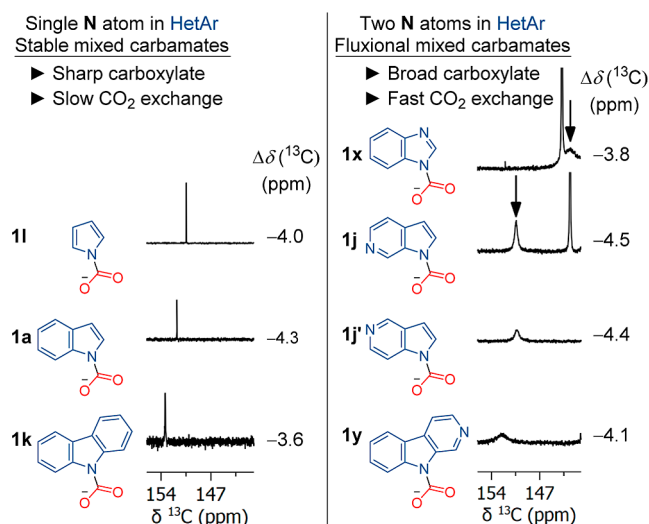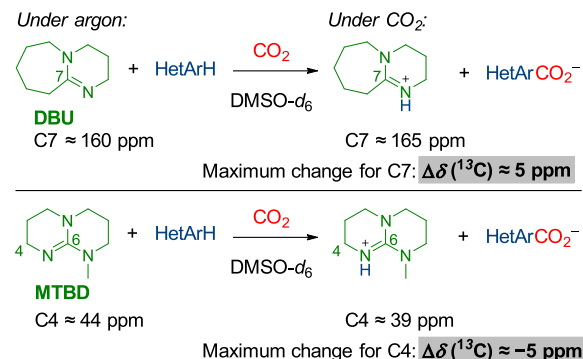

Figure 7. The number of nitrogen atoms in the ring structure determines whether the forming mixed carbamate will be stable or fluxional, as exemplified by the carboxylate $^{13}C\{^1H\}$ resonance shape. TMG as the base in DMSO-d_6, 1 bar CO_2, 25 °C.

also for these substrates, more than one nitrogen in the ring system results in fluxional mixed carbamates when CO_2 is applied, as is seen from the broad carboxylate resonances. Large $\Delta\delta(^{13}C)$ values (≈ -4 ppm) are observed, indicating that fused systems form neutral complexes with TMG, which react with CO_2 to form ionic salts. Consequently, the behavior of fused systems is similar to that of monocyclic N-heteroaryls. For details, see Supporting Information, Section S6.

Role of Bases. We questioned whether the observed reactivity patterns of N-heteroaryl−TMG complexes could be generalized to other superbases. A selection of N-heteroaryls was examined with DBU and MTBD, which yield largely the same carboxylation results as with TMG (Scheme 9). This

Scheme 9. Variation of Base in N-Heteroaryl Carboxylations

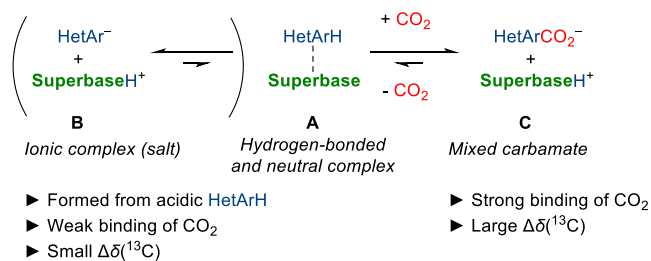| HetArH	1a	1t	1u	1v
$\Delta\delta(^{13}C)$ (ppm) — DBU	4.9	4.4	1.1	0.2
$\Delta\delta(^{13}C)$ (ppm) — MTBD	−4.9	−4.6	−0.9	−0.1

implies that N-heteroaryl carboxylation behavior (stable or fluxional) is minimally affected by the employed superbase. However, the CO_2 binding of the N-heteroaryl is affected by the employed superbase. With TMG (pK_a 13.2), indole **1a** is carboxylated in 80% yield, which is increased with the stronger bases DBU (87%, pK_a = 13.9) and MTBD (94%, pK_a = 14.8).[64] We find eq 1 to be well-suited for evaluating whether DBU and MTBD form neutral complexes or ionic salts with N-heteroaryls. We identified DBU C7 and MTBD C4 to be sensitive toward protonation, whereas MTBD central carbon C6 is not sensitive (Supporting Information, Section S7). DBU and MTBD appear to form neutral complexes with non-acidic N-heteroaryls **1a** and **1t** under argon since large $\Delta\delta(^{13}C)$ values of ca. 5 ppm (DBU) or −5 ppm (MTBD) are observed (Scheme 9). In contrast, 1,2,4-triazole **1u**-based complexes have a $\Delta\delta(^{13}C)$ of 1.1 (DBU) and −0.9 (MTBD), indicating that partial deprotonation (salt formation) occurs before the introduction of CO_2. The DBU or MTBD complexes with tetrazole **1v** have $\Delta\delta(^{13}C) \approx 0$, meaning that tetrazole **1v** is nearly fully deprotonated by both superbases under argon.

