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## Quantitative Evaluation of Short-Term Resting-State Brain Networks for Primary Insomnia Diagnosis

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15

#### 16 Abstract:

17 Primary insomnia (PI) manifesting as insufficient and non-restorative sleep disturbs the function of central nervous system. 18 Electroencephalogram (EEG), as a technique of recording the electrical signals of the brain, has demonstrated potential to 19 access and quantify PI. However, most existing EEG indices rely on time-frequency analysis and separate channels, which 20 limits its clinical application. In this study, we propose a novel quantitative evaluation method by introducing spatial 21 information from resting-state brain networks of insomniacs to make rapid diagnosis implementable. To suppress false 22 positive observations of coupling attributed to signal spread, the connections were binarized based on an adaptive threshold 23 technology so that the statistical network characteristics were extracted automatically to form a comprehensive measurement 24 index. The clinical experiments proved that the specificity of PI brain networks could be quantified objectively by the 25 comprehensive index in the resting state. PI specificity showed consistency across the connectivity estimated in time 26 (Pearson Correlation Coefficient, PCC), phase (Phase Lag Index, PLI) and frequency (Granger Causality, GC) domains. All 27 the three kinds of connectivity revealed the significant difference between the PI patients and normal subjects (PCC: 28 p=0.0021, PLI: p=0.0071, GC: p=0.0142). The strong connectivity of PI consistent with clinical rating scale indicates the 29 hyperarousal of PI brain. It is difficult to achieve normal inhibition, so it consumes more resources in the resting state. An 30 implication of this finding is that early clinical diagnosis of insomnia may be possible.

- 31
- 32 Keywords: Insomnia; EEG; Connectivity; Functional brain network; Causal brain network
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- 34

#### 36 1. Introduction

At present, about a third of the world population has experienced symptoms of primary insomnia (PI). Approximately
 10% to 18% people suffer from insomnia that meets diagnostic criteria. Most of them have difficulties in getting to sleep,
 maintaining sleep or returning to sleep, and are often accompanied by the phenomenon of insufficient energy during the day
 [1].

Sleep quality is closely related to human health, life quality and work efficiency. In recent years, there has been growing recognition of the direct links between many mental illnesses and poor daytime alertness or insomnia. About pathophysiology, insomnia is associated with cognitive and physiological hyperarousal. A meta-analysis has shown that insomnia increases the risk of psychopathology arising [2]. The study of the physiological mechanism of insomnia plays a crucial role in the pathogenesis and diagnosis of mental diseases.

Insomnia was first studied in the 1880s, when its causes were largely understood from aetiology. However, the aetiological research failed to clarify what "conditioned arousal" was for chronic insomnia. Then, some researchers tried to explain the causes of chronic insomnia in terms of physical arousal (heart rate, breathing rate, myoelectric, urinary catecholamine, sympathetic nervous system) and cognitive arousal (excessive meditation) [3]. The extent to which these different forms of arousal contribute to insomnia remain poorly understood.

51 Previous works of the spectral correlation suggested that insomniacs might exhibit a third form of arousal: central nervous 52 system arousal [4, 5]. Finding the right way to explore the brain structure, functional characteristics and internal mechanism 53 of brain would contribute to the understanding of physiological and pathological mechanisms of primary insomnia. It was 54 also expected to explain some psychiatric (anxiety, depression, etc.) or neurological (epilepsy, Alzheimer, etc.) symptoms 55 and mechanisms, eventually benefitting human health.

56 Recent studies have reported differences in electroencephalogram (EEG) characteristics among insomnia patients with 57 different clinical manifestations, so as to explain the pathogenesis of some psychiatric diseases with insomnia, or explain 58 some behaviors (e.g. anxiety in the daytime) and propose appropriate treatment methods [6-8]. EEG has been used to 59 investigate the relationship between subjective poor sleep quality and objective EEG recordings. Maria Corsi-Cabrera et al. 60 [9] studied wakefulness EEG activity of PI patients the night before and the morning after they fell asleep, seeking out the 61 reasons for excessive arousal in the morning and inability to return to sleep. They found the control subjects exhibited 62 significantly decreased Beta and Gamma power during post-sleep, whereas there was no change from pre-sleep to post-sleep 63 in the PI group. A large number of studies have also demonstrated that elevated Beta wave activity may be associated with 64 high cortical arousal in patients, resulting in decreased sleep quality and even insomnia [5]. Spiegelhalder et al. [10] 65 analyzed the high-frequency EEG power of PI patients in different sleep stages (NREM and REM) and reported their 66 increased power values in the EEG Beta range during NREM stage 2 sleep but not during REM sleep in comparison to good 67 sleeper controls. Freedman et al. [11] found absolute EEG Beta power increased during wake, stage 1 and REM sleep but not 68 during NREM stages 2-4. Perlis et al. [12] described increased Beta power of PI in both REM and NREM sleep. Since 69 findings in PI sleep are inconsistent, this aspect of increased high frequency power in PI patients remains to be further 70 elucidated.

71 As a technological advancement in neuroscience, brain network analysis, measuring connectivity among different brain 72 regions, can provide richer information about brain function state than simpler univariate approaches [13-16]. Brain network 73 is a typical complex network, which can be divided into structural brain network, functional brain network and causal brain 74 network from the perspective of network topology and network dynamics. Among them, structural brain network mainly 75 reflects the physical structure of the brain, relying on neuroimaging techniques, such as MRI and Diffusion Tensor Imaging 76 (DTI). Functional brain network reflects the statistical connections among the nodes of different brain areas, and the causal 77 brain networks represent the information flow and information interaction among the nodes. EEG, Magnetoencephalography 78 (MEG), fMRI and other imaging modalities enable the construction of functional brain networks and causal brain networks 79 [17, 18]. Millisecond time resolution of EEG in the brain connectivity has significant advantages, which is helpful to define 80 the causality of brain connectivity and to provide short time window for information exchange [19].

