Abstract

Architecture evaluation is a way to get answers to organisation’s information needs and problems relating to its business and ICT. Companies’ needs to move towards business value driven ICT-development and pressures to improve the cost-effectiveness of ICT are some of the reasons for the increasing interest in the evaluations and measurements of architectures. However, the role and the meaning which architecture evaluation may have in companies is not clearly identified or defined. For example, needs and triggers for architectural evaluations do not seem to be identified in previous studies. The aim of this study is to gain understanding of roles and meanings, which architecture evaluation and measurement may have in companies. Triggers for evaluations and measurements were identified and analyzed. Practitioners from five ICT user and service provider organisations were interviewed in this study. This study reveals that the role of architecture evaluation may be to enhance the understanding of company’s business and ICT-environments from financial and structural viewpoints. In addition, it can be used as a tool in change management, quality assurance, process planning, IT cost management and architectural choice making.
Contents

1 INTRODUCTION .................................................................................................................................................. 1

2 ARCHITECTURE EVALUATION CONCEPTS .............................................................................................. 2
  2.1 ENTERPRISE AND SOFTWARE ARCHITECTURE DEFINITIONS .......................................................... 2
  2.2 STAKEHOLDERS .................................................................................................................................. 2
  2.3 EVALUATION PERSPECTIVES .............................................................................................................. 2
  2.4 ARCHITECTURE EVALUATION CONCEPTS ............................................................................................ 3

3 ARCHITECTURAL VIEWPOINTS ................................................................................................................ 5
  3.1 ARCHITECTURAL DESCRIPTIONS ......................................................................................................... 5
  3.2 VIEWPOINTS ....................................................................................................................................... 5
  3.3 ENTERPRISE ARCHITECTURE VIEWPOINTS ...................................................................................... 6
  3.4 SOFTWARE ARCHITECTURE VIEWPOINTS ............................................................................................ 8

4 RESEARCH METHOD .................................................................................................................................. 10
  4.1 INTERVIEWEES .................................................................................................................................... 10
  4.2 THE ARRANGEMENTS FOR THE INTERVIEW ....................................................................................... 10
  4.3 INTERVIEW ......................................................................................................................................... 10
  4.4 DATA COLLECTION AND ANALYSIS .................................................................................................... 11

5 TRIGGERS FOR ARCHITECTURE EVALUATIONS ......................................................................................... 12

6 DISCUSSION ................................................................................................................................................. 15

7 CONCLUSION .................................................................................................................................................. 17

ACKNOWLEDGMENTS .................................................................................................................................... 17

REFERENCES ..................................................................................................................................................... 18
1 Introduction

Companies’ needs to move towards business value driven ICT-development and to improve the cost-effectiveness of ICT are illustrative of contemporary development pressures. These, among others, pressures drive companies to improve the understanding of their business- and ICT-environments. Architectures and architectural descriptions (enterprise and software architectures) are used to enhance understanding of the company’s environments. However, architectural descriptions and documents do not directly answer all business and ICT related questions and information needs.

Stakeholders in a company have various information needs, questions and topics of concern relating to the company’s business and ICT. One way to seek answers to these questions and information needs is the execution of architecture evaluations. Lately, interest in carrying out such evaluations of architectures has increased in companies. In addition, experts also highlight the importance of evaluations of architectures and architecture processes (e.g. (META Group Inc. 2000a; META Group Inc. 2000b)). The methods and practices for architecture evaluations and measurement are studied and developed by many organisations as well. However, the role of architecture evaluation in companies and its meaning for them is not yet clearly defined or identified, suggesting that real evaluation needs or triggers for evaluations are not identified and gathered from practitioners and specialist in ICT companies.

The aim of this study is to gain understanding of the meanings and roles, which architecture evaluation and measurement may have in companies. This study identifies and analyses companies’ triggers for architecture evaluations. Our research involved reviewing five ICT-companies’ practitioners’ experiences on and conceptions of triggers for enterprise and software architecture evaluations. Triggers for architecture evaluations are problems, questions, topics of concerns and information needs which initiate the evaluation work.

