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Author(s): ALICE Collaboration; Chang, BeomSu; Kim, Dong Jo; Kral, Jiri; Rak, Jan; Räsänen, Sami; Slupecki, Maciej; Snellman, Tomas; Trzaska, Wladyslaw; Vargyas, Márton; Viinikainen, Jussi

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Production of light nuclei and anti-nuclei in \( pp \) and Pb-Pb collisions at energies available at the CERN Large Hadron Collider

J. Adam et al.*
(ALICE Collaboration)
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The production of (anti-)deuteron and (anti-)\(^3\)He nuclei in Pb-Pb collisions at \( \sqrt{s_{\text{NN}}} = 2.76 \) TeV has been studied using the ALICE detector at the LHC. The spectra exhibit a significant hardening with increasing centrality. Combined blast-wave fits of several particles support the interpretation that this behavior is caused by an increase of radial flow. The integrated particle yields are discussed in the context of coalescence and thermal-statistical model expectations. The particle ratios, \(^3\)He/\(d \) and \(^3\)He/\(p \), in Pb-Pb collisions are found to be in agreement with a common chemical freeze-out temperature of \( T_{\text{chem}} \approx 156 \) MeV. These ratios do not vary with centrality which is in agreement with the thermal-statistical model. In a coalescence approach, it excludes models in which nucleus production is proportional to the particle multiplicity and favors those in which it is proportional to the particle density instead. In addition, the observation of 31 anti-tritons in Pb-Pb collisions is reported. For comparison, the deuteron spectrum in \( pp \) collisions at \( \sqrt{s} = 7 \) TeV is also presented. While the \( p/\pi \) ratio is similar in \( pp \) and Pb-Pb collisions, the \( d/p \) ratio in \( pp \) collisions is found to be lower by a factor of 2.2 than in Pb-Pb collisions.

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I. INTRODUCTION

Collisions of ultrarelativistic ions create suitable conditions for producing light (anti-)nuclei, because a high energy density is reached over a large volume. Under these conditions, hot and dense matter, which contains approximately equal numbers of quarks and anti-quarks at midrapidity, is produced for a short duration (a few \( 10^{-23} \) s). The system cools down and undergoes a transition to a hadron gas. While the hadronic yields are fixed at the moment when the rate of inelastic collisions becomes negligible (chemical freeze-out), the transverse momentum distributions continue to change until also elastic interactions cease (kinetic freeze-out).

The formation of (anti-)nuclei is very sensitive to the chemical freeze-out conditions as well as to the dynamics of the emitting source. The production scenarios are typically discussed within two approaches: (i) The thermal-statistical approach has been very successful not only in describing the integrated yield of the hadrons but also of composite nuclei [1–5]. In this picture, the chemical freeze-out temperature \( T_{\text{chem}} \) (predicted around 160 MeV) acts as the key parameter. The strong sensitivity of the abundance of nuclei to the choice of \( T_{\text{chem}} \) is caused by their large mass \( m \) and the exponential dependence of the yield on the temperature given by \( \exp(-m/T_{\text{chem}}) \). (ii) In the coalescence model, nuclei are formed by protons and neutrons which are nearby in phase space and exhibit similar velocities [4,5]. A quantitative description of this process is typically based on the coalescence parameter \( B_A \) and has been applied to many collision systems at various energies [6–13]. The binding energy of light nuclei is very small (around few MeV), so they can hardly remain intact during hadronic interactions, even if only quasi-elastic scattering during the hadronic phase with temperatures between 100 MeV and 170 MeV is considered. When produced thermally at chemical freeze-out, they might break apart and be created again by final-state coalescence [14]. It turns out that both the thermal approach and the coalescence mechanism give very similar predictions [15].

The production of light nuclei has attracted attention already at lower incident energies in heavy-ion collisions at the AGS, SPS, and RHIC [16–18]. A study of the dependence on \( \sqrt{s_{\text{NN}}} \) is of particular interest, because different production mechanisms might dominate at various energies, e.g., a formation via spectator fragmentation at lower energies or via coalescence/thermal mechanisms at higher ones. In all cases, an exponential drop in the yield was found with increasing mass of the nuclei [19,20]. At RHIC and now at the LHC, matter with a high content of strange and of anti-quarks is created in heavy-ion collisions. This has led to the first observation of anti-alphas [21] and of anti-hypertritons [22]. Their yields at LHC energies were predicted based on thermal model estimates in Refs. [1,2].

In this paper, a detailed study of light (anti-)nuclei produced in the midrapidity region in Pb-Pb collisions at \( \sqrt{s_{\text{NN}}} = 2.76 \) TeV and a comparison with deuteron production in \( pp \) collisions at \( \sqrt{s} = 7 \) TeV using A Large Ion Collider Experiment (ALICE) [23] is presented. The paper is organized as follows: In Sec. II, details of the analysis technique used to extract raw yields, acceptance and efficiency corrections of (anti-)deuterons and (anti-)\(^3\)He are presented. The results are given in Sec. III which starts with a comparison of the production of nuclei and anti-nuclei along with studies related to the hadronic interaction of anti-nuclei with the detector material. Then, the transverse momentum spectra,
**II. EXPERIMENT AND DATA ANALYSIS**

**A. The ALICE detector**

The results presented in this paper are obtained from the data collected by the ALICE experiment at the LHC. Its performance and the description of its various subsystems are discussed in detail in Refs. [23,24]. The ALICE detector has excellent particle identification capabilities. The main detectors used in this analysis are the time projection chamber (TPC) [25], the time-of-flight detector (TOF) [26], and the inner tracking system (ITS) [27]. All detectors are positioned in a solenoidal magnetic field of $B = 0.5$ T. As the main tracking device, the TPC provides full azimuthal acceptance for tracks in the pseudorapidity region $|\eta| < 0.8$. In addition, it provides particle identification via the measurement of the specific energy loss $dE/dx$. It allows the identification of (anti-)He over the entire momentum range under study and the measurement is only limited by the available statistics. The velocity information from the TOF detector is in addition used to identify deuterons with transverse momenta ($p_T$) above 1.4 GeV/c and (anti-)tritons in the transverse momentum range of 0.6 GeV/c $< p_T < 1.6$ GeV/c. The detector provides a similar acceptance as the TPC and its total time resolution for tracks from Pb-Pb collisions corresponds to about 80 ps which is determined by the intrinsic time resolution of the detector and the accuracy of the start time measurement. By a combined analysis of TPC and TOF data, deuterons are identified up to 4.5 GeV/c in Pb-Pb collisions. In the case of $pp$ collisions, the less precisely determined start time leads to a time resolution of about 120 ps and the identification is limited to about 3 GeV/c. The precise space-point resolution in the six silicon layers of the ITS allows a precise separation of primary and secondary particles in the high track density region close to the primary vertex.

