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1 **Catastrophic effects of sand mining on macroinvertebrates in a**
2 **large shallow lake with implications for management**

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26 **Abstract:** Sand mining is a human activity that is increasing in inland waters and has profound
27 effects on entire aquatic ecosystems. However, current knowledge of the effects of sand mining on
28 freshwater lake ecosystems remains limited, especially for biotic communities. Here, we
29 investigated the responses of macroinvertebrates to indiscriminate sand mining in a large shallow
30 lake of China. Our results indicated that sand mining significantly increased the content of
31 suspended particulate matter, total nitrogen, total phosphorus and chlorophyll *a* in the water column
32 both in the sand mining area and the area adjacent to the dredging activities. While there was
33 significantly lower total nitrogen and the total phosphorus content of the sediment were observed in
34 the sand mining area. In terms of benthic animals, there were reductions of the macroinvertebrate
35 density and biomass of 89.80% and 99.54%, respectively, and there was a considerable decline of
36 the majority of macroinvertebrate taxonomic taxa as well as biological traits observed in the sand
37 mining area due to direct dredging-induced substrate deterioration and high turbidity water.
38 Moreover, in the area adjacent to the dredging activities, dredging-induced high turbidity water also
39 resulted in 28% and 79% decreases in macroinvertebrate density and biomass, respectively, with a
40 significant decrease in the densities of Bivalvia and Polychaeta but an increase in the density of
41 Crustacea. In terms of biological traits, species (e.g., *Grandidierella* sp. and *Sphaerium lacustre*)
42 characterized by a small body size, short life cycle and dietary sources mainly from sediment were
43 typically associated with the ecological condition of the indirect effects of the dredging activities.
44 Taxa (e.g., *Corbicula fluminea*) with a larger body size and longer life cycle that are filter feeders
45 should be favored by the ecological conditions of the reference sites. For biomonitoring of sand
46 mining perturbations, a number of taxonomic and biological trait indicators were proposed in our
47 study based on indicator value analysis, and the general applicability of trait-based indicators was

48 highlighted. We also suggest that the biodiversity indices may be less suitable indicators of sand
49 mining effects. Given the limited understanding of the responses of macroinvertebrates to sand
50 mining in inland freshwaters, we believe that our results may provide important information for
51 biomonitoring of sand mining activities and provide scientific management support to governments.

52 **Key words:** sand dredging, macroinvertebrates, biomonitoring, biological traits

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55 **1. Introduction**

56 Sand is an indispensable material for many buildings and infrastructures. Hence, the rapid
57 development of the construction industry has increased the demand for sand, especially for emerging
58 developing countries. Sand mining has been widely observed in inland and coastal waters with
59 profound effects on entire aquatic ecosystems (Newell et al., 1998; Wu et al., 2007; Mensah, 2010).
60 It has been argued that sand mining should be considered as an aspect of global environmental
61 change (Mayekar, 2006) in the view of its worldwide extent and the magnitude of its impacts
62 (Bendixen et al., 2019). Sand mining in aquatic systems was first reported in developed countries,
63 accompanied by serious coastal erosion, dramatic channel incision (Kondolf, 1994; Mayekar, 2006;
64 Thornton et al., 2006), and profound effects on biotic communities (Boyd et al., 2005; Fraser et al.,
65 2017). With its globalization, sand mining activities have increased in developing countries
66 (Padmalal et al., 2008; Mensah, 2010), particularly in China, which is undergoing rapid
67 industrialization (Lu et al., 2007; Li et al., 2014; Chen, 2017). Most alarmingly, indiscriminate sand
68 mining is rampant in many inland water bodies of China (Bendixen et al., 2019), almost in all great
69 lakes (e.g., Lake Poyang, Lake Dongting and Lake Hongze) and rivers (e.g., Yangtze River and
70 Yellow River) (Lu et al., 2007; Leeuw et al., 2010; Duan et al., 2018). As a result, exhaustive

71 exploitation seriously degrades freshwater ecosystems, causing high turbidity water (Wu et al., 2007;
72 Cao et al., 2017) and serious substrate deterioration (Wu et al., 2007), changing the lacustrine
73 discharge ability (Lai et al., 2014) and lake level (Mei et al., 2016) as well as even causing the
74 release of toxic substances (e.g., fluoride) from sediments (Carr, 1954; Wang et al., 2015).

75 Macroinvertebrates are essential for the functioning of lake ecosystems. They can change the
76 physicochemical environment of the sediment-water interface and promote nutrient cycling and
77 energy flows (Zhang et al., 2010); they also link the benthic and pelagic food-webs and are of great
78 significance to fishery production (Covich et al., 1999). Many macroinvertebrates dwell in the
79 sediment and often stay immobile and therefore have a weak ability to escape from the negative
80 impacts of sand mining. Moreover, as demonstrated by several studies, sand mining always causes
81 a substantial increase in water turbidity (Wu et al., 2007; Cao et al., 2017; Duan et al., 2018), which
82 may be particularly harmful to filter feeders (e.g., Bivalvia) by restricting their feeding behaviors
83 (Österling et al., 2007; Bucci et al., 2008; Österling et al., 2010). Therefore, dredging-induced
84 habitat degradation maybe also raises biodiversity concerns. However, knowledge of the influence
85 of sand mining on freshwater benthic fauna is currently limited. As far as we know, only one earlier
86 study has assessed the effects of sand mining on macroinvertebrates in a freshwater lake (Meng et
87 al., 2018). These authors observed a substantial decline of benthic animals in the area directly
88 affected by commercial sand mining after one year duration of these activities. In contrast, increased
89 taxa richness, abundance and biomass were observed in the area adjacent to dredging activities
90 (Meng et al., 2018). However, the wider applicability of their results needs to be validated, and
91 bioindicators of sand mining disturbances need to be identified.

92 Lake Hongze, the fourth largest freshwater lake in China, was subjected to severe

93 indiscriminate sand mining from 2012 to March 2017. Sand mining has caused a significant increase
94 of water turbidity in almost the entire offshore area of this lake (Cao et al., 2017). In contrast, no
95 other significant human-induced changes or natural conditions were observed during this period
96 (Cao et al., 2017). Therefore, Lake Hongze may be an optimal area for a case study to elaborate
97 upon the effect of indiscriminate sand mining on lake conditions and communities of benthic
98 macroinvertebrates.

