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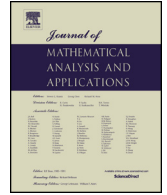
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## Regular Articles

# Determining an unbounded potential for an elliptic equation with a power type nonlinearity



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### ABSTRACT

In this article we focus on inverse problems for a semilinear elliptic equation. We show that a potential  $q$  in  $L^{n/2+\varepsilon}$ ,  $\varepsilon > 0$ , can be determined from the full and partial Dirichlet-to-Neumann map. This extends the results from [20] where this is shown for Hölder continuous potentials. Also we show that when the Dirichlet-to-Neumann map is restricted to one point on the boundary, it is possible to determine a potential  $q$  in  $L^{n+\varepsilon}$ . The authors of [25] proved this to be true for Hölder continuous potentials.

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## 1. Introduction

In this paper we consider an inverse problem of determining a potential in  $L^{\frac{n}{2}+\varepsilon}$ , for positive  $\varepsilon$ , from the Dirichlet-to-Neumann (DN) map related to the boundary value problem for a semilinear elliptic equation

$$\begin{cases} \Delta u + qu^m = 0, & \text{in } \Omega \\ u = f, & \text{on } \partial\Omega, \end{cases} \tag{1.1}$$

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where  $m \geq 2$ ,  $m \in \mathbb{N}$ , and  $\Omega \subset \mathbb{R}^n$  open and bounded. This boundary value problem is well posed for  $q \in L^{\frac{n}{2}+\varepsilon}(\Omega)$  and a certain class of boundary values. In fact we show that there is  $\delta > 0$  such that for all (see [21] for Sobolev spaces)

$$f \in U_\delta := \{h \in W^{2-\frac{1}{p},p}(\partial\Omega) : \|h\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} < \delta\}$$

there exists a unique small solution  $u \in W^{2,p}(\Omega)$  with sufficiently small norm. Here and in the rest of this article, we denote  $p := \frac{n}{2} + \varepsilon$ . Thus the DN map can be defined as

$$\Lambda_q : U_\delta \rightarrow W^{1-\frac{1}{p},p}(\partial\Omega), \quad f \mapsto \partial_\nu u_f|_{\partial\Omega}.$$

Our first main result shows that we can determine the potential from the knowledge of the DN map.

**Theorem 1.1.** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , be a bounded open set with  $C^\infty$  boundary,  $\varepsilon > 0$  and  $q_1, q_2 \in L^{\frac{n}{2}+\varepsilon}(\Omega)$ . Let  $\Lambda_{q_j}$  be the DN maps associated to the boundary value problems*

$$\begin{cases} \Delta u + q_j u^m = 0, & \text{in } \Omega \\ u = f, & \text{on } \partial\Omega, \end{cases} \quad (1.2)$$

for  $j = 1, 2$ , and assume that  $\Lambda_{q_1} f = \Lambda_{q_2} f$  for all  $f \in U_\delta$  with  $\delta > 0$  sufficiently small. Then  $q_1 = q_2$  in  $\Omega$ .

This result is a special case of Theorem 1.2 but we give a proof because it is helpful for the other two main theorems of this paper. Also the proof of Theorem 1.1 gives a reconstruction formula for the potential  $q$  via the Fourier transform (see Corollary 3.1).

The proof Theorem 1.1 is quite similar as in [19] and it uses the method of higher order linearization first introduced in [18] and further developed in the works [9], [19]. The key ingredient in this proof is the following integral identity which characterizes the  $m$ -th order linearization of the DN map  $(D^m \Lambda_q)_0$  at 0 [19, Proposition 2.2]:

$$\int_{\partial\Omega} (D^m \Lambda_{q_1} - D^m \Lambda_{q_2})_0(f_1, \dots, f_m) f_{m+1} dS = -(m!) \int_{\Omega} (q_1 - q_2) v_{f_1} \cdots v_{f_{m+1}} dx. \quad (1.3)$$

Here  $v_{f_k}$  are solutions to  $\Delta v_{f_k} = 0$  with boundary values  $v_{f_k}|_{\partial\Omega} = f_k$ . Using this integral identity together with a result on density of products of solutions eventually gives  $q_1 = q_2$  in  $\Omega$ .

Theorem 1.1 has been proved for Hölder continuous potentials in [9] and [19] but in this article we give a first result for a less regular potential (at least to the best of our knowledge). The difference is in proving that (1.2) is well-posed when the potential is in  $L^p(\Omega)$  and defining the DN map as a map from  $U_\delta$  to  $W^{1-\frac{1}{p},p}(\partial\Omega)$ .