**B. Event and track selection**

For this analysis, the data collected in the year 2010 are used. In total, the data sample consists of nearly 14 million Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV and 380 million minimum-bias triggered events for $pp$ collisions at $\sqrt{s} = 7$ TeV after offline event selection.

A pair of forward scintillator hodoscopes, the V0 detectors ($2.8 < \eta < 5.1$ and $-3.7 < \eta < -1.7$), measured the arrival time of particles with a resolution of 1 ns and were used for triggering purposes and for centrality determination of Pb-Pb collisions. In $pp$ collisions, the data were collected using a minimum-bias trigger requiring at least one hit in either of the V0 detectors or in the two innermost layers of the ITS (silicon pixel detector, SPD). The trigger condition during the Pb-Pb data taking was changed with time to cope with the increasing luminosity delivered by the LHC. It was restricted offline to a homogeneous condition, requiring at least two hits in the SPD and one hit in either of the V0 detectors. This condition was shown to be fully efficient for the 90% most central events [28]. A signal in the V0 was required to lie in a narrow time window ($\approx 30$ ns) around the nominal collision time in order to reject any contamination from beam-induced background. Only events with a reconstructed primary vertex position in the fiducial region $|V_0| < 10$ cm were considered in the analysis. The V0 amplitude distribution was also used to determine the centrality of the heavy-ion collisions. It was fitted with a Glauber Monte Carlo model to compute the fraction of the hadronic cross section corresponding to a given range of V0 amplitude. Based on those studies, the data were divided in several centrality percentiles, selecting on signal amplitudes measured in the V0 [28]. The contamination from electromagnetic processes has been found to be negligible for the 80% most central events.

In this analysis, the production of primary deuterons and $^3$He nuclei as well as their respective anti-particles are measured at midrapidity. In order to provide optimal particle identification by reducing the difference between transverse and total momentum, the spectra are provided within a rapidity window of $|y| < 0.5$. In addition, only those tracks in the full tracking acceptance of $|\eta| < 0.8$ are selected. The extrapolation of the yield at low momenta, where the acceptance does not cover the full $|y| < 0.5$ region, is done by assuming a flat distribution in $y$ and by determining $dN/dy$ for each $p_T$ interval. Primary particles are defined as prompt particles produced in the collision including all decay products, except products from weak decays of light flavor hadrons and of muons. In order to select primary tracks of suitable quality, various track selection cuts are applied. At least 70 clusters in the TPC and two points in the ITS (out of which at least one in the SPD) are required. These selections guarantee a track momentum resolution of 2% in the relevant $p_T$ range and a $dE/dx$ resolution of about 6%, as well as a determination of the distance of closest approach to the primary vertex in the plane perpendicular (DCA$_x$) and parallel (DCA$_y$) to the beam axis with a resolution of better than 300 $\mu$m in the transverse direction [24]. Furthermore, it is required that the $\chi^2$ per TPC cluster is less than 4 and tracks of weak-decay products are rejected as they cannot originate from the tracks of primary nuclei.

**C. Particle identification**

Particle identification is mainly performed using the TPC [25]. It is based on the measurement of the specific ionization energy deposit ($dE/dx$) of charged particles. Figure 1 shows the $dE/dx$ versus rigidity (momentum/charge, $p/z$) of TPC tracks for $pp$ collisions at $\sqrt{s} = 7$ TeV (top panel) and for Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV (bottom panel). Nuclei and anti-nuclei like (anti-)deuterons, (anti-)tritons, and (anti-)He are clearly identified over a wide range of momenta. The solid curves represent a parametrization of the Bethe-Bloch function for the different particle species. In practice, it is required that the measured energy-loss signal of a track lies in a 3$\sigma$ window around the expected value for a given mass hypothesis. While
this method provides a pure sample of $^3$He nuclei in the $p_T$ range between 2 and 7 GeV/c, it is limited to about $p_T < 1.4$ GeV/c for deuterons.

In order to extend the $p_T$ reach of the deuteron measurement, the TOF system is used above this momentum in addition. Based on the measured flight time $t$, the mass $m$ of a particle can be calculated as

$$m^2 = \frac{p^2}{c^2} \left( \frac{c^2 t^2}{L^2} - 1 \right), \quad (1)$$

where the total momentum $p$ and the track length $L$ are determined with the tracking detectors. Figure 2 shows the obtained $\Delta m^2$ distribution, where the deuteron mass square ($m^2_d$) was subtracted, for a $p_T$ bin between 2.6 and 2.8 GeV/c. For each $p_T$ bin, the $\Delta m^2$ distribution is fitted with a Gaussian function with an exponential tail for the signal. Since the background mainly originates from two components, namely wrong associations of a track with a TOF cluster and the non-Gaussian tail of lower mass particles, it is described with a first order polynomial to which an exponential function is added. The same procedure for signal extraction and background subtraction is applied in the analysis of $pp$ collisions.

D. Background rejection

Particles produced in the collisions might interact with the detector material and the beam pipe which leads to the production of secondary particles. The probability of anti-nucleus production from the interaction of primary particles with detector material is negligible, whereas the sample of nuclei may include primary as well as secondary particles originating from the material. This contamination is exponentially decreasing with increasing momentum. In addition, it is about five times larger in central compared to peripheral Pb-Pb or $pp$ events because of the higher probability of a fake ITS hit assignment to secondary tracks. Most of the secondary particles from material have a large DCA to the primary vertex and hence this information is used to correct for the contamination. Figure 3 shows the DCA$_{xy}$ distribution for deuterons (left panel) and anti-deuterons (right panel) for Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV. The distributions are shown for two different $|DCA_z|$ cuts. As can be seen from the figure, a strict $|DCA_z|$ cut of 1.0 cm cuts a large fraction of background for nuclei, but does not change the distribution for anti-nuclei. At sufficiently high momenta (above 1.4 GeV/c for deuterons and above 2 GeV/c for $^3$He), the secondary and knock-out contamination caused by material is in this way reduced to a negligible level and the raw yield can be directly extracted. In order to extend the measurement of deuterons to lower momenta in Pb-Pb collisions, the DCA$_{xy}$ distribution for deuterons in each transverse momentum ($p_T$) interval was

FIG. 1. Specific energy loss ($dE/dx$) vs rigidity (momentum/charge) for TPC tracks from $pp$ collisions at $\sqrt{s} = 7$ TeV (top panel) and from 0 to 80% most central Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV (bottom panel). The solid lines represent a parametrization of the Bethe-Bloch curve.