99 We studied the environmental conditions and macroinvertebrate communities before (in 2008-
100 2009) and after (in 2016) the onset of large-scale sand mining activities (in 2012) in Lake Hongze.
101 We sampled the offshore area of the lake from sites directly and indirectly affected by sand mining
102 activities. Our main objective was to investigate the responses of macroinvertebrates to large-scale
103 indiscriminate sand mining in a large freshwater lake in China. Moreover, we give recommendations
104 for prevention of harmful sand mining effects and evaluate the implication for lake management.
105 We expected that the substantial alteration of habitat quality and sediment disturbance in the sand
106 mining area may result in the collapse of macroinvertebrate assemblages. We also expected that, in
107 the area indirectly subjected to dredging effects, increased water turbidity would also cause changes
108 in benthic communities.

109 **2. Materials and Methods**

110 *2.1 Study area*

111 Lake Hongze (33°06'-33°40'N, 118°10'-118°52'E), with a surface area of 1597 km², is the
112 fourth largest freshwater lake in China. Lake Hongze is located downstream of the Huai River; the
113 mean depth and water retention time of this lake are approximately 1.9 m and 35 days, respectively,
114 with a total water volume of 3.04×10⁹ m³ (Wang and Dou, 1998). Lake Hongze supplies multiple

115 ecosystem services with significant socio-economic benefits to its local people. These services
116 include aquaculture, agricultural irrigations, flood control, tourism and drinking water supply. More
117 importantly, this lake is an important channel of the East Route of the South-to-North Water
118 Diversion Transfer Project (Yin, 2013). However, Lake Hongze has undergone rapid eutrophication
119 with the input of nutrients from agricultural non-point sources during the past three decades (Cai et
120 al., 2016).

121 In addition, over the past years, sand mining operations have been rampant in Lake Hongze
122 (Cao et al., 2017). Indiscriminate sand mining was first observed in spring 2007, with slight annual
123 growth from 2007 to 2011 (Zuo and Zhu, 2011). However, those small-scale practices in 2007-
124 2011 were conducted at the edge of the lake, thus exerting a limited effect on the environmental
125 conditions in the offshore area (Cao et al., 2017). The large-scale sand mining operations started
126 in 2012, and by May 2015, the number of sand mining vessels sharply increased to more than 600
127 (Table S1), with the much bigger steel dredgers replacing previous concrete ships for improving
128 yields. The dredging depths also increased from 20-30 m to 40-50 m in the sediment (Yan, 2015).
129 Consequently, the increasing severity of sand mining in Lake Hongze drew high attention from
130 the Chinese central government, and the unprecedented control efforts were implemented. Due to
131 these joint efforts, indiscriminate sand mining was finally stopped in Lake Hongze by March 2017
132 (Duan et al., 2018).

133 *2.2 Collection of environmental variables and macroinvertebrates*

134 In November 2008 and June 2009, the environmental variables and benthic macroinvertebrates
135 were sampled from fourteen sites located in the offshore area of Lake Hongze (Figure S1). Similar
136 sampling was conducted monthly in 2016. All fourteen sites sampled in 2008-2009 shared similar

137 habitat characteristics. The substrata of these sites were a combination of silt and clay, and no
138 macrophytes were found. Moreover, all of these sites were characterized by very shallow water
139 depth (<3 m), suffering strong wind-induced resuspension of sediment (Zhu and Dou, 1993).

140 All eight sites sampled in 2016 were also located in the offshore area (Figure 1) without growth
141 of macrophytes. Among these sites, HZ8 was the sand mining site used for our field observations,
142 and this site was subjected to direct extraction of substrate and dredging-induced high turbidity
143 water, thus it was considered as a directly affected site (DAS). Other study sites (HZ1-HZ7) were
144 subjected to dredging-induced increases in water turbidity but were without direct extraction of
145 substrate. These sites were considered to be indirectly affected sites (IAS). Given that the large-
146 scale sand mining activities were conducted from 2012 to 2017, they caused significant changes on
147 environmental conditions in the offshore areas (e.g., the substantial increase of water turbidity)
148 (Duan et al., 2018). Thus, the environmental conditions and benthic macroinvertebrates of the
149 fourteen sites in 2008-2009 could serve as type-specific reference sites (RS) to the indiscriminate
150 sand mining perturbations (DAS and IAS).

151 During both sampling periods, 2008-2009 and 2016, water depth (WD) and Secchi-depth (SD)
152 were measured in the field with a Speedtech SM-5 Portable Depth Sounder and Secchi Disk,
153 respectively. Electrical conductivity (EC), dissolved oxygen (DO), pH, and water temperature (WT)
154 of surface water were acquired with a YSI 6600 V2 Multi-Parameter Water Quality Sonde.
155 Moreover, depth-integrated water samples were collected and kept in cool and shaded conditions
156 before being transported to the laboratory. Water chemistry parameters, including total nitrogen
157 (TN), total phosphorus (TP), permanganate index (COD_{Mn}) and chlorophyll *a* (Chl-*a*) were
158 measured in the laboratory based on standard methods (APHA, 2012). In addition, surface sediment

159 samples were collected, and total nitrogen (TNs) and total phosphorus (TPs) of the sediment were
160 determined by subsampling approximately 30 mg of the dried sediment from each station. The
161 samples were ground with a mortar and pestle and weighed. Then, 25 mL of distilled water was
162 added, and the samples were analyzed after thawing, using combined persulfate digestion followed
163 by spectrophotometric analysis for phosphate and nitrate (Ebina et al., 1983). Benthic
164 macroinvertebrates were sampled with a modified Peterson grab (0.05 m²) with three replicates for
165 each sampling occasion along with the collection of environmental variables. Samples were sieved
166 using a 250 µm aperture mesh. The sieved materials were preserved in low temperature conditions
167 and transported to the laboratory, where the samples were sorted on a white tray, and the
168 macroinvertebrates were preserved with 8% buffered formalin. Specimens were identified to the
169 lowest taxonomic level possible using available taxonomic guides (Liu, 1979; Morse et al., 1994;
170 Wang and Wang 2011), and then they were counted and blotted dry to determine the wet weight
171 with an electronic balance (Sartorius BS-124, Precision: 0.1 mg).

