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# Full Length Article

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# $Al_2O_3$ ALD films grown using TMA + rare isotope ${}^{2}H_{2}^{16}O$ and ${}^{1}H_{2}^{18}O$ precursors

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# Abstract

In this work hydrogen and oxygen migration and exchange reactions in the atomic layer deposited (ALD)  $Al_2O_3$  thin films were studied together with hydrogen incorporation by varying deposition parameters.  $Al_2O_3$  films deposited at low temperatures can contain more than 20 at. % of hydrogen. Both higher temperature and longer purge length decrease the hydrogen and carbon concentrations significantly. In order to track the hydrogen and oxygen movement in the films, heavy water ( ${}^{2}H_{2}^{16}O$ ) and oxygen-18 enriched water ( ${}^{1}H_{2}^{18}O$ ) were used as precursors in combination with trimethylaluminium (TMA). Different isotopes of the same element were quantified by means of time-of-flight elastic recoil detection analysis (ToF-ERDA). It was found out that  ${}^{1}H/{}^{2}H$  exchange reactions take place even at room temperature if the hydrogen concentration is high enough. On the other hand, oxygen atoms in the films do not migrate notably.

Keywords: ALD,  $Al_2O_3$ , Low temperature, Heavy water, Hydrogen migration, TMA

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#### 1 1. Introduction

Atomic layer deposited (ALD) amorphous aluminium oxide (Al<sub>2</sub>O<sub>3</sub>) is both extensively used and much studied thin film material. Alumina films have been studied, for example, as a high-k material for semiconductor industry [1–3] as well for gas permeation barriers [4–6]. There are numerous ALD processes for Al<sub>2</sub>O<sub>3</sub> but especially the process with trimethylaluminium (TMA) and water as precursors is widely studied (www.atomiclimits.com ALD database mentions almost 300 articles as to date [7]) and the reaction mechanism is also believed to be quite well understood [8, 9].

One early identified application for amorphous ALD-oxide films has been 10 to use it for moisture and gas permeation barriers [10]. Thin moisture bar-11 riers are needed in packaging materials where flexibility of the barrier is of 12 importance. Another example is organic light emitting diodes (OLED) which 13 require transparent moisture barrier. OLEDs are known to be sensitive to 14 water vapour and oxygen, and in order to increase their lifespan diffusion 15 of these gases must be prevented [11]. When considering gas and moisture 16 barriers, atomic layer deposition is an ideal deposition method. Due to the 17 self terminating reactions of ALD, conformal and pinhole-free films can be 18 grown even on complex and porous substrates [12, 13]. It is also possible 19 to deposit the ALD-films at low temperatures required by the organic sub-20 strates. Therefore coating polymers with the ALD is an attractive option. 21

ALD thin films often contain significant amounts of hydrogen, especially when grown at low deposition temperatures. In addition to water vapour [4] and oxygen [6], also hydrogen can cause degradation for example in capactiors components [14]. Al<sub>2</sub>O<sub>3</sub> thin films along with other ALD-oxides have <sup>26</sup> been studied as H<sub>2</sub> barriers for this purpose and it has been shown that the <sup>27</sup> diffusion of hydrogen to a capacitor dielectric material decreases significantly <sup>28</sup> if a protective ALD film is applied [15]. However, in some applications hy-<sup>29</sup> drogen is a desirable element in the film. Hydrogen from the ALD capping <sup>30</sup> film can, for example, passivate the Si-interface in solar-cells by binding to <sup>31</sup> dangling bonds which are responsible for the charge carrier recombination <sup>32</sup> resulting in suboptimal energy conversion efficiency [16].

Metal-organic ALD precursors are frequently used and the organic ligands 33 very often contain hydrogen. In addition, hydrogen containing coreactants 34 such as  ${}^{1}\text{H}_{2}\text{O}$  and  $\text{NH}_{3}$  are extensively used for depositing oxides and nitrides, 35 respectively. Therefore hydrogen is a common impurity in all thin films 36 but it is quite often disregarded because only a few measuring techniques, 37 such as elastic recoil detection analysis (ERDA) and secondary ion mass 38 spectrometry (SIMS), can directly detect hydrogen atoms in the films. The 30 advantage of ERDA and especially time-of-flight ERDA (ToF-ERDA) over 40 SIMS is the possibility of quantative elemental depth profiling [17]. 41

For example in the case of TMA and  ${}^{1}\text{H}_{2}\text{O}$  process both precursors contain 42 hydrogen that can be incorporated in the film.  $Al_2O_3$  can be deposited from 43 the TMA and water at as low as 33 °C but the impurity contents are then 44 very high [18]. In order to decrease the residual hydrogen concentration in 45  $Al_2O_3$  films, one can increase the deposition temperature [19]. Alternatively, 46 post deposition annealing can also be used to decrease the hydrogen content 47 [20]. On the other hand, many of the applications requiring gas barriers 48 involve polymers or other organic substrates and elevated temperatures can not be used during or after the deposition. 50

The use of precursors containing rare stable isotopes can give information not only on reaction mechanism but also on sources of impurities in the films. For example, hydrogen has two stable isotopes, <sup>1</sup>H (99.985 %) and <sup>2</sup>H (0.015 %) [21] and in the following text hydrogen refers to <sup>1</sup>H and deuterium to <sup>2</sup>H. Similarly, water refers to <sup>1</sup>H<sub>2</sub>O and heavy water to <sup>2</sup>H<sub>2</sub>O.

<sup>56</sup> Deuterated precursors have been used in various studies [9, 19, 22, 23]. <sup>57</sup> By using heavy water instead of water in TMA +  ${}^{1}\text{H}_{2}\text{O}$  process the source <sup>58</sup> of hydrogen impurity can be detected. It is often presumed that as the <sup>59</sup> elements do not change, heavy water can be treated as equivalent to normal <sup>60</sup> water [9, 24, 25].