81 Recently, the research of functional brain networks has made a lot of progress in insomnia. Killgore et al. [20] tested the 82 sensorimotor network of patients with sleep disorders and found that difficulty in sleeping was related to the enhanced 83 functional connections between the primary visual cortex and other sensory regions (such as the primary auditory cortex,

84 smell cortex and auxiliary motor areas). Chen et al. [21] studied the internal relationship between significant networks and 85 emotional regions in insomniacs, and found that functional connections between insula and significant networks were 86 enhanced in these patients. In addition, enhanced functional connections between insula and emotional circuits (cingulate 87 cortex, thalamus, and precuneus) were observed in PI [22]. These findings have shown that PI can affect different regional 88 multi-nerve coordination. Taken together, PI may not only affect local functional systems, but also cause global disorder. 89 However, the quantitative analysis of whole-brain connectivity is still lacking. In addition, choosing different 90 connectivity-estimated methods to construct brain networks may get different results. Common methods of connectivity 91 estimation include temporal correlation, synchronous likelihood estimation and phase-based calculation. Temporal 92 correlation represents an empirical characterization of the temporal relationship between regions, without indicating how the 93 temporal covariation is mediated. It is simple, fast and most commonly used measure [23]. Nevertheless, previous studies 94 have shown that correlation, coherence, amplitude envelope correlation suffer from the primary and secondary leakage. 95 Therefore, imaginary coherency [24] and Phase Lag Index (PLI) [25] were developed to address the problem. In the 96 phase-based estimations, the signals are orthogonalized with respect to each other to remove zero-lag mixing prior to 97 computing the correlation between the amplitudes. These interaction estimations are insensitive to leakage, whereas one 98 important and frequently overlooked limitation is that spurious interactions may arise as an unwanted by-product of a truly 99 interacting pair of sources [26].

100 With the development of brain network research and the progress of neuroimaging, it has been illustrated that the mutual 101 influence between each pair of nodes is not completely equal, it may be directional with the flow of information among 102 network nodes. As a special functional brain network, the causal brain network is generally constructed based on the theory 103 of causality. It provides a directed network perspective and demonstrates a spontaneous information flow and causal 104 influence between distinct brain regions, which provides insight into our understanding of the brain functional architecture 105 [27-29]. Yan et al. [27] found the causality-based functional directed network was stable and reproducible in the young 106 population. Nonetheless, previous studies did not account for causal interactions among resting-state brain networks in the PI 107 patients [30]. The resting-state is defined as a baseline state of brain activity when a person is resting quietly but awake 108 without performing any task [31]. Our hypothesis is that the brain networks of PI patients are different from healthy 109 individuals in the resting state, which can be evaluated quantitatively.

110 In this context, we propose an EEG-based quantitative analysis method of PI whole-brain connectivity in the resting state. 111 In order to demonstrate the versatility and stability of the method, the connectivity is estimated in three domains (i.e. time, 112 phase, and frequency) to construct functional and causal brain networks. In time domain, the correlation analysis is realized 113 by Pearson Correlation Coefficient (PCC) between the EEG time-series. In phase domain, the connectivity is measured by 114 PLI to reduce leakage issue rising up during instantaneous signal spread. In frequency domain, Granger Causality (GC) 115 based on Partial Directed Coherence (PDC) is calculated to perform causal analysis of EEG to construct directed network. 116 Then, the connectivity matrices are binarized by an adaptive threshold technology to further remove spurious interactions. It 117 selects the set of edges that together form the connected graph on which network organization is evaluated by clustering 118 coefficient, characteristic path length, and global efficiency. These statistical network characteristics constitute a 119 comprehensive measurement index. Finally, statistical analysis is performed to verify whether the proposed method is able to 120 distinguish between PI and healthy control and assessed the functional and causal connectivity of PI.

This paper is organized as follows. In Section 2, we describe the experimental details and EEG data preprocessing (see Section 2.1 and 2.2), illustrate traditional time-frequency analysis of resting-state EEG from PI patients (see Section 2.3), elaborate the connectivity analysis in time, phase, and frequency domain to construct functional and causal brain networks (see Section 2.4, 2.5, and 2.6), and discuss the quantification method based on the network characteristics (see Section 2.7 and 2.8). Results of the study are presented in Section 3 and discussed in Section 4. Finally, the paper concludes in Section 5.

#### 127 2. Materials and Methods

#### 128 2.1. Experiments and data

Studies have shown that PI is different from those who also suffer from mental disorder synchronously. It affects interregional neural coordination of multiple interacting functional brain networks [32]. Therefore, patients with insomnia symptoms only were selected as the experimental subjects. None of the subjects reported neurological or developmental disorders. This study was reviewed and approved by Ethics Committee, the First Affiliated Hospital of Dalian Medical University. Written informed consents were obtained from all participants before the experiments. Twenty-eight subjects aged from 20 to 65 years (mean age, 43.86 years) were recruited in the hospital. They were divided into PI and control groups according to the clinical selection criteria with fourteen subjects (9 females) in each group.

137 PI was diagnosed by the doctors in the hospital. They ruled out that the insomnia was caused by other disorders, e.g. 138 mental disorders, based on medical examinations. At the same time, PI fulfilled the following criteria: (1) meet the criteria 139 for diagnosis of insomnia in Diagnostic and Statistical Manual of mental disorders-fifth edition (DSM-5; American 140 Psychiatric Association, 2013), (2) a score  $\geq 7$  on the Pittsburgh Sleep Quality Index (PSQI), (3) a score  $\geq 8$  on the 141 Insomnia Severity Index (ISI), (4) do not meet the criteria for diagnosis of mental disorders other than insomnia confirmed 142 by Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-I). Healthy control met the following criteria: (1) have 143 no complaints of sleep disturbance or daytime symptoms attributable to unsatisfactory sleep; (2) no report of sleep disruption 144 due to a substance (e.g. drug, alcohol, caffeine, or nicotine) use, abuse, or withdrawal; (3) a score < 5 on PSQI, (4) a score < -5145 8 on ISI, (5) no diagnosis of mental disorders by SCID-I [33].

146Table 1 shows statistical data and clinical characteristics of all participants (mean value  $\pm$  standard error). The control and147PI groups reveal no significant differences between group in age (p=0.9369) and education (p=0.1808). The average illness148duration for participants in the PI group is 5.29 years. The patients with PI have significantly higher PSQI and ISI scores149than the controls (p < 0.001).

Participants sat on a comfortable chair during EEG measurement. Sixty standard electrodes (see Fig.1) were attached to the scalps following the International 10-20 System to collect the resting EEG data of PI and control groups for about 10 minutes. All the subjects were awake without any drowsy feeling report before the experiments. In order to achieve the rapid evaluation of PI, the first 20-second EEG were selected in data analysis. The EEG sampling frequency was 500Hz. The data of the two groups were collected in the same periods of the daytime (9:00~12:00 and 13:30~17:00) to exclude the influence of time factor.

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Table 1. Statistical data and clinical characteristics of all participant	ts.
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Parameter	Primary insomnia	Healthy control	p value
Age (years)	$44.00\pm3.50$	$43.71\pm4.95$	0.9369
Gender	9 females, 5 males	9 females, 5 males	
Education (years)	$10.00\pm1.37$	$13.14\pm1.75$	0.1808
Illness duration(years)	$5.29 \pm 1.97$		
PSQI	$16.10 \pm 1.27$	$3.57\pm0.61$	<0.001
ISI	$21.20 \pm 1.80$	$2.57\pm0.48$	<0.001

158 PSQI, Pittsburgh Sleep Quality Index; ISI, Insomnia Severity Index. Means ± standard deviations of age, education, illness duration, PSQI,

and ISI were reported in the original units. With unpaired two-sample *t*-test, only PSQI and ISI reveal statistical differences (*p*<0.001)

160 between the two group.