172 In addition, for quantifying long-term water turbidity dynamics, suspended particulate matter
173 (SPM) was acquired based on the optical remote-sensing methods from 2002 to 2016 of the eight
174 sites in Lake Hongze (Figure 1). The algorithms for SPM concentrations were composed of
175 empirical algorithms established based on the relationship between either a single band or
176 combinations of several bands and SPM concentrations (Ondrusek et al., 2012). In addition, the
177 MODIS data at 250-m and 500-m resolutions were used to study the SPM variability. The
178 MODIS/Aqua Level-1A data of Lake Hongze from July 2006 and December 2016 were downloaded
179 from NASA's archive (<https://oceandata.sci.gsfc.nasa.gov/>), and 1602 cloud-free images were
180 selected after visual examination. Level-1A data were preprocessed with software SeaDAS 7.3 to

181 generate Level-1B. Then, a partial atmospheric calibration was used to the Level-1B data to correct
182 for the gaseous absorption (mainly by ozone) and Rayleigh scattering (molecular) effects. The SPM
183 concentration of Lake Hongze was calculated as follows:

$$184 \quad \text{SPM} = \exp(15.4 \times [R_{rc}(645) - R_{rc}(1240)]) + 1.994.$$

185 Detailed descriptions of this equation are provided in Cao et al., (2017).

186 2.3 Data analysis

187 2.3.1 Index selection

188 The Shannon-Wiener index and Pielou's evenness index were chosen to investigate the
189 response of the macroinvertebrate community to indiscriminate sand mining (Shannon, 1948; Smith,
190 1977). As for the response of biological traits, four trait groups were selected and each were divided
191 into 2-7 categories. Of the studied traits, body size was classified into five categories based on the
192 body length of species: 0.5-1 cm, 1-2 cm, 2-4 cm, 4-8 cm and >8 cm. Life cycle duration was
193 classified into two categories: ≤ 1 year and > 1 year. Based on their locomotion and substrate relation,
194 species were classified into skaters, divers, crawlers, burrowers, interstitial and temporarily attached;
195 species were also classified into absorbers, deposit feeders, shredders, scrapers, filter feeders,
196 predators and parasites based on their feeding habits. The trait information was acquired mainly
197 from the literature (Morse, 1994; Tomanova and Usseglio-Polatera, 2007; Statzner, 2010) and online
198 resource www.freshwaterecology.info (Schmidt-Kloiber and Hering, 2015). We used the fuzzy
199 coding procedure in biological trait classification for our data. In the fuzzy coding procedure, the
200 taxa intrinsically representing several categories of a trait are assigned respective weights for these
201 trait categories (Francois, 2010). In our biological trait classification, we used the weights given in
202 the literature for the trait categories (see, e.g., Schmidt-Kloiber and Hering, 2015).

203 The indicator value index (IndVal) was used for the data related to both the macroinvertebrate
204 taxonomic taxa and biological traits among the RS, DAS and IAS to discover the indicators that
205 were typically associated with the three different ecological conditions. This method combines
206 measures of fidelity (relative frequency) and specificity (relative abundance) to generate IndVals of
207 each taxon expressed as percentages (Dufren e and Legendre 1997). A random reallocation
208 procedure with 5,000 permutations was used to test for the significance of IndVals. The calculation
209 of IndVals was performed with the “indval” function implemented in the R package “labdsv”.

210 2.3.2 Spatiotemporal comparisons and statistical analysis

211 Environmental variables and the benthic macroinvertebrate community that were considered
212 as the reference condition were collected in November 2008 and June 2009. Therefore, to examine
213 the effects of sand mining on the environmental condition and macroinvertebrates, the data collected
214 in 2016 in the same months (June and November) and the adjacent months (May and October 2016)
215 with similar temperature levels (Table 1) as reference data were used for comparison among the RS,
216 DAS and IAS.

217 Multivariate analyses were performed to investigate differences both in the macroinvertebrate
218 taxonomic composition and biological trait group among the RS, DAS and IAS using the analysis
219 of similarity (ANOSIM) (Clarke, 1993; Hammer et al., 2001). The ANOSIM was performed with
220 PAST (Paleontological STatistics v1.9) using Bray-Curtis similarity matrices obtained from
221 $\log(x+1)$ transformed density data. The ANOSIM with 999 permutations was used to test the
222 significance of differences in the benthic taxonomic composition and biological trait group, and
223 Global R values represented the degrees of separation that were used to compare relative
224 discriminatory powers of the various datasets, with a score value of 1 indicating complete separation

225 and 0 indicating no separation. Differences in the environmental variables, macroinvertebrate
226 community parameters and biodiversity indices among the RS, DAS and IAS were tested using a
227 one-way ANOVA followed by a post hoc Tukey test or a Kruskal-Wallis followed by a Mann-
228 Whitney U test depending on the normality and homoscedasticity of the data.

229 **3. Results**

230 *3.1 Environmental effects*

231 Compared with the RS, significantly ($P<0.05$) higher values of EC, TN, TP, COD_{Mn} , Chl-*a*, and
232 lower values of SD were observed in both the DAS and IAS, indicating that the sand dredging
233 process may release nutrients from the sediment and promote eutrophication. In addition, the WD
234 difference of HZ8 minus HZ7 increased from -0.63 m in 2008-2009 to 1.74 m in 2016, implying
235 that sand mining deepened the lake bed approximately 2.4 m in the DAS. For sediment, large-scale
236 sand mining has significantly decreased TNs and TPs in the DAS ($P<0.05$) (Table 1).

237 The average SPM concentrations did not differ significantly neither in DAS nor IAS ($P>0.05$)
238 between the period from 2002 to 2006 (total absence of sand mining of Lake Hongze) and the period
239 from 2007 to 2011 (with small-scale sand mining at the edge of the lake). This result implies that
240 the small-scale sand mining may have a rather limited influence on the water environment in the
241 offshore areas. In addition, the average SPM values in the DAS and IAS from 2002 to 2011 were
242 31.25 ± 9.70 mg/L and 30.45 ± 8.10 mg/L, respectively (before large-scale sand mining). A
243 pronounced ($P<0.05$) increase of SPM concentrations was observed in the DAS and IAS from 2012
244 to 2016 (after large-scale sand mining), with average values of 53.68 ± 24.87 mg/L and 48.64 ± 17.56
245 mg/L, respectively (Figure 2).

246 3.2 Macroinvertebrate taxonomic composition and biological traits

247 The ANOSIM Global R values showed that both the taxonomic communities and biological
248 trait groups differed significantly among the RS, DAS and IAS ($P<0.01$). The pairwise comparison
249 of the ANOSIM indicated that when comparing both the taxonomic communities and biological
250 trait groups, the sand mining affected sites (DAS and IAS) separated significantly from the RS
251 ($P<0.01$). In addition, taxonomic communities differed significantly between the IAS and DAS
252 ($P<0.05$) (Table 2).

