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Decoding attentional states for neurofeedback: Mindfulness vs. wandering thoughts

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1 Decoding attentional states for neurofeedback: mindfulness vs. wandering thoughts

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19 Abstract

20 Neurofeedback requires a direct translation of neuronal brain activity to sensory input given to

21 the user or subject. However, decoding certain states, e.g., mindfulness or wandering thoughts,

22 from ongoing brain activity remains an unresolved problem.

In this study, we used magnetoencephalography (MEG) to acquire brain activity during mindfulness meditation and thought-inducing tasks mimicking wandering thoughts. We used a novel real-time feature extraction to decode the mindfulness, i.e., to discriminate it from the thought-inducing tasks. The key methodological novelty of our approach is usage of MEG power spectra and functional connectivity of independent components as features underlying mindfulness states. Performance was measured as the classification accuracy on a separate session but within the same subject.

We found that the spectral- and connectivity-based classification approaches allowed discriminating mindfulness and thought-inducing tasks with an accuracy around 60% compared to the 50% chance-level. Both classification approaches showed similar accuracy, although the connectivity approach slightly outperformed the spectral one in a few cases. Detailed analysis showed that the classification coefficients and the associated independent components were highly individual among subjects and a straightforward transfer of the coefficients over subjects provided near chance-level classification accuracy.

Thus, discriminating between mindfulness and wandering thoughts seems to be possible, although with limited accuracy, by machine learning, especially on the subject-level. Our hope is

39 that the developed spectral- and connectivity-based decoding methods can be utilized in real-

- 40 time neurofeedback to decode mindfulness states from ongoing neuronal activity, and hence,
- 41 provide a basis for improved, individualized mindfulness training.

42

43 Keywords

44 Neurofeedback, magnetoencephalography, machine learning, mindfulness

45

46 Introduction

A brain computer interface (BCI), an essential component for neurofeedback, allows translating patterns of neuronal activity in the brain to inputs or commands for external devices (Wolpaw J. et al., 2002). Electroencephalography- (EEG) or magnetoencephalography-based (MEG) non-invasive BCIs provide opportunities for numerous clinical, assistive and entertainment applications. However, they require robust decoding of neuronal patterns: The person who controls the BCI should learn to produce robust neuronal patterns and/or a device that implements BCI should robustly identify these patterns.

Machine learning approaches have recently been successfully applied to detect some cognitive operations or mental states from underlying neuronal activity (Lemm et al., 2011; Lotte et al., 2007). Often, the classification is performed on the neuronal activity evoked by timelocked presentation of a target stimulus or task (Blankertz et al., 2011), which maximizes the signal-to-noise ratio of neuronal response. However, there are plenty of applications that require detection of cognitive states from stimulus-free ongoing neuronal activity.

60 One application where machine-learning BCI could be very useful is mindfulness meditation, which has been shown to have several positive behavioural outcomes (Tang et al., 61 2014). During mindfulness meditation, attention is supposed to be focussed on the breath or a 62 similar target, but in reality, it varies over the time. Thus, it would be crucial to alert the user in 63 real-time about wandering thoughts, i.e., temporary weakening of mindfulness. Detection of 64 wandering thoughts can be considered as an example of neurofeedback system where a desired 65 mental state can be defined by the user but the corresponding brain signal is not known. Such a 66 67 sustained-attention neurofeedback system would presumably have many other applications, for 68 instance, in driving assistance (Schmidt et al., 2009).

69 Several functional magnetic resonance imaging (fMRI) studies suggest that activity of default-mode network may underlie mind-wandering thoughts (Andrews-Hanna et al., 2014; 70 Christoff et al., 2009). On the other hand, a recent study suggests that mind wandering can be 71 represented through dynamic connectivity of the brain networks (Kucyi, 2017). Nevertheless, the 72 indirect coupling of BOLD signal with neuronal activity and the relatively low temporal 73 74 resolution of fMRI do not allow assessment of the contribution of short-lasting cognitive 75 processes that largely constitute wandering thoughts. Several attempts have been made to find 76 the brain correlates of wandering thoughts during sustained attention tasks with millisecond resolution using electroencephalography (EEG; (Baldwin et al., 2017; Braboszcz and Delorme, 77