162 Fig. 1 Distribution of EEG electrodes. Sixty standard electrodes are arranged in accordance with the International 10-20 System to 163 collect the EEG data.

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## 165 2.2. Data preprocessing

166 In the clinical environment, the raw EEG signals are inevitably interfered by artifacts such as power frequency (50Hz), 167 muscle movement, and blinking. To reduce the noises preliminarily, the original signals were filtered through a 0.5-50Hz 168 bandpass filter and 50Hz notch filter.

Since previous studies have demonstrated the high correlation between Beta activity and insomnia [4, 9, 10, 34], the preprocessed signals were further processed by discrete wavelet transform (DWT) with fourth order Daubechies wavelet and four level decomposition to obtain the sub-band EEG waves (i.e. Beta) to perform functional brain network analysis. DWT is an effective method to analyze various components (e.g. approximate and detailed components) of EEG due to its attractive properties such as good local representation in both time and frequency domain and multi-rate filtering [35]. On the basis of DWT, the wavelet-based threshold technique in [36-39] was applied to correct the sub-band EEG waves further.

The comparison of the EEG signals before and after preprocessing is shown in Fig. 2. Fig. 2(a) gives a typical 4-second original EEG signal disturbed by a variety of artifacts. Fig. 2(b) shows Beta waves extracted by wavelet decomposition. The relatively large fluctuations which may be caused by body movements were apparently eliminated, whereas a few of artifacts are still left (e.g. the artifacts probably caused by blinks in the red boxes). These artifacts are removed in Fig. 2(c). Compared to the original signal, the corrected signal in Fig. 2(c) presents more detailed components and retains the main trend.



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Fig. 2. EEG data preprocessing. (a) Original EEG signal. (b) Extracted Beta waves. DWT with Daubechies wavelet is performed to obtain the Beta waves. (c) Artifact removal. Wavelet-based threshold technique in [36-39] is utilized to removed the residual artifacts.

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#### 184 2.3. *Time-frequency analysis*

Time-frequency analysis has been widely used in the sleep research of the patients with insomnia. Through time-frequency analysis, the frequency components of EEG in each time period can be intuitively compared between normal and patient groups, which is conducive to subsequent analysis. Short-time Fourier transform and wavelet transform are two common algorithms in the time-frequency analysis [40]. Since wavelet transform has the advantage of good local representation in both time and frequency domains compared to Fourier transform, it is more adapted to the time-frequency analysis of non-stationary signals. Wavelet transform was adopted in time-frequency analysis.

For a time series x(t) with length T (t=0, 1, 2, ..., T-1), wavelet coefficient at time  $t_0$  is estimated by the formula

$$WT_{x}(a,t_{0}) = \frac{1}{\sqrt{|a|}} \sum_{t=0}^{T-1} x(t) \cdot \psi(\frac{t-t_{0}}{a}), \qquad (1)$$

where *a* and  $t_0$  represent scaling and shifting parameters, respectively.  $\psi$  denotes mother wavelet. In this study, the common-used Morlet wavelet was employed as mother wavelet [40]. Morlet wavelet is defined as

(5)

$$\psi(t, f_c) = \frac{1}{\sqrt{\pi\sigma^2}} e^{i2\pi t f_c} \cdot e^{\frac{-t^2}{2\sigma^2}}$$
(2)

194 where  $\sigma$  and  $f_c$  are the bandwidth and center frequency of Morlet wavelet. It follows Gaussian distribution in time and 195 frequency domain around  $f_c$ . Scaling parameter *a* is correlated with frequency *f*.

$$f = \frac{f_s \cdot f_c}{a} \tag{3}$$

where  $f_s$  is the sampling frequency of x(t). The wavelet time-frequency (WTF) spectrum WT(t, f) can be obtained through Eqs. (1)-(3). The preprocessed signals with the frequency range of 0.5-50Hz was used as the input time series x(t).  $|WT(t, f)|^2$ represent the power values of EEG data varying with time *t* and frequency *f*.

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## 200 2.4. Connectivity Estimation Based on Pearson Correlation Coefficient

According to graph theory, a brain network can be represented by a graph G(N, E) where N and E are the node and edge (or link) sets. We assigned EEG electrodes to the nodes of the brain networks. The adjacency relations among the nodes in the networks can be described by the adjacency matrix A whose element A(i, j) shows the measured edge between electrodes (nodes) *i* and *j*. Since the EEG electrodes are distributed in different brain regions, the edges embody functional connectivity among these brain regions. As mentioned above, different connectivity-estimated methods may affect the the quantitative analysis of whole-brain connectivity. Thus, PCC, PLI, GC and PDC were used to estimate the connectivity so that the quantitative analysis had a certain generalization ability.

In time domain, a simple and commonly used measure of the functional connectivity is the correlation coefficien [23]. If x(t) and y(t) are the EEG time series from nodes *i* and *j*, the correlation between them can be expressed as

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$$r_{ij} = \frac{\sum_{k=1}^{n} (x_k - \overline{x})(y_k - \overline{y})}{\sqrt{\sum_{k=1}^{n} (x_k - \overline{x})^2 \sum_{k=1}^{n} (y_k - \overline{y})^2}},$$
(4)

211 where  $r_{ii}$  is Pearson correlation coefficient.  $x_k$  and  $y_k$  correspond to the signal amplitudes at kth moment. n is the sample 212 number of x(t) and y(t). The correlation coefficient is the covariance of the two samples divided by the multiplication of the 213 standard deviation. Covariance reflects the relevancy between two random variables. If a variable varies with another 214 variable at the same time, then the covariance of the two variables is positive, otherwise the covariance is negative. 215 Nevertheless, if the points are distributed discretely, covariance value may be large, which is difficult to reflect the reality 216 relevancy of the two variables, as relevancy is also related to the dispersion of the variables themselves. PCC is provided to 217 solve this problem. An important mathematical characteristic of PCC is that changes in the position and scale of the two 218 variables will not cause the coefficient to change.

Here, the extracted Beta waves were taken as the time-varying signals. For rapid assessment of PI in the resting state, we employed a sliding window with the length to be one second to analyze Beta oscillation data within 20 seconds. In the sliding window, the correlation coefficient between two channel Beta waves was considered as the element of the adjacency matrix to construct the brain networks.