253 The average density and biomass of macroinvertebrates in the RS were 212.50 ± 179.51 ind.m⁻²
254 and 180.39 ± 206.87 g.m⁻², respectively. After sand mining perturbation, the reductions of the two
255 community parameters in the DAS were 89.80% and 99.54%, respectively ($P<0.01$). Moreover, an
256 obvious decline of almost all of the sampled taxonomic taxa except *Novaculina chinensis* and
257 *Sphaerium lacustre* was observed. As for the IAS, the reductions of the macroinvertebrate density
258 and biomass were 78.82% and 27.79%, respectively, although only the reduction in the biomass
259 was statistically significant ($P<0.01$). In addition, obvious lower densities of *Nephtys oligobranchia*
260 and *Corbicula fluminea* were found compared with those in the RS. In contrast, *Grandidierella* sp.
261 and *S. lacustre* exhibited obvious increased abundances. In terms of biodiversity, insignificant
262 differences ($P>0.05$) of Pielou's evenness index were tested between the RS, and sand mining
263 affected sites (DAS and IAS). However, considerably lower values of the Shannon-Wiener index
264 were observed in the DAS compared with those in the RS ($P<0.01$) (Table 3 and Table 4).

265 With respect to biological traits, much lower values of almost all of the selected biological
266 traits were observed in the DAS compared with those in the RS. In terms of the IAS, compared with
267 counterparts in the RS, significantly higher densities ($P<0.05$) of small-sized (0.5-2 cm), short-lived

268 (≤ 1 year) macroinvertebrates and shredders were observed. In contrast, significantly lower densities
269 ($P < 0.05$) of macroinvertebrates with larger body size (2-8 cm), longer life cycle duration, burrowers
270 and filter feeders were found (Table 5).

271 *3.3 Indicators of sand mining perturbation in Lake Hongze*

272 *C. fluminea* and Bivalvia were found to be significant indicators of ecological conditions of
273 the RS ($P < 0.05$). In contrast, *Grandidierella* sp. and Crustacea were typically associated with the
274 ecological conditions of the IAS ($P < 0.01$). Correspondingly, filter feeders and shredders were
275 indicators of the RS and IAS, respectively ($P < 0.01$). In addition, small-sized macroinvertebrate
276 with body size between 0.5-1 cm and short-lived macroinvertebrate (≤ 1 year) preferred the
277 conditions of the IAS ($P < 0.05$). However, large-sized (2-8 cm) and long-lived (> 1 year) species
278 were found to be significant indicators of the RS ($P < 0.01$). In terms of locomotion and substrate
279 relation, the individuals with burrower behavior favored the habitat of the RS ($P < 0.01$) (Table 6).

280 **4. Discussion**

281 *4.1 Response of macroinvertebrates to indiscriminate sand mining*

282 The benthic macroinvertebrate assemblages in the offshore area of Lake Hongze were not
283 abundant or diverse prior to indiscriminate sand mining activities due to the homogeneous habitat
284 and strong wind-wave disturbance (Cai et al., 2016). However, indiscriminate sand mining activities
285 resulted in a nearly complete loss of macroinvertebrate assemblages and all of their associated
286 biological traits in the sand mining area. For one, this may be because the direct sand extraction can
287 cause a massive mortality of original benthic animals. For another, dredging-induced habitat
288 degradation in sand mining areas may hamper the recolonization of macroinvertebrates. As we know,
289 the dredging-induced increase in water turbidity and water depth can seriously decrease the light

290 availability of benthic algae, thus inhibiting the biomass of these microphytes (Newell et al., 2005).
291 On the other hand, the intensive sedimentation during the sand mining process may form new
292 surface sediment with a significantly lower content of nutrients and organic detritus. These results
293 indicate that macroinvertebrates could hardly assimilate energy from the sediment. On the other
294 hand, dredging-induced high turbidity conditions may also significantly reduce the survival of filter
295 feeders (e.g., *Bivalvia*) by restricting their feeding behaviors (Österling et al., 2007, Bucci et al.,
296 2008, Österling et al., 2010), implying that energy assimilation of benthic animals from the water
297 column was also hindered. It is possible that the dredging-related increase in water depth may be
298 associated with the decreased dissolved oxygen in the sediment-water interface, inhibiting the
299 survival of oxygen-sensitive organisms, such as *C. fluminea*, which are generally sensitive to oxygen
300 depletion in lakes (Ilarri et al., 2011).

301 In terms of the area indirectly affected by sand mining activities, some obvious changes in both
302 the taxonomic assemblages and biological traits were also observed. Our results indicated that the
303 ecological conditions of indirectly affected areas may promote the dominance of *Grandidierella* sp.
304 To our knowledge, the energy assimilation of this species is mainly derived from sediments,
305 presenting feeding habits of shredders and deposit feeders (Schmidt-Kloiber and Hering, 2015).
306 Thus, the dredging-induced high turbidity in the water column may exert limited effects on their
307 foraging activity. On the other hand, the study of marine macroinvertebrates implied that dredging
308 activities significantly promote the sedimentation process and that mobile species are generally less
309 vulnerable than sessile taxa to the sedimentation, as they are able to move to areas with less sediment
310 accumulation or be more efficient in removing particles (Fraser et al., 2017). In fact, the increased
311 densities of *Paranthura* sp. and *Corophium* sp., which shared the same biological traits with

312 *Grandidierella* sp. were also observed in the IAS. As a result, the increased abundance of Crustacea
313 and its associated biological traits (e.g., crawlers and shredders) were found in the IAS.

314 In contrast, our results indicate that dredging-induced high turbidity probably inhibited the
315 survival of *C. fluminea*. As we know, *C. fluminea* has been observed to withstand turbid conditions
316 (Sousa et al., 2008; Foe and Knight, 1985). However, the field observations also demonstrated that
317 this species experienced rapid die-offs triggered by the high silt loads during spring floods (Ilarri et
318 al., 2011). Therefore, the dredging-induced high turbidity conditions in our study may exceed the
319 tolerance range of *C. fluminea*, resulting in its strong decline. Coincidentally, the total absence of *C.*
320 *fluminea* was observed in Lake Luoma, a medium-sized lake near Lake Hongze, after several
321 indiscriminate sand mining perturbations (Zou et al., 2017). However, the increased abundance of
322 fingernail-sized bivalves (e.g., *S. lacustre*) was observed in the IAS. Compared with *C. fluminea*, *S.*
323 *lacustre* exhibits a shorter life cycle duration and much smaller body size, which suggests that *S.*
324 *lacustre* may be relatively an r-strategist in the continuum of strategies r-k (Barbosa, 1977; Schmidt-
325 Kloiber and Hering, 2015). These traits may allow rapid population recovery of *S. lacustre* after
326 reductions in dredging-induced disturbances. The increase of density of *S. lacustre* was altogether
327 much lower than the decrease in the density of *C. fluminea*; therefore, the Bivalvia and its associated
328 biological trait (i.e., filter feeder) were typically associated with the ecological conditions in the RS.
329 Meanwhile, the appearance of small-sized and short-lived species (e.g., *Grandidierella* sp. and *S.*
330 *lacustre*) resulted in the increased abundance of individuals with a 0.5-2 cm body length and short
331 life cycle duration (≤ 1 year) in the IAS. The reason behind the significantly lower densities of *N.*
332 *oligobranchia* observed in the IAS may also be related to food restrictions and feeding habits. This
333 species exhibited a 25% affinity for filter feeding, which implies that the high water turbidity may

