Author(s): Rajala, Tapio

Title: Approximation by uniform domains in doubling quasiconvex metric spaces

Year: 2021

Version: Published version

Please cite the original version:

RESEARCH

Approximation by uniform domains in doubling quasiconvex metric spaces

Tapio Rajala[1]

Abstract

We show that any bounded domain in a doubling quasiconvex metric space can be approximated from inside and outside by uniform domains.

Keywords Sobolev extension · Uniform domain · Quasiconvexity

Mathematics Subject Classification Primary 30L99 · Secondary 46E35 · 26B30

1 Introduction

We provide an approximation of bounded domains from inside and from outside by uniform domains in doubling quasiconvex metric spaces. A metric space (X, d) is called (*metrically*) *doubling*, if there exists a constant C_d so that for all $r > 0$, any ball of radius r can be covered by C_d balls of radius $r/2$. A metric space is called *quasiconvex*, if there exists a constant $C_q < \infty$ such that any $x, y \in X$ can be connected by a curve γ in X with the length bound

$$\ell(\gamma) \leq C_q d(x, y).$$

A domain $\Omega \subset X$ is called *uniform*, if there exists a constant $C_u < \infty$ such that for every $x, y \in \Omega$, there exists a curve $\gamma \subset \Omega$ such that

$$\ell(\gamma) \leq C_u d(x, y)$$

and for all $z \in \gamma$ it holds

$$\min \left\{ \ell(\gamma_{x,z}), \ell(\gamma_{z,y}) \right\} \leq C_u \operatorname{dist}(z, X \setminus \Omega),$$

where $\gamma_{x,z}$ and $\gamma_{z,y}$ denote the shortest subcurves of γ joining z to x and y, respectively.

With the definitions now recalled we can state the result of this paper.

Theorem 1.1 *Let (X, d) be a doubling quasiconvex metric space and $\Omega \subset X$ a bounded domain. Then for every $\varepsilon > 0$, there exist uniform domains Ω_I and Ω_O such that*

$$\Omega_I \subset \Omega \subset \Omega_O,$$

$$\Omega_O \subset B(\Omega, \varepsilon), \text{ and } X \setminus \Omega_I \subset B(X \setminus \Omega, \varepsilon).$$

In the above theorem we have used the notation

$$B(A, r) = \bigcup_{x \in A} B(x, r)$$

for the open r-neighbourhood of a set $A \subset X$, with $r > 0$, and $B(x, r)$ denoting the open ball of radius r centred at a point $x \in X$.

Although there are characterizations of uniform domains in metric spaces, for instance via tangents [6], we are not aware of previous general existence results such as Theorem 1.1.

The setting of Theorem 1.1 is motivated by Sobolev- and BV-extension domains in complete metric measure spaces with a doubling measure and supporting a $(1, p)$-Poincaré inequality (p-PI spaces for short). A measure μ on (X, d) is doubling, if there exists a constant $C > 0$ such that $\mu(B(x, 2r)) \leq C\mu(B(x, r))$ for every $x \in X$ and $r > 0$.

Dedicated to Professor Pekka Koskela on the occasion of his 60th birthday.

✉ Tapio Rajala
   tapio.m.rajala@jyu.fi

1   Department of Mathematics and Statistics,
    University of Jyvaskyla, P.O. Box 35 (MaD),
    FI-40014 University of Jyvaskyla, Finland

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Recall that a metric space supporting a positive and locally finite doubling measure μ is doubling in the metric sense. The metric measure space (X, d, μ) supports a $(1, p)$-Poincaré inequality if there exist constants $C, \lambda \geq 1$ so that the following holds: for any $x \in X$ and $r > 0$ the ball $B(x, r) \subset X$ has positive and finite μ-measure and

$$\frac{1}{\mu(B(x,r))} \int_{B(x,r)} |u - u_{B(x,r)}| \, d\mu \leq Cr \left(\frac{1}{\mu(B(x,\lambda r))} \int_{B(x,\lambda r)} \rho^p \right)^{\frac{1}{p}}$$

holds for any measurable function u and its upper gradient ρ, with $u_{B(x,r)}$ being the average of u in $B(x, r)$. On one hand, p-PI spaces [5] are known to be quasiconvex [3, 10]. On the other hand, in [2] it was shown that uniform domains in p-PI-spaces are $N^{1,p}$-extension domains, for $1 \leq p \leq \infty$, for the Newtonian Sobolev spaces, and in [11] it was shown that bounded uniform domains in 1-PI-spaces are BV-extension domains. See [13] for the definitions of upper gradients and Newtonian Sobolev spaces and [1, 12] for the BV space.