2011). These studies have reported changes in the power at alpha frequency during mind 78 79 wandering, but the neuroanatomical basis remains poorly understood because of low spatial resolution of EEG. A technically more advanced, simultaneous EEG-fMRI neurofeedback study 80 (Ros et al., 2013) showed an increase of connectivity in default-mode network, which was 81 positively correlated with changes in mind-wandering as well as resting state alpha rhythm. 82 Although this study provided a link between the brain rhythms and anatomy for mind-wandering, 83 the relationship between EEG and BOLD signal is rather indirect (Scheeringa et al., 2011). 84 85 Surprisingly, there is a lack of magnetoencephalography (MEG) studies. MEG provides better temporal resolution compared to fMRI and better spatial resolution compared to EEG, which 86 allow assessing fast neuronal processes and brain networks that are closely related to fMRI 87 networks (Brookes et al., 2011). 88

In this study, we designed a behavioural paradigm where the subject performed 89 mindfulness meditation, and two different tasks mimicking wandering thoughts, in consequent 90 blocks. In contrast to mindfulness meditation, the latter tasks supposedly induced numerous 91 92 thoughts, e.g., related to positive future plans or anxious emotional scenes. We used active tasks 93 instead of resting state as a contrast for mindfulness meditation, because variability of resting 94 state activity seems to be too unspecific, possibly related to various preceding mental states, including mindfulness itself. We developed and applied spectral- and connectivity-based 95 classification approaches to discriminate the behavioural states based on their underlying 96 neuronal activity, in particular focussing on the choice of feature extraction methods. 97

Our results show that it is possible, to some extent, to detect wandering thoughts in ongoing MEG measurements, and we provide a sketch of a pipeline for optimizing such detection. Furthermore, in addition to the conventional view that mindfulness meditation is characterized by changes in the power of alpha oscillations, the changes in (dynamic) functional connectivity may provide an alternative, possibly even better, description of mindfulness states.

103

104 Materials and Methods

105 Experimental design

106 Twenty-four subjects (9 females, 27 ± 5.5 years (mean \pm SD)) with moderate or no previous 107 experience in mindfulness meditation participated in the study. Prior to the study, we performed 108 a screening to include subjects with no history of neurological disorders, head trauma or 109 substance abuse. All participants had normal or corrected to normal vision. Ten subjects had no 110 previous experience, while other subjects had experience in either focused attention or open 111 monitoring meditation practices ranged from 0.5 to 10 years.

After a 2-minute resting state, participants were instructed to perform one of the tasks while undergoing MEG (Elekta Neuromag, TRIUX). The tasks were organized into 2-minute blocks with counterbalanced order and the participants performed each task four times in a single session. The session ended with a resting state 2-minutes block. We conducted two sessions per

116 participant with a 5-minutes break between the sessions (Fig. 1).

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| 18 | Figure 1 about here |
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| 20 21 | Fig. 1. Diagram of the experiment. The tasks are MF (mindfulness meditation), FP (reflection of future planning) and EP (reflection on anxiousness-inducing emotional pictures). |
| 22 23 24 25 26 27 28 | The tasks were mindfulness meditation (MF), reflection on future planning (FP) and reflection on anxiousness-inducing emotional pictures (EP). In all tasks, subjects were instructed to sit still, fix the gaze on the crosshair, and perform a task after a short (seven seconds) visual instruction. The visual instruction was shown at the beginning and at the middle of each task (Fig. 1) to keep subjects' attention. After each task, the subject was asked to evaluate his/her involvement in the task by answering two questions "How focussed were you on the task?" and "How did you feel during the task? (pleasantness)" using a touch pad that provided a gradual response within range |
| 29 | from 0 to 1. |

For the *mindfulness meditation task*, the subject was instructed to focus his/her attention on the 130 131 sensations of breathing and move his/her focus of attention back to the task if mind-wandering occurs. The task started with a visual instruction "Please focus on your breathing" accompanied 132 by a picture of clouds. For the future planning and anxiety-inducing tasks, the subject 133 individually selected 16 (out of 40) relevant pictures prior to the experiment. In the future 134 planning task, subject was asked to perform a planning related to the picture, presumably 135 following the ensuing chains of thought and keeping his/her mind busy. The task started with an 136 instruction "Please make plans related to the picture" accompanied by a relevant picture. The 137 anxiety-inducing task was similar to the future planning, but instead of neutral pictures, 138 disturbing, scary, disgusting or other unpleasant pictures were shown to the subject. The task 139 started with a visual instruction "Place yourself or someone close to you in this situation" 140 accompanied by a relevant picture. For the FP and EP tasks two different pictures were presented 141 142 for each 2-minute block (at the beginning and in the middle), and for the MF task, the same 143 picture of clouds was presented twice.