334 cause negative effects on their survival (Österling et al., 2007, Österling et al. 2010). In regard to
335 substrate relation, *N. oligobranchia* is dominantly a burrower. Generally, the low ability to escape
336 sediment disturbance of burrowers may explain the decline of *N. oligobranchia* in the sites directly
337 affected by sand dredging.

338 *4.2 Implications for management*

339 Macroinvertebrates are an important food source for organisms at higher trophic levels (Covich
340 et al., 1999), and some species can also be used as human food. With the estimation of secondary
341 production based on the earlier P/B-ratios of macroinvertebrates (Yan et al., 1987), we evaluated
342 that the macroinvertebrate secondary productivity dropped by 99.5% (from 360.17 g.(m².a)⁻¹ to
343 1.66 g.(m².a)⁻¹) in the DAS and by 78.9% (from 360.17 g.(m².a)⁻¹ to 76.12 g.(m².a)⁻¹) in the IAS
344 of Lake Hongze. Therefore, indiscriminate sand mining may cause considerable adverse impacts on
345 fisheries production and more general lake ecosystem service. The changes observed, including a
346 decrease of macroinvertebrate body size, a shortening of life cycle duration, a decreased density and
347 a secondary production of macroinvertebrates, indicated that indiscriminate sand mining impaired
348 the ecosystem health of Lake Hongze (Odum, 1985; Rapport and Whitford 1999). However,
349 commercial sand mining is very popular in inland waters of China (Leeuw et al., 2010; Meng et al.,
350 2018) and other countries (Mayekar, 2006) because sand is an indispensable resource for urbanized
351 societies. The following suggestions are proposed for preventing the irrational utilization of sand
352 resources and its associated adverse effect on ecosystem health.

353 First, we suggest that extremely strict management regulations seem to be the premise of
354 preventing such indiscriminate operations and associated ecological damage. In Lake Hongze, a
355 series of policies were launched by the local government to restrict such activities starting in 2014,

356 such as levying huge fines to offenders and dismantling their sand dredging equipment. However,
357 indiscriminate sand mining always resurged (Duan et al., 2018). The reason is that the large profits
358 (in Lake Hongze, approximately 20,000–30,000 ¥ of the net profits for one multi-ton sand dredger
359 in 10 h) of indiscriminate sand mining make offenders less concerned about the financial
360 punishment. The final stop of these indiscriminate activities was followed by harsh punishment (i.e.,
361 the arrest and sentence to some offenders). Therefore, for the same reasons, we believe that the
362 unsustainable utilization of sand resources may also occur in areas where no strict regulations are
363 issued.

364 Contrary to our observations, the opposite response of macroinvertebrates (e.g., increased
365 density and biomass of macroinvertebrates after sand mining) to sand mining perturbations in an
366 indirectly affected area of another large freshwater lake (Lake Dongting) was observed (Meng et al.,
367 2018). We assume that the effect of dredging-induced high turbidity on benthic invertebrates may
368 take a longer time to be fulfilled, whereas the effects of sand mining in Lake Dongting were reported
369 only after one year (Meng et al., 2018). However, the longer temporal duration (from 2012 to 2016)
370 of sand mining in our study may explain the stronger responses of the benthic macroinvertebrates.
371 Furthermore, the higher flushing rate, larger surface area and larger volume of Lake Dongting can
372 provide a better self-purification capacity compared to Lake Hongze (Cai et al., 2017), and the larvae
373 of macroinvertebrates (e.g., *C. fluminea*) can also be recolonized more frequently by upstream
374 runoff. Therefore, in the area adjacent to sand mining activities, the responses of macroinvertebrates
375 to sand mining activities and other dredging-induced effects may vary greatly with the severity and
376 duration of the dredging operation, as well as the self-purification capacity of the water body.
377 Therefore, these features should be taken into consideration in the management of sand mining

378 activities, such as the assessment of ecologically sustainable maximum sand yields.

379 In addition, we have identified a number of indicators of sand mining perturbations in Lake
380 Hongze. We suggest the general application of these indicator may need to be further validated, and
381 the trait-based indicators are likely more useful at broader geographic scales. However, our results
382 indicated that traditional biodiversity indices, which has been substantially used in the ecological
383 monitoring and assessment of aquatic systems, may be less suitable for biomonitoring of sand
384 mining perturbations. In Lake Hongze, sand mining activities significantly decreased the densities
385 of the original predominant species (i.e., *C. fluminea* and *N. oligobranchia*), resulting in the increase
386 of evenness in the macroinvertebrate assemblages of IAS. Moreover, the sand mining perturbations
387 seem to have given rise to the appearance of some opportunistic species (e.g., Chironomidae larvae),
388 leading to the increase of species richness in IAS. As a result, higher values of Pielou's evenness
389 index and Shannon-Wiener index were observed. In the DAS, only one species was found in 50%
390 of the sampling occasions, implying that the Pielou's evenness index of these sampling occasions
391 was equal to 1, whereas the value of this index was 0.77 in the RS with higher species richness.
392 These results highlight the importance of a bioindicator-based approach to biomonitoring of sand
393 mining disturbance, rather than the use of a simple diversity indices approach in which species
394 identity plays a limited part (Cousins, 1991).