FIG. 2. Distribution of ($m^2 - m^2_d$) measured with the TOF detector for tracks with $2.6 \text{ GeV}/c < p_T < 2.8 \text{ GeV}/c$ from central Pb-Pb collisions showing the peak corresponding to the deuteron mass $m_d$ and the background from mismatched tracks (black dotted line) which is subtracted to obtain the raw yields (see text for details).
fitted with the expected shapes (called “templates” in the following) as extracted from Monte Carlo events. Figure 4 shows a typical example of this procedure for tracks with transverse momentum range \(0.9 \text{ GeV/c} < p_T < 1.0 \text{ GeV/c}\). One template for primary particles and one template for secondary particles from material are used. The characteristic shape of the template used for knock-out nuclei from material with its flat behavior at large DCA\(_{xy}\) allows a precise distinction between the two contributions. The significant peak at small |DCA\(_{xy}\)| is caused by those knock-out nuclei to which a cluster in one of the SPD layers is wrongly associated. The obtained fraction of primary particles is then used to calculate the raw yield in the corresponding \(p_T\) bin. The same technique is applied for background rejection and raw yield extraction of deuterons for \(pp\) collisions at \(\sqrt{s} = 7\) TeV.

E. Efficiency and acceptance

The final \(p_T\) spectra of nuclei are obtained by correcting the raw spectra for tracking efficiency and acceptance based on Monte Carlo (MC) generated events. Standard event generators, such as PYTHIA [29], PHOJET [30], or HIJING [31], do not include the production of (anti-)nuclei other than (anti-)protons and (anti-)neutrons. Therefore, nuclei are explicitly injected into underlying PYTHIA (in the case of \(pp\)) and HIJING (in the case of Pb-Pb) events with a flat momentum distribution. In the next step, the particles are propagated through the ALICE detector geometry with the GEANT3 transport code [32]. GEANT3 includes a basic description of the interaction of nuclei with the detector, however, this description is imperfect due to the limited data available on collisions of light nuclei with heavier materials. Due to the unknown interaction of anti-nuclei with material, these processes are not included for anti-nuclei heavier than anti-protons. In order to account for these effects, a full detector simulation with GEANT4 as a transport code [33,34] was used. Following the approach described in Ref. [35], the correction for interaction of (anti-)nuclei with the detector material from GEANT3 was scaled to match the expected values from GEANT4. An alternative implementation to correct for this effect and the relevant uncertainties related to these corrections is discussed in Sec. III A. The acceptance \(\times\) efficiency is then obtained as the ratio of the number of particles detected by the detector to the number of generated particles within the relevant phase space.

Figure 5 shows the acceptance \(\times\) efficiency for deuterons (left) and \(^3\)He (middle) as a function of \(p_T\) for Pb-Pb collisions at \(\sqrt{s_{NN}} = 2.76\) TeV. In both cases, the rapid rise of the efficiency at low \(p_T\) is determined by energy loss and multiple scattering processes of the incident particle with the detector material. The values reach a maximum when the energy loss becomes smaller and when the track curvature is still sufficiently large so that a track can cross the dead area between two TPC readout chambers in a relatively small distance such that the two track parts can still be connected.
For straighter tracks at higher $p_T$ which cross the insensitive region between two chambers this distance is larger and the connection becomes more difficult. Thus a slight reduction of the efficiency is observed until a saturation value is reached. The figure also shows the lower efficiency values (open points) when in addition a deuteron track is matched to a hit in the TOF detector. The drop is mainly caused by the energy loss and multiple scattering in the material between the TPC and the TOF, by the TOF dead zones corresponding to other detectors or structures, and by the number of active TOF channels. The curves represent fits with the empirical functional form

$$f(p_T) = a_0 e^{(a_1 - a_2 / p_T^2)} + a_3 p_T. \tag{2}$$

Here, $a_0$, $a_1$, $a_2$, and $a_3$ are free parameters. Correcting the raw spectra with either the fit function or the actual histogram is found to result in negligible differences with respect to the total systematic error.

Figure 5 (right) also shows acceptance $\times$ efficiency for the deuterons as a function of $p_T$ for $pp$ collisions at $\sqrt{s} = 7$ TeV. The curve is a fit using the same functional form as used for the Pb-Pb collisions discussed above. The efficiency has a similar $p_T$ dependence as the one for Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV. The observed differences are due to variations in the number of active detector components, mainly in the SPD, for the two data sets.

**F. Momentum correction**

Low-momentum particles lose a considerable amount of energy while traversing the detector material. The track reconstruction algorithm takes into account the Coulomb scattering and energy loss, assuming the pion mass for each particle. Therefore, a track-by-track correction for the energy loss of heavier particles ($d/\bar{d}$ and $^3\text{He}/\bar{^3}\text{He}$) is needed. This correction is obtained from MC simulations, in which the difference of the reconstructed and the generated transverse momentum is studied on a track-by-track basis. Figure 6 shows the average $p_T$ difference as a function of the reconstructed track momentum ($p_T^{\text{Rec}}$) for deuterons and $^3\text{He}$. The lines represent the empirical function

$$f(p_T) = A + B \left(1 + \frac{C}{p_T^2}\right)^D, \tag{3}$$

where the free parameters $A$, $B$, $C$, and $D$ are extracted from a fit. It can be seen that the correction becomes largest for the heaviest particles at low momenta. This reflects the typical $1/\beta^2$ behavior of the energy loss. The difference in transverse momentum is corrected on a track-by-track basis in the analysis. This energy loss correction has been applied both for $pp$ and for Pb-Pb collisions. The same correction in rapidity has also been studied and found to result in negligible changes in the final spectra.