144 Analysis of behavioural data

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145 We analysed the subject's responses for the question "How focussed were you on the task?" (see, Fig. 1) ranged from 0 to 1. The average values were the following 0.65±0.012 (mindfulness), 146 0.70±0.010 (future planning task) and 0.66±0.013 (anxiety-inducing task) respectively. This 147 result showed that the focus during different tasks was at a reasonable level. Additionally, we 148 compared the responses between different tasks using the Wilcoxon rank sum test. The results 149 showed no significant difference (p > 0.05) between the tasks, suggesting that the participants 150 151 performed these tasks equally well.

152 Data pre-processing In the analysis, we used the 204 planar gradiometers of the MEG scanner. The Signal Space
Separation (SSS) method (Taulu et al., 2004) was applied to supress the external interference and
sensor artefacts.

156 Independent component analysis

To obtain neurophysiologically realistic sources of neuronal activity, we applied complex-valued independent component analysis in the frequency-domain (Fourier-ICA; (Hyvärinen et al., 2010)) to MEG sensor's time series. The time series were divided into four-second epochs with rot percent overlap and the epochs were Fourier transformed within the range of 4–24 Hz. A complex-valued ICA using 64 PCA components was applied to the epochs (from first sessions only), concatenated across subjects, which provided a group-level ICA un-mixing matrix. Note that the effective dimension of the data was reduced to approximately 64 by SSS.

164 Component selection criteria

Often, several independent components in MEG reflect physiological activity that is unrelated to 165 neuronal activity of the brain (Jas et al., 2017). To exclude possible confounders, we applied 166 three criteria to select independent components. First, we included only components that had a 167 spectral peak within the range 8–16 Hz and the power of this peak was at least 50% larger than 168 the power in theta (4-7 Hz) or high-beta (17-24 Hz) bands. Before this comparison, we 169 170 equalized the spectral power over frequencies by subtracting the best fitting power-law function 171 from the power spectra. Second, we analysed the component's spatial maps and excluded those components that had more than three blobs (i.e., continuous areas containing 5% of largest 172 values) in the spatial map. *Third*, we excluded the components if their maximum in spatial map 173 was located in the frontal or tempo-frontal areas. As a result, 38 components (out of 64) were 174 selected for further analysis. Generally speaking, brain sources are spatially localized and band-175 pass, which leads to our formulation of the first two criteria, and the third criterion was included 176 177 to exclude eye artifacts.

178 Spectral features extraction

179 The individual subjects' spectral features were extracted in the following manner. The sensor 180 time series were divided into four-second epochs with 75 percent overlap and the epochs were Fourier transformed within the range of 4–24 Hz. The group-level ICA un-mixing matrix was 181 applied to these epochs, and the amplitude spectra of frequency-domain independent components 182 were computed (Suppl. Fig. 1A). In order to increase robustness of the spectral approach, we 183 averaged the spectral amplitudes within four frequency bands: theta (4–7 Hz), alpha (8–12 Hz), 184 low-beta (13–16 Hz) and high-beta (17–24 Hz). The amplitude spectra averaged inside these four 185 frequency bands were then used as features for classification. 186

187 *Connectivity features extraction*

188 As an alternative to the spectral features, individual connectivity features were extracted as

- 189 follows. Again, the sensor time series were divided into four seconds epochs with 75 percent
- 190 overlap and the epochs were Fourier transformed within the range of 4–24 Hz. The group-level
- 191 ICA un-mixing matrix was applied to these epochs and inverse Fourier transform was computed

to reconstruct components' time series. The independent component time series were filtered in 192

four frequency bands: theta (4-7 Hz), alpha (8-12 Hz), low-beta (13-16 Hz) and high-beta (17-193 24 Hz). In contrast to the spectral feature case, the Pearson correlation coefficients were 194

computed for each pair of the components (Suppl. Fig. 1B), separately for each frequency band. 195