In the linear case  $(\Delta + q)u = 0$ , when  $n \geq 3$ , a similar result for  $q \in L^{\frac{n}{2}}(\Omega)$  has been obtained in the works [23], [6] and in a more general Riemannian manifold setting in [8], where they used  $L^p$  Carleman estimates in their proof. The case  $q \in L^{\frac{n}{2}}(\Omega)$  is considered optimal in the sense of standard well-posedness theory and for the strong unique continuation principle [15]. There are also results when one assumes that  $q \in W^{-1,n}(\Omega)$ , see for example [11]. When  $n = 2$  the lowest regularity for the potential to have uniqueness in the inverse problem, at least to the best of our knowledge, is  $L^{\frac{4}{3}}(\Omega)$  [3]. The same result is true on compact Riemannian surfaces with smooth boundary [22]. In dimension two the unique continuation principle holds for potentials in  $L^p(\Omega)$  where  $p > 1$  (see for example [1], [2]).

In addition to the full data case, we consider some partial data results for the Schrödinger equation with unbounded potentials. In particular, let  $\Gamma$  be an open subset of the boundary  $\partial\Omega$ . Define the partial Dirichlet-to-Neumann map for  $f \in U_\delta$ ,  $\text{spt}(f) \subset \Gamma$ , as

$$\Lambda_q^\Gamma f = \partial_\nu u|_\Gamma.$$

Then from the knowledge of this partial DN map it is possible to determine the potential.

**Theorem 1.2.** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , be a connected open and bounded set with  $C^\infty$  boundary and let  $\Gamma \neq \emptyset$  be an open subset of the boundary  $\partial\Omega$ . Let  $\varepsilon > 0$ ,  $q_1, q_2 \in L^{\frac{n}{2}+\varepsilon}(\Omega)$  and  $\Lambda_{q_j}^\Gamma$  be the partial DN maps associated to the boundary value problems*

$$\begin{cases} \Delta u + q_j u^m = 0, & \text{in } \Omega \\ u = 0, & \text{on } \partial\Omega \setminus \Gamma \\ u = f, & \text{on } \Gamma \end{cases}$$

for  $j = 1, 2$ . Assume that

$$\Lambda_{q_1}^\Gamma f = \Lambda_{q_2}^\Gamma f$$

for all  $f \in U_\delta$  with  $\text{spt}(f) \subset \Gamma$ , where  $\delta > 0$  sufficiently small. Then  $q_1 = q_2$  in  $\Omega$ .

When the potentials are assumed to be Hölder continuous, then this theorem has been proved in [17] and [20] using the method of higher order linearization, which we will also use. Here again the key ingredients are the integral identity (1.3) and a density result for solutions of the Laplacian [25] (see also [5, Section 4]).

For the linear Schrödinger equation, partial data results with unbounded potentials have been proved only for special cases of partial data. When  $n \geq 3$ , it is proved in [7] that from the knowledge of the partial DN map in a specific situation it is possible to determine a potential in  $L^{\frac{n}{2}}(\Omega)$ . The authors use a method involving the construction of a Dirichlet Green’s function for the conjugated Laplacian. In a similar situation on a manifold setting, [26] shows that a potential in  $L^{\frac{n}{2}}$  can be determined from a particular case of partial data. When  $n = 2$  the best known result for the case of an arbitrary open subset of the boundary is for potentials in the Sobolev space  $W^{1,p}(\Omega)$ , for  $p > 2$  [14].

For partial data results, there is still the case when we are restricted to only one point on the boundary. In the situation of  $\Delta u + qu^m$  with the potential  $q$  in  $C^\alpha(\bar{\Omega})$  this has been proved in [25] using the method of higher order linearization. Here we show that the same result holds even if we only assume that  $q \in L^{n+\varepsilon}(\Omega)$  for a positive  $\varepsilon$ .

**Theorem 1.3.** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , be a connected open and bounded set with  $C^\infty$  boundary and let  $\Gamma \neq \emptyset$  be an open subset of the boundary  $\partial\Omega$ . Suppose that  $\mu \neq 0$  is a fixed measure on  $\partial\Omega$  and let  $\varepsilon > 0$ . Assume that  $q_1, q_2 \in L^{n+\varepsilon}(\Omega)$  satisfy*

$$\int_{\partial\Omega} \Lambda_{q_1}(f) \, d\mu = \int_{\partial\Omega} \Lambda_{q_2}(f) \, d\mu \tag{1.4}$$

for all  $f \in U_\delta$  with  $\text{spt}(f) \subset \Gamma$ , where  $\delta > 0$  sufficiently small. Then  $q_1 = q_2$  in  $\Omega$ . Thus when choosing  $\mu = \delta_{x_0}$  for some fixed  $x_0 \in \partial\Omega$  the condition

$$\Lambda_{q_1}(f)(x_0) = \Lambda_{q_2}(f)(x_0) \quad \text{for all } f \in U_\delta \text{ with } \text{spt}(f) \subset \Gamma$$

gives  $q_1 = q_2$  in  $\Omega$ .