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## 224 2.5. Connectivity Estimation Based on Phase Lag Index

PLI reflects the consistency of the phase lead or lag of two leads from the perspective of phase. The PLI value between
 EEG signals from nodes *i* and *j* can be calculated by the following equation

227 
$$PLI_{ij} = \left| \left\langle sign[\Delta \varphi(t_k)] \right\rangle \right|,$$

where  $\Delta \varphi(t_k)$  is the instantaneous phase difference between the two leads at the moment *k*. sign denotes a symbolic function. < •> refers to averaging in the time domain. When  $\Delta \varphi(t_k) > 0$ ,  $sign[\Delta \varphi(t_k)]=1$ . It means that the phase of the two leads is synchronous. If  $\Delta \varphi(t_k) < 0$ ,  $sign[\Delta \varphi(t_k)]=-1$ . It reveals that the phase of the two leads is out of synchronization. Thus, PLI values lie in the range from 0 to 1. The larger the PLI values are, the stronger the phase coupling is.

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## 234 2.6. Connectivity Estimation Based on Granger Causality

Different from the previous two methods, in this section, we constructed causal brain networks using GC to supplement the brain networks. In the causal brain networks, the connections between nodes are directional, reflecting the direction of the information flow. GC model does not require a priori assumption of the interactions between brain regions. Its concept is proposed by Wiener. Its algorithm is realized by Granger through the linear regression model of random process [41].

GC is based on predictability and precedence. Simply put, Granger causality is a vector-valued stochastic process that assumes two vectors such as  $X = X_1, X_2, \dots X_n$  and  $Y = Y_1, Y_2, \dots Y_m$  have common distributions. If Y depends not only on its past but also on the past of X, i.e. the past of X contains information that can help predict the future of Y, then we can say that Y G-causes X or X is a cause of Y, and the two vectors have a causal relationship [42].

Since GC model requires the data to be covariance-stationary, whether Granger causality is adapted to the brain network analysis needs to be tested. Hence, we calculated autocorrelation function of the preprocessed EEG time series. As shown in Fig. 3, the autocorrelation function first rises, then falls, and finally converges to 0. It conforms to the law that a stationary time series generally fluctuates around its mean. Further, Augmented Dickey Fuller (ADF) test was utilized to confirm the stationarity of the preprocessed EEG. ADF test results showed that the current data had no unit root. It rejected the null hypothesis (unit root existed), so the preprocessed EEG was considered to be covariance stationary.



Fig. 3. Self-correlation test. The autocorrelation function of the preprocessed EEG data fluctuates around 0 and finally converges to 0.

Finally, based on Multivariate Autoregressive (MVAR) Model and PDC analysis, Granger causality was successfully applied to the analysis of brain networks. The PDC based on MVAR model quantified the direct causality among nodes, effectively avoiding pseudo causality [43].

255 Consider the EEG signals of *N* channels at time *t* 

$$U_{t} = [U_{1t}, U_{2t}, \cdots, U_{it}, \cdots, U_{Nt}]^{T}$$
(6)

257 where  $U_{it}$  represents the time series of the channel *i*. The *p*th MVAR model can be expressed as

$$U_{t} = \sum_{k=1}^{p} A(k)U_{t-k} + E(t)$$
(7)

where A(k) denotes the model coefficient matrix of N by N. E(t) stands for random noise. The key parameter of MVAR model is the order p. It represents that the sequence at the current moment t is related to the sequence values at the p previous moments, or p past moments of the sequence predict the sequence of the current moment t. In this work, A(k) was calculated with the GCCA (Granger Causal Connectivity Analysis) Toolbox [42]. p was determined by Akaike Information Criterion (AIC) and Bayesian Information Criterion (BIC).

Based on the MVAR model, PDC is one of the methods to find the Granger causality between any two channels at different frequencies [44]. In PDC, the coefficient matrix A(k) of MVAR model was transformed as

8 of 24

14)

$$\overline{A(f)} = I - \sum_{k=1}^{p} A(k) e^{-i2\pi fk}$$
(8)

where *f* represents the frequency.

268 Then, the element of A(f) in row *i* and column *j* can be expressed as

269 
$$\overline{A_{i,j}(f)} = \begin{cases} 1 - \sum_{k=1}^{p} a_{i,j}(k) e^{-i2\pi f k}, \ i = j \\ - \sum_{k=1}^{p} a_{i,j}(k) e^{-i2\pi f k}, \ otherwise \end{cases}$$
(9)

270 At frequency *f*, the element of PDC in row *i* and column *j* is defined as

271 
$$PDC_{i,j}(f) = \frac{\left|\overline{A_{i,j}(f)}\right|}{\sqrt{\overline{a_j}^T(f)\overline{a_j}^T(f)}} = \pi_{i,j}(f)$$
(10)

272 where  $\overline{a}_j(f)$  is the *j*th column of  $\overline{A_{i,j}(f)}$  (j = 1, 2, ..., N). The average value of the *PDC*<sub>*ij*</sub>(*f*) is obtained by

273 
$$\overline{PDC_{i,j}} = \frac{\sum_{f} \overline{\pi}_{i,j}(f)}{\nabla f}$$
(11)

where  $\nabla f$  is the Beta band range of 13-30Hz.  $\overline{PDC_{i,j}}$  denotes the direction and intensity of the information flow from channel *j* to channel *i*.

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## 277 2.7. Binarization of adjacency matrix

In order to facilitate the feature extraction of the brain networks, the adjacency matrix was binarized based on an adaptive threshold technology. First, the diagonal elements of the adjacency matrix were set to zero to exclude self-connections of nodes. If the element  $a_{i,j}$  of adjacency matrix exceeded a threshold *T*, it was set to 1, and to 0 otherwise. For the binarized adjacency matrix *A*, its element is expressed as

$$a_{i,j} = \begin{cases} 1, & i \neq j \land \rho_{i,j} \ge T(w), \\ 0, & \text{otherwise.} \end{cases}$$
(12)

where T(w) represents the threshold in every window w.  $a_{i,j}$  denotes the *i*th row and *j*th column element of A.  $\rho_{i,j}$  is the correlation value estimated from Sections 2.4, 2.5 or 2.6.

Since the threshold defines the topology of the network, it is important to choose a proper threshold. In order to characterize the dynamics of global network, the highest possible threshold was selected for each time window to reduce the randomness of the network and avoid the appearance of isolated nodes. Starting from a threshold T(w)=1, we gradually reduced the threshold. At each step, the second smallest eigenvalue  $\lambda_{\min}$  of the corresponding Laplace matrix was computed. When the eigenvalue was greater than zero, the threshold T(w) was determined to implement binarization so that the established network belongs to the connected graph [45]. According to [46],  $\lambda_{\min}$  is positive if and only if the graph is connected. Laplace matrix *L* can be calculated by the following equation

$$L_{i,j} = k_i \delta_{i,j} - a_{i,j} \tag{13}$$

293 where  $k_i$  denotes the degree of *i*th node.  $\delta_{ij}$  is the Kronecker delta.