**G. Systematic uncertainties**

Individual contributions to the systematic error of the measurement are summarized in Table 1 and are discussed.
in detail in the following. The systematic uncertainty related to the identification of the nuclei is smaller in the $p_T$ region in which the energy loss in the TPC provides a clear separation compared to those in which the identification is mainly based on the TOF information. The error is of the order of 1% for deuterons at low momenta and for the full $p_T$ range studied for $^3$He nuclei. In the TOF part ($p_T > 1.4$ GeV/c) of the deuteron spectrum, the error is considerably larger due to the presence of background and has been estimated as 5% on the basis of different signal extraction methods: the raw yields obtained from the signal fit and from bin counting are compared. The estimates of the uncertainties related to the tracking and matching are based on a variation of the track cuts and are found to be less than 4% and independent of the particle species. In addition to this, a variation in the momentum correction leads to differences of similar magnitude at lower momenta and are added in quadrature.

Contamination from secondaries originating from interactions of primary particles with the detector material dominates the systematic error at low transverse momenta, but it decreases exponentially towards higher momenta. These uncertainties are estimated by a variation of the fit range and templates. Their values amount to about 20% in the lowest $p_T$ bin for deuterons and for $^3$He in most central events. For all other centralities and transverse momentum regions, it is significantly lower. Feed down from weakly decaying hyper-nuclei is negligible for deuterons. The only relevant decay of the hyper-triton, $^3\Lambda \rightarrow d + p + \pi^-$, results in a negligible contamination, because of the roughly 700 times smaller production cross section of the hyper-triton with respect to the deuteron [1,2]. On the other hand, the decay $^3\Lambda \rightarrow ^3\text{He} + \pi^-$ contaminates the $^3$He spectrum as these particles are produced with similar abundance. This background is conceptually similar to the feed down of $\Lambda$ decays into the proton spectrum [36] though the relevant branching ratio in the case of $^3\Lambda$ (25%) [37] is assumed to be considerably lower than in the case of $\Lambda$ (64%). A detailed MC study shows that only about 4–8% of all $^3\Lambda$ decaying into $^3$He pass the track selection criteria of primary $^3$He. Therefore, the remaining contamination has not been subtracted and the uncertainty related to it was further investigated by a variation of the DCA$_{xy}$ cut in data and a final error of about 5% is assigned. Uncertainties in the material budget have been studied by simulating events varying the amount of material by $\pm 10\%$. This leads to variations in the efficiency of about 5% in the lowest $p_T$ bins. The hadronic interaction of nuclei with the detector material gives rise to an additional uncertainty of about 6% for deuteron and for $^3$He. The material between TPC and TOF needs to be considered only for the deuteron spectrum above $p_T > 1.4$ GeV/c and increases the uncertainty by additional 7%. The corresponding corrections for anti-nuclei are significantly larger and less precisely determined because of the missing knowledge of the relevant elastic and inelastic cross sections. Details of the systematics originating from differences between the available models are discussed in the next section.

In general, the individual contributions to the systematic error do not show a significant dependence on the event multiplicity. The only exception is given by the uncertainty of the correction for secondaries from material, which changes from about 20% in central to about 4% in peripheral Pb-Pb or pp collisions, respectively. All other contributions are found to be independent of event multiplicity.

### III. RESULTS

#### A. Anti-particle to particle ratios and hadronic interaction of anti-nuclei

For a measurement of particle to anti-particle ratios, the correction of the hadronic interaction of the emitted particles with the detector material has to be precisely known. The relevant cross sections are only poorly measured for anti-nuclei heavier than $\bar{p}$. The only available data for anti-deuterons from the U-70 Serpukhov accelerator [38,39] are measured at relatively high momenta ($p = 13.3$ GeV/c and $p = 25.0$ GeV/c) and provide only a rough constraint. Two approaches are considered to model the correction for hadronic interaction. First, the anti-nuclei cross sections are approximated in a simplified empirical model by a combination of the anti-proton ($\sigma_{\bar{p},A}$) and anti-neutron ($\sigma_{\bar{n},A}$) cross sections. Following the approach presented in Ref. [40], the cross section $\sigma_{\bar{A},A}$ for an anti-deuteron on a target material with mass number $A$ is then, e.g., given by

$$\sigma_{\bar{A},A} = \left(\sigma_{\bar{p},A}^{3/2} + \sigma_{\bar{n},A}^{3/2}\right)^{2/3} K(A),$$

where the scaling factor $K(A)$ is determined from the same procedure applied to the measured inelastic cross sections of nuclei and protons. Details of the method can be found in Ref. [40]. This approach is implemented as a modification to GEANT3. However, it does not account for elastic scattering processes and is therefore only used for the estimation of the systematic uncertainty. Secondly, the anti-nucleus–nucleus cross sections are determined in a more sophisticated model with Glauber calculations based on the well-measured total and elastic $p\bar{p}$ cross section [34]. It is implemented in the GEANT4 software package [33].

The relevant correction factor for the anti-particle to particle ratio is given by the ratio of the efficiencies in which all effects cancel except of those related to the hadronic interaction with the detector material. The efficiency ratios for anti-deuterons and for $^3$He nuclei using the two models described above (modified GEANT3 and GEANT4) are shown in Fig. 7. The applied correction factors are parametrized with the same function which was used for a similar study in Ref. [35].
The absorption correction is larger for tracks which are required to reach the TOF detector due to the additional material behind the TPC, mainly the support structure and the transition radiation detector (TRD). In the following, results corrected with GEANT4 are presented. Based on the discrepancy between the two models, an uncertainty of 60% of the difference between the efficiency for particles and anti-particles is assumed for the absorption correction. It is indicated by the blue band in Fig. 7.

Applying this model-based correction to the data leads to $\bar{d}/d$ and $^3\text{He}/^3\text{He}$ ratio shown in Fig. 8 for various centrality bins in Pb-Pb collisions. Both ratios are consistent with unity and exhibit a constant behavior as a function of $p_T$ as well as of collision centrality. Since the same statements hold true for the $\bar{p}/p$ ratios [41], these observations are in agreement with expectations from the thermal-statistical and coalescence models [2] which predict a ratio of $\bar{d}/d = (\bar{p}/p)^2$ and $^3\text{He}/^3\text{He} = (\bar{p}/p)^3$. Table II shows the anti-particle to particle ratios for various centrality classes in Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV.