This study consists of the following sections. Firstly, general evaluation concepts and architecture evaluation related concepts and architectural viewpoints are considered. Secondly, the research method used in this study is presented. Thirdly, the triggers for architecture evaluations identified and categorised in this study are presented. Finally, these triggers are analysed and suggestions for roles and meanings of architecture evaluations are given. The areas for further examination are also presented.
2 Architecture Evaluation Concepts

It seems that there is no commonly accepted evaluation and measurement theory. Nevertheless, many sources and research areas in several domains define evaluation and measurement concepts as well as present methods and practices for it. For example, evaluation and measurement concepts are defined in the domains of program evaluation (e.g. (Worthen 1990; Shadish et al. 1991; Taylor-Powell et al. 1996; Worthen 1997; Chen 2005)), quality management (e.g. (ISO 2003a), (ISO 2003b)) and software engineering (e.g. (Kan 2005), (IEEE 1998), (Bache 1994)). Research and development work on evaluation methods and practices is ongoing in the context of enterprise and software architecture management (e.g. relating EA (GAO 2003; META Group Inc. 2004)). However, evaluation theory (e.g. concepts and practices) does not yet seem to be established in this context.

2.1 Enterprise and Software Architecture Definitions

IEEE 1471 Standard (IEEE 2000) defines architecture as the fundamental organization of a system embodied in its components, their relationships to each other and to the environment, and the principles guiding its design and evolution. In one instance enterprise architecture is defined by Kaisler et al. (Kaisler et al. 2005) as “the main components of the organization, its information systems, the ways in which these components work together in order to achieve defined business objectives, and the way in which the information systems support the business processes of the organization”. These components include staff, business processes, technology, information, financial and other resources, etc. A definition of software architecture is provided by Bass et. al (Bass et al. 2003): “The software architecture of a program or computing system is the structure or structures of the system, which comprise software elements, the externally visible properties of those elements, and the relationships among them.”

2.2 Stakeholders

Architecture work has a group of stakeholders. These stakeholders have varying topics of concern, information needs and questions relating to company’s business and ICT. These stakeholders have thus different perspectives on architectures. Therefore, they have different questions and concerns relating to architectures. On one hand, enterprise architecture related stakeholders may include the ICT and the business organisations, management, the architecture group, the investment board, ICT maintenance and security groups (e.g. (Armour et al. 1999b; Syntel 2005)). On the other hand, software architecture related stakeholders may include acquirers, developers, architects, users, maintainers, suppliers, testers, assessors, communicators, system administrators and support staff (Rozanski and Woods 2005).

2.3 Evaluation perspectives

Due to this variety of stakeholders and their information needs, different evaluation approaches are needed. A classification of evaluation approaches is proposed by
Worthen et. al (Worthen 1997) in the context of program evaluation. The adaptation of this classification to the architecture context is presented in the next table.

Table 1. Evaluation approaches
(adapted to the architecture evaluation context from Worthen et al. (Worthen 1997))

<table>
<thead>
<tr>
<th>Evaluation approach</th>
<th>General purpose of evaluation</th>
</tr>
</thead>
<tbody>
<tr>
<td>objective-oriented evaluation</td>
<td>determining the extent to which goals are achieved</td>
</tr>
<tr>
<td>management-oriented evaluation</td>
<td>providing useful information to aid in making decisions</td>
</tr>
<tr>
<td>consumer-oriented evaluation</td>
<td>providing information about products to aid in making decisions about purchases or adoptions</td>
</tr>
<tr>
<td>expertise-oriented evaluation</td>
<td>providing professional judgments of quality</td>
</tr>
<tr>
<td>adversary-oriented evaluation</td>
<td>providing a balanced examination of all sides of controversial issues, highlighting both strengths and weaknesses</td>
</tr>
<tr>
<td>participant-oriented evaluation</td>
<td>understanding and portraying the complexities of a architecture, responding to an audience’s requirements for information</td>
</tr>
</tbody>
</table>

2.4 Architecture evaluation concepts

Fundamental evaluation concepts are described, for example, by Marta Lopez in the examination of one architecture evaluation method (ATAM) (Lopez 2000). These concepts are:

- **target**: the object under evaluation
- **criteria**: the characteristics of the target that are to be evaluated
- **yardstick or standard**: the ideal target against with the real target is to be compared
- **data-gathering techniques**: the techniques needed to obtain data to analyze each criterion
- **synthesis techniques**: techniques used to judge each criterion and, in general, to judge the target, obtaining the results of the evaluation
- **evaluation process**: series of activities and tasks by means of which an evaluation is performed.

