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1 Long-term impacts of increased timber harvests on 2 ecosystem services and biodiversity: a scenario study 3 based on national forest inventory data

5 **Abstract**

6 The transition to a climate-neutral economy is expected to increase future timber demands and
7 endanger the multifunctionality of forests. National scenario analyses are needed to determine long-
8 term forest management impacts and support forest policy making in defining guidelines for the
9 sustainable provision of forests' ecosystem services and biodiversity (ESB). Using national forestry
10 inventory data, the forest management model MASSIMO and a model to estimate harvesting costs,
11 we simulated forest development in Switzerland under five politically relevant timber harvesting
12 scenarios until 2106 (business as usual and four increased timber mobilisation scenarios). Model
13 results were analysed using a utility-based multi-criteria approach regarding timber production,
14 protection against gravitational hazards, carbon sequestration and biodiversity conservation for the
15 whole of Switzerland and for five sub-regions. The development of ESB benefits over time and
16 existing trade-offs were analysed. Apart from the Plateau region, the business-as-usual scenario
17 resulted in the highest overall ESB benefits. However, this scenario did not mobilise possible timber
18 potential, which is not in line with current forest policies. In the Plateau region, ESB benefited most
19 under a constant growing stock scenario that guaranteed long-term sustainable timber usage.
20 Nevertheless, both scenarios showed strong trade-offs between biodiversity conservation and the
21 service carbon sequestration. The latter was achieved best under a scenario with conifer promotion
22 and increased harvested timber volumes that can be used for long-living timber products and
23 substitution of energy intensive materials and fossil fuels. Even though weighting the ESB according
24 to regional management priorities further increased the trade-off situation, it also increased the
25 overall benefits of harvesting scenarios, except for in mountainous regions. We conclude that no
26 single scenario can maximize all ESB benefits simultaneously. A combination of locally adapted
27 scenarios with targeted priorities can guarantee a higher degree of multifunctionality and long-term
28 timber supply, but at the cost of locally more accentuated trade-offs. Overall, our study provides new
29 insights into ESB interactions, and the presented multi-criteria framework and results provide a
30 valuable basis to support forest policy decision making in Switzerland and beyond.

32 **Keywords**

33 biodiversity conservation, carbon sequestration, decision support, forest management, multi-criteria
34 analysis, protection forest

36 **Highlights**

- 37 • Timber mobilisation scenarios were simulated using a NFI-based forest growth model
- 38 • Scenarios were analysed for ecosystem service benefits and trade-offs
- 39 • Benefits were assessed using indicator weights based on stakeholder opinion
- 40 • Increased harvests resulted in trade-offs between carbon storage and biodiversity
- 41 • Results have the potential to support decision making for Swiss forest policies

42

43 **1 Introduction**

44 The transition to a climate-neutral economy before the second half of this century is one of the main
45 targets in Europe to mitigate the effects of climate change (European Commission, 2018). Forests play
46 a crucial role in achieving this ambitious target as they provide a major sink for the climate-relevant
47 greenhouse gas carbon dioxide. Additionally, the potential for the carbon sequestration of forest
48 products and the substitution of CO₂-intensive material and energies by wood is widely accepted and
49 accounted for under the Land Use, Land Use Change and Forestry LULUCF regulation (EU, 2018;
50 Nabuurs et al., 2018; Werner et al., 2010). The climate-neutral view of forest products is expected to
51 increase the demand for timber and other woody biomass to replace fossil-based energies and non-
52 timber products (Ferranti, 2014; Hetemäki et al., 2017; Thees et al., 2017). However, increased
53 demands for biomass were found to trade off with other important forest management objectives,
54 such as the regulation of water and carbon cycles, the cultural service of recreation, the provision of
55 protection against hazards and the conservation of biodiversity (Blattert et al., 2018; Gutsch et al.,
56 2018; Lafond et al., 2017; Langner et al., 2017; Mina et al., 2017). Nevertheless, to maximize benefits
57 for the whole of society, and to account for economic, ecological and social aspects of sustainable
58 forest management, all relevant ecosystem services and biodiversity (ESB) need to be considered
59 (MEA, 2005).

60 Several forest policies in Europe, therefore, aim to guide the sustainable management of finite forest
61 resources to best meet multiple objectives in the long-term (EASAC, 2017). Nevertheless, harmonizing
62 timber and non-timber demands and avoiding trade-offs is a challenging task for forest policy makers,
63 as long-term policy impacts are difficult to foresee. Forest policy decision making can be supported by
64 scenario analyses that illustrate forest management and its effects on ESB over long-term periods and
65 from regional to national scales (Hoogstra-Klein et al., 2017). To that end, analyses based on data from
66 national forest inventories (NFI) can be particularly relevant because they represent the whole forest
67 area of a country. Recent examples of such large-scale studies include Jandl et al. (2018) that assessed
68 the effects of climate-smart forest policies on biomass production and carbon sequestration in
69 Austrian forests, and Gutsch et al. (2018) that investigated trade-offs between increased biomass
70 production and biodiversity, water regulation and carbon sequestration objectives in German forests.
71 However, none of these national studies accounted for ex-situ carbon storages in wood products and
72 substitution aspects, which are essential for the comprehensive evaluation of forest management
73 scenarios in terms of climate-change mitigation (Leskinen et al., 2018; Schmid et al., 2006; Werner et
74 al., 2005). Moreover, none of these studies took into account harvesting costs in predicting future
75 biomass availability. Harvesting costs strongly affect the amount of timber that can be mobilized,
76 particularly in mountainous areas, where difficult terrain and limited accessibility lead to unprofitable
77 timber harvests and increase the share of unmanaged mountain forests (Lexer and Bugmann, 2017;

78 Thees and Schmid, 2015). Unprofitable timber harvests reduce the available biomass potential of ex-
79 situ carbon storage and substitution effects. Further, unmanaged forests trade-off with the protection
80 service of forests against gravitational hazard, which is an important service in many densely populated
81 mountainous regions in central Europe in the protection of settlements and traffic networks (Moos et
82 al., 2018). Protection forests require the management of a resistant and resilient stand structure to
83 provide an optimal long-term protection effect (Brang et al., 2008; Frehner et al., 2007). We thus
84 hypothesise that, without considering aspects of ex-situ carbon storages and harvesting costs, national
85 scenario analyses do not fully represent forestry's contribution to climate-change mitigation.
86 Additionally, no holistic analysis of scenario effects on ESB or related trade-offs among them are
87 possible. Closing this research gap is necessary given that the results of national scenario analyses may
88 otherwise lead to inaccurate policy decisions.

89 To assess holistic and complex interactions between multiple objectives, multi-criteria decision
90 analysis (MCDA) methods have gained considerable importance in forest management (e.g., Ananda
91 and Herath (2009); Myllyviita et al. (2011); Uhde et al. (2015)). In combination with forest growth
92 models for long-term scenario simulations, MCDA is particularly suitable to illustrate synergies and
93 trade-offs between multiple objectives (Wolfslehner and Seidl, 2010). In order to measure the effects
94 of management on landscape ecosystem service multifunctionality, Manning et al. (2018) recently
95 presented a conceptual MCDA framework related to the additive utility theory (multi-attribute value
96 theory MAVT). However, to our knowledge, no previous study has analysed the effects of forest
97 management scenarios on the provision of ESB at the national scale with such a framework (Blattert
98 et al., 2018; Briceño-Elizondo et al., 2008; Diaz-Balteiro et al., 2017; Fürstenau et al., 2007; Langner et
99 al., 2017; Schwenk et al., 2012). The applicability and usefulness of this method for large-scale forest
100 ecosystem analyses is thus still an open research question. However, the concept is seen as a promising
101 approach to reveal the complex interactions between multiple objectives in a transparent way, which
102 is, in turn, essential for decision support in forest policy making.

103 As a case study, we used Switzerland because this European country represents several challenges for
104 forest policy. Due to its dense population, topography and fragmented landscape, there are high
105 demands for multiple forest objectives on a small scale. Furthermore, Switzerland has both easily
106 accessible and productive forest areas for biomass production at low elevations as well as cost-
107 intensive harvesting areas in mountainous regions, where protection against gravitation hazards is
108 often the most important management objective (Huber et al., 2015). National-scale scenario analysis
109 in Switzerland to date has focused mainly on timber production and carbon sequestration (Thürig and
110 Kaufmann, 2010), and accounted for other objectives only implicitly (Stadelmann et al., 2016; Taverna
111 et al., 2016). Holistic scenario effects on ESB have only been considered at scales of single forest stands,
112 case study landscapes or small regions (Blattert et al., 2018; Elkin et al., 2013; Mina et al., 2017;

113 Temperli et al., 2017a; Temperli et al., 2017b). A nationwide and multi-objective investigation of future
114 ESB provision does not yet exist for Switzerland.

115 The goal of this study was to quantify the overall benefits of ESB provision and the associated trade-
116 offs that occur under politically-relevant long-term timber harvesting scenarios. The research
117 questions were: i) How do forest ESB develop under different politically-relevant harvesting scenarios
118 in Switzerland and its regions, particularly if ex-situ carbon storages and harvesting costs are also
119 accounted for? ii) Are there trade-offs among ESB under the various scenarios? iii) How do overall ESB
120 benefits relate to overall trade-offs?