395 **5. Conclusion**

396 In our study, we observed the collapse of macroinvertebrates due to the considerable habitat
397 degradation in sand mining areas. For the areas adjacent to sand mining, dredging activities had also
398 dramatically altered natural macroinvertebrate assemblages. These results indicate that the benthic
399 population is very sensitive to sand mining perturbations; thus, this fauna may serve to help develop
400 metrics for the assessment of dredging-related ecological effects in freshwater lakes. In Lake
401 Hongze, a number of both taxonomic-based and trait-based macroinvertebrate indicators of sand
402 mining perturbations were identified. Given that the responses of macroinvertebrates to sand mining
403 perturbation may vary greatly with the scale of dredging activities and self-purification capacity of
404 the water body, the usage of our recommended indicators at broader geographic scales may need to
405 be further validated. However, these findings may have important implications in future
406 biomonitoring of sand mining perturbations. We suggest that the dietary preference from the water
407 column, the capacity of recolonization and the mobility of the macroinvertebrate should be taken
408 into consideration when obtaining the more general and robust indicators of the sand mining
409 perturbations. Moreover, further research of sand mining effects, such as the response of other
410 aquatic organism groups, the impact on ecosystem functions and the ecosystem recovery capacity,
411 will be required to achieve a sustainable development of sand mining.

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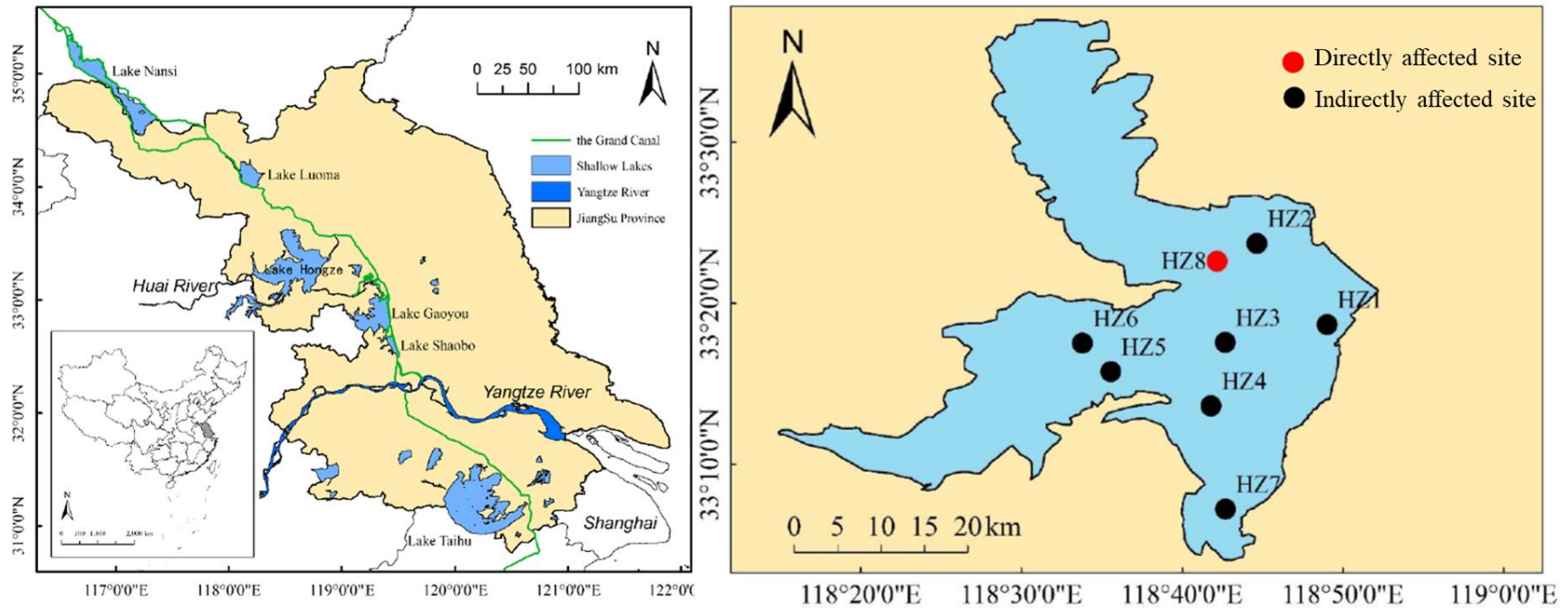
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597 **Figure 1**

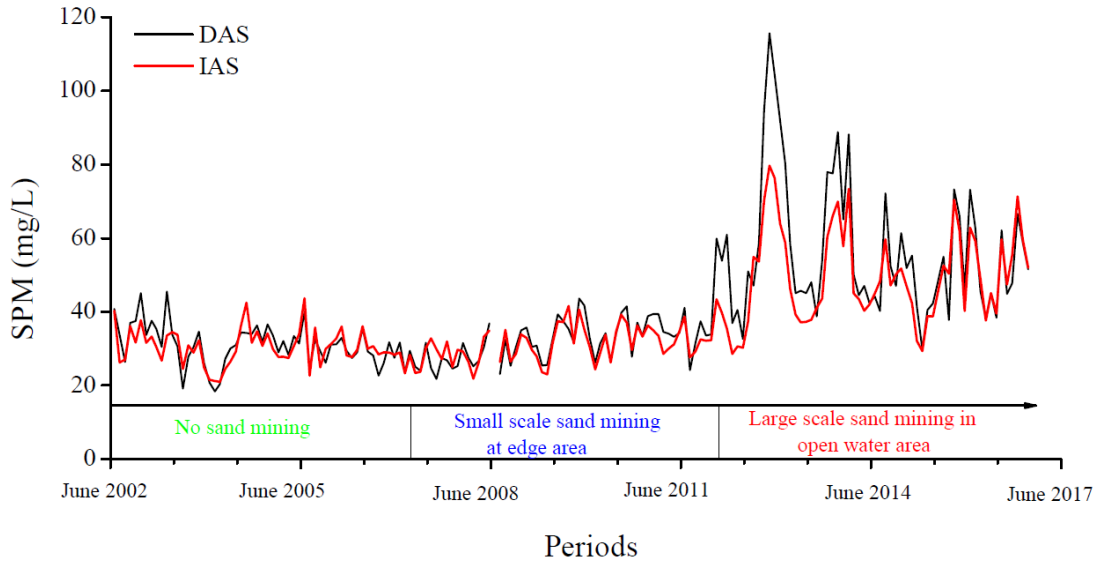
598 The location of Lake Hongze in China, and the spatial distribution of the eight sampling sites of Lake Hongze in 2016. HZ8 was the sand mining site, and it was directly
599 affected by sand mining. For HZ1-HZ7, no sand mining activities were observed, but they were indirectly affected by dredging-induced high turbidity water.
600 .