The main purpose of this paper is to increase the applicability of the results in [2, 11] by providing a large collection of uniform domains. As a straightforward corollary, we have the following approximation result by extension domains.

Corollary 1.2 *Let* $1 \leq p \leq \infty$ *let* (X, d, μ) *be a complete metric measure space, with* μ *doubling, supporting a* $(1, p)$ *-Poincaré inequality, and let* $\Omega \subset X$ *be a bounded domain. Then* Ω *can be approximated (as in Theorem* 1.1*) by* $N^{1,p}$ *-extension and, in the case* $p = 1$*, also by BV-extension domains.*
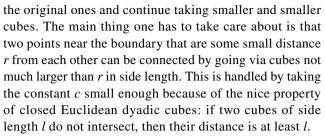
Notice also that in the case, when Ω is unbounded, we can for example fix a point $x_0 \in \Omega$ and for each $i \in \mathbb{N}$ approximate the connected component of $B(x_0, i) \cap \Omega$ containing x_0 from inside by Ω_i using Theorem 1.1 with the choice $\varepsilon = 1/i$, and thus obtain

$$\Omega = \bigcup_{i=1}^{\infty} \Omega_i,$$

with Ω_i uniform for all $i \in \mathbb{N}$.

2 Construction of the uniform domains

In the Euclidean setting, we could use closed dyadic cubes to construct the uniform domains. Using just the fact that a Euclidean cube is John (and not that it is in fact uniform), we could start with a finite union of cubes of some fixed side length, then take all the neighbouring cubes with a constant $c \in (0, 1)$ times smaller side length than

the original ones and continue taking smaller and smaller cubes. The main thing one has to take care about is that two points near the boundary that are some small distance r from each other can be connected by going via cubes not much larger than r in side length. This is handled by taking the constant c small enough because of the nice property of closed Euclidean dyadic cubes: if two cubes of side length l do not intersect, then their distance is at least l.

We will use the above idea in the metric setting. However, none of the dyadic cube constructions that we have seen (for instance [4, 7–9]) take care about the separation of non-intersecting cubes but only about other properties such as nestedness and size. Luckily, we do not need a nested structure, nor a decomposition, so we will work with coverings by balls having the needed separation property. The existence of such coverings is provided by the next lemma.

Lemma 2.1 *Let* (X, d) *be a doubling metric space. Then there exists a constant* $c \in (0, 1)$ *depending only on the doubling constant so that for every* $r > 0$*, there exist* r*-separated points* $\{x_i\} \subset X$ *and radii* $r_i \in [r, 2r]$ *such that*

$$X \subset \bigcup_i B(x_i, r_i)$$

and

$$d(x_i, x_j) - r_i - r_j \notin (0, cr) \qquad \text{for all } i, j.$$

Proof Let $\{x_i\}$ be a maximal r-separated net of points in X. Because of the maximality of the net, the balls $B(x_i, r_i)$ will cover X. We select the suitable radii by induction. Let $r_1 = r$. Suppose that $r_1, \ldots, r_k$ have been selected. Since x_i are r separated, by the metric doubling property of (X, d), there exists an integer $N > 1$ depending only on the doubling constant C_d so that there exist at most $N-1$ points $x_i \in \{x_1, \ldots, x_k\}$ with $d(x_{k+1}, x_i) \leq 4r$. Write

$$I_k = \left\{ i : d(x_i, x_{k+1}) - r_i \in [r, 2r], i \leq k \right\}.$$

Then I_k contains at most $N-1$ points. Let $\lambda_1 < \lambda_2 < \ldots < \lambda_M$, with $M < N$, be so that

$$\{\lambda_j\}_{j=1}^M = \left\{ d(x_i, x_{k+1}) - r_i : i \in I_k \right\}.$$

Denote $\lambda_0 = r$ and $\lambda_{M+1} = 2r$. Let $m \in \{0, \cdots, M\}$ be the smallest integer for which $\lambda_{m+1} - \lambda_m \geq r/N$. (If such m did not exist we would have

$$r = \lambda_{M+1} - \lambda_0 = \sum_{j=0}^M \lambda_{j+1} - \lambda_j < (M+1)\frac{r}{N} \leq r,$$

which is a contradiction.) We now define $r_{k+1} = \lambda_m$. In particular, we then have

$r_{k+1} \in [r, 2r - r/N]$.