294 
$$\delta_{i,j} = \begin{cases} 0, & i \neq j \\ 1, & i = j \end{cases}$$

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#### 296 2.8. Quantification and evaluation of brain network characteristics

To evaluate the characteristics of PI brain network, the clustering coefficient, characteristic path length, and global efficiency were integrated to form a comprehensive index.

The clustering coefficient of a node,  $C_i$ , is the ratio of the number of links that exist between the nearest neighbors of the chosen node and the number of possible links between them [15]. A large clustering coefficient means high local functional overlap of densely connected neighborhood elements. The equation of clustering coefficient can be expressed as

302  $C_{i} = \frac{E_{i}}{k_{i}(k_{i}-1)/2}$ (15)

where  $E_i$  represents the number of edges connected between adjacent nodes of node *i*.  $k_i$  denotes the number of links between node *i* and all its neighboring nodes. The clustering coefficient of a network, *C*, is the average over the clustering coefficients of all nodes. By definition, the clustering coefficient values lies in the range from 0 to 1.

The characteristic path length *L* refers to the average value of the shortest path between all the node pairs. The shortest path length d(i, j) is defined as the minimum number of links that must be traversed to go from node *i* to node *j*. Therefore,  $d(i, j) \ge 1$ . d(i, j) = 1 if *i* and *j* are neighbors, whereas  $d(i, j) \rightarrow \infty$  if the nodes are disconnected. It refects the functional dispersion of the node pairs in the network. The characteristic path length *L* was computed as below

310 
$$L = \frac{1}{N(N-1)} \sum_{i \neq j \in V} d(i, j)$$
(16)

where d(i, j) is the shortest path length. *N* denotes the number of all nodes in the network. *V* stands for the set of all nodes. Small characteristic path length *L* implies stronger potential for integration and higher information transmission efficiency.

Assuming a parallel information flux, the communication efficiency between two nodes is inversely proportional to the shortest path length. The efficiency of a set of nodes is the sum of the efficiencies of all node pairs, normalized by maximal number of links N(N - 1)/2. When the node set (*V*) contains all nodes, the efficiency obtained from Eq. (17) is viewed as the global efficiency

317 
$$E_{\text{global}} = \frac{1}{N(N-1)} \sum_{i \neq i \neq V} \frac{1}{d(i, i)}$$
(17)

where d(i, j) is the shortest path between the *i*th node and the *j*th node. *N* denotes the number of all nodes in the network. *V* stands for the set of all nodes.

Many recent studies have certificated that there exhibits "small-world" behavior in different scales of brain networks [47-49]. The "small-world" network has bigger clustering coefficient and smaller characteristic path length compared to a random network. Our hypothesis is that PI can cause the change of resting-state brain networks. Thus, it may be reflected in "small-world" related features.

Here, considering that global efficiency is inversely proportional to characteristic path length, the ratio of the product of global efficiency and clustering coefficient to characteristic path length is taken as the comprehensive index of network characteristics.

327 
$$\eta(w) = \frac{E_{\text{global}}(w) \times C(w)}{L(w)}$$
(18)

328 where  $E_{global}(w)$ , C(w), and L(w) represent global efficiency, clustering coefficient, and characteristic path length in 329 sliding window w. Large comprehensive index  $\eta$  implies strong "small-world" attributes.

## 330 2.9. Classification based on brain network characteristics

The classification of healthy status (normal or PI) about sleep was performed using a machine learning method of bidirectional long short-term memory (Bi-LSTM) network (see method details in [50]).

The LSTM structural properties of sharing weights based on gate mechanism allow it to learn the timing characteristics of the data, facilitating long-term memory. Compared to the classical unidirectional LSTM, the Bi-LSTM can capture the dynamic information from both earlier and later segments in EEG sequence [51].

As shown in Fig. 4, the classifier consists of four layers. The first layer takes the brain network characteristics as input. The second is the LSTM layer, which learn the long-term dependencies and compensate for the vanishing gradient. The third is a fully connected layer, which is used to integrate the features extracted by the LSTM layer. It is a linear combination of the output of all LSTM units during the last time step. The function of this layer combines different feature-dynamic information learned from each LSTM unit. The output of this layer is set as the input of softmax function to predict the

healthy status (normal or PI). The fourth is the output layer, producing the recognized healthy status category.

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Fig. 4. Architecture of the Bi-LSTM classifier. The inputs of the classifier are the brain network characteristics. The output of the classifier is the healthy status category (normal or PI) about sleep.

In this paper, the brain network characteristics of each subject were estimated under three connectivity conditions (PCC, PLI, and GC) and the estimation was implemented in the resting state EEG of 20 seconds. Thus, the data of each subject is represented by a matrix of 3×20. The dataset of all subjects are set as the input of the Bi-LSTM classifier. It was divided into the training set and test set. Their ratio was 4:3.

To verify the evaluation effect of the brain network characteristics and expand the test set, the EEG of four additional subjects was collected. The data acquisition condition was the same as that in Section 2.1. All the four subjects are females. Two of the subjects are PI patients (age: 52 and 34, illness duration: 10 and 5 years). The other two subjects are normal individuals (age: 57 and 31). Their network characteristics were used for testing. Therefore, the test set was updated. It had 960 samples and accounted for 50%. The train set had 960 samples and accounted for 50%.

## 356

## **357 3. Results**

## 358 *3.1. Time-frequency analysis*

Figs. 5 and 6 show the WTF diagrams of a healthy control and a PI patient respectively. Their display range is 0-50Hz. The Beta oscillation activities can be observed in both the WTF diagrams of the healthy control and PI patient. The distribution of Beta oscillations is different in the frontal and posterior regions. The PI's activation phenomenon around 20Hz in the posterior regions is more obvious. Hence, the power in Beta band was calculated and further statistical analysis of all subjects was performed.



Fig. 5. Results of time frequency analysis for a healthy control. Electrodes F3, Fz, F4, C3, Cz, C4, P3, Pz, P4, O1, Oz, and O2 distributed in the whole brain are selected to demonstrate the WTF diagrams. Color bar indicates WTF power. Beta activity around 20Hz is relatively

367 active throughout the entire brain.



368

Fig. 6. Results of time frequency analysis for a PI patient. Electrodes F3, Fz, F4, C3, Cz, C4, P3, Pz, P4, O1, Oz, and O2 distributed in the
 whole brain are selected to demonstrate the WTF diagrams. Color bar indicates WTF power. Beta activity around 20Hz is relatively active
 throughout the entire brain.