These isotope studies have given detailed information on reaction mecha-61 nism and TMA +  $H_2O$  process [9, 19, 25] is probably the most widely studied 62 ALD process. That said, even the reason of the most important aspect of 63 ALD – the self terminating reaction – in the case of this process is still under 64 debate. Saturation of the reaction is often contributed to the steric hin-65 drance of the ligands which populate the surface so that the reactive sites 66 are blocked [9, 26]. On the other hand, Vandalon et al. propose that the 67 steric hindrance can not be the sole cause of terminating reaction. Their 68 claim is that non-reactive methyl groups cause reactions to stop at least in 69 the lower temperatures [25]. However, there are open questions such as why 70 the persistent  $-CH_3$  groups are not incorporated in the film as a carbon im-71 purity since the deposited films contain only a small concentration of carbon 72 even at low deposition temperatures [25, 27]. 73

<sup>74</sup> In this work we studied how hydrogen is incorporated in the films. In <sup>75</sup> addition, the migration of hydrogen (and oxygen) in the films is studied. In <sup>76</sup> order to do so, both heavy water  $({}^{2}H_{2}O)$  and oxygen-18 (natural abundance <sup>77</sup> 0.2 % [21]) enriched water (H<sub>2</sub>  ${}^{18}O$ ) were used as oxygen sources. The effect <sup>78</sup> of different ALD conditions, such as the deposition temperature and purge <sup>79</sup> lengths, were also studied. It was found that the impurities decrease with <sup>80</sup> increasing deposition temperature. In addition, the migration of hydrogen <sup>81</sup> was only detected if hydrogen concentration in the film was high.

#### <sup>82</sup> 2. Experimental details

 $Al_2O_3$  films were deposited using Beneq TFS 200 side flow reactor. Ni-83 trogen from Inmatec PN 1150 nitrogen generator (99.999 %) was used as 84 a carrier gas as well as for purging between the precursor pulses. Pressure 85 during the deposition in the reaction chamber was 1-2 mbar. TMA (Strem 86 > 98 %) was used as an aluminium source for the process. Three types 87 of water were used as an oxygen source: normal water  ${}^{1}\mathrm{H}_{2}^{16}\mathrm{O}$ , heavy water 88  $^2\mathrm{H}_2^{16}\mathrm{O}$  (Medical Isotopes Inc. 99.99 %) and oxygen-18 enriched water  $^1\mathrm{H}_2^{18}\mathrm{O}$ 89 (Medical Isotopes Inc. 97 %). Deposition temperature was varied between 90 70 °C and 250 °C. Pulse lengths for both precursors were kept constant at 91 300 ms which is double the time for our typical  $Al_2O_3$  processes. The longer 92 pulse length was used to ensure a complete saturation of the substrate sur-93 faces. Purging time was in most depositions 10 s after both precursor pulses 94 but the effect of the purging time was also investigated and then it was 95 varied between 3 and 60 seconds. All films were deposited on n-type (100) 96 silicon chips cut from a bigger wafer with native  $SiO_x$  layer. Sample pieces 97 were distributed in front, back and sides of the reactor in order to ensure 98 film conformality all over the reactor. To minimise H-contamination prior 99

the TMA +  ${}^{2}\text{H}_{2}\text{O}$  deposition, the reactor was treated with 50  ${}^{2}\text{H}_{2}\text{O}$  pulses (150 ms).

As-deposited samples were also exposed to elevated temperature and humid conditions by storing them in a climate chamber (Weiss WK3-180/40) for 24 or 48 hours at 60 °C and in 80 % relative humidity (RH) at atmospheric pressure.

The elemental composition and depth profiles of the films were measured with a time-of-flight elastic recoil detection analysis (ToF-ERDA) using 108 11.915 MeV <sup>63</sup>Cu<sup>6+</sup> ions [28]. Recoiled species were detected at 41° angle using mirror measuring geometry. Analysis and elemental depth profiles were made with Potku analysis software [29]. Surface and interface regions of the films were excluded from the elemental analysis and compositions were calculated from the bulk of the film.

Al<sub>2</sub>O<sub>3</sub> films were investigated with helium ion microscopy (HIM) (Zeiss Orion Nanofab) in order to study the porosity of the films.

<sup>115</sup> Thicknesses of the films were measured with Rudolph AUTO EL III el-<sup>116</sup> lipsometer with 632.8 nm laser.

## 117 3. Results and Discussion

The deposition rate decreases about one third when normal water is changed to  ${}^{2}\text{H}_{2}\text{O}$  as seen in the Fig. 1a when every other parameter is kept constant. Similar decrease in growth-per-cycle (GPC) is reported by Hiraiwa *et al.* [24]. This is most probably due to the kinetic isotope effect, which is utilised for example in chemical reaction mechanism studies [30]. This effect raises the activation energy of the reaction and therefore reduces the reac-

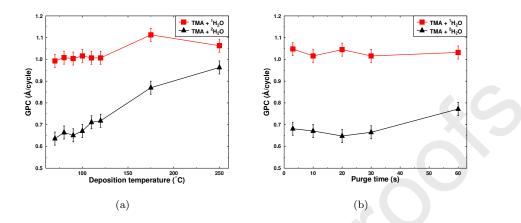


Figure 1: a) Growth-per-cycle as a function of the deposition temperature. Films were deposited using 300 ms precursor pulses and 10 s  $N_2$  purges between the pulses. b) Growth-per-cycle of the films deposited at 100 °C. Nitrogen purge was varied between 3 and 60 s.

tion rate which could explain the results. As mentioned in the Introduction, 124 the interchangeability of  ${}^{1}\text{H}_{2}\text{O}$  and  ${}^{2}\text{H}_{2}\text{O}$  is often assumed. On the other 125 hand, one can not rule out that the changes in activation energies can also 126 change the probabilities of different reaction paths making some paths more 127 favourable than others compared to situation with normal water. For exam-128 ple reaction with the TMA and oxygen bridge site (i.e. Al–O–Al) [31] does 129 not involve deuterium which may favour this reaction route if the reaction 130 rate with  $O^2H$ -groups is decreased. 131

