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Defending Dissertations on Economic History

Jari Ojala, Tiina Hemminki and Pasi Nevalainen

In most disciplines the number, quality and topics of doctoral dissertations affords useful information on the state of affairs in research and formal graduate education. Moreover, dissertations may also give an indication of future trends in the field; the emerging scholars will presumably continue with the methods, theories and topics in which they were trained in their postgraduate programmes (e.g. Cleary, 1990; Hansen, 1991). Economic history is no exception. Therefore, the Scandinavian Economic History Review requested the Nordic universities to list the dissertations on topics in economic and business history during the years 2014–2015 in order to ascertain the status of the field in the Nordic countries. The results of this survey are presented in below and the list of dissertations with summaries can be found as an appendix to this editorial.

In quantitative terms, economic history is flourishing in the Nordic countries: our survey located altogether 48 dissertations completed in 2014 to 2015. We succeeded in obtaining data from all the Nordic countries, although the coverage is better from Sweden, Finland and Norway than from Denmark. Therefore, in the absence of information on all dissertations, their actual number is most certainly higher than this. In many Nordic universities economic or business history are not separate disciplines as such, and the dissertations on these topics fell into more general categories of history, economics, social sciences or management studies. Therefore, in our survey we used the topics of the dissertations to determine whether to include them in our survey. Subjectivity in categorization is therefore obvious.

The numbers of dissertations completed per country are as follows:

Sweden: 29
Finland: 11
Norway: 6
Denmark: 2

It is no surprise that Sweden is the leader of the pack in terms of the number of dissertations in our field as the country has a long tradition and numerous institutions dedicated to teaching and researching economic history. Swedish scholars also lead regarding the numbers papers submitted and articles published in the Scandinavian Economic History Review. The number of dissertations in economic history is certainly rising in Finland, and the situation is also fairly good in Norway. In Denmark, however, the number is clearly lower – most probably due to the fact that our survey did not reach Danish scholars well enough. During the survey years there were no dissertations on economic history in Iceland.
After collecting the titles of dissertations from the universities, we contacted the authors to request a brief, one-sentence summary of their dissertations. However, we were unable to reach all the authors as some of them had already left academia, and not even universities could provide their contact addresses or e-mails. The summaries received are included in the appendix below.

Our survey revealed that there is ample room for improvement in the visibility of the dissertations. Although most of them can nowadays be found online in accordance with the open access policies of the respective countries\(^1\), there are still a number of cases with not even the abstract in English available online. Moreover, only very few of the young doctors had established open websites to disseminate information about their dissertations\(^2\). Nevertheless, the contribution of the dissertation to the academic debate and its societal impact were usually quite well articulated in the abstracts and introductions we went through. Furthermore, in contrast to the United States, in the Nordic countries it has been a tradition to publish the dissertation in monograph format. Thus there has always been access – although from today’s perspective a slow one – to the unique information provided by the doctoral dissertations (see also Repp & Claviano, 1987). The majority of the Nordic dissertations are still in monograph format: only 16 were compiled from journal articles.

Today, most of the Nordic dissertations in economic history are written in English, although as a rule they address issues related to the authors’ home countries. However, an increasing number of dissertations do take an international, comparative approach to the themes addressed. The languages of the dissertations were as follows:

- English: 29
- Swedish: 9
- Finnish: 7
- Norwegian: 2
- German: 1

The time period covered in the dissertations extends from the sixteenth century up to 2007. However, contemporary, late twentieth-century history is emphasized in the dissertations. Over 50 per cent of the dissertations were concerned with the twentieth century, roughly one fifth with the nineteenth century, and less than 17 per cent with time periods before 1800. A survey conducted over ten years ago by the *Scandinavian Journal of History* showed a similar trend: two thirds of the Nordic history dissertations in 2004 dealt with the modern era from around the mid-nineteenth century up to modern times (Hálfdanarson & al., 2005).