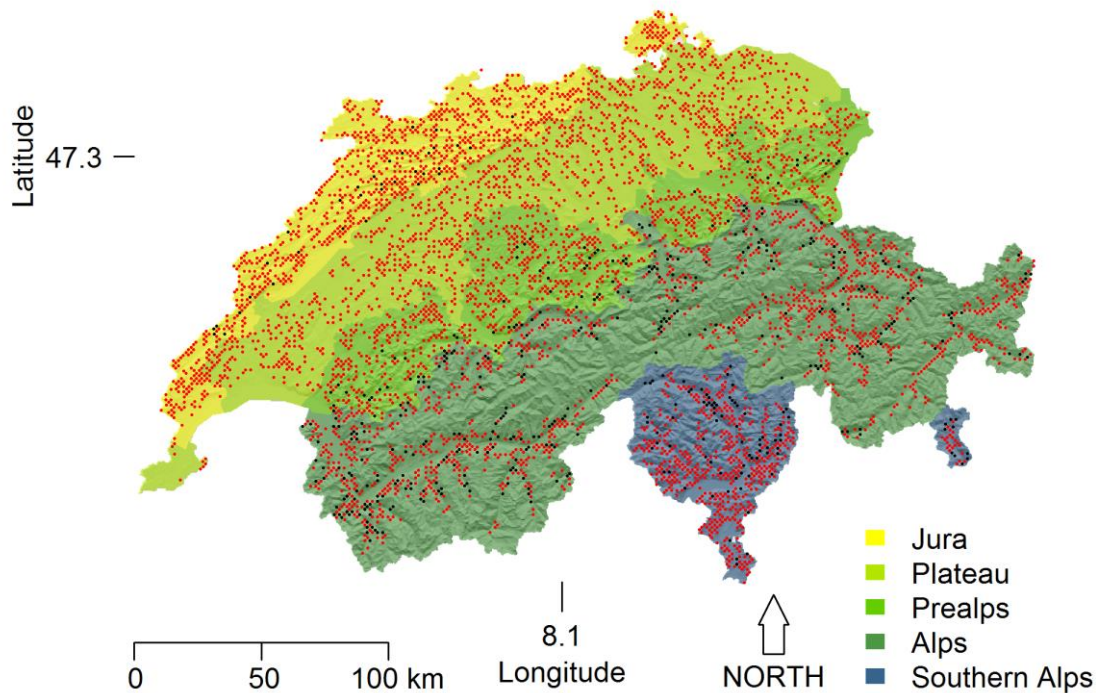
121 To address these questions, we applied a utility-based indicator framework to assess the conservation
122 of forest biodiversity and key ecosystem services provided by Swiss Forests, namely, the provisioning
123 services timber protection and protection against gravitational hazards and the regulating service
124 climate-change mitigation (BAFU, 2012, 2013). ESB were assessed with indicators that measure forest
125 structural attributes simulated by the empirical forest growth simulator MASSIMO (Stadelmann et al.,
126 2019), which is based on the Swiss NFI.

127 **2 Material and methods**

128 **2.1 Study area and data**

129 Forests cover 32% (1.32 Mio. ha) of Switzerland's land mass and are monitored by the Swiss NFI on a
130 regular national 1.4 km grid. The country can be divided into five production regions with similar forest
131 growth conditions: the Jura, the Plateau, the Pre-Alps, the Alps and the Southern Alps (Figure 1)
132 (Brändli, 2010; Brändli and Hägeli, 2019). In the Jura, the Plateau and the Pre-Alps, 60% of the forest
133 area is covered by conifer forests (mainly Spruce, *Picea abies* L.). Changes in management paradigms
134 aim to increase the potential natural vegetation in these regions, which is dominated by beech (*Fagus*
135 *sylvatica* L.) and silver fir (*Abies alba* M.) towards higher elevations. Montane mixed spruce and fir
136 forests and subalpine spruce forests prevail on the northern slope of the Alps. Stone pine- (*Pinus*
137 *cembra* L.) larch (*Larix decidua* M.) forests form the high-elevation tree-line and Scotts pine (*Pinus*
138 *silvestris* L.) and mountain pine (*Pinus mugo* T.) forests are common at the bottom and on the slopes
139 of the central Alpine valleys, respectively. Mixed deciduous forests dominate the lower elevations on
140 the southern slope of the Alps (Brändli, 2010). This study builds on the data of the second (NFI2: 1993–
141 1995) and third Swiss NFI (NFI3: 2004–2006) resulting in a common grid of 5,086 sample plots in the
142 productive forests (Figure 1). Further, we used data of the first half of the fourth NFI (NFI4b 2009-2013)
143 for the definition of management scenarios. Sample plots consist of two concentric circles of 200 m²
144 and 500 m² with calliper thresholds of 12 cm on the inner and 36 cm on the outer circle. Saplings and

145 trees of < 12 cm DBH and ≥ 10 cm in height are measured on two 14 m^2 satellite plots, and dead logs
 146 and branches on the ground of > 7 cm in diameter are recorded on three transects.



147
 148 **Figure 1:** Location of the 5,086 NFI sample plots in Switzerland (red and black dots) and the five production regions (Jura,
 149 Plateau, Pre-Alps, Alps, Southern Alps) used as data in this study. Sample plots in black are located within the SilvaProtect
 150 perimeter for forests that protect against avalanches and rockfall (Losey and Wehrli, 2013). Note that this perimeter does
 151 not include protection forests against landslides.
 152

153 2.2 Modelling forest development

154 Forest development in the sample plots of the Swiss NFI was simulated with the empirical individual-
 155 tree model MASSIMO (*Management Scenario Simulation MOdel*) (Stadelmann et al., 2019). We applied
 156 the scenarios presented by Stadelmann et al. (2016) to assess ESB provision nationwide and in the five
 157 production regions (Figure 1). MASSIMO projects growth, regeneration, mortality and management of
 158 individual trees in ten-year time steps. Density-dependent (self-thinning) and windthrow-induced
 159 mortality is simulated based on observed probabilities (Thürig et al., 2005). The simulation of forest
 160 management with MASSIMO comprises shelterwood cutting, thinning and regulating the conifer
 161 proportion in the regeneration. An assortment routine assigns harvested timber volumes to
 162 marketable timber products. By linking the harvest productivity model HeProMo, harvesting costs can

163 be calculated (Frutig et al., 2009) (Appendix S3). Together with current market prices, this enables the
164 assessment of potential harvest net revenues (Appendix S4).

165

166 **2.3 Management scenarios**

167 Forest development was simulated over 100 years (2006-2106) under five management scenarios
168 representing potential future timber harvesting strategies. The scenarios were developed by
169 Stadelmann et al. (2016) together with a group of experts representing stakeholders from policy, forest
170 practice, the timber industry and from forest science. Overall, the scenarios aim to reflect current
171 important forest trends in Switzerland as follows:

- 172 1) *Constant*: The baseline scenario keeps growing stock in all regions at the level observed in 2013
173 (NFI4b). The sum of all removals (harvests and mortality) corresponds to the timber volume
174 increment.
- 175 2) *Business as usual (BAU)*: The amount of harvesting is kept constant at the level observed
176 between NFI3 and NFI4b illustrating the long-term effects of current management. This means
177 increasing growing stocks throughout Switzerland except for the Plateau region where
178 currently harvests exceed increments.
- 179 3) *Increment*: This scenario increases the long-term increment by reducing current growing
180 stocks while keeping losses in growth small over the short- and medium-term. To this end,
181 growing stock is reduced to 300 m³ ha⁻¹ until the year 2046 and left constant thereafter.
- 182 4) *Conifers*: This scenario meets rising demand for coniferous timber in Switzerland. Growing
183 stocks are reduced to 300 m³ ha⁻¹ until 2046 and then increase again to 300-330 m³ ha⁻¹
184 depending on production region by respectively shorting and lengthening the rotation length
185 and cutting cycles. To increase the production of conifer timber in the long-term, the
186 proportion of conifer tree species is increased in the regeneration.
- 187 5) *Energy*: This scenario maximizes timber production meeting increasing demand for energy
188 wood and wood-based chemicals, regardless of the target diameter. Growing stock is reduced
189 until 2046 to 200 m³ ha⁻¹ in the Plateau, 250 m³ ha⁻¹ in the Jura, Pre-Alps, Valais and Southern
190 Alps and 300 m³ ha⁻¹ in the Alps without Valais, then a constant growing stock is simulated.
191 This rapid reduction in growing stocks and the shortening of the rotation period increase
192 increment and usage. To compensate for the intensive management, forest reserves are
193 established on rare forest locations and at locations where timber production is not very
194 profitable.

195 Disturbances due to storms were simulated in all scenarios with a periodicity of 15 years. Whether
196 storm damage occurs during a decade, where it occurs and how large it is (number of affected sample
197 plots) is determined stochastically in MASSIMO (Thürig et al., 2005). For details, see Appendix S1.

198

199 **2.4 Value-based indicator framework**

200 **2.4.1 Ecosystem service and biodiversity indicators**

201 Management scenarios were analysed using 11 indicators that capture aspects of biodiversity and the
202 key ecosystem services in Swiss forests (timber production, carbon sequestration and protection
203 against avalanche and rockfall). The indicators were adapted from Blattert et al. (2017) (Table 1) and
204 selected to first comply with the indicator frameworks from the Swiss federal office for the
205 environment and cantonal forest services (Bernasconi et al., 2014). A second criterion was the available
206 data from MASSIMO simulations, which included forest structural attributes (i.e. tree diameter at 1.3m
207 (diameter at breast height - DBH), tree species, annual ingrowth and harvest).