601

602 **Figure 2**

603 Monthly average concentration of suspended particulate matter (SPM) based on MODIS/Aqua data
604 in the directly affected sites (DAS) and indirectly affected sites (IAS) in Lake Hongze from 2002 to
605 2016.



606

607 **Table 1**

608 Environmental variables of the reference sites (RS) in 2008-2009, directly affected site (DAS) and
 609 indirectly affected sites (IAS) in 2016 of Lake Hongze. Different letters indicate significant
 610 differences among the groups (one-way ANOVA followed by a post hoc Tukey test or Kruskal-
 611 Wallis followed by a Mann-Whitney U test, $P<0.05$).

Variables	2008-2009 (RS)	2016 (DAS)	2016 (IAS)	<i>P</i>
Water temperature (°C)	22.32±5.87 ^a	22.05±6.55 ^a	21.96±4.69 ^a	0.323
Dissolved oxygen (mg/L)	7.97±1.24 ^b	9.26±1.70 ^a	8.86±1.34 ^a	0.024
Electrical conductivity (µs/cm)	450.47±72.83 ^b	525.25±163.58 ^a	550.50±106.53 ^a	0.002
pH	8.77±0.38 ^a	8.99±0.28 ^a	8.72±0.22 ^a	0.279
Secchi depth (cm)	0.25±0.08 ^a	0.18±0.10 ^a	0.13±0.07 ^b	<0.001
Chlorophyll <i>a</i> (µg/L)	4.69±3.24 ^b	7.05±3.77 ^a	8.51±6.61 ^a	0.030
Total nitrogen (mg/L)	1.62±0.47 ^b	2.27±0.61 ^a	2.01±0.71 ^a	0.023
Total phosphorus (mg/L)	0.09±0.02 ^b	0.16±0.08 ^a	0.13±0.05 ^a	0.002
Permanganate index (mg/L)	3.98±0.76 ^b	4.99±1.04 ^a	5.12±1.43 ^a	0.002
Total nitrogen of surface sediment (mg/kg)	1.19±0.45 ^a	0.92±0.08 ^b	1.22±0.19 ^a	0.038
Total phosphorus surface sediment (mg/kg)	0.40±0.05 ^a	0.22±0.02 ^b	0.37±0.08 ^a	0.001

612

613 **Table 2**

614 Results of one-way analysis of similarities (ANOSIM) of the macroinvertebrate taxonomic
 615 communities and biological traits groups among the reference sites (RS) in 2008-2009 and the
 616 indirectly affected sites (IAS) and directly affected site (DAS) in 2016 of Lake Hongze.

	Comparison	Dissimilarity %	R	<i>P</i>
	RS, IAS and DAS	73.52	0.3320	0.0001
Taxonomic communities	RS and IAS	71.31	0.3798	0.0001
	RS and DAS	80.42	0.5485	0.0005
	IAS and DAS	82.12	0.3798	0.0173
	RS, IAS and DAS	61.59	0.1694	0.0003
Biological traits groups	RS and IAS	58.86	0.1117	0.0036
	RS and DAS	74.10	0.5389	0.0024
	IAS and DAS	68.20	0.2180	0.0981

617

618 **Table 3**

619 Macroinvertebrate total density (ind.m⁻²), total biomass (g.m⁻²), Shannon-Wiener and Pielou's
 620 evenness indices of the reference sites (RS) in 2008-2009 and the directly affected site (DAS) and
 621 indirectly affected sites (IAS) in 2016 of Lake Hongze. Different letters indicate significant
 622 differences among the groups (one-way ANOVA followed by a post hoc Tukey test or Kruskal-
 623 Wallis followed by a Mann-Whitney U test, $P < 0.05$).

Indices	RS (n=28)	IAS (n=28)	DAS (n=4)	<i>P</i>
Total density	212.50±179.51 ^a	153.45±177.16 ^{ab}	21.67±16.67 ^b	0.003
Total biomass	180.39±206.87 ^a	38.21±90.39 ^b	0.83±1.14 ^c	<0.001
Shannon-Wiener index	0.63±0.41 ^a	0.95±0.45 ^a	0.14±0.28 ^b	0.001
Pielou's evenness index	0.77±0.16 ^a	0.86±0.13 ^a	0.72±0.48 ^a	0.12

624

625 **Table 4**

626 Mean density (ind.m⁻²) and biomass (g.m⁻²) for the 18 species collected in the reference sites (RS) in 2008-2009 and the directly affected site (DAS) and indirectly
 627 affected sites (IAS) in 2016 of Lake Hongze. Occurrence (Occ.) is the number of stations at which each species was collected. The number of total sampling occasions
 628 for the RS, DAS and IAS were 28, 28 and 4, respectively.

Taxa	Density (Occ.)			Biomass		
	RS	IAS	DAS	RS	IAS	DAS
Oligochaeta						
<i>Branchiura sowerbyi</i> Beddard (1892)	9.32±13.62(12)	7.85±19.88(5)	0.00±0.00(0)	0.20±0.50	0.19±0.62	0.00±0.00
Polychaeta						
<i>Nephtys oligobranchia</i> Southern (1921)	111.29±126.49(23)	66.67±132.73(21)	15.00±19.15(2)	0.59±0.58	0.35±0.90	0.10±0.12
<i>Nereis japonica</i> Izuka (1908)	0.00±0.00(0)	1.66±7.62(2)	0.00±0.00(0)	0.00±0.00	0.01±0.09	0.00±0.00
<i>Notomastus latericeus</i> Sars (1851)	10.86±24.27(8)	25.00±35.35(18)	0.00±0.00(0)	0.16±0.48	0.41±0.60	0.00±0.00
Crustacea						
<i>Paranthura</i> sp.	0.00±0.00(0)	6.90±12.10(9)	0.00±0.00(0)	0.00±0.00	0.08±0.15	0.00±0.00
<i>Corophium</i> sp.	0.57±3.02(1)	3.57±12.37(3)	0.00±0.00(0)	0.00±0.00	0.01±0.06	0.00±0.00
<i>Grandidierella</i> sp.	1.14±4.19(2)	17.74±40.81(11)	0.00±0.00(0)	0.00±0.00	0.06±0.14	0.00±0.00
Chironomidae						
<i>Chironomus plumosus</i> Linnaenus (1758)	0.57±3.02(1)	0.23±1.26(1)	0.00±0.00(0)	0.00±0.00	0.00±0.00	0.00±0.00
<i>Glyptotendipes pallens</i> Meigen (1804)	0.00±0.00(0)	0.71±3.78(1)	0.00±0.00(0)	0.00±0.00	0.00±0.00	0.00±0.00
<i>Dicrotendipes pelochloris</i> Kieffer (1912)	0.00±0.00(0)	0.71±3.78(1)	0.00±0.00(0)	0.00±0.00	0.00±0.00	0.00±0.00
Bivalvia						
<i>Corbicula fluminea</i> Müller (1774)	72.07±96.99(26)	5.00±11.71(5)	0.00±0.00(0)	160.50±195.80	20.77±52.00	0.00±0.10
<i>Sphaerium lacustre</i> Müller (1774)	1.14±6.04(1)	10.48±14.78(11)	6.66±9.42(1)	0.01±0.08	2.33±7.01	0.63±1.11
<i>Novaculina chinensis</i> Liu et Zhang (1979)	0.57±3.02(1)	1.19±3.17(4)	5.00±10.00(1)	0.01±0.01	0.23±0.87	0.14±0.28