Economic history research is usually assumed to investigate long time periods. To a certain degree this seems to hold good in our survey: one third of the dissertations covered periods

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\(^1\) In both Sweden and Finland there is a good access to dissertations, for example, through HELDA, DiVA, GUPEA, or JYX—portals.

\(^2\) We did not, however, use Facebook or LinkedIn in this survey.
exceeding 50 years. Around half of the cases, however, covered periods from 10 to 50 years. There were altogether six dissertations at both extremes: either covering periods less than ten years or over 100 years.

Following the categories introduced by Whaples (1991; 2002), we further divided the Nordic dissertations into 13 rough categories (Table 1). These categories are to a certain extent overlapping; thus one dissertation might fall into several categories. According to Whaples (2002), around the turn of the millennium the articles published in the Journal of Economic History tended to focus on institutions, labour, demography and welfare. Besides these, topics in business history were also extensively addressed in Nordic research published in the Scandinavian Economic History Review at that time (Ojala, 2003). During the 2000s themes related to welfare societies and business organizations have been emphasized in the pages of SEHR. The trends in the dissertations completed in the Nordic countries appear to be similar to those in the economic history journals analysed above: the most popular topics are business history, welfare and institutions – followed by all-time popular themes of growth, demography and economic theories. The research follows the trends of its time – and economic history is no exception. Thus a number of dissertations address ‘hot’ topics such as innovations and crises, and a number of them do have an environmental perspective.

<table>
<thead>
<tr>
<th>Topic</th>
<th>N</th>
<th>Percent share</th>
</tr>
</thead>
<tbody>
<tr>
<td>Methodology, theory</td>
<td>16</td>
<td>9.8</td>
</tr>
<tr>
<td>Growth, cycles, industrialization</td>
<td>17</td>
<td>10.4</td>
</tr>
<tr>
<td>Crises (war, recession)</td>
<td>7</td>
<td>4.3</td>
</tr>
<tr>
<td>Finance, banking, credit</td>
<td>4</td>
<td>2.5</td>
</tr>
<tr>
<td>Public institutions (law, government and economy)</td>
<td>19</td>
<td>11.7</td>
</tr>
<tr>
<td>Trade and transport</td>
<td>8</td>
<td>4.9</td>
</tr>
<tr>
<td>Business, organizations and industry</td>
<td>23</td>
<td>14.1</td>
</tr>
<tr>
<td>Technology, investments</td>
<td>8</td>
<td>4.9</td>
</tr>
<tr>
<td>Primary sources of income (agriculture, use of land)</td>
<td>8</td>
<td>4.9</td>
</tr>
<tr>
<td>Labour</td>
<td>11</td>
<td>6.7</td>
</tr>
<tr>
<td>Population (demography, migration, housing)</td>
<td>16</td>
<td>9.8</td>
</tr>
<tr>
<td>Welfare (standard of living, inequality, minorities, education)</td>
<td>18</td>
<td>11.0</td>
</tr>
<tr>
<td>Others (social, cultural etc.)</td>
<td>8</td>
<td>4.9</td>
</tr>
</tbody>
</table>

Source: Appendix table. Note: Topics are categorized mainly according to the abstracts and titles of the dissertations. Thus the figures are only approximate.

Topics listed in the Table 1 are analysed in the dissertations from various perspectives and time-frames. Quite a variety of methods, both qualitative and quantitative, are used as well as various sources. Theoretically the Nordic dissertations are concerned with current international debates in the field, although this could be further strengthened in order to ensure that the results are also published in the major journals. Moreover, co-authorship with supervisors is somewhat rare among the Nordic dissertations, although co-authorship is argued to ‘enhance the robustness and know-how of emergent scholars as well as their publication output’ (see e.g. Kamler, 2008).