The GPC of the TMA +  ${}^{1}\text{H}_{2}\text{O}$  process has been reported to increase as a function of the deposition temperature having the highest GPC at 200– 300 °C depending on the source [22, 24, 32, 33]. Our results are compareable and the growth rate starts to decrease between 200 and 250 °C (Fig. 1a). This has been attributed to the decrease of reactive OH-sites due to dehydration

[25, 33]. On the other hand, deposition with  ${}^{2}\text{H}_{2}\text{O}$  changes the situation so 137 that the growth rate continues to increase even at 250 °C and approaches then 138 the GPC of the <sup>1</sup>H<sub>2</sub>O process. It seems that the extra energy from the higher 139 temperature drives the reaction more than what the dehydration slows it. 140 When considering purging times (Fig. 1b), it seems that the purging length 141 does not play a significant role in  ${}^{1}\text{H}_{2}\text{O}$  process at 100 °C, but longer purging 142 increases the GPC slightly in  ${}^{2}H_{2}O$  process. This is another indication of 143 slower reaction rates due to the isotope effect. 144

Composition and elemental depth profiles of the samples were produced from coincidence time-of-flight and energy data (Fig. 2). Elemental composition of all the samples can be found in Supplementary (Table A.1 and A.2).

 $Al_2O_3$  films grown at low temperatures tend to have oxygen rich com-149 position and the O/Al ratio closes to the stoichiometric value of 1.5 only at 150 200 °C and above [9, 18, 34]. Our similar results are shown in Fig. 3a. Also 151 purging time changes the O/Al ratio of films deposited at 100  $^{\circ}$ C (Fig. 3b) 152 although even the 60 s long purging time produces O-rich film. Even though 153 the GPC decreases when the oxygen source is changed from  ${}^{1}\text{H}_{2}\text{O}$  to  ${}^{2}\text{H}_{2}\text{O}$ 154 (Fig. 1a), it does not affect the ratio between the main components of the 155 film. In this regard, using  ${}^{2}\text{H}_{2}\text{O}$  instead of  ${}^{1}\text{H}_{2}\text{O}$  is well justified. 156

<sup>157</sup> While O/Al ratio is independent of the oxygen precursor, there is a dif-<sup>158</sup> ference in the amount of hydrogen incorporated in the films (Fig. 4a). Films <sup>159</sup> deposited with heavy water tend to have slightly higher total amount of <sup>1</sup>H <sup>160</sup> and <sup>2</sup>H compared to hydrogen content in the films deposited with normal wa-<sup>161</sup> ter. In earlier studies the equivalence between the use of <sup>1</sup>H<sub>2</sub>O or <sup>2</sup>H<sub>2</sub>O have

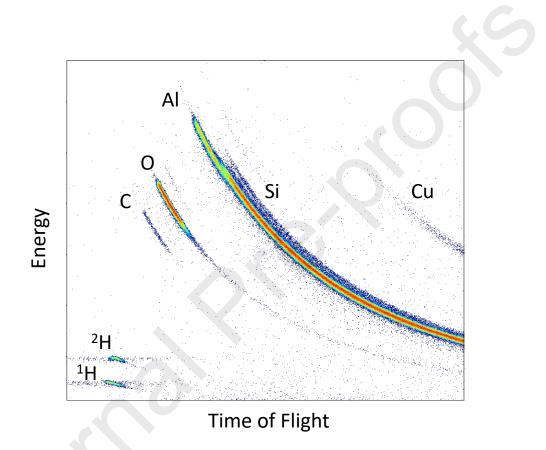


Figure 2: Coincidence time-of-flight and energy histogram of a ToF-ERDA measurement. Elements and isotopes differentiate according to their masses. Film was deposited using 1000 cycles of TMA and  $^{2}H_{2}O$  at 100 °C. Scattered  $^{63}Cu$  beam used for the measurement is also visible.

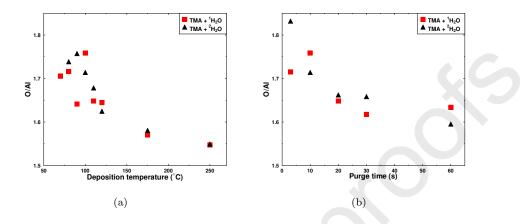


Figure 3: a) O/Al ratio as a function of the deposition temperature. Samples were deposited using 300 ms precursor pulses and 10 s purging. b) O/Al ratio as a function of the purging time. Samples were deposited at 100 °C.

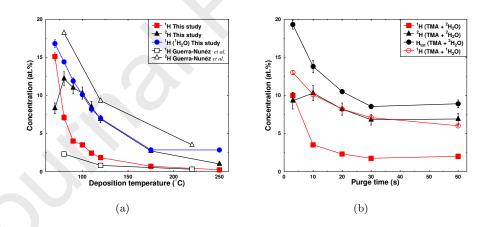


Figure 4: a) <sup>1</sup>H and <sup>2</sup>H impurity concentrations at different deposition temperatures. Films were deposited using 300 ms precursor pulses and 10 s purging times. Comparison to Guerra-Nunẽz *et al.* [9] is also shown. b) <sup>1</sup>H and <sup>2</sup>H concentrations as a function of purge time. Deposited at 100 °C using 300 ms precursor pulses.

been justified by similar total hydrogen concentrations [9, 19]. Somewhat similar results to ours, with higher total hydrogen when  ${}^{1}\text{H}_{2}\text{O}$  was replaced with  ${}^{2}\text{H}_{2}\text{O}$ , were obtained by Hiraiwa *et al.* [24]. However, their conclusion was that the difference is not significant and can be neglected. Nevertheless, the total hydrogen concentration follows a similar trend with both oxygen precursors even though the use of  ${}^{2}\text{H}_{2}\text{O}$  results in somewhat higher total hydrogen concentration than  ${}^{1}\text{H}_{2}\text{O}$ .