By the definition of m, we have

$d(x_i, x_{k+1}) - r_i - r_{k+1} \notin (0, r/N)$

for all $i \in I_k$. Now, if $i \leq k$ with $i \notin I_k$, either $d(x_i, x_{k+1}) - r_i < r$, in which case $d(x_i, x_{k+1}) - r_i - r_{k+1} < 0$, or $d(x_i, x_{k+1}) - r_i > 2r$, in which case we have $d(x_i, x_{k+1}) - r_i - r_{k+1} > r/N$. Thus,

$d(x_i, x_{k+1}) - r_i - r_{k+1} \notin (0, r/N)$

for all $i \in \{1, \ldots, k\}$. This shows that the claim holds with the constant $c = 1/N$. □

With the replacement of the Euclidean dyadic cubes by balls given in Lemma 2.1, we can now follow the idea presented for the Euclidean case to prove the metric version.

Proof of Theorem 1.1 We start by noting that since our space (X, d) is quasiconvex, the induced length distance

$d_l(x, y) = \inf \{ \ell(\gamma) \; : \; \text{the curve } \gamma \text{ joins } x \text{ to } y \}$

satisfies $d \leq d_l \leq C_q d$ with the quasiconvexity constant C_q. If we would assume the space (X, d) to be complete, by the generalized Hopf-Rinow Theorem we would know that d_l is in fact a geodesic distance. However, we want to avoid making the extra assumption on completeness. In any case, because the property of being a uniform domain is invariant under a biLipschitz change of the distance, we may then assume that (X, d) is a length space.

CONSTRUCTION The constructions of Ω_I and Ω_O are similar. The only difference is the starting point of the construction. Fix a point $x_0 \in \Omega$ and let $\tau \in (0, \min\{ \text{dist}(x_0, \partial\Omega), 1\})$. The choice of τ will depend on ε, and the estimate on how small τ we need to select is postponed to the end of the proof. For constructing Ω_O, we simply start with the set

$E_1 = \Omega$,

and for Ω_I, we take E_1 to be the connected component of

$\{x \in X \; : \; \text{dist}(x, X \setminus \Omega) > \tau\}$ (2.1)

containing the fixed point x_0. Let us consider the case Ω_I. Thus E_1 is defined via (2.1).

Let $c > 0$ be the constant from Lemma 2.1. Define

$\delta = \min \left\{ \dfrac{c}{20 + c}, \dfrac{\tau}{5 + \tau} \right\}$.

We construct Ω_I using induction as follows. Suppose E_k has been defined for a $k \in \mathbb{N}$. Let $\{x_i\}$ and $\{r_i\}$ be the points and radii given by Lemma 2.1 for the choice $r = \delta^k$, and define

$\mathcal{B}_k = \left\{ B(x_i, r_i) \; : \; B(x_i, r_i) \cap B(E_k, \delta^k) \neq \emptyset \right\}$.

We then set

$E_{k+1} = \bigcup_{B(x,r) \in \mathcal{B}_k} B(x, r)$.

Finally, we define

$\Omega_I = \bigcup_{k=1}^{\infty} E_k$.

UNIFORMITY Let us next show that Ω_I is uniform. Take $x, y \in \Omega_I$ with $x \neq y$. Let k_x and k_y be the smallest integers such that $x \in E_{k_x}$ and $y \in E_{k_y}$. Without loss of generality, we may assume $k_x \leq k_y$.

Suppose first that $d(x, y) < \frac{1}{4} c\delta$. Let $n \in \mathbb{N}$ be such that

$\frac{1}{4} c\delta^{n+1} \leq d(x, y) < \frac{1}{4} c\delta^n$.

Notice that since in each construction step $k + 1$, we take a neighbourhood δ^k of the previous set E_k, we have that

$\text{dist}(E_k, X \setminus \Omega_I) \geq \sum_{i=k}^{\infty} \delta^i = \dfrac{\delta^k}{1 - \delta} \geq \delta^k$.   (2.2)

Therefore, if $k_x < n$, we may take γ to be a curve connecting x to y so that $\ell(\gamma) < 2d(x, y)$, in which case for all $z \in \gamma$ we have

$\text{dist}(z, X \setminus \Omega_I) \geq \text{dist}(x, X \setminus \Omega_I) - d(z, x)$
$> \delta^{k_x} - \ell(\gamma) > 4d(x, y) - 2d(x, y) \geq 2d(x, y)$,

and, consequently, we get uniformity with constant $C_u = 2$.