3 We merged the 25 categories introduced by Whaples (2002: 524) into 13 as shown in Table 1.
4 Moreover, categorization is always to a certain extent subjective, although in this case all three authors collectively categorized the dissertations.
With its new layout, the Scandinavian Economic History Review is able to provide its readers with more content. This is also the case with the current issue, which includes not only the attached list of dissertations, but also five research articles and a number of book reviews. Moreover, the opening debate article by Barry R. Weingast discusses with the recent article by Deirdre N. McCloskey (2016) that underlined the importance of ideas rather than capital or institutions in `great enrichment’ during the past couple of centuries. Weingast agrees with McCloskey about the importance of ideas, but points out that ideas have to be implemented and in this implementation process institutions play an important role.

The article by Rodney Edvinsson tests the demand approach to reconstruct pre-industrial agricultural output data for Sweden, while Jan Kunnas calculates human capital in the UK from the mid-eighteenth century to the present. Both papers use sophisticated techniques to analyse long-term development trends from scarce data. Edvinsson shows that the demand approach can be modelled with historical data with reliable accuracy, and Kunnas’s calculations shows a 112-fold increase of human capital from 1760 to 2009. John Dove, in turn, studies how state fiscal constraints affected the United States railroad projects during the eighteenth century. He suggests that more binding constraints might have led to an increase in railroad mileage.

The articles by Karolin Sjöö and Lars Fredrik Anderson & al. analyse industry renewal and restructuring in Sweden during the post-war era. Karolin Sjöö explores whether the innovation output at the micro level led to industrial renewal in Sweden during the 1970s and 1980s. She concludes that industrial renewal through innovation is more nuanced than has so far been assumed: during the decades analysed the timing and scale of innovations varied between different industries and different sized of firms. Lars Fredrik Anderson, Ann-Kristin Bergquist and Rikard Eriksson explore profit distribution in the restructuring of the Swedish pulp and paper industry. They suggest that an increasing share of profits was distributed to owners rather than re-invested in industrial renewal.

References:


APPENDIX: DISSERTATIONS WITH ECONOMIC AND BUSINESS HISTORY TOPICS COMPLETED IN THE NORDIC COUNTRIES, 2014–2015


Relying on recently declassified material from commercial banks, central banks and international organizations, the book retraces the historical origins of the financialized world we live in by analysing the transformations in world finance occurring in the decade from the first oil crisis of 1973 until the debt crisis of 1982.6


This study identifies, situates and explores the complementary and competing discursive meanings of the recent rapid soybean expansion in Uruguay, including the underlying discordant values and assumptions reflected in these meanings.


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5 Summaries of the dissertations listed are included in those cases in which we were able to reach the author. The titles in English, unfortunately, are missing from some of the dissertations. Some titles are translated by the authors of this editorial.

This applied research provides insights on creativity and the lives of famous music composers, and how their creative production was affected by geographical or psychological factors.


A study on how unemployed people on means tested social allowance can be seen as a reserve army of labour on the Swedish labour market between 1912–2012.


This thesis analyses the role of natural resources, economic policies and technology with a special focus on specific East Asian economies.


The dissertation compares the establishment of cartels and other forms of collaboration and investigates the function of cartels in two Swedish industries, the limestone and cement industry.


In my dissertation I analyse the legal framework of the so-called Auftragsverlagerungen [German companies and public authorities placed orders with companies in the occupied, friendly, and neutral territories for the manufacturing of product components and complete products] and the shifting behaviour of selected companies in the field of mechanical engineering and the automotive sector.


The thesis uses an actor perspective and a new theoretical model to improve the analysis of development changes in alternative forms of regulation (self‐regulation, co‐regulation), using the self‐regulation of Swedish advertising 1950-1971 as a case.


Sveaborg was an expensive military investment that benefitted large geographical areas and different social groups, despite the institutional constraints and the West European trend of favouring large entrepreneurs.


The dissertation comprises four papers analysing different forms of wage inequality, explaining the comparative development of gender wage gaps, and empirically investigating the impact of wage inequality on migration, productivity and the emergence of the welfare state.