Ongoing studies on the hadronic interaction of anti-nuclei in the material between the TPC and TOF will allow us to constrain the uncertainties of the currently purely model based corrections and to replace them with data driven ones. As the spectra for nuclei and anti-nuclei are consistent within the

<table>
<thead>
<tr>
<th>Anti-nuclei/nuclei</th>
<th>Centrality</th>
<th>Ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\bar{d}/d$</td>
<td>0–10%</td>
<td>0.98 ± 0.01 ± 0.13</td>
</tr>
<tr>
<td></td>
<td>10–20%</td>
<td>0.99 ± 0.01 ± 0.13</td>
</tr>
<tr>
<td></td>
<td>20–40%</td>
<td>1.01 ± 0.01 ± 0.14</td>
</tr>
<tr>
<td></td>
<td>40–60%</td>
<td>1.02 ± 0.01 ± 0.16</td>
</tr>
<tr>
<td></td>
<td>60–80%</td>
<td>1.02 ± 0.02 ± 0.16</td>
</tr>
<tr>
<td></td>
<td>0–20%</td>
<td>0.83 ± 0.08 ± 0.16</td>
</tr>
<tr>
<td></td>
<td>20–80%</td>
<td>1.03 ± 0.14 ± 0.18</td>
</tr>
<tr>
<td>$^3\text{He}/^3\text{He}$</td>
<td>0–20%</td>
<td>0.83 ± 0.08 ± 0.16</td>
</tr>
<tr>
<td></td>
<td>20–80%</td>
<td>1.03 ± 0.14 ± 0.18</td>
</tr>
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It is fitted with the following function \[44–46\] that has been currently large uncertainties, only the spectra of nuclei are provided in the following.

### B. Spectra of nuclei

The final spectra of deuterons obtained in Pb-Pb and \(pp\) collisions are shown separately as vertical lines and boxes, respectively. In \(pp\) collisions, the spectrum is normalized to the number of all inelastic collisions \((N_{\text{inel}})\) which includes a correction for trigger inefficiencies (see \([42,43]\) for details). It is fitted with the following function \([44–46]\) that has been used for lighter particles:

\[
\frac{1}{2\pi p_T} \frac{d^2N}{dp_T dy} = \frac{dN}{dy} \frac{(n-1)(n-2)}{2\pi nC[nC + m_0(n-2)]} \left(1 + \frac{m_T - m_0}{nC}\right)^{-n} \tag{5}
\]

with the fit parameters \(C, n\), and the \(dN/dy\). The parameter \(m_0\) corresponds to the mass of the particle under study (deuteron) at rest and \(m_T = \sqrt{m_0^2 + p_T^2}\) to the transverse mass. As in the case of lighter particles, the function is found to describe the deuteron \(p_T\) spectrum well in the measured range with a \(\chi^2/\text{ndf}\) of 0.26. The fit function is used for the extrapolation to the unmeasured region at low and high transverse momenta (about 45% of the total yield) and a \(p_T\)-integrated yield of \(dN/dy = [2.02 \pm 0.34(\text{syst})] \times 10^{-4}\) is obtained.

While statistical errors are negligible, the systematic error is dominated by the uncertainty related to the extrapolation (13%) which is evaluated by a comparison of different fit functions \([47]\) (Boltzmann, \(m_T\) exponential, \(p_T\) exponential, Fermi-Dirac, Bose-Einstein). Based on the same extrapolation in the unmeasured region of the spectrum, a mean transverse momentum \(\langle p_T\rangle\) of 1.10 ± 0.07 GeV/c is obtained.

The final spectra of deuterons and \(^3\)He for Pb-Pb collisions at \(\sqrt{s_{NN}} = 2.76\) TeV are shown in Figs. 9 and 10 for various choices of the collision centrality. Again, the systematic and statistical errors are shown separately by boxes and vertical lines, respectively. The \(p_T\) distributions show a clear evolution, becoming harder as the multiplicity increases. A similar behavior is observed for protons, which have been successfully described by models that incorporate a significant radial flow \([41]\).

The spectra obtained in Pb-Pb collisions are individually fitted with the blast-wave (BW) model for the determination of \(p_T\)-integrated yields and \(\langle p_T\rangle\). This model \([48]\) describes particle production properties by assuming that the particles are emitted thermally from an expanding source. The functional form of the model is given by

\[
\frac{1}{p_T} \frac{dN}{dp_T} \propto \int_0^R r dr m_T f_0 \left(\frac{p_T \sinh \rho}{T_{\text{kin}}}\right) K_1 \left(\frac{m_T \cosh \rho}{T_{\text{kin}}}\right), \tag{6}
\]

where the velocity profile \(\rho\) is described by

\[
\rho = \tanh^{-1} \beta = \tanh^{-1}(\beta_0 r/R_0^\beta). \tag{7}
\]
Here \( I_0 \) and \( K_1 \) are the modified Bessel functions, \( r \) is the radial distance from the center of the fireball in the transverse plane, \( R \) is the radius of the fireball, \( \beta(r) \) is the transverse expansion velocity, \( \beta_s \) is the transverse expansion velocity at the surface, \( n \) is the exponent of the velocity profile, and \( T_{\text{kin}} \) is the kinetic freeze-out temperature. The free parameters in the fit are \( T_{\text{kin}}, \beta_s, n, \) and a normalization parameter. Here, we present two alternatives: fitting the two particles separately (Figs. 9 and 10) and simultaneously (Fig. 11). The extracted values of the kinetic freeze-out temperature and radial flow velocity are discussed in more detail in the next section. The results of these fits are summarized in Table III, where the values of \( dN/dy \) and \( \langle p_T \rangle \) are also reported. The \( dN/dy \) values are extracted by individually fitting the spectra with the BW model. The extrapolation to \( p_T = 0 \) introduces an additional error which is again evaluated by a comparison of different fit functions and amounts to about 6% for central and 13% for peripheral collisions for the deuteron yields. In the \(^3\text{He} \) case, it contributes about 17% and 16% to the total systematic errors for the 0–20% and 20–80% centrality class, respectively.

Figure 12 shows the production yields of \( p, d, \) and \(^3\text{He} \) measured in the centrality interval 0–20% in Pb-Pb collisions which follow an exponential decrease with the mass of the particle. The penalty factor, namely the reduction of the yield by adding one nucleon, is 307 ± 6. Such an exponential decrease has already been observed at lower incident energies starting from those provided by the AGS [16,18,19,21], yet with different slopes.