If $k_x \geq n$, we first connect x and y to E_n. We do this as follows. Starting with x, let $B(z, r) \in \mathcal{B}_{k_x - 1}$ be such that $x \in B(z, r)$, which exists by the definitions of k_x and E_{k_x}. Next take $v \in B(z, r) \cap B(E_{k_x - 1}, \delta^{k_x - 1})$ and $w \in E_{k_x - 1}$ with $d(z, w) < r + \delta^{k_x - 1}$, which we have by the definition of $\mathcal{B}_{k_x - 1}$. Now we take the concatenation $\gamma_{k_x}^x$ of the curves α_1 going from x to z, α_2 going from z to v, and α_3 going from v to w with the length bounds $\ell(\alpha_1), \ell(\alpha_2) < r$ and $\ell(\alpha_3) < \delta^{k_x - 1}$. Notice that $\gamma_{k_x}^x \subset E_{k_x}$ and that the curve $\gamma_{k_x}^x$ has the length bound

$\ell(\gamma_{k_x}^x) < r + r + \delta^{k_x - 1} \leq 5\delta^{k_x - 1}$,

and for the distance to the complement of Ω_I we can estimate

$\text{dist}(\gamma_{k_x}^x, X \setminus \Omega_I) > \delta^{k_x}$ (2.3)

by the fact that in the construction of $E_{k_x + 1}$ we take a δ^{k_x}-neighbourhood of E_{k_x} and the curve $\gamma_{k_x}^x$ is contained in E_{k_x}.

We then continue inductively connecting w to E_{k_x-2} by $\gamma^x_{k_x-1}$ and so on, until we have connected x to a point x' in E_n.

The curve $\gamma^{x,x'}$ obtained by concatenating the previous curves $\gamma^x_{k_x}, \gamma^x_{k_x-1}, \ldots, \gamma^x_{n+1}$ has the length bound

$$\ell(\gamma^{x,x'}) \leq \sum_{i=n}^{k_x-1} 5\delta^i \leq 5\frac{\delta^n}{1-\delta} \leq \frac{1}{4}c\delta^{n-1}. \tag{2.4}$$

With a similar construction, we connect y to a point $y' \in E_n$ by a curve $\gamma^{y,y'}$ with length bounded from above by $c\delta^{n-1}/4$. We can bound the distance between x' and y' by

$$\begin{aligned}
d(x', y') &\leq d(x', x) + d(x, y) + d(y, y') \\
&< \frac{1}{4}c\delta^{n-1} + \frac{1}{4}c\delta^n + \frac{1}{4}c\delta^{n-1} \\
&< c\delta^{n-1}.
\end{aligned} \tag{2.5}$$

Now we use the crucial separation property given by Lemma 2.1. Let $B(z_x, r_x), B(z_y, r_y) \in \mathcal{B}_{n-1}$ be such that $x' \in B(z_x, r_x)$ and $y' \in B(z_y, r_y)$. Since the collection $\mathcal{B}_{n-1}$ was defined via Lemma 2.1 with the radius δ^{n-1}, we have

$$d(z_x, z_y) - r_x - r_y \notin (0, c\delta^{n-1}),$$

whereas (2.5) gives

$$\begin{aligned}
d(z_x, z_y) - r_x - r_y &\leq d(z_x, x') + d(x', y') \\
&\quad + d(y', z_y) - r_x - r_y \\
&\leq d(x', y') < c\delta^{n-1}.
\end{aligned}$$

Therefore, $d(z_x, z_y) \leq r_x + r_y$, and thus, we can connect x' to y' by a curve $\gamma^{x',y'}$ defined by going first with a curve β_1 from x' to z_x, then with β_2 from z_x to z_y and finally with β_3 from z_y to y'. By selecting the curves so that $\ell(\beta_1) < r_x$,

$$\ell(\beta_2) < r_x + r_y + \min\left\{r_x - \ell(\beta_1), \delta^{n+1}\right\},$$

and $\ell(\beta_3) < r_y$, the curve $\gamma^{x',y'}$ has the length bound

$$\ell(\gamma^{x',y'}) \leq \ell(\beta_1) + \ell(\beta_2) + \ell(\beta_3) \leq 2r_x + 2r_y \leq 8\delta^{n-1}, \tag{2.6}$$
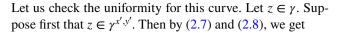
and its distance to the complement of Ω_I has the bound

$$\text{dist}(\gamma^{x',y'}, X \setminus \Omega_I) > \delta^n. \tag{2.7}$$

Now, the curve γ obtained by concatenating $\gamma^{x,x'}$, $\gamma^{x'y'}$ and $\gamma^{y,y'}$ has, by (2.4) and (2.6), length at most

$$\begin{aligned}
\ell(\gamma) &\leq \frac{1}{4}c\delta^{n-1} + 8\delta^{n-1} + \frac{1}{4}c\delta^{n-1} \\
&\leq 9\delta^{n-1} = \frac{36}{c\delta^2} \cdot \frac{1}{4}c\delta^{n+1} \\
&\leq \frac{36}{c\delta^2} d(x, y).
\end{aligned} \tag{2.8}$$