The deposition temperature plays a major role in hydrogen incorporation 169 in ALD-Al<sub>2</sub>O<sub>3</sub> films. At high temperatures the hydrogen concentration de-170 creases as shown in Fig. 4a and goes below 5 at. % only above 175 °C. The 171 main hydrogen source is from water since there is more deuterium than hy-172 drogen in the film when the deposition temperature is higher than 70 °C. It 173 is notable that in our study the majority hydrogen isotope changes from hy-174 drogen to deuterium between 70 and 80 °C. Films deposited at 70 °C, based 175 on visual inspection as well as with thickness profiles given by the ellipsome-176 ter, appeared completely fine; films were uniform in thickness all around 177 the reactor and visually looked similar to ones deposited at higher tempera-178 tures. When the deposition temperature was decreased to 60 °C clear signs of 179 CVD-growth were observed (See Supplementary A.7) for the TMA  $+ {}^{2}H_{2}O$ 180 process. Films deposited with  ${}^{1}\text{H}_{2}\text{O}$  were uniform. The drastic change in  ${}^{1}\text{H}$ 181 and  $^{2}\text{H}$  composition at 70 °C would therefore be an indication of CVD com-182 ponent in the reaction. It is known [18] that water molecules physisorpt to 183 "cold" surfaces and require long pumping (i.e. purging) time. If the purging 184 time is not sufficient, physisorption leads to higher than monolayer surface 185 concentration of  ${}^{1}\text{H}_{2}\text{O}$  or OH which is still present when TMA is pulsed to 186

the reactor. However, as seen in Fig. 4a, the deuterium concentration drops 187 significantly at 70 °C, indicating that deuterium from water is not incorpo-188 rated in the film in large quantities. In addition, CVD-growth would increase 189 the GPC which was not observed at 70 °C (Fig. 1a). This can be explained 190 by the studies of Vandalon *et al.* [22, 25] where they showed that there is 191 a certain temperature limit where water is not reactive enough towards the 192 CH<sub>3</sub>-groups at the substrate surface which leaves excessive amount of persis-193 tent  $CH_3$ -groups in the film. As Vandalon *et al.* pointed out, also simulations 194 suggest that the isolated CH<sub>3</sub>-groups have higher reaction barrier compared 195 to the multiple methyl groups in close proximity to each other [35]. These 196 isolated unreactive methyl groups could be the source of the high hydrogen 197 concentration in the film deposited at 70 °C. 198

As seen in Fig. 5a and 5b, the carbon concentration follows very similar 199 trend to the hydrogen concentration and decreases with the increasing depo-200 sition temperature and purge length. Carbon concentration is not high even 201 at low temperatures and the use of  ${}^{2}H_{2}O$  instead of  ${}^{1}H_{2}O$  does not have a 202 significant effect on carbon concentration. However, it remains as an open 203 question what happens to the carbon since even at 70 °C the amount of 204 carbon in the films is only  $2.3\pm0.2$  at.% (Fig. 5a). If hydrogen would be in 205 the film in the form of methyl groups we should expect carbon concentration 206 to be closer to 5 at.%. Same observation was done by Vandalon et al. and 207 they proposed that these methyl groups can react with water in subsequent 208 cycles. 209

<sup>210</sup> Comparison of <sup>1</sup>H and <sup>2</sup>H impurities with previous work by Guerra-Nunẽz <sup>211</sup> *et al.* is made also in Fig. 4a. General trends are similar although our

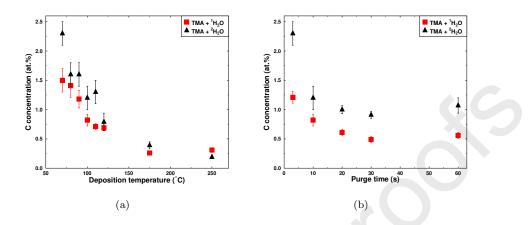


Figure 5: a) Carbon concentration of films deposited at different temperatures using 300 ms pulses and 10 s purging times. b) Carbon concentration as a function of purging times, films were deposited at 100 °C using 300 ms pulses.

results show slightly higher deuterium content but somewhat lower hydrogen incorporation. All our samples in Fig. 4a are deposited with 300 ms precursor pulses and 10 s N<sub>2</sub> purges while Guerra-Nunẽz *et al.* used 1 s pulses, 2 s exposure time and 60 s purging. While this long ALD cycle can improve the film quality, it also makes the deposition very long and maybe impractical for industrial purposes.

The length of the purge has a significant effect on the hydrogen incor-218 poration in the film as seen in Fig. 4b. Both the hydrogen and deuterium 219 concentration decrease as the purging times increases. Although deposited 220 films are conformal in thickness (less than 2 % variation over the area of 221 the reactor) even with the shorter purging times, and therefore seem to rep-222 resent pure and self-limiting ALD-growth, the films are far from identical. 223 Reactions are observed to go to completion at quite slow rate at low temper-224 atures, requiring 30 s purging even as high as at 100 °C before the hydrogen 225

concentration saturates. Similar trend is observed for  ${}^{1}\text{H}_{2}\text{O}$  process which is somewhat surprising since the GPC does not change with increasing purging times (Fig. 1b).