Assessment targets of architecture evaluation, presented in previous studies, vary significantly. Architecture evaluations may examine the EA or SA description represented in the EA or SA products, the processes used to produce and manage the EA or SA, and the other processes such as capital planning and investment management or systems development that use the EA or SA and the EA or SA resources (Hagan 2004).
Data gathering and synthesis techniques and evaluation process for architectures are largely not defined separately. Rather, these are defined by and included in the architecture evaluation methods. In addition, evaluation methods support different evaluation approaches. Some enterprise architecture evaluation methods are, for example, the following enterprise architecture maturity models:


- **The Enterprise Architecture Maturity Model, EAMM** (NASCIO 2003) (National Association of State Chief Information Officers, NASCIO),

- **The Extended Enterprise Architecture Maturity Model, E2AMM** (IFEAD 2004) (Institute for Enterprise Architecture Developments, IFEAD),


- **The COSM (Component Oriented Software Manufacturing) Maturity Model** (Herzum Software),

- **IT Architecture Capability Maturity Model, ACMM** (US Department of Commerce, Doc).

An array of methods is also being developed for evaluation of software architectures. These methods are evaluated and compared in some studies (e.g. (Dobrica and Niemelä 2002), (Babar et al. 2004) (Ionita et al. 2002)). Software architecture evaluation methods may include the following:

- Scenario-based Architecture Analysis Method, SAAM (Kazman et al. 1994),

- Architecture Trade-off Analysis Method, ATAM (Kazman et al. 1998),

- Active Reviews for Intermediate Design, ARID (Clements 2000),

- SAAM for Evolution and Reusability, (Lung et al. 1997),

- Architecture-Level Modifiability Analysis, ALMA (Bengtsson et al. 2004),

- Architecture-Level Prediction of Software Maintenance,

- Scenario-Based Architecture Reengineering,

- SAAM for Complex Scenarios,

3 Architectural Viewpoints

This study focuses on examining architecture evaluations which are based on information included partly or totally in architecture descriptions and documents. Architectural descriptions related concepts are considered in this chapter.

3.1 Architectural Descriptions

Both enterprise and software architectures are described by architectural descriptions. The architectural descriptions may be baseline and/or target architecture descriptions. IEEE 1471 defines a couple of concepts relating to architecture descriptions. IEEE 1471 concepts seem to be accepted both in the SA and in the EA domain (EA domain adaptations for example relating to Togaf Framework (Hilliard 2000) and by Steen et. al. (Steen et al. 2004)). Concepts defined by IEEE 1471 (IEEE 2000) are especially the following:

- **Architectural description**: A set of views (which consist of architectural models) and additional architectural information.
- **View**: A set of model representing enterprise or system from the perspective of a related set of concerns.
- **Model**: A particular diagram and description constructed following the method defined in a viewpoint.
- **Viewpoint**: The conventions for creating, depicting and analyzing a view.

Relationships between these concepts are presented in Figure 1.

![Figure 1. Architectural description related concepts (IEEE 1471 (IEEE 2000)).](image)

3.2 Viewpoints

Viewpoints delineate the architectural information that is presented to the stakeholders (Koning and Vliet 2006). Viewpoints, on the one hand, prescribe the content and “models” to be used, and, on the other hand, indicate their intended “stakeholders” and their concerns (Koning and Vliet 2006).
Architecture frameworks both in enterprise architecture and in software architecture domain define a couple of viewpoints. For example, EA viewpoints are defined by Zachman’s Framework for Enterprise Architecture (Zachman 1987), The Open Group Architecture Framework (TOGAF) (The Open Group 2002), Archimate framework, ISO Reference Model of Open Distributed Processing (RM-ODP) (ISO 1994). SA viewpoints are defined, for example, by viewpoint models such as Kruchten “4+1” View Model (Kruchten 1995), Software Engineering Institute (SEI) set of views (Clements et al. 2002), Siemens Four View Model (Soni et al. 1995) and Rational Architecture Description Specification (ADS).