The correlation coefficients between epochs of independent component time series were well 196

above zero (Suppl. Fig. 2). The connectivity matrices were then vectorised and used as features 197

for classification. 198

199 *Feature dimensionality reduction*

To further improve the robustness of classification, we reduced the dimensionality of the 200 201 spectral- and connectivity-based features by applying an algorithm described by Kauppi and colleagues (Kauppi et al., 2013). The idea is to compute, for each epoch and component, the 202 most discriminating spectral or connectivity feature, and only use that in the classification. The 203 dimensionality of features were thus reduced as follows, 204

$$V_{i,j} = \sum_{t \in T1} F_{i,t,j} - \sum_{t \in T2} F_{i,t,j}$$
$$P_{i,t} = \sum_{j} F_{i,t,j} \cdot \frac{V_{i,j}}{\|V_{i,l}\|}$$

where **F** denotes a tensor containing the spectral or connectivity features (whose dimensions are 205

either: components=38 by epochs by frequency_bands=4; or: component_pairs=703 by epochs 206

by frequency_bands=4, respectively). Here, i denotes component or connectivity pair index; T1 207

and T2 are indices of task 1 and task 2 in the training dataset, respectively; ||·|| denotes vector 208

209 norm operator; V_{i} means the vector consisting of all the $V_{i,j}$ for different j. The final result is given in matrix **P** which contains the resulting feature vectors of the epochs, with dimensions:

210 components=38 by epochs; or component pairs=703 by epochs, for spectral- or connectivity-

211

based classification, respectively. 212

Classification methods: individual vs. group classification 213

The spectral and connectivity features with reduced dimensionality were classified using the 214 linear Support Vector Machine (SVM) algorithm as implemented in scikit-learn (Pedregosa et 215 al., 2011). We used two scenarios to train and test the classifiers. In the first scenario "individual 216 217 classifier", we trained the classifier using individual data from the first session and tested the 218 classifier using data from the second session. In the second scenario "group classifier", we 219 trained the classifier using data from both sessions and all subjects except one "testing" subject, 220 and tested the classifier using the testing subject's data from the second session. The second scenario is more challenging, essentially providing information on the generalizability of the 221 classifier across subjects (Jayaram et al., 2016; Kia et al., 2017). 222

223 *Real-time computation*

We tested the computational time for both feature extraction and classification algorithms to 224 ensure that our approaches suit real-time applications. The algorithms implemented in Python 225

were launched on a Linux based laptop (Intel Core i5-3570 @ 3.40 GHz, 8.00 GB RAM). The average feature extraction time (\pm SD) for single epoch was 0.0118 ± 0.0085 and 0.0385 ± 0.0192 second for the spectral and connectivity approaches, respectively. The average classification time using linear SVM was 0.0212 ± 0.0042 and 0.1310 ± 0.0086 seconds for the spectral and connectivity approaches respectively. Thus, the computational time was negligibly small compared to the inter-epoch interval of 0.5 second.

232 Statistical analysis of classification accuracies

To assess the statistical differences between the classification accuracies for different tasks or for
 different feature sets, we applied the Wilcoxon rank sum test.

235 Statistical analysis of classification coefficients

To evaluate the contribution of different spectral and connectivity features to the resulting classification accuracy, we performed a statistical analysis of the significance of the classification coefficients. The coefficients were divided by their absolute sum and averaged across subjects and then compared against zero mean using a two-sided z-test. For the spectralbased approach, we reported both uncorrected (p < 0.05) and Bonferroni corrected classification coefficients, while for the connectivity-based approach, we reported only Bonferroni corrected classification coefficients, since there the problem of multiple testing was more serious.

243

244 **Results**

We applied the spectral and connectivity approaches to investigate whether and how it is possible to discriminate (decode) between mindfulness meditation (MF), future planning (FP) and reflection on anxious-inducing emotional pictures (EP) tasks. We then analysed the classification coefficients to identify neuronal correlates (spatial maps and spectral profiles) associated with the mental states during task performance.