The mass gain per cycle is a more direct indication of slow reaction rate 229 and desorption of reaction species at low temperatures compared to measur-230 ing only GPC, as films with similar thickness can have different mass density. 231 It is shown on quartz crystal microbalance (QCM) studies that the mass gain 232 per cycle decreases as the purging times are increased at low temperatures 233 [18, 36]. In addition, the mass loss after the precursor pulse can continue for 234 tens of seconds indicating that the reactions are slow and the desorption of 235 reaction products and physisorbed surface species require long purging times 236 at low temperatures. This supports our finding that long purging times de-237 crease hydrogen, carbon and excess oxygen content in the film. 238

This purging time issue is one example of a sources of inconsistency in 239 ALD research pointed out by Sønsteby et al. in the recent paper [37]. They 240 address the problems on ALD reproducibility and relate, for example, higher 241 than expected GPC to short purging times possibly leading to CVD like 242 growth. This is all true, but looking only at the GPC does not guarantee 243 that the results are comparable as we have shown above. Even if purging 244 times are adequate to produce conformal films, purging can be short enough 245 to also change the composition of the film as seen in Figure 4b even if the GPC 246 does not change (See Fig. 1b). In addition, the reactor used will influence the 247 required purging (and pulsing) times. Details, such as vacuum level, reactor 248 geometry and gas flows will have an effect on deposition and film growth. It 249 is important to note this issue when comparing the results obtained by the 250

different groups. This issue is most pronounced at low temperatures. As seen in Fig. 4a, our results are somewhat different compared to those measured by Guerra-Nunez *et al.* The difference between our and their results is of order of a few at.% which is larger than the margin of error. Therefore the discrepancy must come from the different pulsing and purging times, reactor geometry and deposition pressure, and it is important to report all the deposition parameters in order to compare the results reliably.

We also studied the hydrogen migration in the  $Al_2O_3$  films. A depth 258 profile of an as-deposited TMA +  ${}^{2}H_{2}O$  film is presented in Figure 6a). 259 The films were deposited using 150 ms precursor pulses and 3 s purging 260 at 100 °C which makes them hydrogen rich. In figure 6b) the same film 261 is shown after 24 h exposure in a climate chamber. The conditions in the 262 chamber were kept constant at 60  $^{\circ}\mathrm{C}$  and at 80 % relative humidity. After 263 the treatment the deuterium concentration was dramatically decreased and 264 at the same time the concentration of  ${}^{1}H$  had increased equally keeping the 265 total amount of elemental hydrogen constant. This can be explained by the 266 hydrogen exchange reactions. Hydrogen in water vapour and gaseous H<sub>2</sub> can 267 exchange hydrogen atoms with the impurity hydrogen/deuterium in the film. 268 Since the natural abundance of deuterium (0.02 %) is much lower than the 269 ratio in these films, the percentage of the <sup>2</sup>H drops due to these exchanges. 270 Interestingly, the exchange does not happen only at the surface but also all 271 the way through the 80 nm thick films. This requires migration of hydrogen 272 for rather long distances even in these low temperature annealing conditions. 273 Other possibility is that the film is porous and gases penetrate the film easily. 274 However, there is a threshold for hydrogen concentration that is needed 275

for the exchange reaction to proceed through the whole film. Sample deposited at 100 °C with 300 ms pulses and 10 s purging times contain ~ 15 at.% of total hydrogen (<sup>1</sup>H + <sup>2</sup>H). When this sample is exposed to climate chamber conditions, there are no major changes in either hydrogen or deuterium concentrations (See Supplementary A.9).

We can observe in Fig. 6a that both <sup>1</sup>H and <sup>2</sup>H are unevenly distributed 281 in the film. There is more <sup>1</sup>H at the surface and more <sup>2</sup>H at the interface. 282 This is an example of a similar exchange reaction that happens also at room 283 temperature in air given enough time (1 day) between the deposition and 284 characterization (see Supplementary Fig. A.8). Therefore data shown in 285 Figures 1, 3, 4 and 5 were measured from the samples that were kept in a 286 load-lock under a vacuum condition (< 1 mbar) until exposed to air very 287 briefly just before the characterization. 288

It is known based on IR-studies that the hydrogen impurities in ALD-289  $Al_2O_3$  films are found at least in the form of OH-groups [38, 39]. Signal from 290 OH stretching has been shown to increase as the hydrogen content of the film 291 increases, supporting the claim that hydrogen is found in the films in form 292 of OH-groups [38]. Films deposited at low temperature are also oxygen rich 293 hinting that there are undercoordinated oxygen atoms. Relatively low carbon 294 concentration rules out the speculation that the majority of the hydrogen 295 would be in the form of  $CH_3$ -groups. Furthermore, in the films grown with 296  $TMA + {}^{2}H_{2}O$ ,  ${}^{2}H$  atoms are most likely bound to oxygen as they originate 297 from the water. 298

In order to see if the hydrogen migration is related to the movement of the whole OH-group or if there is any oxygen diffusion from the ambient

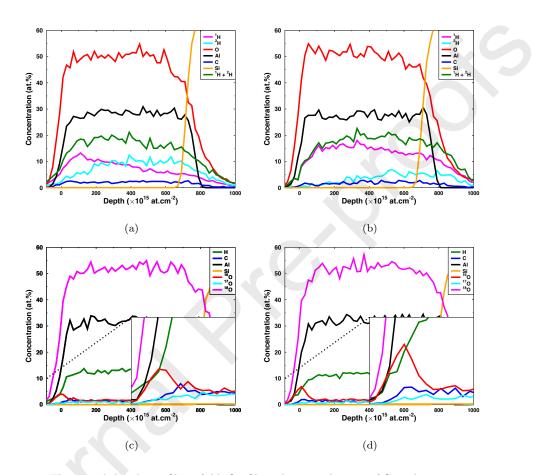


Figure 6: Elemental depth profiles of Al<sub>2</sub>O<sub>3</sub> films deposited at 100 °C with 150 ms precursor pulses and 3 s purging. a) As-deposited film using TMA and <sup>2</sup>H<sub>2</sub>O. The sample was kept at room temperature in air for one day before the characterization. b) The same film as in a) but after 24 h at 60 °C and 80 % RH. c) As-deposited film using TMA and H<sup>18</sup><sub>2</sub>O. The sample was kept for one day at room temperature in air before the characterization. d) The same film as in c) but after 48 h in 60 °C and 80 % RH.