<i>Limnoperna fortunei</i> Dunker (1857)	6.28±21.48(5)	3.57±15.44(2)	0.00±0.00(0)	1.09±4.48	1.37±7.22	0.00±0.10
<i>Unio douglasiae</i> Gray (1833)	0.00±0.00(0)	0.71±3.78(1)	0.00±0.00(0)	0.00±0.00	15.60±82.556	0.00±0.00
<i>Lamprotula laleci</i> Gray (1835)	0.57±3.02(1)	0.00±0.00(0)	0.00±0.00(0)	17.15±90.776	0.00±0.00	0.00±0.00
Gastropoda						
<i>Stenothyra glabra</i> Adams (1850)	0.00±0.00(0)	1.42±7.56(1)	0.00±0.00(0)	0.00±0.00	0.29±1.55	0.00±0.00
<i>Semisulcospira cancellata</i> Benson (1833)	1.14±4.19(2)	0.00±0.00(0)	0.00±0.00(0)	0.60±2.23	0.00±0.00	0.00±0.00

629

630

631 **Table 5**

632 Density (ind.m⁻²) of macroinvertebrates belonging to the four traits in the reference sites (RS) in
 633 2008-2009 and the directly affected site (DAS) and indirectly affected sites (IAS) in 2016 of Lake
 634 Hongze. Different letters indicate significant differences among the groups (one-way ANOVA
 635 followed by a post hoc Tukey test or Kruskal-Wallis followed by a Mann-Whitney U test, $P<0.05$).

		RS (n=28)	IAS (n=28)	DAS (n=28)	<i>P</i>
Body size (cm)	0.5-1	1.71±5.04 ^b	22.55±42.03 ^a	0.00±0.00 ^b	0.002
	1-2	2.94±7.73 ^b	17.17±19.57 ^a	5.00±7.07 ^{ab}	0.007
	2-4	113.54±104.81 ^a	71.09±109.18 ^b	16.66±14.33 ^b	0.003
	4-8	94.43±85.45 ^a	39.94±49.57 ^b	5.00±4.08 ^b	<0.001
	>8	2.90±4.24 ^a	2.68±6.01 ^a	0.00±0.00 ^a	0.134
Life cycle duration (year)	≤1	4.60±11.95 ^b	27.55±44.90 ^a	1.67±2.36 ^b	0.002
	>1	210.94±173.15 ^a	125.8±167.61 ^{ab}	25.00±22.73 ^b	0.003
Locomotion and substrate relation	skaters	0.16±0.60 ^a	0.44±1.9 ^a	0.00±0.00 ^a	0.86
	divers	37.98±32.39 ^a	24.89±32.61 ^{ab}	3.83±3.28 ^b	0.002
	crawlers	15.02±19.74 ^a	21.59±27.59 ^a	1.79±1.57 ^a	0.136
	burrowers	136.94±112.92 ^a	81.44±98.65 ^b	16.14±14.61 ^b	0.003
	interstitial	24.65±25.86 ^a	21.69±35.25 ^a	3.00±3.83 ^b	0.033
	temporarily attached	6.79±1.99 ^a	3.38±4.32 ^{ab}	1.90±2.69 ^b	0.031
	Feeding habits	absorbers	2.33±3.40 ^a	1.96±4.97 ^a	0.00±0.00 ^a
deposit feeders	99.93±98.29 ^a	85.72±119.01 ^a	11.25±14.36 ^b	0.031	
shredders	1.35±3.45 ^b	20.06±26.90 ^a	0.00±0.00 ^b	<0.001	
scrapers	0.63±2.11 ^a	1.04±4.54 ^a	0.00±0.00 ^a	0.704	
filter feeders	107.84±110.30 ^a	42.69±45.54 ^b	15.41±12.72 ^b	0.004	
predators	0.06±0.34 ^a	0.19±0.59 ^a	0.00±0.00 ^a	0.481	
parasites	3.39±10.93 ^a	1.79±7.72 ^a	0.00±0.00 ^a	0.378	

636

637 **Table 6**

638 Indicator values (IndVals) index of the ecological condition indicators based on taxonomic taxa and
 639 biological traits. The ecological conditions referred to are the reference sites (RS) sampled in 2008-
 640 2009 and the indirectly affected sites (IAS) sampled in 2016 of Lake Hongze. In our study, the
 641 fidelity (relative occurrence) is the ratio of the number of sites where the indicators are present and
 642 the total number of sites in the preferred ecological conditions. Specificity (relative abundance) is
 643 the ratio of the mean number of individuals of the indicators across sites of preferred ecological
 644 conditions and the sum of the mean numbers of individuals of the indicators in each condition.

	Indicators	Preferred conditions	Fidelity	Specificity	IndVal	Probability
Taxonomic taxa	<i>Grandidierella</i> sp.	IAS	0.39	0.94	37 %	0.004
	<i>C. fluminea</i>	RS	0.71	0.94	67 %	0.005
	Crustacea	IAS	0.68	0.94	64 %	0.009
	Bivalvia	RS	0.75	0.74	56 %	0.039
Biological traits	≤1 year	IAS	0.71	0.81	58 %	0.026
	>1 year	RS	0.93	0.63	58 %	0.009
	0.5-1 cm	IAS	0.50	0.93	46 %	0.026
	2-4 cm	RS	0.96	0.58	56 %	0.039
	4-8 cm	RS	0.93	0.70	65 %	0.003
	filter feeders	RS	0.96	0.65	63 %	0.010
	shredders	IAS	0.68	0.94	64 %	0.007
burrowers	RS	1.00	0.58	58 %	0.008	

645