- 250 *Classification accuracy*
- We first computed the classification accuracies using spectral and connectivity approaches (Fig. 2).
- 253

Figure 2 about here

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Fig. 2. Spectral- and connectivity-based classification accuracies averaged across subjects for (A) individual and (B) group classifiers. MF_FP denotes mindfulness meditation vs. future planning task, MF_EP denotes mindfulness meditation vs. reflection of anxious-inducing emotional pictures task, and FP_EP denotes future planning task vs. reflection of anxiousinducing emotional pictures task. Error bars represent SEM. The spectral approach with individual classifier provided accuracies well above chance-level, 0.59±0.008 (MF vs. FP), 0.61±0.007 (MF vs. EP) and 0.55±0.005 (FP vs. EP). The accuracies MF vs. FP and MF vs. EP were significantly larger (p < 0.004, individual classifier; p < 0.01, group classifier) than the accuracy FP vs. EP, suggesting that FP and EP have similar neuronal correlates. The accuracies for the group spectral-based classifier were relatively low, 0.55±0.003 (MF vs. FP), 0.54±0.003 (MF vs. EP) and 0.52±0.002 (FP vs. EP), showing that the classifier had poor generalization over subjects.

The connectivity approach provided slightly higher accuracies compared to the spectral approach, 0.62 ± 0.009 (MF vs. FP), 0.62 ± 0.009 (MF vs. EP) and 0.55 ± 0.004 (FP vs. EP). However, the accuracies were not significantly different (p > 0.05) between the spectral and connectivity classifiers. The accuracies at the group level classifier were similar to those of the spectral approach, 0.55 ± 0.004 (MF vs. FP), 0.54 ± 0.002 (MF vs. EP) and 0.53 ± 0.002 (FP vs. EP).

We repeated the analysis by swapping training and testing sessions. There was no difference between accuracies for the original and swapped sessions for the individual classifier, but the difference became non-significant for the group classifier (Suppl. Fig. 3).

276 Relationship between spectral- and connectivity-based accuracies

To compare of the approaches further, we assessed the relationship (correlation) between accuracies of spectral- and connectivity-based classifiers at individual subject's level (Fig. 3). The results showed a significant correlation between spectral- and connectivity-based accuracies only for two tasks, MF vs. FP (r = 0.53, p < 0.008) and MF vs. EP (r = 0.60, p < 0.002) for individual classifier.

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Fig. 3. Scatter plots of individual subject's accuracies computed using spectral and connectivity

Figure 3 about here

approaches for the following tasks, (A) mindfulness mediation vs. reflection on future planning

287 (MF_FP), (B) mindfulness mediation vs. reflection on anxiety-inducing emotional pictures

- 288 (MF_EP) and (C) reflection on future planning vs. reflection on anxiety-inducing emotional
- 289 pictures (FP_EP).
- For the further analysis, we considered only results of the individual classifier and two tasks (MF_FP and MF_EP).
- 292 Spectral and connectivity projections

We analysed the projection weights provided by the dimensionality reduction algorithm (see, Materials and Methods), to evaluate the frequency-specific differences between the tasks.

The results showed that that largest difference between tasks was associated with alpha frequency (Fig. 4). For the spectral classifier, the projection weights at alpha frequency were a little larger compared to those of connectivity classifier. Surprisingly, there was a strong

variability of the projection weights for group spectral classifier for different tasks, in contrast to 298 individual spectral and connectivity classifiers. 299 300 ------301 **Figure 4 about here** 302 Fig. 4. Group level spectral and connectivity projections. Error bars represent SEM. 303 This result suggested that indeed, alpha frequency play an important role in discriminating 304 mindfulness and thought-inducing tasks. 305 Classification coefficients of the spectral approach 306 We analysed the SVM classification coefficients of spectral classifier to evaluate the impact of 307 different independent components and frequency bands on the accuracy. The coefficients obeyed 308 309 Gaussian distribution and we picked those coefficients whose absolute values were above the 310 chance-level corresponding to p < 0.05 (Fig. 5). 311 312 Figure 5 about here 313 _____ Fig. 5. (A) Classification coefficients (absolute values) of spectral approach for the individual 314 classifier. The significant coefficients indicated by black (p < 0.05, uncorrected) and red (p < 0.05, uncorrected) and (p < 0.05, uncorrected) a 315 0.05, Bonferroni corrected) arrows, respectively. (B) Spatial maps and spectral profiles of 316 independent components associated with significant coefficients. The grey curves indicate power 317

318 spectra with subtracted power-law fit.