present, films using TMA and  $H_2^{18}O$  as precursors were deposited. The depth 301 profile of an as-deposited film grown at 100 °C is presented in Fig. 6c). We 302 see that oxygen-18 enriched water produces films that contain less hydrogen 303 than the films deposited with <sup>2</sup>H<sub>2</sub>O. However, hydrogen concentration in Fig. 304 6c is comparable to the samples deposited with  ${}^{1}H_{2}O$ . This is expected since 305 small change in oxygen mass does not have a great effect on the activation 306 energy and therefore kinetic isotope effect is not noticeable. After 48 h in 307 the climate chamber at 60  $^{\circ}$ C and at 80 % RH the films show only very 308 minor difference at the surface as seen in Figure 6d). Inside the film the 309 amount of oxygen-18 does not change, indicating that oxygen even in OH-310 groups can not efficiently exchange with oxygen from  $O_2$  or  $H_2O$  at similar 311 rate compared to hydrogen. This indicates that only hydrogen is migrating 312 in the film, not whole OH-groups. From the results it is also clear that the 313 oxygen that is bonded to aluminium does not exchange with oxygen atoms 314 from  $O_2$  or  ${}^1H_2O$  molecules. 315

Dingemann *et al.* showed that hydrogen effuses from the  $Al_2O_3$  films in 316 the form of both  $\rm H_2$  and  $^1\rm H_2O$  when annealed at 200 to 1000  $^{\circ}\rm C$  in high 317 vacuum  $(10^{-7} \text{ mbar})$  conditions [20]. That process, however, must be dif-318 ferent compared to process reported in this paper. The high temperature 319 annealing removes and so decreases the amount of hydrogen in the films. 320 Here we observe only an (isotope) exchange reaction and the total amount of 321 hydrogen in the film does not change. Considering that the  ${}^{1}H/{}^{2}H$ -exchange 322 reaction is chemically similar to the H/H-exchange, it is clear that the hy-323 drogen moves through the films easily if the film has been deposited at low 324 temperature and has high hydrogen content. Hydrogen diffusion studies done 325

<sup>326</sup> by Cameron *et al.* with radioactive hydrogen isotope tritium (<sup>3</sup>H) show that <sup>327</sup> hydrogen can diffuse through also in atomic form [40], which is in agreement <sup>328</sup> with our findings.

Porosity of the film could increase both the efficiency of the hydrogen exchange and hydrogen permeation in the film enabling gases to penetrate and interact also deeper in the film. Many amorphous ALD films are known to be pinhole free [4, 41] and this was confirmed also here with helium ion microscopy (HIM) (See Supplementary A.10a and A.10b). With the nominal HIM resolution of 0.5 nm no detectable porosity could be observed.

# 335 4. Conclusions

Although ALD alumina films have been suggested and used as possible 336 gas barriers, it is clear that at least hydrogen is able to transport through the 337 film rather easily in a warm and humid environment if the initial hydrogen 338 concentration of the film is high. Our results indicate that the hydrogen 339 transport proceeds via hydrogen exchange reactions. Therefore it is possible 340 to decrease the hydrogen transport by controlling the amount of hydrogen left 341 in the film during the deposition. This can be achieved by careful selection 342 of precursors or increasing the deposition temperature and using very long 343 purging times. Optionally also post-deposition annealing can be applied. 344 However, the coated material in barrier applications is commonly a polymer, 345 which many times rules out high deposition temperatures. Longer purges 346 in turn increase the deposition times, possibly by an order of magnitude, 347 which reduces the throughput significantly making the ALD-Al<sub>2</sub>O<sub>3</sub> films as 348 hydrogen permeation barriers not so attractive method. 349

As demonstrated here, a significant amount of hydrogen is stored in the films and hydrogen can also move through the film if the concentration is high. Oddly enough, this could open opportunities using ALD-Al<sub>2</sub>O<sub>3</sub> films as proton conductors [42]. ALD also offers various ways to control the hydrogen content and diffusion.

In order to study the hydrogen incorporation in the films, we replaced 355  ${}^{1}\text{H}_{2}\text{O}$  with  ${}^{2}\text{H}_{2}\text{O}$ . Change of isotope in a molecule should not change the 356 chemistry since the element is not changed. However, in the case of hydrogen, 357 replacing hydrogen with deuterium doubles the mass of the atom and it 358 affects the film growth. Growth per cycle is decreased and impurity carbon 359 and total hydrogen incorporated in the film is increased when  ${}^{2}H_{2}O$  is used. 360 On the other hand, the ratio between the main components, oxygen and 361 aluminium, is independent of oxygen precursor. While there are differences 362 in the films depending on the oxygen precursor, the general trends are similar 363 and therefore the comparison is justified when done carefully. 364

It is also noteworthy to keep in mind that in addition to pulsing times 365 and deposition temperature, purging times affect greatly the film quality and 366 this should be taken in to account especially when comparing results from 367 different studies. Our work clearly demonstrates that even though a quick 368 glance at the samples does not show any difference, there can be a significant 369 difference in the compositions. If this is the case even with the close to 370 "ideal" ALD process of TMA and water, even more care should be taken 371 when interpreting results obtained with limited characterization techniques 372 from more demanding processes. 373



Figure A.7: Uncontrolled CVD-growth on three silicon chips  $(TMA + {}^{2}H_{2}O)$  and one sample with proper film  $(TMA + {}^{1}H_{2}O)$ . All samples were deposited at 60 °C with 300 ms pulses and 10 s purging.

# 374 Appendix A. Supplementary

When the purging times are too short and there is a substantial amount of previous precursor available when the next precursor is introduced to reactor, growth is not under control and we experience CVD-like growth (Fig. A.7). The film is also much thicker than what would be expected for the ALDgrowth.