As discovered by May (May 2005), viewpoints defined such as defined by different Viewpoint models do not completely correspond to each other. Enterprise architecture viewpoint models seem to be similar situation. A commonly accepted set of architectural viewpoints does not thus currently exist (Smolander et al. 2002; May 2005). As Smolander (Smolander et al. 2002) reveals the architectural viewpoints chosen by companies are rather agreements between people depending on the organizational and project environment. In practice, the selection of architectural viewpoints is, thus, based on the prevalent situation and characteristics in the company and in the project at hand.

However, different viewpoint models have similarities in the viewpoints defined by them. In the following, viewpoints that seem to be accepted on some level in the EA domain are presented firstly; secondly, viewpoints that seem to be on some level accepted in the SA domain are introduced.

### 3.3 Enterprise architecture viewpoints

Enterprise architecture viewpoints define abstractions on the set of models representing the enterprise architecture, each aimed at a particular type of stakeholder and addressing particular concerns (Steen et al. 2004). Enterprise architecture viewpoints which are generally mentioned include: business architecture, information and data architecture, application (systems) architecture and technical (technology, infrastructure) architecture (e.g. (The Open Group 2002; IT Governance Institute 2005; Whittle and Myrick 2005)). Roles these viewpoints have and examples of targets suggested to be described relating to each viewpoint are described in the following table.
### Table 2. Enterprise architecture viewpoints.

<table>
<thead>
<tr>
<th><strong>Business architecture</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Role</strong></td>
</tr>
<tr>
<td>Defines what the enterprise must produce to satisfy its customers, compete in a market, deal with its suppliers, sustain operations, and care for its employees (Whittle and Myrick 2005). An enterprise view of what the business must do today as well as in the future to accomplish particular business requirements (Whittle and Myrick 2005).</td>
</tr>
<tr>
<td><strong>Content examples</strong></td>
</tr>
<tr>
<td>Key business operations and value streams for the organization (IT Governance Institute 2005; Kaisler et al. 2005; Whittle and Myrick 2005), Business processes (Kaisler et al. 2005), Organisational structure: Organisations, units and functions and responsibilities of them, Roles/Skills (Kaisler et al. 2005; Whittle and Myrick 2005), Enterprise operating environment (Whittle and Myrick 2005)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Information / Data architecture</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Role</strong></td>
</tr>
<tr>
<td>Information architecture</td>
</tr>
<tr>
<td>The informational needs of the enterprise in the context of core business processes and strategic goals of the enterprise (Whittle and Myrick 2005). Major information entities needed to operate the business, their relationships, and how they map to business processes, units, and locations (Armour et al. 1999a). Data architecture Identifies how data are maintained, accessed and utilized (IT Governance Institute 2005).</td>
</tr>
<tr>
<td><strong>Content examples</strong></td>
</tr>
<tr>
<td>Information architecture</td>
</tr>
<tr>
<td>The information and data management framework and precepts (Whittle and Myrick 2005). Operational and decision support systems needed to support the core processes and strategic goals, where the information for those systems is located, and how this information will be management (Whittle and Myrick 2005). Data architecture Data, at the element level, its associated relationships, in what processes they are used and in what form, and how they flow between processes (Whittle and Myrick 2005).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Application / Systems architecture</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Role</strong></td>
</tr>
<tr>
<td>To provide a logical portfolio of applications for supporting the various business processes of an enterprise (Whittle and Myrick 2005).</td>
</tr>
<tr>
<td><strong>Content examples</strong></td>
</tr>
<tr>
<td>The application software portfolio and integration relationships; Interface specifications, tools, utilities, and in some cases approved products for applications; Application inputs and outputs; Application geographical deployment requirements; Guiding principles, standards, and design characteristics for the acquisition and the development (Whittle and Myrick 2005).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Technical / Technology / Infrastructure architecture</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Role</strong></td>
</tr>
<tr>
<td>To describe the technology needed to meet the business requirements, helps ground the other architecture views by making it clear that the technology exists to implement them (Armour et al. 1999a).</td>
</tr>
<tr>
<td><strong>Content examples</strong></td>
</tr>
<tr>
<td>Supporting services, computing platforms, and internal and external interfaces the information systems need to run (Armour et al. 1999a).</td>
</tr>
</tbody>
</table>
3.4 Software architecture viewpoints