208 Biodiversity conservation was assessed by species diversity measured with the Shannon index
209 (Shannon and Weaver, 1949) and DBH diversity was measured with the Post-hoc index (Staudhammer
210 and LeMay (2001), with DBH classes of 4 cm). For both indices, gamma diversity was calculated
211 according to Jost (2007), representing diversity over all NFI sample plots in a region or in Switzerland.
212 Furthermore, the number of habitat trees (large living trees with DBH > 80 cm) and the volume of
213 deadwood (from mortality and harvesting residues) were assessed. Deadwood volume at the
214 beginning of the simulations was summed from observed lying and standing deadwood. Exponential
215 decay functions were used to account for deadwood decomposition (Appendix S2.2). Overall, the
216 indicators represent an indirect measurement of forests structural diversity and habitat quality for
217 diverse flora and fauna (Kraus and Krumm, 2013; Schall et al., 2017).

218 Timber production was assessed with the indicators annual harvested timber volume, annual volume
219 increment, growing stock, and the harvested net revenue, which was calculated from timber revenues
220 and harvesting costs (cf. Section 2.2, Appendix S3). Timber revenues were calculated from simulated
221 volumes in harvested timber assortments to which we assigned currently recommended Swiss timber
222 prices (Appendix S4).

223 Carbon sequestration was measured as the change in carbon pools relative to the beginning of the
224 simulations (cf. Blattert et al. (2018)). Carbon pools included the change in above and below ground
225 biomass of living trees and deadwood, taking into account the emissions caused by harvests or wind
226 disturbances (in-situ storage). Additionally, we accounted for carbon storages in harvested wood
227 products (HWP) and the substitution of non-timber products and fossil fuels, also defined as ex-situ
228 storage. For the HWP we defined four life-span classes: long-, medium- and short-lived products and
229 wood used for energy. The life span corresponds to an exponential decomposition with which the
230 organic carbon bound in wood is released into the atmosphere (Wördehoff, 2016; Wördehoff et al.,
231 2011). The substitution of energy-intensive products like steel or cement through timber leads to a

232 decreased usage of fossil fuels during their production. These effects were calculated on the
233 assumption that one m³ of harvested timber saves the release of a certain amount of CO₂ to the
234 atmosphere. Similar, the direct substitution of fossil fuels with timber, was calculated, which is
235 considered as CO₂ neutral throughout its life cycle (Taverna et al., 2007). The detailed calculations are
236 described in Appendix S2.

237 The protection service was assessed by an avalanche protection index (API) and a rockfall protection
238 index (RPI) (Bugmann et al., 2017). The API indicates the ability of a forest stand to prevent avalanche
239 releases. API calculations assume that for a given mean DBH, the protection ability of a stand can be
240 quantified as the ratio between the observed basal area and a reference basal area above which
241 avalanche release is impossible. Co-determinants are slope angle and the conifer-broadleaf ratio. The
242 RPI quantifies the risk that a rock passes through a stand as the ratio of the maximal energy developed
243 by the rock and the energy dissipated by the current forest stand. The required stand structural
244 variables are the number of stems per hectare, the quadratic mean diameter of stems, the basal area
245 per hectare and the basal area ratio of conifers to broadleaves. Additional variables include slope angle
246 and the following, for which we assumed the mid-range values in parentheses suggested by
247 Cordonnier et al. (2013): rock density (2,800 kg/m³), rock volume (1 m³), the initial fall height of the
248 rock (20 m) and the length of the forested slope (250 m). The RPI is sensitive to these assumptions,
249 which we accepted because the absolute values in the individual sample plots were less relevant for
250 our study than the relative effect of management. The API and RPI values range between 0 and 1 (with
251 1 = optimal protection). Both indices were only calculated for NFI plots that are within the protection
252 perimeters for avalanches and rockfalls according to SilvaProtect (Losey and Wehrli, 2013) (Figure 1).

253

254 **2.4.2 Aggregation of indicators**

255 Apart from the gamma diversities, the simulated variables habitat trees, deadwood, timber volume
256 harvested, timber volume increment, growing stock, timber assortments and harvesting costs were
257 averaged over sample plots to obtain estimates at regional and national levels. Carbon pools were
258 calculated from regional or national averages of simulated growing stock, deadwood and harvested
259 timber volumes, which were transferred into carbon equivalents. Accounting for the left skewed
260 distribution of both API and RPI, we obtained aggregated values across the avalanche and rockfall
261 protection forest perimeters by calculating the percentage of sample plots with high protection
262 efficacy (i.e., with RPI and API values > 0.95).

263

264 2.4.3 Multi-criteria decision analysis

265 Management effects on ESB were analysed in ten-year time steps using MAVT (Ananda and Herath,
 266 2009; Eisenführ et al., 2010; Kangas et al., 2015), an approach recently recommended for measuring
 267 landscape ecosystem service multifunctionality (Manning et al., 2018). MAVT is based on utility theory
 268 that assigns value functions to each indicator to represent the relationship between supply levels of
 269 ESB and the benefit it provides to humans. This normalises the indicator values and results in utility
 270 values of between 0 and 1 (with 1 = optimal indicator value) to allow comparison among ESB indicators.
 271 In this study, we used linear transformations as value functions (Equation 1) (Manning et al., 2018; van
 272 der Plas et al., 2016).

$$u(x_{i,j,k}) = \frac{x_{i,j,k} - \min_k}{\max_k - \min_k} \quad \text{Equation 1}$$

273 where $u(x_{i,j,k})$ is the normalised indicator value at time (i) under strategy (j) in region (k), $x_{i,j,k}$ the
 274 simulated indicator value, \max_k the maximum, and \min_k the minimum simulated indicator value over
 275 all simulation intervals and scenarios in region (k).

276 The normalised values were summarised to partial utility values at the level of individual ESB and as
 277 an overall utility, which describes the benefit of each scenario (Equation 2). The additive utility function
 278 thereby considers weights for indicators and ESB, which reflect the potential preferences of decision
 279 makers for a specific ESB.

$$\text{overall utility}_{i,j,k} = \sum_{a=1}^m \lambda_{a,k} \left(\sum_{b=1}^n \lambda_b u(x_{i,j,k}) \right) \quad \text{Equation 2}$$

$$\sum_{b=1}^n \lambda_b = 1$$

$$\sum_{a=1}^m \lambda_{a,k} = 1$$

280
 281 where λ_b are the weights for indicators for a specific ESB, and $\lambda_{a,k}$ are the weights for an ESB in region
 282 (k). Indicator weights were defined using the simple multi-attribute rating technique (SMART) (Kangas
 283 et al., 2015). Each indicator was given a rank according to its importance (high rank = important). The
 284 corresponding indicator weight is calculated by the individual rank divided by the ESB-specific cross
 285 sum of the assigned ranks. The definition of indicator-utility relationships (value functions) and
 286 indicator weights were supported by a stakeholder panel, which consisted of three representatives of
 287 forest policy-making (Federal Office for the Environment), two additional scientists (Swiss Federal
 288 Institute WSL) and the authors. During a workshop, we discussed the general importance of each
 289 indicator at the national scale and time horizon of this study.

290

291 **Table 1: Selected indicators to describe ecosystem services and biodiversity (ESB), and indicator weights λ_b used in the**
 292 **additive utility function (Equation 2). Stakeholders representing Swiss forest policy-making and science defined the**
 293 **weights.**

ESB	Indicator	Unit	Weight λ_b
Biodiversity conservation	Tree species diversity, Shannon index gamma	-	0.15
	Tree structural diversity, Post-hoc index gamma	-	0.15
	Deadwood volume	m ³ ha ⁻¹	0.35
	Large living trees (habitat trees)	n ha ⁻¹	0.35
Timber production	Growing stock	m ³ ha ⁻¹	0.15
	Annual harvested timber volume	m ³ ha ⁻¹ yr ⁻¹	0.30
	Annual volume increment	m ³ ha ⁻¹ yr ⁻¹	0.15
	Harvest net revenue	CHF ha ⁻¹ yr ⁻¹	0.40
Carbon sequestration	Average carbon change	tC ha ⁻¹ yr ⁻¹	1.00
Protection against gravitational hazards	Rockfall protection index RPI	%	0.50
	Avalanche protection index API	%	0.50

294
 295 We considered two variants of ESB weights in the additive utility function to investigate the sensitivity
 296 of ESB weights on overall benefits. In the first variant, ESB were given the same weight (equal
 297 preferences) in all regions (Table 2). In the second variant, we weighted ESB according to available
 298 information on the regional management priority (primary designated forest function). Forest area
 299 proportions on which timber production (TP) is prioritized was available from NFI surveys among forest
 300 managers. Priority areas for protection against gravitational hazards (PGH) were available from
 301 SilvaProtect perimeters for protection forest against rockfall and avalanches (Losey and Wehrli, 2013).
 302 Assuming that all forests in Switzerland (and not just the ca. 5% of the forest area in forest reserves
 303 and in the National park) support biodiversity and store carbon to some extent, we assigned half of
 304 the remaining weight after deducting TP and PGH to biodiversity conservation and carbon
 305 sequestration $((1 - \text{weight_TP} - \text{weight_PGH}) / 2)$ (Table 2).