The mean transverse momentum \( \langle p_T \rangle \) values obtained for \( d \) and \(^3\text{He} \) are compared to those of light particle species for Pb-Pb collisions at \( \sqrt{s_{\text{NN}}} = 2.76 \text{ TeV} \) (from [41]) in Fig. 13.

**TABLE III.** Summary of extracted yields \( dN/dy \) and mean transverse momenta \( \langle p_T \rangle \) based on the BW individual fits performed on the spectra for Pb-Pb collisions at \( \sqrt{s_{\text{NN}}} = 2.76 \text{ TeV} \). The first error on \( dN/dy \) and \( \langle p_T \rangle \) represents the statistical error and the second one is the combination of systematic and extrapolation errors, added in quadrature. See text for details.

<table>
<thead>
<tr>
<th>Centrality (0–10%)</th>
<th>( \langle \beta \rangle )</th>
<th>( T_{\text{kin}} ) (MeV)</th>
<th>( n )</th>
<th>( dN/dy )</th>
<th>( \langle p_T \rangle ) (GeV/c)</th>
<th>( \chi^2/\text{ndf} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \langle \beta \rangle )</td>
<td>( T_{\text{kin}} ) (MeV)</td>
<td>( n )</td>
<td>( dN/dy )</td>
<td>( \langle p_T \rangle ) (GeV/c)</td>
<td>( \chi^2/\text{ndf} )</td>
<td></td>
</tr>
<tr>
<td>( d ) (0–10%)</td>
<td>0.630 ± 0.003</td>
<td>77 ± 2</td>
<td>0.75 ± 0.05</td>
<td>(9.82 ± 0.04 ± 1.58) × 10^{-2}</td>
<td>2.12 ± 0.00 ± 0.09</td>
<td>0.10</td>
</tr>
<tr>
<td>( d ) (10–20%)</td>
<td>0.613 ± 0.004</td>
<td>96 ± 2</td>
<td>0.78 ± 0.06</td>
<td>(7.60 ± 0.04 ± 1.25) × 10^{-2}</td>
<td>2.07 ± 0.01 ± 0.10</td>
<td>0.07</td>
</tr>
<tr>
<td>( d ) (20–40%)</td>
<td>0.572 ± 0.004</td>
<td>100 ± 2</td>
<td>0.96 ± 0.07</td>
<td>(4.76 ± 0.02 ± 0.82) × 10^{-2}</td>
<td>1.92 ± 0.00 ± 0.11</td>
<td>0.07</td>
</tr>
<tr>
<td>( d ) (40–60%)</td>
<td>0.504 ± 0.017</td>
<td>124 ± 7</td>
<td>1.04 ± 0.19</td>
<td>(1.90 ± 0.01 ± 0.41) × 10^{-2}</td>
<td>1.63 ± 0.01 ± 0.09</td>
<td>0.01</td>
</tr>
<tr>
<td>( d ) (60–80%)</td>
<td>0.380 ± 0.010</td>
<td>108 ± 3</td>
<td>1.85 ± 0.35</td>
<td>(0.51 ± 0.01 ± 0.14) × 10^{-2}</td>
<td>1.29 ± 0.01 ± 0.14</td>
<td>0.21</td>
</tr>
<tr>
<td>(^3\text{He} ) (0–20%)</td>
<td>0.572 ± 0.006</td>
<td>101 ± 61</td>
<td>1.02 ± 0.02</td>
<td>(2.76 ± 0.09 ± 0.62) × 10^{-4}</td>
<td>2.83 ± 0.05 ± 0.45</td>
<td>0.49</td>
</tr>
<tr>
<td>(^3\text{He} ) (20–80%)</td>
<td>0.557 ± 0.007</td>
<td>101 ± 37</td>
<td>0.99 ± 0.03</td>
<td>(5.09 ± 0.24 ± 1.36) × 10^{-5}</td>
<td>2.65 ± 0.06 ± 0.45</td>
<td>0.20</td>
</tr>
</tbody>
</table>

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FIG. 13. Mean transverse momentum $\langle p_T \rangle$ as a function of particle mass for various centrality classes are shown for Pb-Pb collisions at $\sqrt{s_{\text{NN}}} = 2.76$ TeV.

The figure shows that the $\langle p_T \rangle$ increases with increasing mass of the particle. Such a behavior is expected if all the particles are emitted from a radially expanding source.

C. Observation of (anti-)triton

The combined particle identification capability of the TPC and TOF also allows a track-by-track identification of low momenta (0.6 GeV/$c < p_T < 1.6$ GeV/$c$) anti-tritons as illustrated in Fig. 14. In this momentum region, the background from mismatched tracks is removed by the TPC particle identification. The contamination is estimated based on a sideband study and found to be negligible below $p_T < 1.6$ GeV/$c$, but it increases rapidly for higher momenta so that signal and background cannot be distinguished anymore thus limiting the range available for the measurement.

As can be seen, 31 anti-triton candidates are observed in the 0–80% centrality range. These numbers are consistent with expectations based on an extrapolation of the $^3\text{He}$ spectra to lower momenta taking into account the low reconstruction efficiency for anti-tritons in this momentum region (of about 11% ± 6%). An observation of about 10–40 anti-tritons is expected based on this estimate, indicating similar production rates of anti-tritons and $^4\text{He}$ nuclei. This comparison suffers from large uncertainties related to the absorption of anti-nuclei and energy loss in the detector material before the TPC at such low momenta. A similar measurement of tritons is unfeasible due to the large contamination from knock-out nuclei in this momentum region.

IV. DISCUSSION

A. Description of spectra via blast-wave fits

Combined BW fits provide essential insight into the kinetic freeze-out conditions and allow quantitative comparisons between different collision systems and between measurements at different $\sqrt{s_{\text{NN}}}$ in terms of a hydrodynamic interpretation.

In this section, a simultaneous fit to the $\pi$, $K$, $p$, $d$, and $^3\text{He}$ spectra in the centrality range 0–20% using in addition data from [41,49] is discussed. Since the BW model is not expected to describe eventual hard contributions that may set in at higher $p_T$, the fit ranges have been limited. For the light particles, they are taken as in Refs. [41,49] (0.5–1 GeV/$c$, 0.2–1.5 GeV/$c$, 0.3–3 GeV/$c$ for $\pi$, $K$, and $p$, respectively). However, for $d$ and $^3\text{He}$, the spectrum is fitted up to the $p_T$ value where the invariant yield reduces to 10% of the maximum available value of that spectrum. The exponent $n$ of the velocity profile is left as a free parameter as in Ref. [41]. In such an approach, all particle species are forced to decouple with the same parameters even though they feature different hadronic cross sections with the medium. This is in particular relevant for multistrange particles such as $\Xi$ and $\Omega$ [50], which are therefore not included in the fit.