The proof of this theorem is very similar to the one in [25] and it uses heavily the identity (1.3) and a density result for solutions of the Laplacian [25].

It is an interesting question if in Theorems 1.1 and 1.2 it is enough to assume the potential  $q$  to be in  $L^{\frac{n}{2}}(\Omega)$  and if in Theorem 1.3 the potential  $q$  could be in  $L^s(\Omega)$  for  $s = n$  or even  $s < n$ . The argument given for Theorems 1.1 and 1.2 fails when  $q \in L^{\frac{n}{2}}(\Omega)$  since the well-posedness (Theorem 2.1) relies on Sobolev embedding theorems that fail for the exponent  $\frac{n}{2}$ . For Theorem 1.3 the restriction to  $s > n$  comes from Lemma 5.1 and that we again use Sobolev embedding theorems that do not work for the exponent  $n$  or exponents less than  $n$ .

The rest of this paper is organized as follows. In section 2 we prove the well-posedness of the boundary value problem (1.1). In sections 3 to 5 the proofs for Theorems 1.1, 1.2 and 1.3 are given.

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## 2. Well-posedness

A short reminder for the reader that we denote here and in the rest of this article  $p := \frac{n}{2} + \varepsilon$ .

**Theorem 2.1.** (Well-posedness) *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , be a bounded open set with  $C^\infty$  boundary,  $\varepsilon > 0$  and let  $q \in L^p(\Omega)$ . Then there exist  $\delta, C > 0$  such that for any*

$$f \in U_\delta := \{h \in W^{2-\frac{1}{p},p}(\partial\Omega) : \|h\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} < \delta\},$$

*there is a unique small solution  $u_f$  in the class  $\{v \in W^{2,p}(\Omega) : \|v\|_{W^{2,p}(\Omega)} \leq C\delta\}$  of the boundary value problem*

$$\begin{cases} \Delta u + qu^m = 0, & \text{in } \Omega \\ u = f, & \text{on } \partial\Omega, \end{cases} \quad (2.1)$$

*where  $m \in \mathbb{N}$  and  $m \geq 2$ . Moreover*

$$\|u\|_{W^{2,p}(\Omega)} \leq C\|f\|_{W^{2-\frac{1}{p},p}(\partial\Omega)},$$

*and there are  $C^\infty$  maps*

$$\begin{aligned} S: U_\delta &\rightarrow W^{2,p}(\Omega), & f &\mapsto u_f, \\ \Lambda_q: U_\delta &\rightarrow W^{1-\frac{1}{p},p}(\partial\Omega), & f &\mapsto \partial_\nu u_f|_{\partial\Omega}. \end{aligned}$$

The proof uses the implicit function theorem between Banach spaces [24, Theorem 10.6 and Remark 10.5] and is very similar to the one in [19, Proposition 2.1]. The difference here is that we replace Hölder spaces with Sobolev spaces and one needs to be careful with various embeddings for these spaces.

**Proof.** Let

$$X = W^{2-\frac{1}{p},p}(\partial\Omega), \quad Y = W^{2,p}(\Omega), \quad Z = L^p(\Omega) \times W^{2-\frac{1}{p},p}(\partial\Omega)$$

and  $F: X \times Y \rightarrow Z$ ,

$$F(f, u) = (Q(u), u|_{\partial\Omega} - f),$$

where  $Q(u) = \Delta u + qu^m$ . Let us now show that  $F$  has the claimed mapping property. Since  $u \in W^{2,p}(\Omega)$ , this implies that  $u|_{\partial\Omega} \in W^{2-\frac{1}{p},p}(\partial\Omega)$  (see [21]) and  $\Delta u \in L^p(\Omega)$ . Hence we need to show that the term  $qu^m \in L^p(\Omega)$ . Since  $2(\frac{n}{2} + \varepsilon) > n$ , then by the Sobolev embedding theorem [21]  $u \in C^{0,\alpha}(\bar{\Omega})$ , for  $0 < \alpha < 1$ , which is a subset of  $L^s(\Omega)$  for every  $1 \leq s \leq \infty$ . Now this implies

$$\|qu^m\|_{L^p(\Omega)} \leq \|q\|_{L^p(\Omega)} \|u^m\|_{L^\infty(\Omega)} \leq \|q\|_{L^p(\Omega)} (\|u\|_{L^\infty(\Omega)})^m < \infty$$

and thus  $qu^m \in L^p(\Omega)$ . Hence  $F$  has the claimed mapping property.