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Fig. 7 shows the brain topographies of Beta power. The occipital area of the PI patient is active. Compared to the healthy control, the Beta power of PI in occipital area is higher. It reveals the difference in the topographical distribution. Thus, the following statistical analysis for all the subjects was performed on the electrodes in different brain regions.







#### 377

Fig. 7. Brain topographies of Beta power. (a) Brain topography of a healthy control. (b) Brain topography of a PI patient. All the sixty
 electrodes in Fig. 1 are used to demonstrate the topographies. The WTF power is converted to relative power by subtracting the average
 power of all the channels so that the image is centred around zero. Color bar indicates the relative power.

381

Fig. 8 shows the power in Beta band of the two groups. There are differences of Beta power averages between the two groups, but the differences only reflect in some frontal and posterior channels. The Beta power averages of the PI group in the specific channels are higher than those of the healthy control group.

Then, mixed two-way ANOVA was performed for Beta power with groups as the independent variable and derivations (frontal and posterior channels) as the within-subjects variables. As shown in Table 2, there is no significant difference of Beta power between the control and patient groups in frontal and posterior regions. It reveals large deviation among the subjects during resting state.



390

391 Fig. 8. Beta absolute power of normal group and patient group. The x-axis represents channel. The y-axis refers to the absolute power

392 obtained by WTF where the frequency range corresponds to Beta band. The error bars are given in the form of mean ± standard deviation.

394

395

Table 2. ANOVA analysis: insignificant differences in Beta absolute power.

	Group		Derivation		Group by Derivation	
	F	р	F	р	F	р
Frontal regions	1.07	0.3024	0.23	0.9474	0.11	0.9892
Posterior regions	0.55	0.4594	0.74	0.5957	0.18	0.9696

Mixed Group × Derivation ANOVAs. Group: insomnia and control group; Derivation: channels; Frontal regions: Fp1, Fp2, F3, Fz,
 and F4; Posterior regions: P3, Pz, P4, O1, Oz, and O2. The absolute power is obtained by WTF where the frequency range corresponds to
 Beta band.

399

### 400 3.2. Evaluation of functional brain Networks based on PCC

Fig. 9 shows the brain networks constructed by PCC during the resting state of 20 seconds. The number of connections for each network is indicated by the color within the color bar. Compared to the brain networks of healthy control (Fig. 9(a)), the most PI's networks have more links (Fig. 9(b)). The topological structure of the PI's networks is different from the healthy control. Additionally, the structure of the PI's networks is relatively stable throughout the 20-second resting process.





Fig. 9. Brain networks constructed by PCC in the resting state. (a) Healthy control. (b) PI patient. Color bar indicates link number. The EEG electrodes are assigned to the nodes of the brain networks. The connectivity is estimated by PCC and is binarized based on the adaptive threshold technology in Sec. 2.7. If there is a link between two nodes, it means the PCC value exceeds the threshold *T*.

410 Fig. 10 shows the statistical analysis results of characteristics of brain networks constructed by PCC. The blue and red 411 lines represent the healthy control and PI groups respectively. The characteristic path length presents more obvious 412 differentiation degree between groups (Fig. 10(b)), compared to the other two original network characteristics (Figs. 10(a) 413 and (c)). Most the averages of characteristic path length of PI group are smaller than those of healthy control group. Through 414 unpaired two-sample t-test, it reveals statistically significant differences of characteristic path length (p=0.0015) and 415 insignificant differences of clustering coefficient (p=0.3938) and global efficiency (p=0.7042) between groups. It indicates 416 that the characteristic path length of PI group is significantly shorter than that of healthy control group under the condition of 417 PCC connectivity (p < 0.01).



419

Fig. 10. Characteristics of brain networks constructed by PCC in the resting state. (a) Clustering coefficient. (b) Characteristic path length. (c) Global efficiency. (d) Comprehensive index. Clustering coefficient *C*, characteristic path length *L*, global efficiency  $E_{global}$ , and comprehensive index  $\eta$  are calculated by Eqs. (15)-(18) respectively. Their averages and standard errors are plotted. The error bars are given in the form of mean ± standard deviation.

424

Fig. 10(d) shows the proposed comprehensive index of network characteristics under the condition of PCC connectivity. The differentiation degree between groups is approximate to characteristic path length in Fig. 10(b). Most the comprehensive index averages of PI group are larger than those of healthy control group. Through unpaired two-sample *t*-test, it reveals statistically significant differences of comprehensive indices (p=0.0021) between groups. It indicates that the comprehensive index of PI group is significantly bigger than that of healthy control group under the condition of PCC connectivity (p<0.01).

## 431 3.3. Evaluation of functional brain Networks based on PLI

Fig. 11 shows Brain networks constructed by PLI during the resting state of 20 seconds. The PLI networks have wider range of link number variation than PCC networks. Compared to the healthy control (Fig. 11(a)), the PI patient has more dense connectivity in phase domain (Fig. 11(b)). There are also visual differences of topological structure in some networks, e.g. the 18th and 19th networks between the healthy control and PI patient.

(a)



Fig. 11. Brain networks constructed by PLI in the resting state. (a) Healthy control. (b) PI patient. Color bar indicates link number. The EEG electrodes were assigned to the nodes of the brain networks. The connectivity was estimated by PLI and was binarized based on the adaptive threshold technology in Sec. 2.7. If there is a link between two nodes, it means the PLI value exceeds the threshold *T*.

439

Fig. 12 shows the statistical analysis results of characteristics of brain networks constructed by PLI. The blue and red lines represent the healthy control and PI groups respectively. The clustering coefficient (Fig. 12(a)) and global efficiency (Fig.

442 12(c)) present more obvious differentiation degree between groups in the original network characteristics (Figs. 12(a)-(c)). 443 Most the averages of clustering coefficient and global efficiency of PI group are larger than those of healthy control group. 444 Through unpaired two-sample *t*-test, it reveals statistically significant differences of clustering coefficient (p=0.0039) and 445 global efficiency (p=0.0250) and insignificant differences of characteristic path length (p=0.0722) between groups. It 446 indicates that the clustering coefficient (p<0.01) and global efficiency (p<0.05) of PI group are significantly larger than that 447 of healthy control group under the condition of PLI connectivity.

448



449

450 Fig. 12. Characteristics of brain networks constructed by PLI in the resting state. (a) Clustering coefficient. (b) Characteristic path length. 451 (c) Global efficiency. (d) Comprehensive index. Clustering coefficient *C*, characteristic path length *L*, global efficiency  $E_{global}$ , and 452 comprehensive index  $\eta$  are calculated by Eqs. (15)-(18) respectively. Their averages and standard errors are plotted. The error bars are 453 given in the form of mean ± standard deviation.