306
 307 **Table 2: Weighting variants used in the additive utility function ($\lambda_{a,k}$) for ecosystem services and biodiversity (ESB) by**
 308 **region (BC = biodiversity conservation, TP = timber production, CS = carbon storage, PGH = protection against gravitational**
 309 **hazards).**

ESB	Equal preference (weight $\lambda_{a,k}$)		Regional management priority (weight $\lambda_{a,k}$)					
	Plateau*	All other regions	Jura	Plateau	Pre-Alps	Alps	Southern Alps	Switzerland
BC	0.33	0.25	0.135	0.10	0.24	0.34	0.37	0.245
TP	0.33	0.25	0.69	0.80	0.45	0.13	0.10	0.41
CS	0.33	0.25	0.135	0.10	0.24	0.34	0.37	0.245
PGH	0.00	0.25	0.04	0.00	0.07	0.19	0.14	0.10

310 * There are no NFI sample plots within the SilvaProtect perimeter for rockfall and avalanche protection in the Plateau region.
 311 Thus, a weight of zero for PGH was assigned for this region.

312

313 2.5 Trade-off analyses

314 Trade-offs between two individual ESB were illustrated by plotting the mean partial utilities over
 315 simulation time on a two-dimensional plot (Figure 4). The 1:1 line represents situations where equal
 316 benefits are provided for the provision of ESB1 and ESB2. Ideally, a scenario results in a high degree of
 317 benefit for both objectives (Bradford and D'Amato, 2012). However, scenarios may result in high
 318 benefit for some objectives and low benefit for others. This situation is referred to as a trade-off.
 319 Overall trade-offs among ESB were quantified using the root mean square error (RMSE), which
 320 measures the spread away from the 1:1-line in a two-dimensional scatterplot of ESB pairs, as in
 321 Langner et al. (2017). The RMSE is based on the deviation of partial utilities for two management
 322 objectives (ESB1 - ESB2) at a certain time (i) as generated by a particular management scenario (j) in
 323 region (k), where (n) is the number of simulation intervals in which trade-off fluctuations are to be
 324 considered over the simulation time.

$$RMSE_{j,k} = \sqrt{\frac{1}{n} \sum_{i=1}^n (ESB1_{i,j,k} - ESB2_{i,j,k})^2} \quad \text{Equation 3}$$

325 For any management scenario, the overall trade-off for a portfolio of ESB is calculated as the mean of
 326 all pairwise RMSE. For a portfolio of four ESB, six different ESB pairs can be defined.
 327 The calculation of the RMSE was further extended to account for the effects of ESB weighting variants
 328 (Table 2) that were defined to quantify overall scenario benefits (Langner et al., 2017). Consequently,
 329 the partial utilities (U) in the matrix of (l) ESB were adjusted by the ratio of weight coefficients (a_w)
 330 from the management priority variant (GS_m) and the variant with equal weights for all ESB (GS_0).

$$U_{ESB(i,j,k,l,GS_m)} = U_{ESB(i,j,k,l,GS_0)} \frac{a_w(GS_m(k))}{a_w(GS_0(k))} \quad \text{Equation 4}$$

331 Afterwards, the adjusted partial utilities for ESB were normalised to make the effects of the two
 332 weighting variants on trade-offs comparable. The min-max approach was applied to normalise the
 333 adjusted ESB utilities by using global minimum and maximum valued per region, similar to the
 334 standardisation on indicator level.

$$nU_{ESB(i,j,k,l,GS_m)} = \frac{(U_{ESB(i,j,k,l,GS_m)} - U_{ESB(min,k)})}{(U_{ESB(max,k)} - U_{ESB(min,k)})} \quad \text{Equation 5}$$

335 The normalised matrix was finally used to calculate the deviations of ESB pairs and ultimately the
 336 overall RMSE (Equation 3). To illustrate the relationships between overall trade-offs and overall
 337 benefits of a scenario, both are jointly presented in one diagram.

338

339 **3 Results**

340 **3.1 Development of ecosystem services and biodiversity**

341 **3.1.1 Timber production**

342 Except from the Plateau, timber production was highest under *BAU* at the end of the simulation. Partial
343 utilities increased to values between 0.64 (Jura) and 0.78 (Alps) (Figure 2). This is mainly because the
344 increased growing stock (Appendix S5.1.1) was assigned a higher value by the min-max normalisation,
345 and due to the stabilisation of harvest net revenues at an economically tolerable level (e.g., *BAU*
346 stabilised net revenues in the Alps region around zero whereas all other scenarios received negative
347 values, see Appendix S5.1.4). Harvesting costs are very high in Switzerland, particularly in mountainous
348 terrains (Appendix S3), and due to the low harvesting intensity under *BAU* (Appendix S.51.2), these
349 costs remained at a low level. However, under this scenario, necessary amounts of timber cannot be
350 mobilized in the future. Harvesting costs also caused the drop in the indicator harvest net revenue
351 under *Conifers* and *Energy*. Under these scenarios, timber assortments were mainly of small
352 dimensions in the second half of the simulation period (Appendix S4), which are necessarily harvested
353 at higher costs. Additionally, such dimensions achieve lower prices on timber markets, which further
354 reduced net revenues.

355 In the Plateau region, timber production was most beneficial under *Conifers* and *Constant* with partial
356 utilities reaching values of 0.54 and 0.52, respectively (Figure 2). Utilities under *Conifers* collapsed after
357 the year 2046 and slowly recovered by the end of the simulation. In contrast, the results under
358 *Constant* remained at the same level over the simulation period.

359

360 **3.1.2 Carbon sequestration**

361 Carbon sequestration was highest under *Conifers* in all regions, reaching partial utility values of 1.00
362 by 2106 (Figure 2). The second highest partial utility values were reached under *Energy* with values
363 ranging between 0.21 (Jura) and 0.66 (Alps). The high values under *Conifers* can be explained by the
364 higher timber harvest compared to *BAU*, under which carbon storages increased in long-living timber
365 products and the substitution of non-timber products and fossil fuels. Lower partial utilities under
366 *Energy* compared to *Conifers* were due to lower harvested timber amounts and the lower conifer
367 proportion, which led to less timber usage in long-lived products.

368 Overall, partial utilities for carbon sequestration decreased from 2006 to 2106 in the Plateau region by
369 83% (*BAU*), 100% (*Constant*) and 74% (*Increment*), as well as 25% in the Pre-Alps under *BAU* (Figure 2).

370 However, this decrease resulted in an “overall” source of carbon only in the Plateau. All other scenarios
371 and regions resulted in a carbon sink at the end of the simulation (Appendix S5.3).

372 The increased harvesting under *Conifers*, *Energy* and *Increment* reduced growing stocks and led to a
373 carbon source in the living biomass (Figure 3). However, under all scenarios, except *BAU*, the drop in
374 growing stock only slightly affected average annual carbon change. Carbon storage in timber products
375 and substitution of fossil fuels and non-timber products compensated for this small effect.

376

377 **3.1.3 Protection against avalanche and rockfall**

378 The highest partial utilities for protection were projected for the Jura and the Pre-Alps under *Energy*
379 with values of 0.33 and 0.44, respectively, at the end of the simulation (Figure 2). In contrast, under
380 *BAU* the Alps (0.46), the Southern Alps (0.67) and the whole of Switzerland (0.49) had the highest
381 values. The protection utilities for the protection forest perimeter throughout Switzerland increased
382 by 4% by 2106 only under *BAU* while decreasing under all other scenarios (*Constant* -56%, *Increment* -
383 67%, *Conifers* -47% and *Energy* -37%). Partial utilities for protection showed a humped development
384 in almost all cases. These developments were controlled by the simulated increase in average DBH to
385 which rockfall protection was positively and avalanche protection negatively related (cf. Appendix
386 S5.4). A curvature in the development of both indices followed the unimodal development of the basal
387 area, which was the result from MASSIMO-inherent routines for the simulation of protection forest
388 management.