If films are not properly stored, the hydrogen exchange reactions take place also at room temperature as seen in Fig. A.8. As the concentration of deuterium decreases over time, the concentration of hydrogen increases. The total amount of  ${}^{1}\text{H} + {}^{2}\text{H}$  increases slightly but the change is not significant. However, there seems to be a threshold value for hydrogen exchange re-

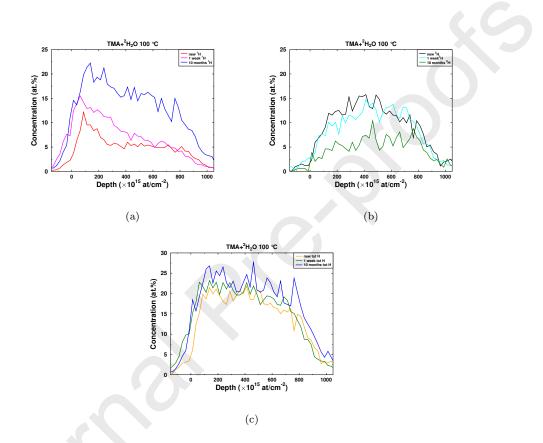


Figure A.8: The sample was deposited at 100  $^{\circ}$ C using 150 ms pulses and 3 s purging making the hydrogen concentration high. The sample was stored in ambient condition and measured again later. The <sup>1</sup>H concentration increased as the <sup>2</sup>H concentration decreased equally. a) Elemental depth profile of <sup>1</sup>H, b) <sup>2</sup>H and c) the sum of <sup>1</sup>H and <sup>2</sup>H presented in a) and b).

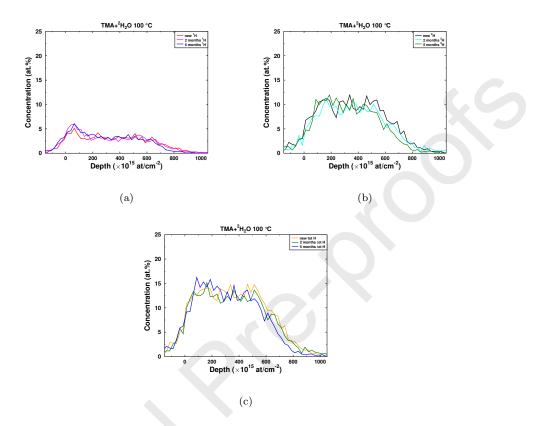


Figure A.9: The sample was deposited at 100 °C using 300 ms pulses and 10 s purging and was stored in ambient conditions and measured again later. a) Elemental depth profile of  ${}^{1}$ H, b)  ${}^{2}$ H and c) the sum of  ${}^{1}$ H and  ${}^{2}$ H presented in a) and b).

action. If longer purging times are used, resulting lower hydrogen content,
there is no significant change in hydrogen or deuterium content when samples
are stored in air as seen in Fig. A.9.

The cross section of an  $Al_2O_3$  film is seen HIM micrograph (Fig A.10) tilted in 30° angle. Micrograph shows a pinhole free surface. However, HIM does not resolve any sub-nanometer porosity.

<sup>391</sup> In Table A.1 are listed the elemental compositions of the films in tem-

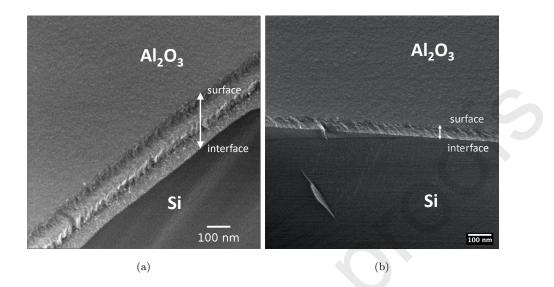


Figure A.10: Cleaved 100 nm thick  $Al_2O_3$  film at 30° angle imaged with HIM. a) The film was deposited with TMA and <sup>2</sup>H<sub>2</sub>O at 100 °C using 150 ms precursor pulses and 3 s purging. b) The film was deposited with same recipe as in a) but using TMA + <sup>1</sup>H<sub>2</sub><sup>18</sup>O.

<sup>392</sup> perature series and in Table A.2 are the elemental compositions of the films
<sup>393</sup> deposited at 100 °C with varying purge lengths.

Table A.1: Elemental composition of the films deposited at different temperatures. The films were deposited using TMA and  ${}^{1}\text{H}_{2}\text{O}/{}^{2}\text{H}_{2}\text{O}$  with 300 ms pulses and 10 s purging.

$TMA + {}^{2}H_{2}O$					
T(°C)	Al	Ο	С	$^{1}\mathrm{H}$	$^{2}\mathrm{H}$
70	$25.9 {\pm} 0.6$	$48.5 \pm 0.9$	$2.3 \pm 0.2$	$15.1 {\pm} 0.5$	8.3±0.7
80	$28.9{\pm}0.7$	$50.2 \pm 1$	$1.6 {\pm} 0.2$	$7.1 {\pm} 0.4$	$12.2 \pm 0.9$
90	$30.3 \pm 0.7$	$53.2 \pm 1$	$1.6 {\pm} 0.2$	$4{\pm}0.2$	$11 \pm 0.8$
100	$31.3 \pm 0.7$	$53.6 \pm 1$	$1.2 {\pm} 0.2$	$3.5 \pm 0.2$	$10.3 \pm 0.8$
110	$32.8{\pm}0.7$	$55\pm1$	$1.3 \pm 0.2$	$2.4{\pm}0.2$	$8.5 \pm 0.7$
120	$34.5 {\pm} 0.6$	$56 \pm 0.9$	$0.79 {\pm} 0.11$	$1.82 {\pm} 0.15$	$6.9 {\pm} 0.5$
175	$37.3 \pm 0.4$	$58.9{\pm}0.6$	$0.39{\pm}0.05$	$0.69{\pm}0.06$	$2.7 \pm 0.2$
250	$38.7 \pm 0.4$	$59.9{\pm}0.6$	$0.19{\pm}0.03$	$0.23 {\pm} 0.03$	$1 \pm 0.13$
$TMA + {}^{1}H_{2}O$					
70	$30.2 \pm 0.6$	$51.5 \pm 0.9$	$1.5 \pm 0.2$	$16.8{\pm}0.5$	-
80	$31{\pm}0.3$	$53.2 \pm 0.4$	$1.41{\pm}0.07$	$14.4 {\pm} 0.2$	-
90	$32.9\pm0.7$	$54 {\pm} 0.9$	$1.18 {\pm} 0.15$	$11.9 {\pm} 0.4$	-
100	$32.3 \pm 0.5$	$56.8 \pm 0.8$	$0.82{\pm}0.1$	$10.1 {\pm} 0.3$	-
110	$34.4{\pm}0.2$	$56.7 \pm 0.3$	$0.71 {\pm} 0.04$	$8.2 {\pm} 0.11$	-
120	$34.9 {\pm} 0.3$	$57.4 \pm 0.4$	$0.69{\pm}0.05$	$7 \pm 0.14$	-
175	$37.7 {\pm} 0.3$	$59.2{\pm}0.5$	$0.26{\pm}0.03$	$2.84{\pm}0.1$	-
250	$38 {\pm} 0.3$	$58.8 {\pm} 0.5$	$0.31 {\pm} 0.04$	$2.83 {\pm} 0.1$	-