454

Fig. 12(d) shows the proposed comprehensive index of network characteristics under the condition of PLI connectivity. The differentiation degree between groups is better than global efficiency in Fig. 12(c). Most the comprehensive index averages of PI group are larger than those of healthy control group. Through unpaired two-sample *t*-test, it reveals statistically significant differences of comprehensive indices (p=0.0071) between groups. It indicates that the comprehensive index of PI group is significantly bigger than that of healthy control group under the condition of PLI connectivity (p<0.01).

### 461 3.4. Evaluation of functional brain Networks based on GC

Fig. 13 shows Brain networks constructed by GC during the resting state of 20 seconds. Even though there are some fluctuations (e.g. the 1st, 7th, and 20th networks), most networks of the PI patient (Fig. 13(b)) also has more links than healthy control (Fig. 13(a)). Under the GC condition, the connectivity generally becomes denser.



Fig. 13. Brain networks constructed by GC in the resting state. (a) Healthy control. (b) PI patient. Color bar indicates link number. The EEG electrodes were assigned to the nodes of the brain networks. The connectivity was estimated by GC and was binarized based on the adaptive threshold technology in Sec. 2.7. If there is a link between two nodes, it means the GC value exceeds the threshold *T*.

Fig. 14 shows the statistical analysis results of characteristics of brain networks constructed by GC. The blue and red lines represent the healthy control and PI groups respectively. All the characteristics present differentiation between groups. Most the averages of clustering coefficient and global efficiency of PI group are larger than those of healthy control group. On the contrary, most the averages of characteristic path length of PI group are shorter. Through unpaired two-sample *t*-test, it reveals statistically significant differences of clustering coefficient (p=0.0264), characteristic path length (p=0.0048), and global efficiency (p=0.0077) between groups.

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Fig. 14. Characteristics of brain networks constructed by GC in the resting state. (a) Clustering coefficient. (b) Characteristic path length. (c) Global efficiency. (d) Comprehensive index. Clustering coefficient *C*, characteristic path length *L*, global efficiency  $E_{global}$ , and comprehensive index  $\eta$  are calculated by Eqs. (15)-(18) respectively. Their averages and standard errors are plotted. The error bars are given in the form of mean ± standard deviation.

482

Fig. 14(d) shows the proposed comprehensive index of network characteristics under the condition of GC connectivity. The differentiation degree between groups is approximate to characteristic path length and global efficiency in Figs. 14(b) and (c). Most the comprehensive index averages of PI group are larger than those of healthy control group. Through unpaired two-sample *t*-test, it reveals statistically significant differences of comprehensive indices (p=0.0142) between groups. It indicates that the comprehensive index of PI group is significantly larger than that of healthy control group under the condition of PLI connectivity (p<0.05). The comparison of the above network evaluation methods is presented in Table 3. Compared to the original network characteristics, the proposed comprehensive index can effectively distinguish PI and healthy control, no matter which connectivity estimation method was used. For the original network characteristics, the results of statistical analysis are different choosing different connectivity-estimated methods to construct brain networks. All of these network characteristics reveal significant differences between groups only when the connectivity was estimated by GC. It illustrates that it helps to improve the consistency of results when considering information flow based on the theory of causality.

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- 496

Table 3.	Brain	network	charact	eristics.
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	Clustering	Characteristic Path	Global Efficiency	Proposed Index
	Coefficient	Length		
PCC	0.3938	0.0015	0.7042	0.0021
PLI	0.0039	0.0722	0.0250	0.0071
GC	0.0264	0.0048	0.0077	0.0142

497 Unpaired two-sample *t*-test is performed to compare the evaluation effects of different brain networks. *p* value in bold indicates significant
 498 difference between groups.

## 499 3.5. Classification based on brain network characteristics

Figs. 15 and 16 show the differences results of independent test set of four additional subjects. The number of connections for each network is indicated by the color within the color bar. As shown in Fig 15, the most PI's networks still have more links than the normal networks during the resting state of 20 seconds. The topological structure of the PI's networks is different from the healthy control. The dynamic regional blocks in Fig. 15 (a) are not observed in the PI's networks.

(a)



Fig. 15. Brain networks constructed by PCC in independent test set. (a) Normal individual. (b) PI patient. Color bar indicates link number.
 The EEG electrodes are assigned to the nodes of the brain networks. The connectivity is estimated by PCC and is binarized based on the adaptive threshold technology in Sec. 2.7. If there is a link between two nodes, it means the PCC value exceeds the threshold *T*.

508

Fig. 16 shows the characteristics of brain networks constructed by PCC. The blue and red lines represent the healthy and PI subjects respectively. They are distributed around different baselines. As shown in Fig. 16(d), the most points on the curves of the PI comprehensive index are above those of healthy subjects. It verifies that the comprehensive index of PI is bigger than that of healthy individual with fluctuations.



Fig. 16. Characteristics of brain networks constructed by PCC in independent test set. (a) Clustering coefficient. (b) Characteristic path length. (c) Global efficiency. (d) Comprehensive index. Clustering coefficient *C*, characteristic path length *L*, global efficiency  $E_{global}$ , and comprehensive index  $\eta$  are calculated by Eqs. (15)-(18) respectively. Their averages and standard errors are plotted. The error bars are given in the form of mean ± standard deviation.

520 The classification accuracy of the different network characteristics in the whole test set is presented in Table 4. It can be 521 seen that the proposed comprehensive index achieves the best results. The accuracy and sensitivity of the proposed 522 comprehensive index outperform the original network characteristics.

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	Clustering	Characteristic Path	Global Efficiency	Proposed Index
	Coefficient	Length		
Accuracy (%)	35	80	60	85
Sensitivity (%)	40	80	20	90
Specificity (%)	30	80	100	80

Table 4. Bi-LSTM classification results.

## 525

The classification confusion matrices intuitively reflect the classification performance of the model for different classes of healthy status. Fig. 17 shows the confusion matrices in the whole test set trained by the different network characteristics. True label represents the actual healthy status. Predicted label represents the predicted healthy status of the classifier. Percentage indicates the output label ratio. Hence, the diagonal and non-diagonal lines respectively represent the classification accuracy and misclassification ratios of each status. The proposed comprehensive index has a high accuracy for two classes of healthy status (normal and PI), reaching 80% and 90%, respectively.

532



Fig. 17. Confusion matrices of classification in the whole test set. (a) Clustering coefficient. (b) Characteristic path length. (c) Global efficiency. (d) Comprehensive index. Clustering coefficient *C*, characteristic path length *L*, global efficiency  $E_{global}$ , and comprehensive index  $\eta$  are calculated by Eqs. (15)-(18) respectively. True label represents the actual healthy status. Predicted label represents the predicted healthy status of the classifier. Percentage indicates the output label ratio.