Next we want to show that  $F$  is a  $C^\infty$  mapping. Since  $u \mapsto \Delta u$  is a linear map  $W^{2,p}(\Omega) \rightarrow L^p(\Omega)$ , it is enough to show that  $u \mapsto qu^m$  is a  $C^\infty$  map  $W^{2,p}(\Omega) \rightarrow L^p(\Omega)$ . This follows since  $u^m$  is a polynomial. More precisely, let  $u, v \in W^{2,p}(\Omega)$  and use the Taylor formula:

$$\begin{aligned} q(u+v)^m &= \sum_{j=0}^m \frac{\partial_u^j(qu^m)}{j!} v^j + \int_0^1 \frac{\partial_u^{m+1}(q(u+tv)^m)}{m!} v^{m+1}(1-t) dt \\ &= \sum_{j=0}^m \frac{\partial_u^j(qu^m)}{j!} v^j. \end{aligned}$$

Now for  $\|v\|_{W^{2,p}(\Omega)} \leq 1$  the above gives

$$\left\| q(u+v)^m - \sum_{j=0}^m \frac{\partial_u^j(qu^m)}{j!} v^j \right\|_{L^p(\Omega)} = 0 \leq \|v\|_{W^{2,p}(\Omega)}^{k+1}$$

and thus the map  $u \mapsto q(x)u^m$  is  $C^k$  (in the sense of [24, Definition 10.2]) for all  $k \in \mathbb{N}$ . Hence it is a  $C^\infty$  map and  $F$  is also  $C^\infty$ .

Our aim is to use the implicit function theorem for Banach spaces to get a unique solution for the boundary value problem (2.1). Firstly, the linearization of  $F$  at  $(0, 0)$  in the second variable is

$$D_u F|_{(0,0)}(v) = (\Delta v, v|_{\partial\Omega}),$$

which is linear and also  $F(0,0) = 0$ . Secondly,  $D_u F|_{(0,0)} : Y \rightarrow Z$  is a homeomorphism. To see this, let  $(\phi, g) \in Z$  and consider the boundary value problem

$$\begin{cases} \Delta v = \phi, & \text{in } \Omega \\ v = g, & \text{on } \partial\Omega. \end{cases}$$

This problem has a unique solution for each pair  $(\phi, g)$  (see for example [10, Theorem 9.15]), and thus  $D_u F|_{(0,0)}$  is bijective. We also have the estimate

$$\|D_u F|_{(0,0)}(v)\|_Z^2 = \|\Delta v\|_{L^p(\Omega)}^2 + \|v|_{\partial\Omega}\|_{W^{2-\frac{1}{p},p}(\partial\Omega)}^2 \leq M \|v\|_{W^{2,p}(\Omega)}^2,$$

because the trace operator from  $W^{2,p}(\Omega)$  to  $W^{2-\frac{1}{p},p}(\partial\Omega)$  is bounded (see [21]). Hence  $D_u F|_{(0,0)}$  is also bounded and then the open mapping theorem (see e.g. [24, Theorem 8.33]) tells us that it is also a homeomorphism.

Now by the implicit function theorem [24, Theorem 10.6] there exists  $\delta > 0$ , a neighborhood  $U_\delta = B(0, \delta) \subset X$  and a  $C^\infty$  map  $S : U_\delta \rightarrow Y$  such that  $F(f, S(f)) = 0$  for  $\|f\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} \leq \delta$ . Now  $S$  is also Lipschitz continuous,  $S(0) = 0, S(f) = u$  and thus we have

$$\|u\|_{W^{2,p}(\Omega)} \leq C \|f\|_{W^{2-\frac{1}{p},p}(\partial\Omega)}$$

for  $C > 0$ . By redefining  $\delta$  if necessary we have the estimates  $\|f\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} \leq \delta$ ,  $\|u\|_{W^{2,p}(\Omega)} \leq C\delta$  and the implicit function theorem gives that  $u$  is the unique small solution of  $F(f, u) = 0$ . Also the solution operator  $S: U_\delta \rightarrow W^{2,p}(\Omega)$  is a  $C^\infty$  map. Because  $u \in W^{2,p}(\Omega)$ , then  $\nabla u \in W^{1,p}(\Omega)$ . The trace operator is a bounded linear map from  $W^{1,p}(\Omega)$  to  $W^{1-\frac{1}{p},p}(\partial\Omega)$  (see [21]) and thus  $\partial_\nu u \in W^{1-\frac{1}{p},p}(\partial\Omega)$  is defined almost everywhere on  $\partial\Omega$ . Hence  $\Lambda_q$  is a well defined  $C^\infty$  map between  $U_\delta$  and  $W^{1-\frac{1}{p},p}(\partial\Omega)$ .  $\square$