In Fig. 15 the results of a simultaneous fit to the five particle species are shown. The deviations of the spectra from the BW fit are shown in the lower parts of Fig. 15. The statistical errors are shown by vertical lines and the systematic errors are shown as shaded bands. Note that data points marked with open symbols are not included in the fit. The hardening of the spectra for central collisions is qualitatively well described by the combined BW fit with a collective radial flow velocity $\langle \beta \rangle = 0.632 \pm 0.01$, a kinetic freeze-out temperature of $T_{\text{kin}} = 113 \pm 12$ MeV, and $n = 0.72 \pm 0.03$. The $\chi^2$/ndf value of the fit is 0.4. A comparison of these parameters to those obtained from a fit to $\pi$, $K$, and $p$ [41] ($\langle \beta \rangle = 0.644 \pm 0.020$, $T_{\text{kin}} = 97 \pm 15$ MeV, and $n = 0.73 \pm 0.11$) reveals that the inclusion of nuclei leads to a slightly smaller value for $\langle \beta \rangle$ and a slightly larger value for $T_{\text{kin}}$. This behavior is mainly driven by the strong anti-correlation of $\langle \beta \rangle$ and $T_{\text{kin}}$ in the blast-wave model: the slightly lower value of $\langle \beta \rangle$ leads to a deviation of the fit from the proton spectrum which is then compensated by a higher $T_{\text{kin}}$. 

FIG. 14. Scatter plot of $(m_A^2 - m_t^2)$ measured with the TOF detector vs $p_T$. Only those tracks are shown which pass the preselection done by applying a 3σ cut on the TPC $dE/dx$. The $p_T$ region in which the candidates are identified on a track-by-track basis is shown as red box.
B. Comparison to thermal models

Figure 16 shows the $d/p$ and $^3\text{He}/p$ ratios as a function of the average charged particle multiplicity per event. The proton yields are taken from [41,49]. The observed values of about $3.6 \times 10^{-3}$ for the $d/p$ ratio and about $9.3 \times 10^{-6}$ for the $^3\text{He}/p$ ratio are in agreement with expectations from the thermal-statistical models [1,2]. Similar values for $d/p$ ratios are also observed by the PHENIX experiment for Au-Au collisions [47,51]. Since at RHIC energies significant differences between nucleus and anti-nucleus production are present, for this plot the geometrical mean is used which in a thermal concept cancels the influence of the baryon chemical potential ($\mu_B$). Within the achieved experimental precision, no dependence of these particle ratios on the event multiplicity is observed at RHIC and LHC energies. Also the $\bar{p}/p$ and the $p/\pi$ ratios hardly vary with centrality [41,52] showing that $T_{\text{chem}}$ and $\mu_B$ do not vary with centrality in high energy collisions. In a coalescence approach, the centrality independence disfavors implementations in which the nuclei production is proportional to the absolute proton multiplicity [53] rather than the particle density.

The comparison with thermal models is shown in more detail in Fig. 17 for the 0–10% centrality class. These calculations

\[ \text{In a thermal model, the yield } n_B \text{ of a baryon with energy } E \text{ in a medium of temperature } T \text{ is proportional to } \exp(-\frac{E}{T}) \text{ while the yield of an anti-baryon } n_{\bar{B}} \text{ is proportional to } \exp(-\frac{E}{T+\mu}). \text{ The geometric mean } \sqrt{n_Bn_{\bar{B}}} \text{ leads to a cancellation of the } \mu_B. \]
have been performed using the grand-canonical formulation of both THERMUS [54] and the GSI-Heidelberg model [1].

C. Comparison with the coalescence model

Light nuclei have nucleons as constituents and are thus likely formed via coalescence of protons and neutrons which are near in space and have similar velocities. In this production mechanism, the spectral distribution of the composite nuclei is related to the one of the primordial nucleons via

$$ E_i \frac{d^3 N_i}{d\rho_i} = B_A \left( E_p \frac{d^3 N_p}{d\rho_p} \right)^A, $$

assuming that protons and neutrons have the same momentum distribution. $B_A$ is the coalescence parameter for nuclei $i$ with mass number $A$ and a momentum of $p_i = A p_p$.

Figure 18 shows the obtained $B_2$ values for deuterons (left panel) and $B_3$ values for $^3$He (right panel) in several centrality bins for Pb-Pb collisions. The results are plotted versus the transverse momenta per nucleon. A clear decrease of $B_2$ and $B_3$ with increasing centrality is observed. In the coalescence picture, this behavior is explained by an increase in the source volume $V_{col}$: the larger the distance between the protons and neutrons which are created in the collision, the less likely it is that they coalesce. Alternatively, it can be understood on the basis of the approximately constant $d/p$ and $^3$He/p ratios as an increase of the overall proton multiplicity independent of the geometry of the collision. The argument can be best illustrated by assuming a constant value of $B_2$ and integrating Eq. (8) over $p_T$. The value of $B_2$ can then be calculated for a given ratio $d/p$ and a given spectral shape $f(p_T)$ [with $\int_0^\infty f(p_T)dp_T = 1$] of the proton spectrum as

$$ B_2 = \pi \frac{dN_d}{d\rho_T} \frac{1}{\int_0^\infty \frac{2}{p_T^2} dp_T}, $$

where for a constant ratio of the deutron $dN_d/dy$ to proton $dN_p/dy$ yield, it is found that $B_2 \propto 1/(dN_p/dy)$. As can be seen in Fig. 18, the coalescence parameter also develops...
an increasing trend with transverse momentum for central collisions in contrast to expectations of the most simple coalescence models. The significance of this increase is further substantiated by the fact that the systematic errors between $p_T$ bins are to a large extent correlated. It can be qualitatively explained by position-momentum correlations which are caused by a radially expanding source [57]. For quantitative comparisons, better theoretical calculations are needed which couple a coalescence model to a full space-time hydrodynamic description of the fireball. Also in the discussion of the variation of the $B_2$ parameter as a function of collision energy, its strong dependence on centrality and $p_T$ must be taken into account. It is observed that $B_2$ at a fixed momentum ($p_T = 1.3$ GeV/$c$) for central collisions (0–20%) decreases rapidly from AGS energies to top SPS energy and then remains about the same up to RHIC [51]. Our value of approximately $4 \times 10^{-4}$ GeV$^2$/c$^3$ is only slightly lower than the measurement at RHIC ($\approx 6 \times 10^{-4}$ GeV$^2$/c$^3$).