319 There were a few independent components (all independent components are shown in Suppl. Fig. 4A and 4B) associated with significantly larger classification coefficients. The components 320 were associated with both thought-inducing tasks, showing a slight increase in power spectra at 321 alpha frequency and located in the occipital (components 16, 20 and 30) or central areas 322 (component 11). After correcting for multiple testing, only one coefficient (component 30) 323 remained significant. Importantly, none of the significant weights was associated with 324 components characterised by strong (very clearly peaked) alpha oscillations, although several 325 such components were available for classification (see Suppl. Fig. 4A and 4B). 326

These results showed that rhythmic activity in occipital areas, but apparently not exclusively in alpha frequency, makes the strongest contribution to the discrimination of mindfulness and thought-inducing tasks.

To further elucidate the classification weights for individual subjects, we selected four largest coefficients and associated components. The results showed that the components associated with largest coefficients were highly individual (Suppl. Fig. 5), which make generalization of the

333 classifier coefficients over subjects impractical.

334 Classification coefficients of the connectivity approach

The connectivity approach provided similar classification accuracies to the spectral approach, although these approaches utilized different principles for feature extraction. Similarly to the spectral approach, the classification coefficients followed a Gaussian distribution. We applied a t-test and selected significant (p < 0.05, Bonferroni corrected) classification coefficients (Fig. 6).

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Figure 6 about here

Fig. 6. (A) Significant classification coefficients representing connections (i.e., connectivity pairs), for individual classifier. (B) Node degree when considering only the significant connections shown in panel A. Degree of zero means that there are no significant connections. The significant components with node degree above one indicated by arrows. (C) Spatial maps and spectral profiles of independent components associated with component's node degree above one.

348 The results showed that multiple connections contribute to the classification accuracy (Fig. 6A).

349 To identify the independent components that represented a hub (i.e., node with a high degree)

and hence, more strongly influenced the classification accuracy, we analysed the node degrees of the components using only the significant connections (Fig. 6B). We selected the components

the components using only the significant connections (Fig. 6B). Wewith node degree above one, and further analysed them (Fig. 6C).

Similarly to the spectral approach, strongest connections were associated with the occipital and temporal components, some of which demonstrated a peak at alpha frequency. Only one component (component 17) was characterized by particularly prominent alpha oscillations (see Suppl. Fig. 4A and 4B for comparison), again suggesting that alpha oscillations may not be strongly related to mindfulness states.

These results demonstrated a considerable variety of spatial maps and spectral patterns, which can underlie the difference in mindfulness and thought-provoking tasks.

To evaluate the contribution of high node degree components in individual subjects, we selected four components with highest node degree for each subject. The results showed that the high node degree components were highly individual (Suppl. Fig. 6), and similarly to the spectralbased classification, generalization over the subjects seems impractical.

364 All components classification

As noted in the Materials and Methods section, we excluded independent components that seem to be strongly contaminated by non-brain physiological artifacts. However, it is interesting to assess the impact such components would have on the classification. To accomplish this, we selected all the 64 independent components and applied the spectral- and connectivity-based classification approaches (Fig. 7).