**Remark 2.2.** In the previous proof, we showed that the mapping  $D_u F|_{(0,0)}$  is bijective and bounded and deduced that it is a homeomorphism. An alternative way to see this is to look at the inverse map  $(D_u F|_{(0,0)})^{-1}: Z \rightarrow Y$  and show that it is bijective and bounded. In order to do this, one needs to prove the following estimate:

$$\|v\|_{W^{2,p}(\Omega)} \leq C \left( \|\phi\|_{L^p(\Omega)} + \|g\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} \right),$$

where  $C > 0$  does not depend on  $v$ ,  $\phi$  and  $g$ . This can be done for example by combining the estimate

$$\|v\|_{W^{2,p}(\Omega)} \leq C \left( \|\phi\|_{L^p(\Omega)} + \|g\|_{W^{2-\frac{1}{p},p}(\partial\Omega)} + \|v\|_{L^p(\Omega)} \right)$$

from [27, Theorem 9.1.3] with the assumption that 0 is not a Dirichlet eigenvalue and using a compactness argument.

### 3. Proof of Theorem 1.1

Using the method of higher order linearization we prove that it is possible to determine a potential in  $L^p(\Omega)$  from the knowledge of full DN map.

**Proof of Theorem 1.1.** Let  $\lambda_1, \dots, \lambda_m$  be sufficiently small numbers,  $\lambda = (\lambda_1, \dots, \lambda_m)$  and  $f_1, \dots, f_m \in W^{2-\frac{1}{p},p}(\partial\Omega)$ . Let  $u_j(x, \lambda) \in W^{2,p}(\Omega)$  be the unique small solution to

$$\begin{cases} \Delta u_j + q_j u_j^m = 0, & \text{in } \Omega \\ u_j = \sum_{k=1}^m \lambda_k f_k, & \text{on } \partial\Omega. \end{cases} \quad (3.1)$$

Differentiating this with respect to  $\lambda_l$ ,  $l \in \{1, \dots, m\}$  (possible by Theorem 2.1 which shows that  $S$  is a  $C^\infty$  map) and setting  $\lambda = 0$  gives that  $v_j^l := \partial_{\lambda_l} u_j(x, \lambda)|_{\lambda=0}$  satisfies

$$\begin{cases} \Delta v_j^l = 0, & \text{in } \Omega \\ v_j^l = f_l, & \text{on } \partial\Omega. \end{cases} \quad (3.2)$$

This has a unique solution in  $W^{2,p}(\Omega)$  (see for example [10, Theorem 9.15]) and thus we can define  $v^l := v_1^l = v_2^l$ . Also the first linearizations of the DN maps  $\Lambda_{q_j}$  are the DN maps of the Laplace equation.

Let  $1 < a \leq m - 1$  be an integer and  $l_1, \dots, l_a \in \{1, \dots, m\}$ . Then the  $a$ -th order linearization of (3.1) is

$$\begin{cases} \Delta(\partial_{\lambda_{l_1}} \cdots \partial_{\lambda_{l_a}} u_j(x, \lambda)|_{\lambda=0}) = 0, & \text{in } \Omega \\ \partial_{\lambda_{l_1}} \cdots \partial_{\lambda_{l_a}} u_j(x, \lambda)|_{\lambda=0} = 0, & \text{on } \partial\Omega, \end{cases}$$

and uniqueness of solutions for the Laplace equation gives that 0 is the only solution. Thus the  $a$ -th order linearizations of the DN maps  $\Lambda_{q_j}$  are equal to 0.

Moving to the  $m$ -th order linearization, we apply  $\partial_{\lambda_1} \cdots \partial_{\lambda_m}|_{\lambda=0}$  to (3.1) which results in the boundary value problem

$$\begin{cases} \Delta w_j = -m!q_j \prod_{k=1}^m v^k, & \text{in } \Omega \\ w_j = 0, & \text{on } \partial\Omega. \end{cases} \tag{3.3}$$

Here  $w_j = \partial_{\lambda_1} \cdots \partial_{\lambda_m} u_j(x, \lambda)|_{\lambda=0}$  and the functions  $v^k, k \in \{1, \dots, m\}$ , are solutions to equation (3.2) with corresponding boundary values  $f_k$ . On the left hand side of (3.3) we are only left with a product of functions  $v^k$ , since after differentiating (3.1)  $m$  times with respect to  $\varepsilon$ , all other terms involve a positive power of  $u_j$ . Proposition 2.1 says that the solution  $u_j$  depends smoothly on  $\varepsilon$  and thus when evaluating at  $\varepsilon = 0$ , the function  $u_j$  vanishes.