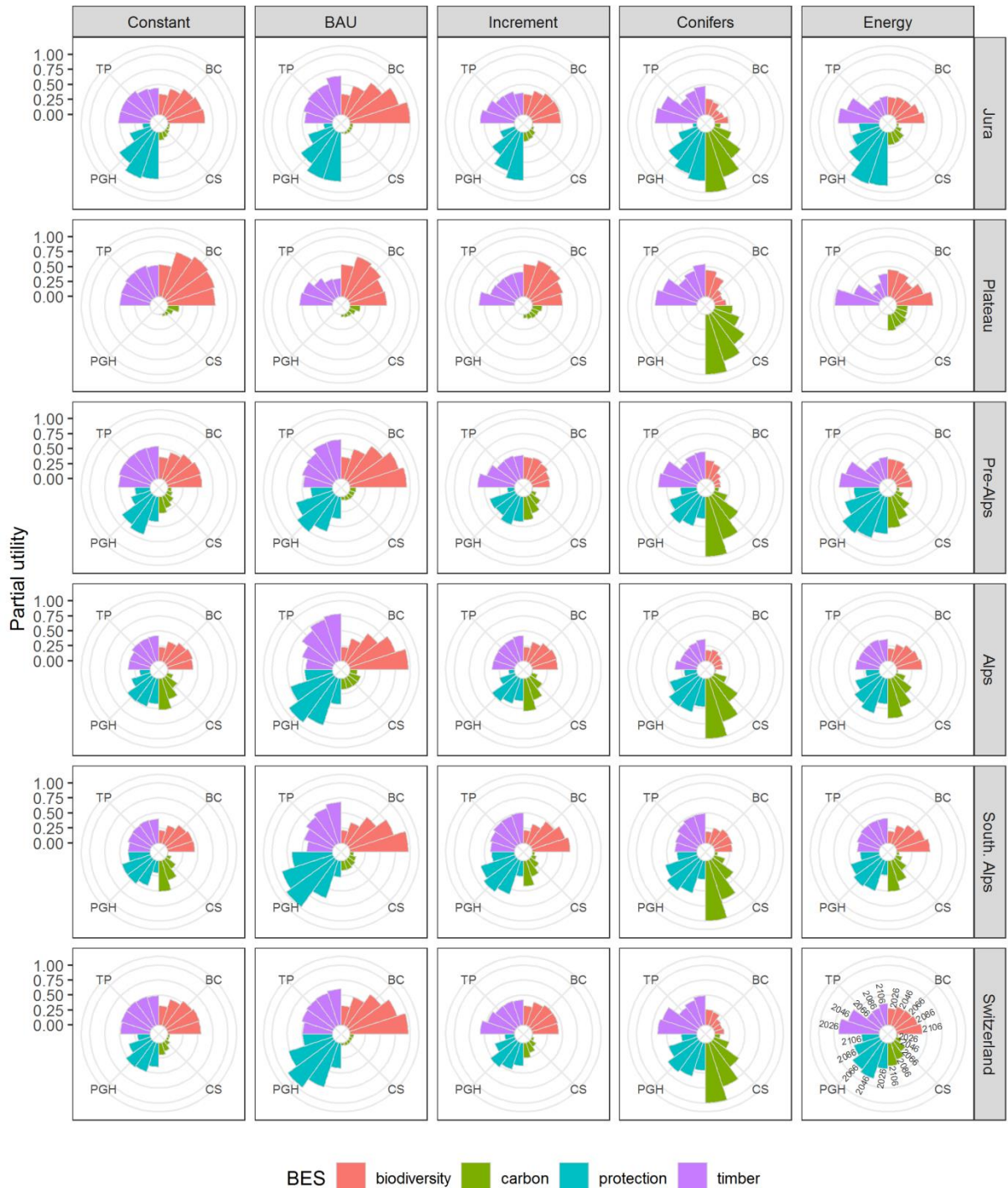
389

390 **3.1.4 Biodiversity conservation**

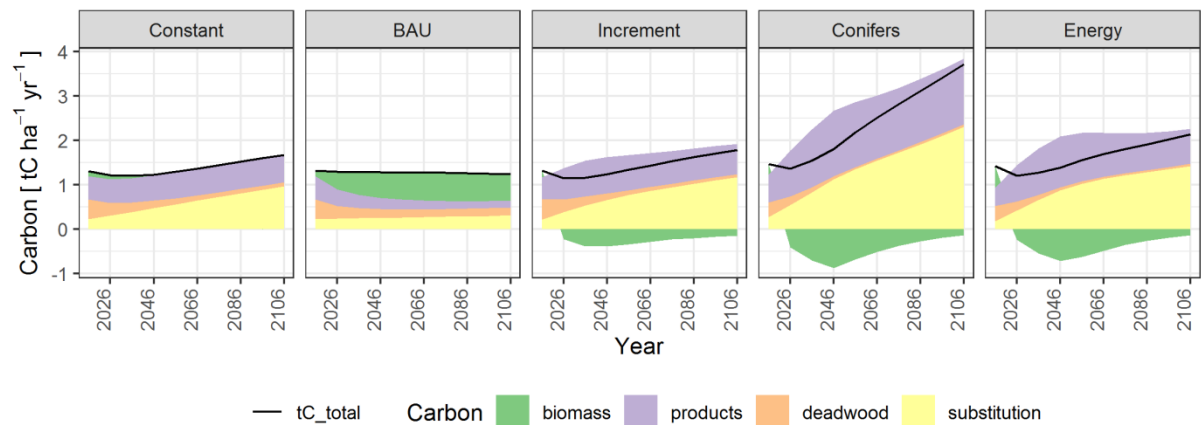
391 The highest partial utilities for biodiversity resulted under *BAU* (Figure 2) in all regions except for the
392 Plateau. Values increased up to > 0.94 by the end of the simulation. The reason for this lies mainly in
393 the low harvesting intensity (Appendix S5.1.2), which fostered deadwood accumulation (Appendix
394 S5.2.3) and the number of large living trees per ha (Appendix S5.2.3). DBH diversity slightly increased
395 partial utilities under *BAU*, mostly due to the increased abundance of larger trees (Appendix S5.2.4).
396 Under the *Constant* scenario, biodiversity benefited most in the Plateau region (utility of 0.79 in 2106)
397 because harvesting intensity was lowest under this scenario in this region.

398 Under the *Conifers* scenario, nearly constant (Jura > -7%) or decreasing (Plateau: -41%, Pre-Alps -63%,
399 Alps -7%, Switzerland -20%) partial utilities were found for biodiversity. High harvesting activity under
400 this scenario prevented the accumulation of deadwood and the retention of large living trees and
401 reduced forest structural diversity. Further, species diversity decreased in response to the promotion
402 of coniferous trees (Appendix S5.2.1). An exception was the Southern Alps region, where partial
403 utilities increased slightly under *Conifers* because of the low harvest intensity in this region. Under the
404 *Energy* scenario, in contrast, increasing partial utilities for biodiversity were found in all regions (>
405 +82%), except for Pre-Alps where it decreased (-7%). The increase was caused by the established forest

406 reserves under *Energy*, which led to a positive effect on structural and species diversity and large living
 407 trees (Appendix 5.2).
 408



409
 410 **Figure 2: Partial utilities of ecosystem services and biodiversity (ESB) simulated under the five management scenarios at**
 411 **regional and national scales in Switzerland. Development over time is presented clockwise per ESB for the years 2026,**
 412 **2046, 2066, 2086 and 2106 (legend shown for the region Switzerland and scenario Energy, bottom right).**
 413



414

415 **Figure 3: Development of the average annual carbon change in Switzerland under the five management scenarios. Changes**
 416 **in the total carbon pool (black line) and its corresponding compartments are shown: carbon stored in living tree biomass,**
 417 **in timber products and carbon stored in deadwood as well as the substitution of fossil fuels and of materials with an energy**
 418 **intensive production by woody biomass and products.**

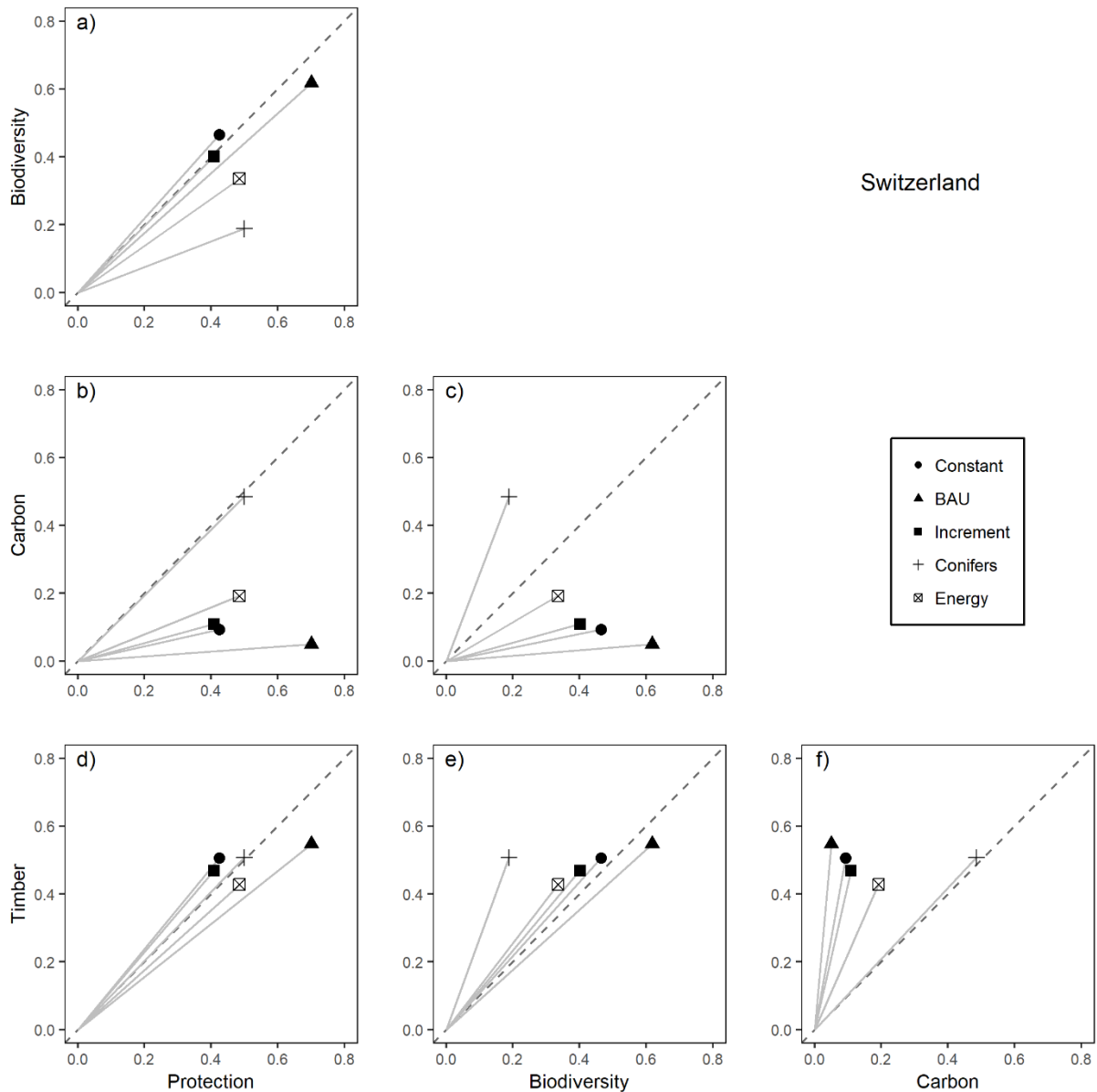
419

420 3.2 Trade-offs between individual ESB

421 Trade-offs between pairs of ESB varied greatly under the different scenarios (Figure 4). As the different
 422 regions showed quite similar developments (Appendix S8), we focus on the trade-offs for the whole of
 423 Switzerland.