May (May 2005) has analyzed five different software architecture viewpoint models: the Kruchten “4+1” View Model, the Software Engineering Institute (SEI) set of views, the ISO Reference Model of Open Distributed Processing (RM-ODP), the Siemens Four View Model and the Rational Architecture Description Specification. The result was that the commonly accepted SA viewpoints (that these viewpoint models seem to define one way or another) are functional, behavioural, external and deployment viewpoint. In addition to these, Rozanski and Woods (Rozanski and Woods 2005) define information and operational viewpoints. Roles of these viewpoints and examples of their content are described in the next table.

Table 3. Software architecture viewpoints.

<table>
<thead>
<tr>
<th>Viewpoint Type</th>
<th>Role</th>
<th>Content</th>
</tr>
</thead>
<tbody>
<tr>
<td>Functional viewpoint</td>
<td>Business aspects of the system.</td>
<td>Description of the system’s functional/structural elements and their responsibilities, interfaces and primary interactions (May 2005; Rozanski and Woods 2005)</td>
</tr>
<tr>
<td>Information viewpoint</td>
<td>Description of the way the system stores, manipulates, manages, and distributes information (Rozanski and Woods 2005)</td>
<td>Information structure and content, information flow, data ownership, transaction management and recovery, timeliness, latency, and age, references and mappings, data volumes, archives and data retention, regulation (Rozanski and Woods 2005)</td>
</tr>
<tr>
<td>Behavioral / Concurrency</td>
<td>Description of the system’s dynamic aspects (May 2005)</td>
<td>Description of the concurrency structure of the system, mapping functional elements to concurrency units to clearly identify the parts of the system that can execute concurrently, and showing how this is coordinated and controlled (Rozanski and Woods 2005)</td>
</tr>
<tr>
<td>Development / External viewpoint</td>
<td>Description of system’s implementation structures</td>
<td>Code structure and dependencies, system-wide design constraints, system-wide standards to ensure technical integrity, work assignment (May 2005; Rozanski and Woods 2005)</td>
</tr>
<tr>
<td>Deployment viewpoint</td>
<td>Description of the physical environment into which the system will be deployed, including the dependencies the system has on its runtime (Rozanski and Woods 2005)</td>
<td>Hardware, third-party software, network, physical constraints etc.</td>
</tr>
</tbody>
</table>
## Operational viewpoint

<table>
<thead>
<tr>
<th>Role</th>
<th>Describes how the system will be operated, administrated, and supported when it is running in its production environment (Rozanski and Woods 2005)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Content</td>
<td>Installation and upgrade, functional migration, data migration, operational monitoring and control, configuration management, performance monitoring, support, backup and restore (Rozanski and Woods 2005)</td>
</tr>
</tbody>
</table>
4 Research Method

In order to gain understanding of meanings and roles that architecture evaluation and measurement have in companies, a series of research phases was used in this study. A semi-structured group interview with a focus group of practitioners from five ICT user and service provider organisations was organised.

4.1 Interviewees

Practitioners were managers and specialists of the management of enterprise and software architectures in their organisations. The companies and interviewees are described in the next table.

Table 4. Interviewees in the focus group interview

<table>
<thead>
<tr>
<th>Companies</th>
<th>Number of personnel (year 2005)</th>
<th>Number of interviewees</th>
<th>Viewpoints of interviewees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architecture consultation company</td>
<td>10</td>
<td>2</td>
<td>enterprise and software architecture consultation</td>
</tr>
<tr>
<td>Banking, finance and insurance company</td>
<td>11 974</td>
<td>1</td>
<td>enterprise architecture</td>
</tr>
<tr>
<td>Telecommunication company</td>
<td>4989</td>
<td>1</td>
<td>enterprise architecture</td>
</tr>
<tr>
<td>Business &amp; IT consulting and development organization</td>
<td>a part of a large international company with 329 373 employees in total</td>
<td>2</td>
<td>enterprise architecture, software architecture, marketing, business</td>
</tr>
<tr>
<td>Retail and service company</td>
<td>28 092</td>
<td>1</td>
<td>IT governance, enterprise architecture</td>
</tr>
</tbody>
</table>

4.2 The arrangements for the interview

The participants from these companies were interviewed as one group in order for group members to influence each other by responding to ideas and comments of others (Krueger and Casey 2000). This use of group did have an impact, bringing out new aspects. However, some aspects may not have been brought out by the interviewees due to confidentiality reasons.