By our assumptions we have that  $\Lambda_{q_1}(\sum_{k=1}^m \lambda_k f_k) = \Lambda_{q_2}(\sum_{k=1}^m \lambda_k f_k)$  and thus  $\partial_\nu u_1|_{\partial\Omega} = \partial_\nu u_2|_{\partial\Omega}$ . Applying  $\partial_{\lambda_1} \cdots \partial_{\lambda_m}|_{\lambda=0}$  to this gives  $\partial_\nu w_1|_{\partial\Omega} = \partial_\nu w_2|_{\partial\Omega}$ . Subtracting (3.3) for  $j = 1, 2$  and integrating against  $v \equiv 1$  (a solution of (3.2)) over  $\Omega$  implies

$$\int_{\Omega} m!(q_1 - q_2) \prod_{k=1}^m v^k \, dx = - \int_{\Omega} \Delta(w_1 - w_2) \, dx = - \int_{\partial\Omega} \partial_\nu(w_1 - w_2) \, dS = 0. \tag{3.4}$$

Let us now choose  $v^1, v^2$  to be the Calderón’s exponential solutions [4]

$$v^1(x) := e^{(\eta+i\xi)\cdot x}, \quad v^2(x) := e^{(-\eta+i\xi)\cdot x}, \tag{3.5}$$

where  $\eta, \xi \in \mathbb{R}^n, \eta \perp \xi$  and  $|\eta| = |\xi|$ , and  $v^k \equiv 1$  for  $k = 3, \dots, m$ . Then we get that the Fourier transform of the difference  $q_1 - q_2$  at  $-2\xi$  vanishes. Thus  $q_1 = q_2$  since  $\xi$  was arbitrary.  $\square$

Notice that this proof gives a reconstruction formula for the potential. In particular, inspecting the last lines after equation (3.4) we have the following result which reconstructs the potential  $q$  via its Fourier transform.

**Corollary 3.1.** *Let  $\Omega \subset \mathbb{R}^n, n \geq 2$ , be a bounded open set with  $C^\infty$  boundary,  $\varepsilon > 0$  and  $q \in L^p(\Omega)$ . Let  $\Lambda_q$  be the DN map associated to the boundary value problem*

$$\begin{cases} \Delta u + qu^m = 0, & \text{in } \Omega \\ u = f, & \text{on } \partial\Omega. \end{cases}$$

*Then, denoting  $\lambda = (\lambda_1, \dots, \lambda_m)$ ,*

$$\hat{q}(-2\xi) = -\frac{1}{m!} \int_{\partial\Omega} \frac{\partial^m}{\partial \lambda_1 \cdots \partial \lambda_m} \Big|_{\lambda=0} \Lambda_q \left( \sum_{k=1}^m \lambda_k f_k \right) \, dS,$$

*where  $f_1, f_2$  are the boundary values of Calderón’s exponential solutions (3.5),  $f_k \equiv 1$  for  $3 \leq k \leq m$  and  $\hat{q}$  is the Fourier transform of  $q$ .*

**4. Proof of Theorem 1.2**

We prove the partial data result for determining a potential in  $L^p(\Omega)$  by using higher order linearization. The proof uses similar techniques as in [17] and [20].



**Proof of Theorem 1.2.** Let  $\lambda_1, \dots, \lambda_m$  be sufficiently small numbers,  $\lambda = (\lambda_1, \dots, \lambda_m)$  and  $f_1, \dots, f_m \in W^{2-\frac{1}{p}, p}(\partial\Omega)$  with  $\text{spt}(f) \subset \Gamma$ . Let  $u_j(x, \lambda) \in W^{2,p}(\Omega)$  be the unique small solution to

$$\begin{cases} \Delta u_j + q_j u_j^m = 0, & \text{in } \Omega \\ u_j = \sum_{k=1}^m \lambda_k f_k, & \text{on } \partial\Omega. \end{cases}$$

The first and  $m$ -th order linearizations are the same as in the proof of Theorem 1.1, with corresponding boundary values. We also define  $v^l := v_1^l = v_2^l$  by uniqueness of solutions to (3.2). Let  $v^{(0)}$  be the solution to

$$\begin{cases} \Delta v^{(0)} = 0, & \text{in } \Omega \\ v^{(0)} = 0, & \text{on } \partial\Omega \setminus \Gamma \\ v^{(0)} = g, & \text{on } \Gamma, \end{cases}$$

where  $g \in C_c^\infty(\Gamma)$  with  $g$  non-negative and not identically zero. By the maximum principle,  $v^{(0)} > 0$  in  $\Omega$ . Then subtracting (3.3) for  $j = 1, 2$  and integrating against  $v^{(0)}$  gives the following integral identity (compare to (3.4))

$$\begin{aligned} - \int_{\Omega} m!(q_1 - q_2)v^{(0)} \prod_{k=1}^m v^k dx &= \int_{\Omega} \Delta(w_1 - w_2)v^{(0)} dx \\ &= \int_{\Omega} (w_1 - w_2)\Delta v^{(0)} dx \\ &\quad + \int_{\partial\Omega} v^{(0)}\partial_\nu(w_1 - w_2) - (w_1 - w_2)\partial_\nu v^{(0)} dS \\ &= \int_{\partial\Omega} v^{(0)}\partial_\nu(w_1 - w_2) - (w_1 - w_2)\partial_\nu v^{(0)} dS \end{aligned} \quad (4.1)$$