424 All five scenarios showed small trade-offs between timber production and protection, while showing
 425 stronger effects for all other pairs of ESB. *Conifers* differed most from all other scenarios. It
 426 simultaneously promoted carbon, timber and protection. In contrast, it showed strong trade-offs
 427 between biodiversity in combination with all other paired services, meaning that all services benefited
 428 under the *Conifers* scenario, apart from biodiversity.

429 All other scenarios showed comparably low trade-offs between biodiversity conservation in
 430 combination with protection and timber. Under *BAU*, the greatest benefit resulted, with a high partial
 431 utility for each paired combination simultaneously (greater distance to the origin of the 1:1 line).
 432 However, trade-offs were found between carbon sequestration and all other objectives, which implies
 433 that all objectives profit from these scenarios with the exception of carbon sequestration. These trade-
 434 offs increased in the scenarios *Energy*, *Increment*, *Constant* and *BAU*.



435

436 **Figure 4: Scatter plots of paired management objectives illustrate the existence of trade-offs under the five management**
 437 **scenarios in Switzerland. Each scenario symbol represents the mean partial utility over the simulation period. The greater**
 438 **the distance between the scenario symbols and the 1:1 line, the greater the trade-off between ESB.**

439

440 3.3 Overall benefits and trade-offs

441 3.3.1 Equal weights for ESB

442 The greatest mean overall benefits were found under the *BAU* scenario for the regions Jura (0.46), Pre-
 443 Alps (0.47), Alps (0.50), Southern Alps (0.47) and Switzerland (0.48) (Figure 5, Appendix S7). In the
 444 Plateau region, the highest overall benefits were reached under *Constant* (0.45) and *Conifers* (0.46).
 445 However, the benefits under *Constant* remained more stable (Appendix S7), whereas those under
 446 *Conifers* fluctuated over the simulation period following the changing harvesting intensity (Appendix
 447 S5.1.2). The lowest values were found in all regions under *Increment* and *Energy*, apart from the
 448 Southern Alps, where the lowest results occurred under *Constant*.

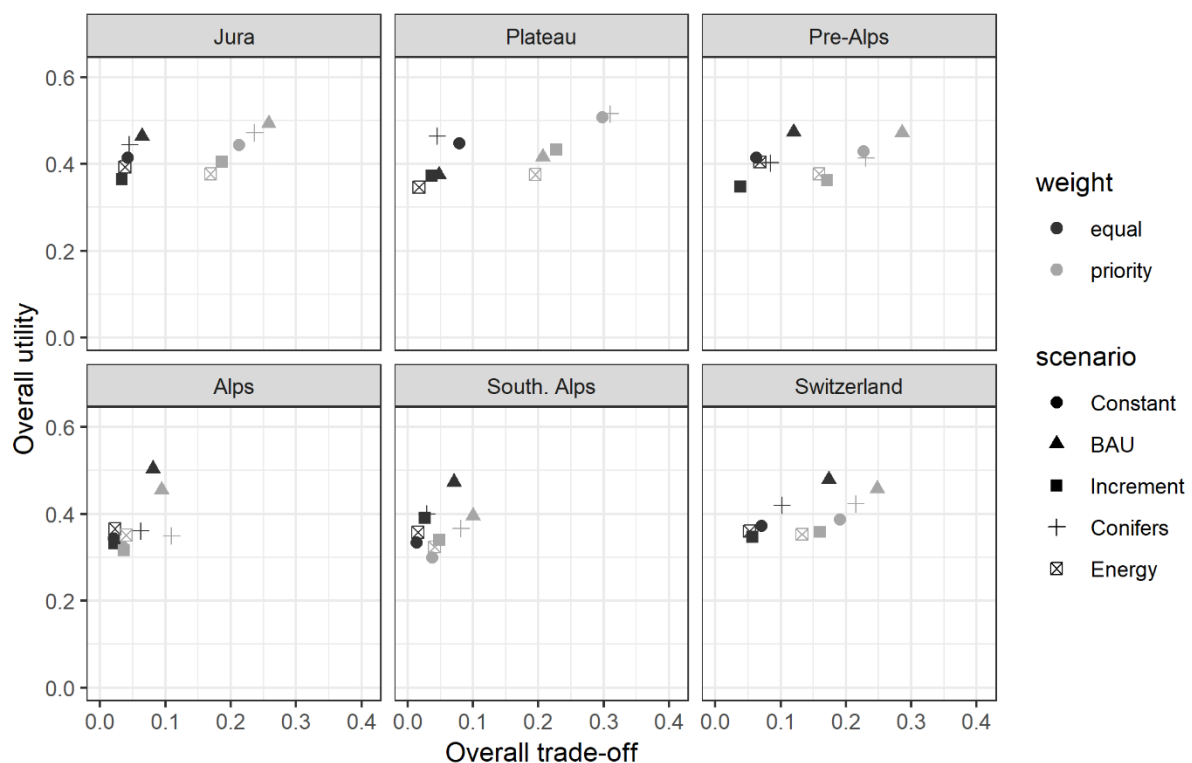
449 The scenarios with the highest benefits also exhibited the highest overall trade-offs. The *BAU* scenario
 450 had an overall trade-off that ranges between 0.06 (Jura) and 0.17 (Switzerland). The most beneficial
 451 scenarios for the Plateau region were *Constant* and *Conifers*, under which overall trade-off values of
 452 0.08 and 0.05 were reached, respectively.

453 3.3.2 Management priorities for ESB

454 The weighting variant according to the primary management objective per region increased benefits,
 455 reaching the highest values in the Jura (+7% for *BAU*) and Plateau (+13% for *Constant* and *Conifers*)
 456 regions. In the Pre-Alps region, the value under *BAU* remained constant, while decreasing in the Alps
 457 (-8%), Southern Alps (-15%) and Switzerland (-4%).

458 The variant further resulted in trade-off values that were between four and seven times higher for
 459 those scenarios where the weighting variant had a positive effect on the overall benefits, namely in
 460 the Jura and Plateau, respectively. The variant thus simultaneously exacerbated the conflict between
 461 increasing overall benefits and pronounced trade-offs between ESB. In contrast, in the Alps and
 462 Southern Alps, where the goal preference decreased benefits, smaller increases of 16% (Alps) and 39%
 463 (Southern Alps) in the overall trade-offs were found.

464



465

466 **Figure 5: Mean overall utility (benefits) and overall trade-offs (measured by RMSE) under the five management scenarios**
 467 **and the two weighting variants (cf. Table 2) at regional and national scales in Switzerland.**

468

469 **4 Discussion and conclusion**

470 In this study, an assessment framework that combines forest growth modelling and MCDA was applied
471 to analyse developments of ESB in Swiss forests under politically relevant timber harvesting scenarios.
472 The consideration of net revenues of harvested timber and ex-situ carbon storages in pools of
473 harvested timber provide new insights on potential trade-offs between individual ESB. We answer the
474 questions raised in the introduction by first discussing the effects of the scenarios on ESB provision.
475 Secondly, we discuss potential trade-offs between ESB, emphasizing the effects of weights on ESB. We
476 conclude by discussing the methodological aspects of the analysis framework, and by deriving
477 implications for management.

478 **4.1 Ecosystem services and biodiversity**

479 **4.1.1 Timber production**

480 Timber production is usually assessed by the indicators harvested timber volume, increment and
481 growing stock (Bugmann et al., 2017; Cordonnier et al., 2013). We additionally assessed harvested net
482 revenues to account for potential future costs and income from timber harvests. Economic aspects
483 were also considered in scenario analyses for ESB assessments by Fürstenau et al. (2007) and Seidl et
484 al. (2007). However, both assessed the net present value (NPV) to rank alternative scenarios. The NPV
485 approach is common to determine the value of forest resources and find an optimal investment
486 strategy based on future monetary income and costs discounted to the present by using an interest
487 rate (Klemperer, 1996). Instead, we used harvest net revenues, as in our view the optimal investment
488 strategy is not important, but rather the potential future liquidity of the forest sector, which is
489 expressed best by this indicator. In addition, net revenues are more intuitive and easier to interpret
490 when applied to scenario analyses and, thus, easier to communicate with policy stakeholders. Further,
491 the partial utilities for timber production would not change if NPV would be the indicator as presented
492 in the appendix (S5.1.5).