We have previously shown that aniline carboxylation yield correlates with the pK_a of the organic base, except for sterically hindered bases.[58] Phosphazenes, such as tert-butylimino-tri(pyrrolidino)phosphorane (BTPP, pK_a = 17.4), are reported to be more basic than DBU or MTBD.[64] Based on pK_a alone, we expected BTPP to carboxylate indole **1a** in a high yield. However, the observed yield is only 63%. Despite BTPP being one of the least hindered phosphazenes, it seems its sterics are sufficient to partially inhibit the carboxylation of indole **1a**.[65]

Mechanistic Overview. Our overall experimental and computational results allow us to construct a comprehensive understanding of N-heteroaryl carbamate formation (Scheme 10). We find that under argon, superbases (TMG, DBU, and MTBD) and most N-heteroaryls associate by hydrogen bonding to form neutral complexes **A**. Only acidic N-

Scheme 10. Reaction Pathways of *N*-Heteroaryls in the Presence of Superbases

- ▶ Formed from acidic HetArH
- ▶ Weak binding of CO_2
- ▶ Small $\Delta\delta(^{13}C)$

- ▶ Strong binding of CO_2
- ▶ Large $\Delta\delta(^{13}C)$

heteroaryls (*i.e.*, tetrazole **1v** and 4-nitroimidazole **1w**) seem to exist almost completely as ionic salts **B**. Our experimental and computational results suggest a partial revision to the commonly accepted view that indoles and azoles are deprotonated by superbases, forming ionic salts.[42−48] Instead, most protic *N*-heteroaryls and superbases form neutral complexes (Scheme 10).

When CO_2 is introduced, neutral complexes **A** are in equilibrium with mixed carbamates **C**, favoring the latter. Ionic complexes **B** have a significantly reduced affinity to CO_2, implying that they are in an unfavorable equilibrium with **C**. The number of nitrogen atoms in the ring structure and the presence of electron-withdrawing groups (EWG) determine the rate of CO_2 exchange. Stable mixed carbamates exchange CO_2 slowly, whereas fluxional mixed carbamates have a fast exchange. We conclude that combinations of superbases and protic *N*-heteroaryls should be considered CO_2-triggered switchable-polarity solvents (SPSs)[61,66,67] as they become ionic mixed carbamates first when exposed to CO_2.

CONCLUSIONS

In summary, we report a comprehensive study on the superbase-mediated reactivity of indoles and related azoles with CO_2. We have shown that the resulting mixed carbamates can be categorized as either stable or fluxional on the basis of experimental and computational results.

The stable mixed carbamates exchange CO_2 slowly and, therefore, the carbamate anion has a sufficient lifetime to readily react with alkyl bromides. The resulting *O*-alkyl carbamates are isolated in high yields (av. 86%) using mild and catalytic conditions with complete carboxylation selectivity for N1. A broad range of functional groups are tolerated, which are incompatible with conventional methods employing organometallic reagents.

Fluxional mixed carbamates undergo rapid CO_2 exchange, a previously not thoroughly understood phenomenon. In this regard, we demonstrate that $^{13}C\{^1H\}$ and ^{15}N NMR are highly informative in the characterization of this compound class. Experimental and computational results suggest that fluxional behavior is caused by electronic destabilization. This is induced by two or more nitrogen atoms in the ring structure or a strongly electron-withdrawing group. These effects can be compensated by using elevated pressures of CO_2 or low temperatures.

Finally, our results suggest a partial revision of the currently accepted view on how superbases react with protic *N*-heteroaryls. Under inert gas, we find that superbases and the majority of *N*-heteroaryls form neutral complexes and not ionic salts as previously thought (Scheme 10). The neutral complexes are better described as CO_2-triggered switchable-

polarity solvents as exposure to CO_2 results in ionic mixed carbamates. We anticipate our work to be significant not only for CO_2-based synthesis but also in the field of CO_2 capture.

■ ASSOCIATED CONTENT

⑤ Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.3c02362.

> Optimized coordinates (XYZ)
> Additional computational details and optimized structures, general information, general experimental procedures, reaction optimization, synthetic methods, compound characterization, details of thermogravimetric curves, HPLC results, and NMR spectra (PDF)
> Crystallographic data of compound **4a-e** (CIF)

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Notes

The authors declare no competing financial interest.

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