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| 372 | Figure 7 about here |
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| 374 375 | Fig. 7. Classification accuracies for (A) spectral and (B) connectivity approaches using 38 (solid bars) and 64 (dashed bars) components for individual and group classifiers. |
| 376 377 378 379 | We observed no differences in the accuracy for 38 and 64 independent components for the individual spectral and connectivity classifiers, as well as for the group connectivity classifier (Fig. 7). However, there were large differences in the accuracy for the group spectral classifier in MF vs. FP ($p < 0.001$) and MF vs. EP ($p < 0.03$). |
| 380 381 | To clarify the difference, we analysed the classification coefficients of the group spectral classifier (Fig. 8). |
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| 383 | Figure 8 about here |
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| 386 387 388 | Fig. 8. (A) The coefficients of the group spectral classifier for 64 independent components. The significant coefficients indicated by black ($p < 0.05$, uncorrected) and red ($p < 0.05$, Bonferroni corrected) arrows, respectively. (B) The spatial maps and spectral profiles associated with the significant coefficients. |
| 386 387 388 389 390 391 392 393 394 | Fig. 8. (A) The coefficients of the group spectral classifier for 64 independent components. The significant coefficients indicated by black ($p < 0.05$, uncorrected) and red ($p < 0.05$, Bonferroni corrected) arrows, respectively. (B) The spatial maps and spectral profiles associated with the significant coefficients. The results clearly showed that when artifacts were not removed, the classifier picked the components that were mainly associated with physiological artifacts such as eye-blinks (component 6 and 18), cardiac activity (component 52), instrumental noise or other physiological artifacts (20, 50, 56). On the other hand, the possibly sensory-motor component (15) may have been erroneously excluded from the main analysis. After correcting for multiple testing, three coefficients (components 18, 50 and 52) remained significant. |
| 386 387 388 389 390 391 392 393 394 395 396 397 | Fig. 8. (A) The coefficients of the group spectral classifier for 64 independent components. The significant coefficients indicated by black ($p < 0.05$, uncorrected) and red ($p < 0.05$, Bonferroni corrected) arrows, respectively. (B) The spatial maps and spectral profiles associated with the significant coefficients. The results clearly showed that when artifacts were not removed, the classifier picked the components that were mainly associated with physiological artifacts such as eye-blinks (component 6 and 18), cardiac activity (component 52), instrumental noise or other physiological artifacts (20, 50, 56). On the other hand, the possibly sensory-motor component (15) may have been erroneously excluded from the main analysis. After correcting for multiple testing, three coefficients (components 18, 50 and 52) remained significant. In this case, the higher accuracy of the group spectral classifier likely to be related to the fact that the artifacts were highly consistent across subjects (Smith and Nichols, 2018), and thus provided a better basis for generalizing to new subjects. |

To evaluate the impact of ICA on classification accuracy, we recomputed the accuracies using spectral- and connectivity-based classifiers for individual and group ICA weights. Because the spectral profiles and spatial maps of individual independent components strongly varied across subjects, we did not apply the component selection criteria (see, *Materials and Methods*) and computed the classification accuracies for all 64 components (Fig. 9). There were no significant differences in the accuracies for individual and group ICA. This means that ICA spatial filters can be precomputed in advance and the classification approach can be operated in real time.

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| 408 | Figure 9 about here |
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| 410 | Fig. 9. Classification accuracies for the individual and group ICA weights using (A) spectral and |

411 (B) connectivity approaches.

412

413 Discussion

In this study, we developed spectral- and connectivity-based classification approaches and showed that the mental states underlying mindfulness and thought-provoking tasks can be discriminated using MEG recordings and machine learning approaches.

417 We observed a variety of spatial and spectral patterns that contribute to discriminating 418 mindfulness meditation, suggesting that several neuronal mechanisms may underlie mindfulness 419 state. While the results in Fig. 4 indicate that the alpha frequency band is the most important, they also show the major contribution of other bands for classification. Moreover, our detailed 420 analysis showed that none of the strongest classification weights was associated with 421 components characterised by particularly strong alpha oscillations. Indeed, among many 422 components with a noticeable peak at the alpha frequency, the components with the most 423 pronounced peaks in alpha frequency (clearly oscillatory components) did not show any 424 significant contribution to the discrimination. This seems to refute the conventional view on 425 426 mindfulness meditation where the mindfulness state is tightly related to alpha frequency oscillations (Kerr et al., 2013). However, one caveat is that we used rather inexperienced 427 meditators, and the situation might be different in the case of more experienced meditators. 428

In our results, spectral and connectivity features gave similar classification accuracies, which raises the question of whether they contain the same information. This does not seem to be so based on comparisons of the most important sources in Figures 5 and 6, and so it would seem that the similar classification accuracies may be only a coincidence. However, since our connectivity measures used zero lag, some overlapping information is likely to be present.