Here Green's formula and the fact that  $\Delta v^{(0)} = 0$  in  $\Omega$  were used. Now our assumption on the DN maps coinciding gives  $\partial_\nu u_1|_\Gamma = \partial_\nu u_2|_\Gamma$  and when applying  $\partial_{\lambda_1} \cdots \partial_{\lambda_m}|_{\lambda=0}$  to this, we have  $\partial_\nu w_1|_\Gamma = \partial_\nu w_2|_\Gamma$ . Also  $w_1 - w_2 = 0$  on  $\partial\Omega$  by (3.3) and  $v^{(0)} = 0$  on  $\partial\Omega \setminus \Gamma$ . Using these (4.1) becomes

$$\begin{aligned} - \int_{\Omega} m!(q_1 - q_2)v^{(0)} \prod_{k=1}^m v^k dx &= \int_{\partial\Omega} v^{(0)}\partial_\nu(w_1 - w_2) - (w_1 - w_2)\partial_\nu v^{(0)} dS \\ &= \int_{\partial\Omega \setminus \Gamma} v^{(0)}\partial_\nu(w_1 - w_2) dS + \int_{\Gamma} v^{(0)}\partial_\nu(w_1 - w_2) dS \\ &= 0. \end{aligned} \quad (4.2)$$

Now we can apply Theorem 1.3 in [25] (see also [5, Section 4]) which says that the set of products of two harmonic functions that vanish on  $\partial\Omega \setminus \Gamma$  is dense in  $L^1(\Omega)$ . Thus we can conclude from (4.2) that

$$m!(q_1 - q_2)v^{(0)} \prod_{k=3}^m v^k = 0 \quad \text{in } \Omega.$$

Let  $f_k \in C_c^\infty(\Gamma)$ ,  $f_k$  non-negative and  $f_k > 0$  somewhere for  $k = 3, \dots, m$ . Then again the maximum principle gives that  $v^k > 0$  in  $\Omega$ . Combining this with  $v^{(0)} > 0$  in  $\Omega$  then implies  $q_1 = q_2$  in  $\Omega$ .  $\square$

**5. Proof of Theorem 1.3**

As in [25], we need a lemma stating that the solution to the boundary value problem with a finite Borel measure  $\mu$  as boundary value is in  $L^r(\Omega)$  for  $1 \leq r < \frac{n}{n-1}$ . For the lemma, denote by  $r'$  the dual exponent of  $1 \leq r \leq \infty$ .

**Lemma 5.1.** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$  be a bounded open set with  $C^\infty$  boundary and  $\mu$  a finite complex Borel measure on  $\partial\Omega$ . Then for the function*

$$\Psi(x) = \int_{\partial\Omega} P(x, y) d\mu(y), \quad x \in \Omega, \tag{5.1}$$

where  $P(x, y)$  is the Poisson kernel for  $\Delta$  in  $\Omega$ , we have  $\Psi \in L^r(\Omega)$ ,  $1 \leq r < \frac{n}{n-1}$ . Additionally  $\Psi$  solves the boundary value problem

$$\begin{cases} \Delta\Psi = 0, & \text{in } \Omega \\ \Psi = \mu, & \text{on } \partial\Omega, \end{cases}$$

where  $\Psi = \mu$  on  $\partial\Omega$  means that for any  $w \in W^{2,r'}(\Omega)$  with  $w|_{\partial\Omega} = 0$ , in trace sense, one has

$$\int_{\partial\Omega} \partial_\nu w d\mu = \int_{\Omega} (\Delta w)\Psi dx. \tag{5.2}$$

Notice that the left hand side of relation (5.2) is well defined since  $\partial_\nu w$  is continuous by the Sobolev embedding theorem (see for example [21]): The assumption  $w \in W^{2,r'}(\Omega)$  says that  $\nabla w \in W^{1,r'}(\Omega)$ . This space embeds to  $C^{0,1-\frac{n}{r'}}(\bar{\Omega})$  if  $r' > n$ . Notice that  $r' > n$  is equivalent with the assumption that  $1 \leq r < \frac{n}{n-1}$ . Also the right hand side of (5.2) is well defined by the fact that  $\Delta w \in L^{r'}(\Omega)$ ,  $\Psi \in L^r(\Omega)$  implies  $(\Delta w)\Psi \in L^1(\Omega)$ .