493 Surprisingly, timber production was greatest for all regions under *BAU*, apart from the Plateau region
494 where under *Constant* more benefit occurred (cf. section 3.1.1). In contrast, *Conifers* and *Energy*
495 turned out to be unfavourable for timber production, despite the consideration of economic aspects
496 in the additive utility function. This poor performance was caused by the decrease in growing stock
497 and the high harvesting costs for smaller timber assortments in the second half of the simulation
498 period which, in turn, resulted in negative net revenues. Our results differ from those of Fürstenau et
499 al. (2007) and Seidl et al. (2007), who both recommended scenarios with increased harvesting
500 intensities or an age class management and a shift to coniferous trees species for timber production.
501 However, neither study accounted for assortment dimensions when calculating harvesting costs, nor
502 did they investigate commercially unprofitable mountainous forest terrains. Additionally, Fürstenau et

503 al. (2007) applied local value functions with a bell-shaped curve for growing stocks, which led to
504 decreasing utility values for extensive or unmanaged forests with high growing stocks.

505

506 **4.1.2 Carbon sequestration**

507 Carbon sequestration and its sensitivity to forest management is of great interest in the context of
508 climate-change mitigation (Bellassen and Luysaert, 2014; Nabuurs et al., 2018). Forests can be
509 structured through systematic interventions in such a way that their rate of CO₂ absorption increases
510 (e.g., Thürig and Kaufmann (2010); Zanchi et al. (2014)). Additionally, it is important to account for
511 carbon storage in wood products as well as the aspect of substitution to evaluate the contribution of
512 forest management to the mitigation of greenhouse gases comprehensively (Nabuurs et al., 2017;
513 Werner et al., 2010). For this study, we adapted the methodology for carbon assessment of Blattert et
514 al. (2018) to account for such ex-situ carbon storages (cf. section 2.4.1).

515 The highest partial utilities for carbon were provided in all regions under *Conifers*. Mina et al. (2017)
516 and Thürig and Kaufmann (2010) found the highest carbon sequestration rates under scenarios with
517 extensive and no management. However, they did not take into consideration carbon storage due to
518 wood products and substitution. Seidl et al. (2007) also showed that in-situ carbon storage is highest
519 in unmanaged scenarios. Their scenarios with management, in contrast, stored substantial quantities
520 of carbon in wood products and generated substantial substitution potentials. Pukkala (2014) and
521 Perez-Garcia et al. (2005) also mentioned the large effects of management on carbon sequestration
522 when all pools (in-situ and ex-situ) were considered together.

523 Overall, it is important to note that our carbon sequestration estimations were accomplished for the
524 purpose of comparing the performance of different scenarios, and should not be seen as a precise
525 prediction. Future research is, in our opinion, necessary by integrating a cascade use of products in the
526 analysis to account for the first and second lifetimes of timber products. Processing harvested wood
527 in accordance with the principle of cascade use and keeping wood products in use as long as possible,
528 can further optimize the contributions of the forestry and timber sector to mitigate climate change
529 (Werner et al., 2010).

530

531 **4.1.3 Protection against gravitational hazards**

532 The protection service was provided Swiss-wide best under *BAU*, which led to high growing stocks.
533 Similar results were found by Irauschek et al. (2017) and Mina et al. (2017) who assessed the protection
534 service under varying management types with RPI and API in different case studies in the Alps. Both
535 recommended a scenario with low harvesting intensity or no management. However, a management
536 approach such as *BAU* leads to old growth forest structures with many large living trees (Appendix

537 S5.2.4), which in turn leads to forest conditions that are vulnerable to several disturbances (Bebi et al.,
538 2017; Temperli et al., in review). In contrast, guaranteeing optimal protection in the long-term requires
539 sufficient regeneration and younger trees to sustain a stable stand structure (Brang et al., 2008;
540 Frehner et al., 2007).

541 A major difference, compared to other studies which used RPI and API, is that they all assessed the
542 protection service of forest stands (Irauschek et al., 2017; Mina et al., 2017; Pardos et al., 2016),
543 whereas we focused on NFI-plot levels. The RPI indicator is, however, based on the principles behind
544 the tool RockforNet (Berger and Dorren, 2007; Dorren et al., 2015), which was developed to assess the
545 protection efficacy of individual forest stands. Besides stand parameters, it also accounts for specific
546 site conditions (potential rock size, fall height, forested slope length), which were not yet available at
547 the NFI plot level. On these grounds, we followed the recommendations of Cordonnier et al. (2013). In
548 contrast, the API does not account for canopy gaps due to management (Cordonnier et al., 2013), even
549 though they are important for avalanche release (Frehner et al., 2007). Thus, the suitability of RPI and
550 API to be applied to NFI plots may be limited. Consequently, the absolute values of both indices need
551 to be interpreted with caution, and only the relative effect of management scenarios can be reliably
552 assessed and interpreted.

553

554 **4.1.4 Biodiversity conservation**

555 Biodiversity objectives were provided best under *BAU* in all regions, apart from the Plateau, where the
556 greatest benefits were found under *Constant* (Figure 2). The increasing (*BAU*) and stable (*Constant*)
557 growing stocks under these scenarios fostered deadwood and large living trees, which are generally
558 recognised as important habitat attributes for taxa (birds, mammals, fungi and insects) that depend
559 on old-growth forest features (Moning and Müller, 2009; Rosenvald et al., 2011).

560 Our results are in accordance with other MCDA studies that investigated biodiversity aspects under
561 different management scenarios (Carpentier et al., 2016; Diaz-Balteiro et al., 2017; Mina et al., 2017).
562 Many of these found scenarios with extensive or no management to be most beneficial for biodiversity.
563 However, such studies only assessed indicators that measure structural attributes found in late
564 successional stages (e.g., deadwood from mortality and habitat trees). We additionally assessed tree
565 size and species diversity as did Langner et al. (2017). The deadwood pools in our simulations also
566 included harvesting residues, which can also provide valuable biotopes for deadwood-dependent
567 species (Lachat et al., 2014; Ranius et al., 2018). Our results predicted deadwood pools of greater than
568 50 m³ per hectare for all scenarios Swiss-wide. However, these pools consisted mainly of fine wood
569 litter under the *Conifer* and *Energy* scenarios, particularly in the Jura, Plateau and Pre-Alps (see
570 Appendix S5.2.3). While such values comply with recommended target thresholds for biodiversity
571 conservations (Müller and Bütler, 2010), they may also result from decay rates for fine woody litter

572 that have little empirical basis, implying that there is a potential underestimation of litter decay. Hence,
573 these deadwood pools need to be interpreted cautiously.

574 The highest gamma diversity of tree species was provided under *Energy* in Switzerland (Appendix
575 S5.2.1). Accounting for residues in deadwood pools and gamma diversities increased the partial
576 utilities for biodiversity in nearly all regions under *Energy*. The importance of regional gamma diversity
577 for conservation and the positive effect of forest management on it have recently been noted by Schall
578 et al. (2017). Additionally, Hilmers et al. (2018) highlighted the strong influence of forest succession on
579 biodiversity, and emphasize the importance of early successional stages for high diversity, which
580 usually follow final harvesting activities. They recommend that conservation strategies should aim at
581 a more balanced representation of all successional stages (early and late) as this lead overall to higher
582 habitat heterogeneity. Apart from management, disturbances can also have a positive effect on forest
583 heterogeneity and thus on biodiversity (Thom et al., 2017). We did not consider this effect separately
584 in our biodiversity assessments. Nevertheless, windthrow probabilities were included in all scenarios
585 in the MASSIMO simulations (section 2.2).

586

587 **4.2 Trade-offs arising from scenarios**

588 While several studies have focused on synergies and trade-offs between ESB under different
589 management scenarios (e.g., Lafond et al. (2017); Mina et al. (2017); Seidl et al. (2007)), and by using
590 MCDA-methods (Langner et al., 2017), only a few studies have done so at national scales (Verkerk et
591 al., 2014), and based on NFI data (Gutsch et al., 2018). We addressed these two aspects
592 simultaneously, focusing on the relationship between key ESB in Swiss forests (BAFU, 2013). Thereby,
593 we focused not only on trade-offs among paired objectives, but also accounted for overall trade-offs
594 arising under the scenarios.

595 The scenarios with the highest ESB benefit per region showed simultaneously the highest overall trade-
596 offs (Figure 5). Furthermore, weighting ESB according to regional management priorities increased the
597 trade-off situation while also increasing the overall benefits of harvesting scenarios, apart from the
598 mountainous region Alps and Southern Alps, where benefits decreased. A similar decreasing effect in
599 mountainous areas was also reported by Langner et al. (2017), who investigated trade-offs in several
600 European mountain case studies. Strong trade-offs were found between the carbon sequestration
601 objective and all other objectives. In contrast, trade-offs were weak between biodiversity aims and the
602 services timber production and protection (Figure 4). This result differs from most other studies that
603 have investigated these objectives. For example, Mina et al. (2017) found synergy effects between
604 carbon and biodiversity and protection under scenarios with extensive or no management. Synergies
605 were also found between carbon sequestration and biodiversity (habitat) by Gutsch et al. (2018).
606 However, both studies only accounted for in-situ carbon sequestrations (cf. Section 4.1.2). Further,

607 most other studies have also identified trade-offs between timber production and biodiversity (e.g.,
608 Gutsch et al. (2018); Lafond et al. (2017); Mina et al. (2017)). However, these studies assessed timber
609 production mainly by harvested timber volumes, whereas we also included economic aspects (cf.
610 Section 3.1.1), which have a decisive influence on the economically sustainable amount of biomass
611 that can be harvested. In contrast, this study is in line with others that also found a synergistic
612 relationship or lack of trade-off between biodiversity and protection (Lafond et al., 2017; Mina et al.,
613 2017).