A compilation thesis comprising four papers that connect with two broad academic research fields: economic growth and labour market conflicts.

The dissertation shows how the traditional, informal rural credit markets were slowly changing to formal credit markets on both sides of the Gulf of Bothnia during the period 1796–1830.


The thesis puts Swedish trade policy into a new theoretical and empirical perspective by quantifying and analysing the structure of tariffs, where perspectives on both protectionism and fiscal matters are highlighted.


This dissertation focuses on the Swedish road allotment system, through which costs were distributed, road work was organized and road quality was monitored.


The thesis analyses the reasons behind the uneven distribution of migrant workers on the Swedish Post-war labour market through a study of the metal and engineering industries in the county of Västmanland during the years 1946–1967.


This thesis examines the position of the turbulent province of Ingria in the Kingdom of Sweden in the late 17th century.

The thesis deals with stability and change among the central elements of the Swedish welfare model, and how social democracy and its affiliated trade unions have interacted with the development.


This doctoral thesis aims to study early life exposures such as the foetal origins (nutrition) and inflammation hypotheses in relation to adult health in Sweden during a period of 250 years using parish register data for the period 1760–1894 and other register as well as questionnaire data for the modern period. The results from the 18th and 19th centuries suggest that birth cohorts exposed to a high infant mortality rate (IMR) have high mortality at 55–80 years, specifically from airborne infectious diseases, and that a high disease load of airborne infectious diseases in infancy has a major impact on mortality in later life mortality. However, hypotheses concerning food costs (nutrition) and disease burden on mothers during pregnancy are not supported.


This dissertation provides an explanation for the different pathways of agricultural change and economic development in north and south Vietnam as a consequence of the pre-colonial factor endowments of the two rice delta economies.


The thesis describes the complex entanglement of work in local social and economic structures and how changes in work led to considerable changes in the communities.


Exploiting the rarely used Roteman Database from the Stockholm City Archives, this dissertation explores the development of socioeconomic inequality in fertility and mortality during Stockholm’s industrialization in the late nineteenth and early twentieth centuries.


This dissertation explains how a former state institution adapted to the extensive wave of deregulation and how it changed itself into a business enterprise. Although the change was caused by external factors, the study underlines the significance of management’s own initiative.


This dissertation interprets gender-differentiated vulnerability to drought within a rural community located in the dry zone, la zona seca, of Nicaragua by applying a multidimensional perspective to people’s accounts of their situation over time, how their work strategies and management of resources had varied, and how they perceived changes in capacity and vulnerability in relation to continuity and change in the climate.


This dissertation examines new product development capability from a mechanism perspective to explain how mechanism-based theorizing can explain new product development on project level and on the organizational level.


By combining different perspectives, such as the history of ideas, consumption, technology and environmental history the thesis provides an extensive account of how a city attempted to solve a changing refuse problem.


The thesis explores how the Swedish manufacturing sector transformed per innovation before, during and after the structural crisis of the late 1970s and early 1980s.


In her dissertation Uotila argues that there were a lot more artisans in the countryside than official statistics can reveal, which changes our impression of rural craft activity and challenges the view of a self-sufficient peasant economy.


The thesis focuses on the evolution of new technology-based firms (NTBFs) and explores how NTBFs exert their economy-wide influences, particularly through acquisitions by incumbent firms.


New Public Management as a tool to centralize power in the Ministry of Finance and to re-allocate public resources.


The thesis deals with the commercialization that parts of Swedish sport underwent during the interwar period, it analyses agents both within and outside the sports movement, operating in a context consisting of organizers of high-profile competitions communicated through mass media with the result that marketization was of importance for the institutional development of sport, and helped form the organizational field out of which sport developed.


The dissertation analyses long-term changes in social differences in height and weight and the influence of disease exposure around birth on adult height, using a newly constructed
dataset based on individual-level data from conscript inspections linked to a longitudinal demographical database.