Table A.2: Elemental composition of the films deposited with different purging times. The films were deposited using TMA and  ${}^{1}\text{H}_{2}\text{O}/{}^{2}\text{H}_{2}\text{O}$  with 300 ms pulses at 100 °C.

$TMA + {}^{2}H_{2}O$					
Purge (s))	Al	0	С	$^{1}\mathrm{H}$	$^{2}\mathrm{H}$
3	$27.7{\pm}0.6$	$50.7 \pm 0.8$	$2.3 {\pm} 0.2$	$10 {\pm} 0.4$	$9.3 \pm 0.6$
10	$31.3 \pm 0.7$	$53.6 {\pm} 1.0$	$1.2 \pm 0.2$	$3.5 \pm 0.2$	$10.3 \pm 0.8$
20	$33.3 \pm 0.3$	$55.3 {\pm} 0.5$	$1{\pm}0.07$	$2.3 {\pm} 0.09$	$8.2 \pm 0.3$
30	$34.1 \pm 0.3$	$56.5 \pm 0.4$	$0.91 {\pm} 0.06$	$1.74 {\pm} 0.07$	$6.8 {\pm} 0.3$
60	$34.7 {\pm} 0.6$	$55.3 \pm 0.9$	$1.07 {\pm} 0.13$	$2 \pm 0.13$	$6.9 {\pm} 0.5$
$TMA + {}^{2}H_{2}O$					
3	$31.6\pm0.2$	$54.2 \pm 0.3$	$1.21{\pm}0.05$	$13 \pm 0.2$	-
10	$32.3 {\pm} 0.5$	$56.8 \pm 0.8$	$0.82{\pm}0.1$	$10.1 {\pm} 0.3$	-
20	$34.4 \pm 0.3$	$56.7 \pm 0.5$	$0.61{\pm}0.05$	$8.2 {\pm} 0.2$	-
30	$35.3 \pm 0.3$	$57.1 \pm 0.4$	$0.49 {\pm} 0.04$	$7.08 {\pm} 0.13$	-
60	$35.5 \pm 0.2$	$58 \pm 0.3$	$0.56 {\pm} 0.03$	$6.01 {\pm} 0.1$	-

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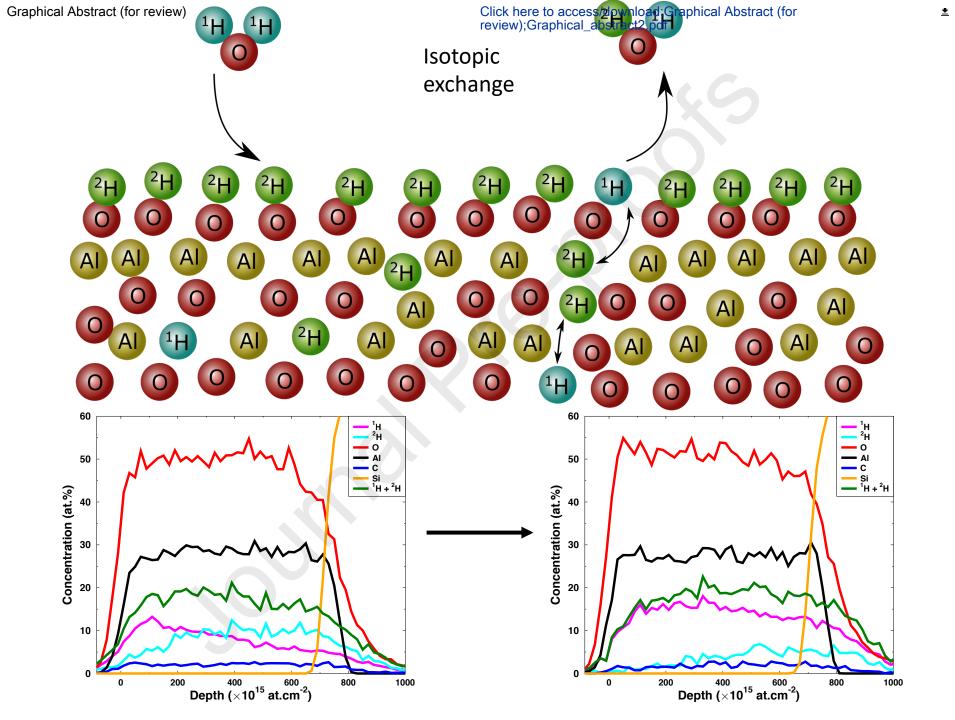
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# Highlights

- Concentration and source of impurity H was studied using isotopic labeling
- H and C impurities decrease with increasing deposition temperature and purge time
- Hydrogen isotopes can migrate in the film even at room temperature
- Migration occurs only if H concentration in the film is high enough

Sonution



# **Credit Author Statement**

**Sami Kinnunen**: Investigating, Visualisation, Writing - original draft preparation. **Kai Arstila**: Investigating, Writing – Review & editing. **Timo Sajavaara**: Supervision, Writing – Review & editing