#### 540 **4.** Discussion

538 539

541 According to some researchers, insomnia is closely related to high frequency power. The elevated Beta wave activity has 542 been reported to be associated with high cortical arousal in PI patients [10, 11, 52, 53]. However, these studies focused on 543 the EEG analysis of sleep stage. As argued by Corsi-Cabrera et al. [9], PI arousal effect also correlates with acquisition time 544 and other factors. In this study, we did not get the result that the Beta power of PI was significantly higher than that of 545 healthy control in the resting-state based on the traditional time-frequency analysis (see Fig. 8 and Table 2). From the 546 perspective of isolated EEG activity in each brain area, the reason may be that the EEG data in this paper were all from the 547 awake moment, and the brain was dominated by high frequency EEG activities such as Beta wave during this moment, 548 which may lead to less obvious differences between the two groups. Although Beta power averages of the PI group in the 549 specific channels are higher than those of the healthy control group (see Fig. 8) and the topographical distribution is different 550 (see Fig. 7), no significant difference is revealed between group in the specific channels and localized sub-regions (frontal 551 and posterior regions). Hence, PI may cause global disorder rather than just affecting local functional systems. Since the PI 552 patients are often accompanied by the phenomenon of insufficient energy during the day [1], we believe the information of 553 arousal effect is still involved in the PI group, but the time-frequency analysis implemented in each channel is not enough to 554 reveal it. To extract and quantify the arousal features of PI, the connectivity was further estimated considering spatial 555 interaction information.

556 Based on graph theory, PCC, PLI and GC were used to construct functional brain networks. They reflect spatial 557 interaction information of different dimensions. As mentioned above, PCC represents an empirical characterization of the 558 temporal relationship between regions. PLI is calculated from the asymmetry of the distribution of instantaneous signal 559 phase differences between regions. GC embodies a spontaneous information flow and causal influence between regions. As 560 shown in Figs. 9, 11, and 13, the brain networks of the PI and healthy individuals present differences of density and topology 561 of connections under the three connectivity estimation methods. Overall, the PI networks have the denser links and their 562 connectivity is stronger. Additionally, this visualized feature is relatively stable during the 20s resting state. Thus, these 563 results are more reliable. They do not depend on the connectivity estimation methods.

564 The "small-world" measurements allow one to assess the robustness of topological features of the brain after undergoing 565 trait- or state-like changes [53]. The common measures are clustering coefficient, characteristic path length, and global 566 efficiency. In order to implement them and express the topology clearly, the networks need to be binarized. Only those 567 elements of the adjacency matrix above a threshold indicate the existence of a connection between each pair of electrodes 568 [14]. Obviously, the larger the threshold, the fewer the number of network connections and the fewer spurious edges. 569 Nevertheless, when the threshold is too lager, isolated nodes will appear. There is no path to reach these nodes. The 570 characteristic path length will be infinite. Therefore, choosing a reasonable threshold is crucial. In the this study, an adaptive 571 threshold technology was introduced to conduct the binarization of brain networks. The threshold it determines is the 572 maximum which makes the binarized networks belong to the connected graph. This minimize the number of spurious links 573 while preventing the appearance of isolated nodes. Since the threshold is automatically determined, the data-driven method 574 avoids the subjectivity and arbitrariness in manually selecting the threshold and facilitates the subsequent comparison of 575 different network characteristics.

To quantitatively evaluate the global connectivity, the comprehensive index of network characteristics was calculated and compared with the original network characteristics. As shown in Figs. 10, 12, and 14, the proposed comprehensive index can distinguish between healthy control and PI groups, no matter which connectivity estimation method is used. The statistical analysis results revealed significant differences between groups under PCC (p=0.0021), PLI (p=0.0071), and GC (p=0.0142) conditions. In contrast, the results of the original network characteristics did not present statistical significance under every connectivity estimation condition, which might influence the result interpretation. Hence, the proposed comprehensive index has stronger generalization ability than the original network characteristics themselves.

583 The significantly larger comprehensive index of PI groups indicates strong "small-world" attributes of networks. It has 584 bigger clustering coefficient, higher global efficiency, or shorter characteristic path length. It is believed that the 585 small-worldness reflects the brain's ability to efficiently integrate information [14]. Small world network is known as a 586 network with high efficiency and low cost simultaneously. It has been proven that brain has a structure of small-worldness 587 network, which makes it efficient in speedy information transmission [54]. The loss of "small-world" characteristics occur 588 under conditions of reduced consciousness [55] and brain disorder like Alzheimer's disease [56-58]. Correspondingly, this 589 paper shows that too strong "small-world" characteristics is also harmful and related to brain disorder. Obviously, the 590 stronger "small-world" characteristics of PI do not represent the stronger brain's ability than healthy control, but bring 591 over-connectivity (see Figs. 9, 11, and 13). All the participants of PI group are recruited in the hospital. As recorded by PSQI 592 and ISI, they are suffering from sleep disorders. Therefore, from another perspective, the results imply that the speedy 593 information transmission of over-connectivity consumes more resources in the resting state. The brain with PI is difficult to 594 achieve normal inhibition. This may be the cause of sleep disorders. Another asset that this paper brings is that the 595 resting-state analysis is performed for the 20-second EEG. It exhibits a potential for rapid diagnosis in clinical practice. The 596 network characteristics were input the Bi-LSTM classifier to judge the healthy status of a new subject automatically. As 597 shown in Table 4 and Fig. 17, the classification accuracy of the proposed comprehensive index outperforms the original 598 network characteristics. It is consistent with the evaluation performance of the proposed index. The PI patients' index is 599 higher than health controls with statistical significance (p < 0.05). The proposed comprehensive index has a high accuracy for 600 two classes of healthy status (normal and PI), reaching 80% and 90%, respectively. The sensitivity is also 90%. It indicates 601 the missed diagnosis rate is relatively low. Compared with the original network characteristics, the proposed index is more 602 sensitive to PI information. The future work will focus on exploration of relevant large scale datasets and optimized deep 603 learning model to realize more accurate and reliable diagnosis and judgment for the state of disease progression.

604

## 605 5. Conclusion

In this paper, we presented a EEG-based quantitative analysis method of whole-brain connectivity in the resting state. With the network establishment, adaptive threshold technology and comprehensive index, it was proved that the hyperarousal information of PI could be mined not only in the sleep stages, but also in the resting state. The comprehensive index showed more versatility and stability performance than the original network characteristics under the connectivity estimations from different dimensions (PCC, PLI, and GC). The "small-world" features of PI were significantly stronger than healthy control, consistent with the arousal effect found by the previous studies during sleep. The characterization and quantization of over-connectivity in the 20s resting state is helpful for PI rapid diagnosis. 613

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- 618 **Conflicts of Interest:** The authors declare no conflict of interest.
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