4.3 Interview

Architectural viewpoints and their definitions discussed at the beginning of this paper were presented to the participants. In addition, the main evaluation concepts and perspectives were presented. Based on practitioners’ own practical experiences, practitioners were asked to name evaluation or measurement needs that relate to each architectural viewpoint. In addition, they were asked to name evaluation needs that exist relating to relationships between these viewpoints.
4.4 Data collection and analysis

The interview was tape-recorded. Notes were written during the interview session. Based on this data, a list of questions, information needs and topics of concern which may be triggers for architectural evaluations was produced. This list was reviewed by practitioners and the list was completed with comments. This list is presented in the next chapter.
5 Triggers for Architecture Evaluations

In the focus group interview, it came up that from the practitioner’s point of view it was difficult to directly specify evaluation needs that relate to each architectural view. Practitioners suggested that company’s business and ICT related problems, questions, topics of concern and information needs may be triggers for architecture evaluations. A group of triggers which came up in the focus group interview are presented in the table below. In addition, evaluation needs which arise due to these triggers are presented.

<table>
<thead>
<tr>
<th>Triggers for architecture evaluations</th>
<th>Evaluation needs</th>
<th>Evaluation Targets</th>
</tr>
</thead>
</table>
| **A need for the documentation of good quality** | The evaluation the quality of architectural documentation. A need to evaluate:  
- Policy: do policies (e.g. architectural framework) exist for documentation and are they followed?  
- Intelligibility and usability: are documents easy to understand and use?  
- Accuracy: are documents truthful and factual?  
- Cost effectiveness of maintenance: how much effort is needed to keep models and documentation up to date?  
- Traceability between architectural documents: is there traceability between architectural documents? | Architecture documentation (EA / SA) |
| A need to produce architectural models and documentations that  
  • can be quickly communicated and  
  • are understandable by many different stakeholders  
  • are cost-effectively kept up to date. | | |
| A need to have organisation’s business environment descriptions of good quality | The evaluation existence and quality of business descriptions (goals, strategy, company’s operations)  
  • existence of business descriptions (e.g. goals, strategy, company’s operations)  
  • Accuracy: are the descriptions up to date? | Business architecture documentation |
| A need to have information / data models of good quality | The evaluation of the quality the information / data models | Information / Data architecture |
| **Change pressures in organisation** | | |
| A change need in the business or ICT (e.g. a need to move from one solution to another) | The evaluation and identification of the places affected by a change and effects in each architectural viewpoint. | EA viewpoints |
| An observation that ICT-architecture do not correspond to company’s business’s requirements | The evaluation how the enterprise architecture should be changed by identifying what chances should be carried out in each architectural viewpoint. | EA viewpoints |

Table 4. Triggers for architecture evaluations.
### Triggers for architecture evaluations