Let us check the uniformity for this curve. Let $z \in \gamma$. Suppose first that $z \in \gamma^{x',y'}$. Then by (2.7) and (2.8), we get

$$\begin{aligned}
\min\left\{\ell(\gamma_{x,z}), \ell(\gamma_{z,y})\right\} &\leq \frac{1}{2}\ell(\gamma) \leq \frac{9}{2}\delta^{n-1} = \frac{9}{2\delta}\delta^n \\
&\leq \frac{9}{2\delta} \text{dist}(z, X \setminus \Omega_I).
\end{aligned} \tag{2.9}$$

By symmetry, it then remains to check the case $z \in \gamma^{x,x'}$. Then there exists $k \geq n$ such that $z \in \gamma^x_k$. Then by (2.3) and the same estimate as in (2.4), we get

$$\begin{aligned}
\min\left\{\ell(\gamma_{x,z}), \ell(\gamma_{z,y})\right\} &\leq 5\frac{\delta^k}{1-\delta} \leq 10\delta^k \\
&\leq 10\,\text{dist}(z, X \setminus \Omega_I).
\end{aligned} \tag{2.10}$$

By combining the estimates (2.8), (2.9) and (2.10), we see that γ satisfies the uniformity condition with the constant $C_u = 36/(c\delta^2)$.

We are still left with proving the uniformity in the case $d(x, y) \geq (1/4)c\delta$. For this, we first observe that we can connect x to a point $x' \in E_1$, and y to a point $y' \in E_1$ by curves having lengths bounded from above by $c/4$ and with pointwise lower bounds for the distance to the boundary along the curves being enough for the uniformity condition. What remains to do is to connect x' to y' with a curve of which length is bounded by a constant (independent of x' and y') from above and of which distance to the boundary of Ω_I is bounded by another constant from below. This is achieved directly by compactness: on one hand, any two points in the totally bounded set E_I can be joined by a rectifiable curve inside $B(E_1, \delta/2) \subset \Omega_I$ and the infimum over the lengths of curves joining two given points is a continuous function in terms of the endpoints and this function extends to the completion of E_I as a continuous function. Thus, there exists the needed constant upper bound for the lengths of curves. On the other hand, the distance of these curves to the boundary of Ω_I is at least $\delta/2$.

CLOSENESS Let us then show that for every $\varepsilon > 0$, there exists $\tau > 0$ so that using the τ in the construction above we get $X \setminus \Omega_I \subset B(X \setminus \Omega, \varepsilon)$.

In order to have the dependence on τ, write now $E_1(\tau)$ to be the connected component of $\{x \in X : \text{dist}(x, X \setminus \Omega) > \tau\}$ containing x_0. Since $X \setminus B(X \setminus \Omega, \varepsilon)$ is totally bounded, there exists a set of points $\{x_i\}_{i=1}^N \subset \Omega$ so that

$$X \setminus B(X \setminus \Omega, \varepsilon) \subset \bigcup_{i=1}^N B(x_i, \varepsilon/2).$$

Each x_i can be connected to x_0 by a curve inside Ω and so there exist $r_i > 0$ for which $x_i \in E_1(\tau_i)$. Consequently, with $\tau = \min\{\varepsilon/2, \tau_1, \ldots, \tau_N\}$ we have $X \setminus B(X \setminus \Omega, \varepsilon) \subset E_1(\tau)$, and thus,

$$X \setminus \Omega_I \subset X \setminus E_1(\tau) \subset B(X \setminus \Omega, \varepsilon).$$

The final thing we still need to observe is that $\Omega_I \subset \Omega$. By the construction procedure, we have

$$E_{k+1} \subset B(E_k, 5\delta^k)$$

for every $k \in \mathbb{N}$. Thus, by the choice of δ we get

$$\Omega_I \subset B\left(E_1, \sum_{k=1}^{\infty} 5\delta^k\right) \subset B(E_1, \tau) \subset \Omega.$$

This completes the proof for Ω_I. The proof for Ω_O goes almost verbatim. Only the argument for closeness becomes easier in this case. In particular, for Ω_O, one can then take $\tau = \varepsilon$. $\square$

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