614 Our decision to account for aspects such as ex-situ carbon sequestration and economic aspects thereby
615 results in a considerably changed view of how politically-relevant scenarios for timber harvesting need
616 to be analysed. Overall, the combined trade-off and benefit analysis have demonstrated that these
617 aspects need to be considered jointly for a consistent evaluation of alternative management scenarios
618 within the framework of sustainable forest management.

619

620 **4.3 Analysis framework**

621 The combined application of forest modelling and MCDA enabled the assessment of ESB provision
622 under varying management scenarios. It made use of the simulation model MASSIMO that has been
623 applied in several studies to assess carbon sinks and national greenhouse dynamics (Thürig and
624 Kaufmann, 2010; Werner et al., 2010) and to evaluate various timber mobilization scenarios in Swiss
625 forests (Stadelmann et al., 2016; Temperli et al., 2017a; Temperli et al., 2017b). However, our
626 simulations did not account for climate change, which is expected to strongly affect forest ecosystems
627 (Hanewinkel et al., 2013; Reyer et al., 2014) and the provision of ESB in the future, particularly under
628 more extreme climate scenarios and at low-elevation (Mina et al., 2017; Pardos et al., 2016). Thus,
629 climate-sensitive formulations of the main processes of growth (Rohner et al., 2018), regeneration (Zell
630 et al., 2019) and mortality (Etzold et al., 2019) should be integrated into MASSIMO for future studies,
631 in order to fully account for climate-change effects.

632 In combination with climate change, disturbances are expected to increasingly affect forest
633 ecosystems (Seidl et al., 2020; Seidl et al., 2017). In Switzerland, windthrow and bark beetle outbreaks
634 are particularly important. While considering windthrow probabilities in our simulations, we did not
635 respect bark beetle outbreaks, which often occur along with wind disturbances, particularly in
636 coniferous stands (Marini et al., 2017; Stadelmann et al., 2014; Temperli et al., 2013).

637 Considering climate change and bark beetle disturbances would likely increase the mortality of spruce
638 in our simulations and lower timber harvests, particularly under the *Conifers* scenario. Thus, the high
639 carbon sequestration effects of this scenario would decrease, in turn, diminishing trade-offs with
640 biodiversity conservation. We therefore suggest that future investigations with MASSIMO need to
641 consider the combined effects of climate change and disturbances on forest ecosystems.

642 The current set of management scenarios may be extended by adaptation scenarios that increase the
643 resilience of forests against the uncertainty of cumulative global changes. Messier et al. (2019) advise
644 to use the most efficient forest management and silvicultural practices and to manage forests as
645 complex adaptive networks to increase the resistance and resilience capacity of forests. Close to nature
646 management methods like single-tree selection, group selection and shelterwood, which are widely
647 applied in Switzerland, are a promising approach to increase the adaptive capacity of forests, since it
648 promotes structural diversity and tree resistance to stressors (Brang et al., 2014). Those methods can
649 further be improved by increasing tree species richness, also by non-local provenances (Frank et al.,
650 2017) or even non-native species (Brang et al., 2016). Non-native tree species currently recommended
651 for Switzerland are Douglas fir (*Pseudotsuga menziesii*), large coastal fir (*Abies grandis*) and oriental
652 beech (*Fagus orientalis*), if they are planted in mixture with native tree species and outside of forest
653 communities of high conservation value (Brang et al., 2016). Especially, non-native coniferous tree-
654 species can reduce the risks of climate change for ecosystem services like timber production and
655 carbon sequestration and could be a valuable alternative to spruce within the *Conifers* scenario in the
656 future.

657 The MAVT method has proven to be a good approach to provide information for decision making with
658 regard to forest policy, and has shown high flexibility by respecting stakeholder preferences. The
659 merits and robustness of this concept for measuring the multifunctionality of ecosystem services have
660 recently been highlighted by Manning et al. (2018). In this study, we adapted the indicator framework
661 of Blattert et al. (2017), which focused on the local forest management level, to the Swiss
662 regional/national scale and matched it with the forest structural attributes simulated by MASSIMO.
663 Structural attributes are good predictors for assessing ESB as well as their synergies and trade-offs
664 (Felipe-Lucia et al., 2018). In addition, we replaced the local management-level value functions of
665 Blattert et al. (2017) by min-max normalisations based on the simulated model output. The advantages
666 of this approach are that: i) it avoids the difficult task of defining optimal target values for each
667 indicator from the stakeholder-panel, ii) it adequately considers the specific forest situation in each
668 region, and iii) it enables relative scenario comparisons by normalising indicators on an interval scale.
669 The disadvantage of min-max normalisation is that it does not permit interpretations on the degree to
670 which target or threshold values are reached, such as for biodiversity aspects (cf. Manning et al. (2018);
671 van der Plas et al. (2016)). Further, no unimodal relationship can be considered between indicator
672 outcome and expected utility value (cf. Fürstenau et al. (2007); Manning et al. (2018)). However, due
673 to the focus on several indicators, regions and management scenarios and the long-term perspective,
674 a relative scenario comparison is, in our view, a practicable and transparent approach for policy
675 decision support.

676 The indicator and ESB weights have a distinctive impact on overall benefits and trade-offs (Fürstenau
677 et al., 2007; Schwenk et al., 2012). Indicator weights were defined with a stakeholder-panel to ensure
678 a representative view on indicator importance in Switzerland. We considered a weighting variant with
679 regionally adapted primary forest functions, according to the NFI survey. Defining ESB weights,
680 however, presented a difficulty in the large-scale application of MAVT, as no information was available
681 regarding management priorities for biodiversity conservation (apart from the approximately 5%
682 forest reserve area) and carbon sequestration at the NFI plot level. This is perhaps the reason why the
683 only MCDA studies we are aware of have focused on small case studies or single forest stands (e.g.,
684 Blattert et al. (2018); Diaz-Balteiro et al. (2017); Langner et al. (2017)), where management priorities
685 are often clearly defined. To illustrate the effect of weights, we compared the results with a baseline
686 weighting variant, in which equal preferences were assigned to the objectives. Under both variants,
687 the same scenarios reached the highest overall benefit, with slightly increasing or decreasing values
688 (Figure 5). However, a distinctive effect was observed for overall trade-offs, particularly in the low
689 elevation regions of Switzerland. We thus conclude that weighting scenarios must be supported as
690 broadly as possible. If no information from the literature or existing data is available, weights should
691 be defined by a well-balanced stakeholder group representing economic, ecological and social
692 perspectives.

693

694 **4.4 Implications for forest management**

695 There is growing demand by decision-makers for research on the impact of policy strategies on ESB
696 and human benefits. Consequently, building the bridge between ESB research and the information
697 required in practice to support decisions is currently of high importance (Olander et al., 2017). The
698 main objective of this study was to develop a holistic value-based analysis framework for analysing
699 impacts of politically-relevant forest management scenarios on key ESB in Swiss forests, as well as
700 identifying previously unknown trade-offs.

701 Our study indicates that, apart from the Plateau, current management practice in Switzerland (*BAU*)
702 provides the highest ESB benefits. However, under *BAU*, possible timber potentials are not mobilised,
703 which are highly recommended by the Swiss Forest Policy to foster the forestry and timber sector and
704 to mitigate the effects of climate change (BAFU, 2013; BAFU et al., 2014). In the Plateau region, ESB
705 benefits were highest under the constant growing stock scenario by additionally guaranteeing long-
706 term and sustainable timber usage. Nevertheless, both management scenarios (*BAU*, *Constant*)
707 showed a strong trade-off between biodiversity conservation and carbon sequestration. The latter
708 service is achieved best under a scenario promoting coniferous timber utilisation (*Conifers*), which, in
709 turn, provides wood for long-living construction materials and substitutes non-timber and energy
710 intensive products. Considering regional management priorities strongly increased the overall trade-

711 off situation, particularly in lowland regions. We thus conclude that no single management strategy is
712 appropriate to maximize the provision of multiple ESB simultaneously. A targeted combination of
713 forest stand management strategies with different dominant management objectives can lead to a
714 higher degree of multifunctionality at the landscape level than one forest management practice
715 (Lagergren and Jönsson, 2017). Such a segregation of the forest landscape offers a compromise by
716 combining the positive aspects of several management scenarios to best achieve multiple forest policy
717 objectives. This has been recommended by several studies (e.g., Blattert et al. (2018); Carpentier et al.
718 (2016); Côté et al. (2010); Messier et al. (2009)). Nevertheless, management in order to support ESB
719 should be evaluated carefully at the local (stand) scale, as the most advantageous scenario clearly
720 depends on the specific needs of ESB (Mina et al., 2017). For example, protection against avalanches
721 and rockfall cannot be balanced against other objectives in most cases, but is simply necessary to save
722 lives.

723 Overall, the consideration of ex-situ carbon storages and accounting for harvesting cost in our scenario
724 analyses had a strong impact on ESB development and on trade-offs, as demonstrated by our
725 comparisons with other findings. In this way, our study provides new insights into ESB interactions.
726 Our findings have the potential to optimise future forest management and maximise the sustainable
727 provision of ESB benefits at regional and national scales, providing a valuable basis to support decision
728 making for forest policies in Switzerland and beyond.

729

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