<table>
<thead>
<tr>
<th>Triggers for architecture evaluations</th>
<th>Evaluation needs</th>
<th>Evaluation Targets</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>The understanding of business and ICT environments</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A need to enhance the understanding of company’s business/ICT</td>
<td>The evaluation of enterprise architecture from different aspects or against different factors e.g. the identification of overlaps.</td>
<td>EA viewpoints</td>
</tr>
<tr>
<td>A goal that ICT supports business</td>
<td>The evaluation of how business architecture is supported by other viewpoints (information, applications, infrastructure).</td>
<td>EA viewpoints</td>
</tr>
<tr>
<td>A need to enhance the understanding of responsibilities in the company</td>
<td>Identification and evaluation of responsibilities in company (for example who is responsible for customer informations).</td>
<td>Business architecture</td>
</tr>
<tr>
<td>A need to understand the state of the company’s product portfolio and processes</td>
<td>The description and evaluation of business architecture related aspects.</td>
<td>Business architecture</td>
</tr>
<tr>
<td>A need to understand information managed in company</td>
<td>The description of major information entities and responsibilities in information management.</td>
<td>Information / Data architecture</td>
</tr>
<tr>
<td>A need to understand the state of the company’s application portfolio</td>
<td>The description and evaluation of structures and components of application architecture.</td>
<td>Application architecture</td>
</tr>
<tr>
<td>A need to understand quality aspects relating to the company’s application portfolio</td>
<td>The evaluation the application architecture against quality aspects and attributes e.g. the identification of overlaps.</td>
<td>Application architecture</td>
</tr>
<tr>
<td>A need to understand the current state of technical infrastructure</td>
<td>The description and evaluation of structures and components of technical infrastructure.</td>
<td>Technology architecture</td>
</tr>
<tr>
<td><strong>Company management and process planning</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A need to make sure that organisational choices are suitable</td>
<td>The evaluation of organisational structures and operations: are those suitable or should those be changed.</td>
<td>Business architecture</td>
</tr>
<tr>
<td>The distribution of work</td>
<td>The evaluation of processes: identification of which tasks will be carried out by the company and which are dealt out to partners.</td>
<td>Business architecture</td>
</tr>
<tr>
<td>Business process planning</td>
<td>The evaluation of functionality of business processes: e.g. do processes correspond to company’s strategy?</td>
<td>Business architecture</td>
</tr>
<tr>
<td><strong>Management of architectures</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>An observation that ICT-architecture does not correspond to ICT-development projects’ needs</td>
<td>The evaluation of how architectural principles or architecture descriptions should be changed.</td>
<td>EA viewpoints</td>
</tr>
<tr>
<td>Triggers for architecture evaluations</td>
<td>Evaluation needs</td>
<td>Evaluation Targets</td>
</tr>
<tr>
<td>---------------------------------------</td>
<td>----------------------------------------------------------------------------------</td>
<td>------------------------------------------</td>
</tr>
<tr>
<td>An effort to drive investments to follow up architectural principles</td>
<td>The evaluation of if the investment corresponds and is suitable to the existing architecture and architectural principles.</td>
<td>EA viewpoints</td>
</tr>
<tr>
<td>A need to drive technical infrastructure investments to follow the architectural principles</td>
<td>The evaluation of if investments correspond to the principles.</td>
<td>Technology architecture principles</td>
</tr>
</tbody>
</table>

| IT cost management                  |                                                                                  |                                          |
|-------------------------------------|                                                                                  |                                          |
| A need to understand and manage costs relating to the company’s application portfolio | The evaluation of financial aspects and factors relating to application architecture | Application architecture                 |
| A need to understand and manage costs relating to technical infrastructure      | The evaluation of financial aspects and factors relating to technical infrastructure | Technology architecture                  |

| Architectural choices               |                                                                                  |                                          |
|-------------------------------------|                                                                                  |                                          |
| A need to find the best possible system solution and a need to understand the aspects relating the solution | The evaluation of the architectural solution: e.g. evaluation of  
  - quality aspects (evaluation against quality attributes),  
  - flexibility of solution,  
  - the life cycle of solution,  
  - suitability for the situation in question (e.g. is solution possible within available time, money and resources). | SA viewpoints (EA viewpoints)                  |
| An effort towards long-term technical solutions and need to argue for the long-term technical solutions | The comparison of a long-term and short-term solution.                      | EA / SA viewpoints                        |
6 Discussion

Architecture evaluation triggers and needs were identified and analysed in this study. During this study, the following observations were made.

*Architecture evaluation is more trigger-based than stabilized work in companies.*

This study revealed that architecture evaluations do not at least yet have a stabilized role in companies unlike, for example, requirements engineering and architecture design have. Evaluations seem not to have a fixed status in the architecture processes or in other processes in companies. Therefore, evaluations are not executed regularly.

In this study, it came up that some kind of trigger must exist before the evaluation is executed. This trigger may be, for example, a problem, a question or a need for information relating to company’s business or ICT-environment. In the figure below, the first steps before the architecture evaluation, identified in this study, are summarized.