We visualized the spatial weights enabling classification between mindfulness and the tasks simulating wandering thoughts. It should be noted that the connection between these classifier weights and the neural correlates is not straight-forward. Interpretation of the weights can lead to wrong conclusions regarding the origin of neural signals of interest, since significant nonzero weights may also be associated with task-irrelevant signals (Haufe et al., 2014). However, from a neurofeedback viewpoint, the classifier weights reported here show which brain areas should be measured, e.g. in a case of building a portable EEG cap with a small number of sensors.

441 Considering that the task-relevant frequencies and brain regions may not be simply linked to the 442 classification weights, there have been a few attempts to clarify the neuronal basis of 443 mindfulness meditation. Gil and colleagues (Navarro Gil et al., 2018) showed that an EEG 444 neurofeedback that aims at increasing power at the alpha frequency, improves mindfulness

outcome, and thus, may be effective for increasing mindfulness in healthy individuals. 445 Unfortunately, the neurofeedback signal in this study was derived by averaging a set of occipital-446 parietal electrodes, which makes difficult to assess the location of underlying sources. Another 447 EEG study (van Lutterveld et al., 2017) overcame such limitation by deriving the neurofeedback 448 signal in a source space. The neurofeedback was provided based on gamma-band activity (40-57 449 Hz) from the posterior parietal cortex. The subjects were able to volitionally control the 450 neurofeedback signal in the direction associated with effortless awareness by practicing 451 452 effortless awareness meditation. Hence, these two studies suggested that not only alpha but also other frequencies are associated with mindfulness meditation, and parietal cortex may have a key 453 role in mindfulness. 454

We observed relatively low classification accuracy in discriminating between future planning and reflection on anxiousness-inducing emotional pictures tasks, which suggests similarity of the rhythmic neuronal activity as captured by MEG during these tasks. Although these tasks are behaviourally quite different, and likely to be different in terms of amplitudes of the evoked responses in an affective picture paradigm (Olofsson et al., 2008), they may be similar in terms of task-nonspecific cortical processes related to attentional states. Consequently, our analysis, focusing on ongoing brain activity, may not be sensitive to this difference.

The overall classification accuracy in this study was nearly sixty percent, which is relatively low 462 for a neurofeedback system. However, accuracies for a few participants were around seventy 463 percent or more, which may allow a significant improvement in mindfulness meditation. 464 Moreover, this relatively low accuracy may be explained by the fact that the subjects did not 465 have previous experience in mindfulness meditation. Possibly, the neurofeedback might work 466 much better after subjects gain more experience. Generalization over subjects was even more 467 difficult, presumably due to the large individual differences and the methods are more likely to 468 work when a large amount of data can be collected from each single subject. However, it should 469 be noted that advanced multi-task classification methods might be able to generalize better by 470 either finding some structure in data that is invariant across subjects or finding some structure in 471 the decision rules between different subjects (Jayaram et al., 2016; Kia et al., 2017). 472 Furthermore, combining brain measurements with psychophysiological measurements (for 473 instance, heart-rate variability (Nesvold et al., 2012)) and other modalities might be useful to 474 475 obtain practically useful classification performance in future research.

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482 **References**

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| 567 | Supplementary figure legends |
| 568 | Suppl. Fig. 1. Schematic representation of the feature extraction in (A) spectral and (B) |
| 569 | connectivity approaches. |
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- 570 Suppl. Fig. 2. Distribution of the correlation coefficients for different frequency bands in 571 connectivity approach.
- 572 Suppl. Fig. 3. Classification accuracy for swapped training and testing sessions.
- 573 Suppl. Fig. 4A. The spatial maps and spectral profiles of group-level independent components (1 574 to 20).
- 575 Suppl. Fig. 4B. The spatial maps and spectral profiles of group-level independent components 576 (21 to 38).
- 577 Suppl. Fig. 5. (A, B) Four largest individual classification coefficients associated with 578 independent components for spectral classifier in (A) MF_FP and (B) MF_EP tasks.

579 Suppl. Fig. 6. (A, B) Four individual independent components with highest degree node for 580 connectivity classifier in (A) MF_FP and (B) MF_EP tasks.



2 sessions



Chip Marker















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