V. CONCLUSION

In summary, the spectral distributions of deuterons in $pp$ at $\sqrt{s} = 7$ TeV and of deuterons and $^3$He in Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV have been presented. In Pb-Pb collisions, the yields are decreasing by a factor of 307 $\pm$ 76 for each additional nucleon, the mean $p_T$ rises with mass, and the combined blast-wave fit to $\pi$, $K$, $p$, $d$, and $^3$He gives a reasonable fit with $\langle \beta \rangle = 0.63$ and $T_{\text{kin}}$ around 115 MeV suggesting that the kinetic freeze-out conditions for nuclei are identical to those of the other light flavor hadrons. For anti-tritons, a track-by-track identification has been applied in the momentum range $0.6$ GeV/$c < p_T < 1.6$ GeV/$c$ and the observation of 31 anti-tritons in Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV in the 0–80% centrality class is reported in this paper.

An important question is whether the nuclei produced in heavy-ion collisions are created at the chemical freeze-out or at a later stage via coalescence. One of the key observations is the fact that the $d/p$ and $^3$He/$p$ ratios are constant as a function of $dN_{\text{ch}}/d\eta$ at $p_T = 0$. Such a behavior is expected from a thermal-statistical interpretation, as it is found that $T_{\text{chem}}$ and $m_B$ do not vary with centrality in high energy collisions. Furthermore, a common freeze-out temperature of around 156 MeV for light (anti-)nuclei and all other measured light flavor hadrons is obtained in a thermal-statistical model. Light (anti-)nuclei in Pb-Pb collisions therefore show the identical behavior as noncomposite light flavor hadrons which are governed by a common chemical freeze-out and a subsequent hydrodynamic expansion.

The extracted coalescence parameters $B_2$ and $B_3$ exhibit a significant decrease with collision centrality and an increase with transverse momentum which cannot be explained by coalescence models in their simplest form. On the other hand, taking into account the larger source volume for more central collisions and the radial expansion of the emitting system, the production of light (anti-)nuclei in Pb-Pb collisions may still be compatible with the expectations from a coalescence space-time description.

The measurements of nuclei at LHC energies are shown to follow trends observed from lower incident energies. Extrapolations and model predictions based on the thermal-statistical or coalescence approach are, therefore, a solid ground for further studies, e.g., of hyper-nuclei and exotica.

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62 Institute of Space Science (ISS), Bucharest, Romania
65 Instituto de Ciencias Nucleares, Universidad Nacional Autónoma de México, Mexico City, Mexico
66 Instituto de Física, Universidad Nacional Autónoma de México, Mexico City, Mexico
68 Joint Institute for Nuclear Research (JINR), Dubna, Russia
69 Konkuk University, Seoul, South Korea
70 Korea Institute of Science and Technology Information, Daejeon, South Korea
71 Laboratoire de Physique Corpusculaire (LPC), Clermont Université, Université Blaise Pascal, CNRS–IN2P3, Clermont-Ferrand, France
72 Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS-IN2P3, Grenoble, France
73 Laboratori Nazionali di Frascati, INFN, Frascati, Italy
74 Lawrence Berkeley National Laboratory, Berkeley, California, United States
75 Lawrence Livermore National Laboratory, Livermore, California, United States
76 Moscow Engineering Physics Institute, Moscow, Russia
77 National Centre for Nuclear Studies, Warsaw, Poland
78 National Institute for Physics and Nuclear Engineering, Bucharest, Romania
79 National Institute of Science Education and Research, Bhubaneswar, India
80 Niels Bohr Institute, University of Copenhagen, Copenhagen, Denmark
81 Nikhef, Nationaal instituut voor subatomaire fysica, Amsterdam, Netherlands
82 Nuclear Physics Group, STFC Daresbury Laboratory, Daresbury, United Kingdom
83 Nuclear Physics Institute, Academy of Sciences of the Czech Republic, Rež u Prahy, Czech Republic
84 Oak Ridge National Laboratory, Oak Ridge, Tennessee, United States
85 Petersburg Nuclear Physics Institute, Gatchina, Russia
86 Physics Department, Creighton University, Omaha, Nebraska, United States
87 Physics Department, Panjab University, Chandigarh, India
88 Physics Department, University of Athens, Athens, Greece
89 Physics Department, University of Cape Town, Cape Town, South Africa
90 Physics Department, University of Jammu, Jammu, India
91 Physics Department, University of Rajasthan, Jaipur, India
92 Physik Department, Technische Universität München, Munich, Germany
93 Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
94 Politecnico di Torino, Turin, Italy
95 Purdue University, West Lafayette, Indiana, United States
96 Pusan National University, Pusan, South Korea
97 Research Division and ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung, Darmstadt, Germany
98 Rudjer Bošković Institute, Zagreb, Croatia
99 Russian Federal Nuclear Center (VNIIEF), Sarov, Russia
100 Russian Research Centre Kurchatov Institute, Moscow, Russia
101 Saha Institute of Nuclear Physics, Kolkata, India
102 School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom
103 Sección Física, Departamento de Ciencias, Pontificia Universidad Católica del Perú, Lima, Peru
104 Sezione INFN, Bari, Italy
105 Sezione INFN, Bologna, Italy
106 Sezione INFN, Cagliari, Italy
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108 Sezione INFN, Padova, Italy
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110 Sezione INFN, Trieste, Italy
111 Sezione INFN, Turin, Italy
112 SSC IHEP of NRC Kurchatov Institute, Protvino, Russia
113 SUBATECH, Ecole des Mines de Nantes, Université de Nantes, CNRS-IN2P3, Nantes, France
114 Suranaree University of Technology, Nakhon Ratchasima, Thailand
115 Technical University of Split FESB, Split, Croatia
116 The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Cracow, Poland
117 The University of Texas at Austin, Physics Department, Austin, Texas, USA
118 Universidad Autónoma de Sinaloa, Culiacán, Mexico
119 Universidade de São Paulo (USP), São Paulo, Brazil
120 Universidade Estadual de Campinas (UNICAMP), Campinas, Brazil