![Figure 2. Starting steps for the architecture evaluation.](image)

*Architecture evaluation has several meanings and roles in companies and evaluations can thus be used for different purposes.*

This study revealed a couple of triggers for architecture evaluations. These triggers can be categorised to the following categories:

- Company and business management: Support needs for organisation’s structural design (e.g. business process design) and for the distribution of the work (e.g for out-sourcing).

- Holistic view: Understanding needs relating to the current status of organisation’s business and ICT-environment.

- IT cost management: Financial information needs relating to company’s ICT (applications and technical infrastructure).

- Change management: Change pressures relating to architectures and architectural principles – identification of probability and nature of changes that should be made and decision making about changes.
- Quality management: Quality questions relating architectural documentation, the company’s information/data structures, application and technical infrastructure, as well as systems solutions.

- Architecture management: Confirming that architecture related work meets expectations e.g. investments correspond to the architectural principles.

- Architectural choices: evaluation of architectural alternatives against quality, cost and other aspects.

We suggest that these evaluation triggers describe role and meaning that architecture evaluation may have in companies. Architecture evaluations can hence be one of the tools of quality assurance, change management, architectural planning and IT cost management. In addition, evaluations may support the organisational planning and decision making. Different evaluation approaches are needed because architecture evaluation’s role varies remarkably.

A motivation for the evaluation defines the material and architectural viewpoints to be viewed.

The nature of a trigger for the evaluation drives the choosing of architectural documentation and viewpoints to be viewed in the evaluation. Sometimes it can be concentrate only on one viewpoint, but sometimes many viewpoints and their relationships can be analyzed.

The nature of evaluation and its challenges differ between areas.

In the interview, practitioners brought out that business architecture seems to be the most difficult area to evaluate. The challenge relating to evaluation of information / data architectures is the lack of information and data models in companies. Currently, companies are not accustomed to actively producing information and data models. Practitioners felt that application and technical architecture are the most understandable areas and these areas are typically evaluated in companies. The evaluation of these areas is numerical (e.g. amounts of components, cost).

One challenge in architectural evaluations is the architectural documentation.

Evaluations are based on the architectural documentation and descriptions that the company has. In the interview, practitioners brought out some challenges that relate to architectural documentation. It is not clear and easy to decide what descriptions and documentation should be produced relating to architectures. In addition, the amount of documentation produced should be limited. The quality and amount of architectural documentation may have an effect on the possibilities to execute evaluations for a company’s architectures. However, the descriptions are needed for analysing and understanding architectures.
The relationship between architecture evaluations and organisation’s other measurement activities

Companies already have measurement practices and metric programs (e.g. enterprise performance measurement, balanced scorecard). In the interview, it came up that a link between an organisation’s existing measurement practices and architectural evaluations and measurements should be specified.

Restrictions

In this study, the EA and SA design and development specialists were interviewed. Their perspectives might reveal much more than the companies’ other business and ICT stakeholders’ perspectives. In addition, all the possible triggers for evaluations may not have been identified in this study. However, the results give an image of the role and meaning of architecture evaluations in companies.

7 Conclusion

This study revealed that currently architectural evaluations seem not to have a stabilized role and meaning in companies. This situation is reflected, for instance, in architecture evaluations not having stabilized place in organisations’ architecture process models. It came up that a trigger for evaluation must exist. However, the reason for this may be that architecture evaluation practices are still immature in general and, therefore, we might expect to see changes in the future.

In this study, triggers for architecture evaluations in companies were identified and analysed. This study aims to enhance the definition of the role for architectural evaluation in organisations.

The future research questions, raised in this study, include the questions of what kind of stabilized role architecture evaluation could have in organisations and how architecture evaluations and measurements could be linked to an organisation’s other measurement and evaluation programs and practices.

Acknowledgments

This paper is based on the work carried out in the AISA project (Quality Management of Enterprise and Software Architectures) financed by the Finnish Funding Agency for Technology and Innovation (TEKES) and participating companies: OP Bank Group, Elisa Oyj, IBM Finland, A-Ware Oy, and S Group. We wish to thank the participating companies for their co-operation. In addition, we thank Richard van Camp (Language Centre, University of Jyväskylä) for his language reviewing.
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