The proof of this lemma is the same as in [25, Lemma 2.1.]. The only difference when compared to the statement in [25], is that we assume  $w \in W^{2,r'}(\Omega)$  instead of  $w \in C^2(\bar{\Omega})$ .

**Proof of Theorem 1.3.** As before, we use the method of higher order linearization. Let  $\lambda_1, \dots, \lambda_m$  be sufficiently small numbers,  $\lambda = (\lambda_1, \dots, \lambda_m)$  and  $f_1, \dots, f_m \in W^{2-\frac{1}{p},p}(\partial\Omega)$  with  $\text{spt}(f) \subset \Gamma$ . Let  $u_j(x, \lambda) \in W^{2,p}(\Omega)$  be the unique small solution to

$$\begin{cases} \Delta u_j + q_j u_j^m = 0, & \text{in } \Omega \\ u_j = \sum_{k=1}^m \lambda_k f_k, & \text{on } \partial\Omega. \end{cases}$$

The first and  $m$ -th order linearizations are the same as in the proof of Theorem 1.1, with corresponding boundary values. We also define  $v^l := v_1^l = v_2^l$  by uniqueness of solutions to (3.2).

Let  $\varepsilon > 0$  and  $q_1, q_2 \in L^{n+\varepsilon}(\Omega)$  be such that (1.4) holds for all  $f \in U_\delta$ ,  $\text{spt}(f) \subset \Gamma$  with sufficiently small  $\delta$ . From  $\partial_{\lambda_1} \cdots \partial_{\lambda_m} \Lambda_{q_j}(f) = \partial_{\lambda_1} \cdots \partial_{\lambda_m} \partial_\nu u_j|_{\partial\Omega} = \partial_\nu w_j|_{\partial\Omega}$ , where  $w_j$  is the solution to (3.3), and equation (1.4) we get that

$$\int_{\partial\Omega} (\partial_\nu w_1 - \partial_\nu w_2) d\mu = 0.$$

Let  $\Psi \in L^{(n+\varepsilon)' }(\Omega)$  be the function given by (5.1) which is a solution to

$$\begin{cases} \Delta\Psi = 0, & \text{in } \Omega \\ \Psi = \mu, & \text{on } \partial\Omega \end{cases}$$

in the sense of Lemma 5.1. Notice that  $(n + \varepsilon)' < \frac{n}{n-1}$  and  $w_j \in W^{2,n+\varepsilon}(\Omega)$  because  $-m!q_j \prod_{k=1}^m v^k \in L^{n+\varepsilon}(\Omega)$  (see for example [10, Theorem 9.15]). Thus combining (5.2) and (3.3) gives

$$0 = \int_{\partial\Omega} (\partial_\nu w_1 - \partial_\nu w_2) d\mu = \int_{\Omega} \Delta(w_1 - w_2)\Psi dx = - \int_{\Omega} m!(q_1 - q_2) \prod_{k=1}^m v^k \Psi dx,$$

where each  $v^k$  is a solution to the Laplace equation with corresponding boundary value  $f_k$ . Let  $f_3, \dots, f_m \in C^\infty(\partial\Omega)$  be such that  $\text{spt}(f_k) \subset \Gamma$ ,  $f_k \geq 0$  and  $f_k > 0$  somewhere, then by the maximum principle  $v^k > 0$  in  $\Omega$ . Choosing the boundary values  $f_1, f_2 \in C^\infty(\partial\Omega)$ ,  $\text{spt}(f_1), \text{spt}(f_2) \subset \Gamma$ , we get by elliptic regularity that  $v^1, v^2$  are smooth and thus we may apply Theorem 1.3 from [25] (see also [5, Section 4]) to get

$$m!(q_1 - q_2)v_3 \cdots v_m \Psi = 0 \quad \text{a.e. in } \Omega.$$

The positivity of  $v_3, \dots, v_m$  implies that  $(q_1 - q_2)\Psi = 0$  a.e. in  $\Omega$ . Now we claim that  $\Psi$  cannot vanish in any set  $E \subset \Omega$  of positive measure. This can be seen as follows: We argue by contradiction and assume that  $\Psi = 0$  in  $E \subset \Omega$  where  $E$  has positive measure. Then by a unique continuation principle (see for example [12],  $n > 2$ , and for  $n = 2$  [13])  $\Psi = 0$  in  $\Omega$ . From [16] there is a constant  $c > 0$  such that for all  $(x, y) \in \Omega \times \partial\Omega$

$$c \cdot \frac{\text{dist}(x, \partial\Omega)}{|x - y|^n} \leq P(x, y).$$

In view of the definition of  $\Psi$  in (5.1) this would imply that  $\mu \equiv 0$  which is a contradiction. Hence we must have that  $q_1 = q_2$  a.e. in  $\Omega$ .  $\square$

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